### Automorphism groups of algebraic curves in positive characteristic

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 $f(x+\Delta x) = \sum_{i=0}^{\infty} \frac{(\Delta x)^{i}}{i!} f^{(i)}(x)$ Department of Applied Mathematics and Computer Science

#### Outline

#### • Preliminaries

- Notation and terminology
- Open Problem 1: d-group of automorphisms,  $d \neq p$  prime number
  - (Korchmáros-M., 2020)
- Open Problem 2: Automorphism groups of ordinary curves
  - (Korchmáros-M., 2019)
  - (Korchmáros-M.-Speziali, 2018)
  - (M.-Zini, 2018)
  - (M.-Speziali, 2019)
- Open Problem 3: large automorphism groups imply p-rank zero
  - (M., 2023)
- Applications of automorphism groups and future work

#### Preliminaries Algebraic curves and birational invariants

- K: algebraically closed field of characteristic p
- $\mathcal{X} \subseteq \mathbb{P}^r = \mathbb{P}^r(K)$ : projective, geometrically irreducible, non-singular algebraic curve
- Algebraic function field F/K:  $F = K(\mathcal{X})$
- $g = g(\mathcal{X}) \ge 0$  : genus of  $\mathcal{X} \to \operatorname{Aut}(\mathcal{X})$  is infinite, if  $g \le 1$
- $\gamma = \gamma(\mathcal{X})$ : *p*-rank (Hasse-Witt invariant) of  $\mathcal{X} \to 0 \leq \gamma \leq g$
- $Aut(\mathcal{X})$ : (full) automorphism group of  $\mathcal{X}$  over K





#### Preliminaries

#### Automorphism groups and quotient curves

G:= finite automorphism group of  ${\mathcal X}$ 

- $\bullet~G$  acts faithfully on  ${\mathcal X}$
- G has a finite number of short orbits  $\theta_1,...,\theta_k$
- $\bullet \ \exists \ {\rm curve} \ {\mathcal Y}$  whose points are the  $G{\rm -orbits}$  of  ${\mathcal X}$
- $\mathcal{Y}:=\mathcal{X}/G$  is called quotient curve of  $\mathcal{X}$  by G
- $N_{\operatorname{Aut}(\mathcal{X})}(G)/G \leq \operatorname{Aut}(\mathcal{Y})$

#### **Riemann-Hurwitz Formula:**

$$2g(\mathcal{X}) - 2 = |G|(2g(\mathcal{Y}) - 2) + \text{Diff}(\mathcal{X}|\mathcal{Y})$$

**Deuring-Shafarevic Formula**: If  $|G| = p^h$  then

$$\gamma(\mathcal{X}) - 1 = |G|(\gamma(\mathcal{Y}) - 1) + \sum_{i=1}^{k} (|G| - |\theta_i|)$$

#### Preliminaries How many automorphisms?

[Schmid (1938), Iwasawa-Tamagawa (1951), Roquette (1952), Rosenlicht (1954), Garcia (1993)]

If  $g\geq 2$  then  $Aut(\mathcal{X})$  is a finite group

#### Classical Hurwitz bound (1892)

If p = 0 and  $g \ge 2$  then  $|\operatorname{Aut}(\mathcal{X})| \le 84(g-1)$ 

#### Example: Klein quartic

 $\mathcal{K}: X^3 + Y + XY^3 = 0, \ g(\mathcal{K}) = 3, \ |Aut(\mathcal{K})| = |PSL(2,7)| = 84(3-1)$ 

- If  $gcd(p, |\operatorname{Aut}(\mathcal{X})|) = 1$  then  $|\operatorname{Aut}(\mathcal{X})| \le 84(g-1)$
- If  $gcd(p, |\operatorname{Aut}(\mathcal{X})|) > 1$  interesting behaviours can occur

#### Preliminaries What if p divides $|Aut(\mathcal{X})|$ ?

• Hermitian curve  $\mathcal{H}: X^{q+1} = Y^q + Y$ ,  $q = p^h$ ,  $|\operatorname{Aut}(\mathcal{H})| = |PGU(3,q)| \ge 16g(\mathcal{H})^4$ 

#### Stichtenoth (1973)

If  $g = g(\mathcal{X}) \geq 2$  and  $|\operatorname{Aut}(\mathcal{X})| \geq 16g^4$  then  $\mathcal{X}$  is the Hermitian curve  $\mathcal{H}$  (up to isomorphism). In particular  $\gamma(\mathcal{X}) = 0$ .

#### Henn (1976)

If  $g = g(\mathcal{X}) \ge 2$  and  $|\operatorname{Aut}(\mathcal{X})| > 8g^3$  then  $\gamma(\mathcal{X}) = 0$  and  $\mathcal{X}$  is one of the following curves (up to isomorphism):

• 
$$\mathcal{Y}: Y^2 + Y + X^{2^k+1} = 0$$
,  $p = 2$ ,  $g = 2^{k-1}$  and  $|\operatorname{Aut}(\mathcal{Y})| = 2^{2k+1}(2^k + 1)$ .

- The Roquette curve  $\mathcal{R}: Y^2 (X^q X) = 0$  with p > 2, g = (q 1)/2. Also  $\operatorname{Aut}(\mathcal{R})/M \cong PSL(2,q), PGL(2,q)$ , where  $q = p^r$  and |M| = 2;
- The Hermitian curve  $\mathcal{H}: X^{q+1} = Y^q + Y$ ,  $q = p^h$ , p prime.
- The Suzuki curve  $S: X^{q_0}(X^q + X) + Y^q + Y = 0$ , with p = 2,  $q_0 = 2^r \ge 2$ ,  $q = 2q_0^2$ ,  $g(S) = q_0(q-1)$ , and Aut(S) = Sz(q) (Suzuki group).



#### Theorem (Nakajima, 1987)

1 If  $\mathcal{X}$  is ordinary, then  $|\operatorname{Aut}(\mathcal{X})| \leq 84(g^2 - g) \rightarrow \text{ no extremal examples provided!}$ 

**2** Let S be a p-subgroup of  $Aut(\mathcal{X})$ . Then

$$|S| \leq \begin{cases} g(\mathcal{X}) - 1, \text{ if } \gamma(\mathcal{X}) = 1, \\ 4(\gamma(\mathcal{X}) - 1), \text{ if } \gamma(\mathcal{X}) \ge 2, \\ \max\{g(\mathcal{X}), 4p/(p-1)^2 g(\mathcal{X})^2\}, \text{ if } \gamma(\mathcal{X}) = 0. \end{cases}$$

**3** If  $|S| > \frac{2p}{p-1}g(\mathcal{X})$  then  $\gamma(\mathcal{X}) = 0$ .

- Open Problem 1: What if S is a d-group where  $d \neq p$  is a prime?
- Open Problem 2: Can Nakajima's bound 1 be improved?
- Open Problem 3: Find an optimal f(g) such that if  $|\operatorname{Aut}(\mathcal{X})| > f(g)$  then  $\gamma(\mathcal{X}) = 0$  (clearly  $f(g) \le 8g^3$ ), e.g. can  $f(g) \sim g^2$ ?

#### What if the classical Hurwitz bound does not hold? Classification results



Let G automorphism group of a curve  ${\mathcal X}$  of genus  $g\geq 2.$  A consequence of the Riemann-Hurwitz Formula:

- If G has more than 4 short orbits, then  $|G| \leq 4(g-1)$
- If  $G = G_P$  and p does not divide |G|, then  $|G| \le 4g + 2$

Exceptions to the classical Hurwitz bound, for a group |G|>84(g-1), occur only in the following cases:

- () G has two short orbits and both are non-tame; here  $|G| \leq 16g^2$
- ${\rm 2}{\rm 2}\,G$  has three short orbits with precisely one non-tame orbit; here  $|G|\leq 24g^2$
- **(3)** G has a unique short orbit which is non-tame; here  $|G| \le 8g^3$
- $\ensuremath{\textbf{@}}\xspace G$  has two short orbits and one short orbit is tame, one non-tame

 $\rightarrow$  IDEA: What about bounds for |G| in Case 3? All the curves in Henn's result satisfy case 4

## Open Problem 1: *d*-group of automorphisms, $d \neq p$ prime number Our contributions to Open Problem 1



Let G be a  $d\text{-}\mathsf{group}$  of automorphisms of a curve  $\mathcal X$  of genus  $g\geq 2.$ 

- **1** How large is |G| with respect to g?
- $\ensuremath{ 2 \ }$  Structure in terms of generators and relations of extremal groups G
- **(3)** Is the bound sharp? Explicit construction of extremal examples  $(\mathcal{X}, G)$

#### **Zomorrodian (1985-1987):** the case Char(K) = 0

 $|G| \leq 9(g-1)$  and the bound is sharp if and only if  $g-1=3^k$  and  $g \geq 10$ 

• (Giulietti-Korchmáros 2010-2017, Stichtenoth 1973) Nakajima extremal curves

#### Our results:

 $\bullet$  Zomorrodian's result holds also when  ${\rm Char}(K)=p\neq 0$  and  $d\neq 2,p$ 

#### For the interesting case d = 3:

- $\bullet$  the group structure of G is uniquely determined
- two general methods to construct extremal examples  $(\mathcal{X}, G)$ .
- 9 DTU Compute

#### Theorem (Korchmáros-M., 2020)

Let  $g(\mathcal{X})\geq 2.$  If G is a d-subgroup of  $\operatorname{Aut}(\mathcal{X})$  with  $d\neq p$  and d odd then

$$|G| \le \begin{cases} 9(g-1), \text{ if } d = 3, \\ \frac{2d}{d-3}(g-1), \text{ if } d > 3 \end{cases}$$

For d = 3 if equality holds then G is not abelian and  $g \neq 2$ .

#### Remark: the bound is sharp for $d \ge 5$ (abelian groups)

Fermat curve  $\mathcal{F}_d : x^d + y^d + 1 = 0$  has genus (d-1)(d-2)/2,  $C_d \times C_d \cong G < \operatorname{Aut}(\mathcal{F}_d)$  of order  $d^2 = 2d(g-1)/(d-3)$ :

$$G = \{ (x, y) \mapsto (\lambda x, \mu y) \mid \lambda^d = \mu^d = 1 \}$$

• known: G abelian then  $|G| \le 4g + 4 \implies$  if G is extremal and d = 3 then G is non-abelian (interesting case)

#### Theorem (Korchmáros-M., 2020)

Let G be a non-abelian d-subgroup of  $\operatorname{Aut}(\mathcal{X})$ . If Z is an order d subgroup of Z(G) such that the quotient curve  $\overline{\mathcal{X}} = \mathcal{X}/Z$  has genus at most 1 then  $\overline{\mathcal{X}}$  is elliptic and

$$|G| \le \frac{2d}{d-1}(g-1)$$

apart from the case where d = 3 and |G| = 9(g - 1).

- $g(\mathcal{X}/Z) \ge 2 \implies \mathcal{X}/Z$  is still extremal as  $G/Z \le \operatorname{Aut}(\mathcal{X}/Z)$
- "Minimal" extremal examples are those for which  $g(\mathcal{X}/Z) \leq 1$
- Interesting case: d = 3 ( $d \ge 5$  G is abelian)
- An Extremal 3-Zomorrodian curve is a curve  $\mathcal{X}$  of genus  $g \ge 2$  admitting  $G \le \operatorname{Aut}(\mathcal{X})$  with |G| = 9(g-1)

Open Problem 1: *d*-group of automorphisms,  $d \neq p$  prime number Minimal Extremal 3-Zomorrodian curves: structure of G

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#### Proposition (Korchmáros-M., 2020)

Let G be a Sylow 3-subgroup of a curve of an Extremal 3-Zomorrodian curve of elliptic type and genus  $g=3^h+1,\,h\geq 3.$  Then

- either  $Z(G) \cong C_3$  or  $Z(G) \cong C_3 \times C_3$ ,
- G has 3 short orbits  $\theta,\sigma,\Omega$  of sizes |G|/3,~|G|/3 and |G|/9
- G can be generated by 2 elements  $\implies [G: \Phi(G)] = 9;$
- maximal subgroups of G are normal of index 3. Exactly one of them is either abelian or minimal non-abelian.
- Minimal non-abelian case: Qu Haipeng, Yang Sushan, Xu Mingyao, and An Lijian, Finite p-groups with a minimal non-abelian subgroup of index p (I), J. Algebra 358 (2012), 178-188.
- Abelian case: N. Blackburn, On a special class of p-groups, Acta Math. 100 (1958), 45-92.

## Open Problem 1: *d*-group of automorphisms, $d \neq p$ prime number Elliptic type: structure of G

#### Theorem (Korchmáros-M., 2020)

If  $\left|Z(G)\right|=3$  then G has no abelian maximal subgroups of index 3 and

- $|G| = 3^{2e}$  and  $G = \langle s_1, s_2, s | s_1^{3^e} = s_2^{3^{e-1}} = 1, s^3 = s_1^{\delta 3^{e-1}}, [s_1, s] = s_2, [s_2, s] = s_2^{-3} s_1^{-3}, [s_2, s_1] = s_1^{3^{e-1}} \rangle$  where  $\delta = 0, 1, 2$ ;
- $|G| = 3^{2e+1}$  and  $G = \langle s_1, s_2, s | s_1^{3^e} = s_2^{3^e} = 1, s^3 = s_2^{\delta^{3^{e-1}}}, [s_1, s] = s_2, [s_2, s] = s_2^{-3} s_1^{-3}, [s_2, s_1] = s_2^{3^{e-1}} \rangle$  where  $\delta = 0, 1, 2$ .

If |Z(G)| = 9 then G has no abelian subgroups of index 3 and

- $G = \langle s_1, s_2, \beta, x | s_1^{3^n} = s_2^{3^{n-1}} = x^3 = 1, \beta^3 = x^2, [s_1, \beta] = s_2, [s_2, \beta] = s_2^{-3} s_1^{-3}, [s_1, s_2] = x, [x, s_1] = [x, s_2] = 1 \rangle$ , for  $|G| = 3^{2n+1}$ ,  $e \ge 3$ ;
- $G = \langle s_1, s_2, \beta, x | s_1^{3^n} = s_2^{3^n} = x^3 = 1, \beta^3 = x^2, [s_1, \beta] = s_2, [s_2, \beta] = s_2^{-3} s_1^{-3}, [s_1, s_2] = x, [x, s_1] = [x, s_2] = 1 \rangle$ , for  $|G| = 3^{2n+2}, n \ge 2$ .

#### Can we construct infinite families of Extremal 3-Zomorrodian curves?

**Open Problem 1:** *d*-group of automorphisms,  $d \neq p$  prime number **Construction of Elliptic type Extremal** 3-**Zomorrodian curves** for every  $(g, |G|) = (3^h + 1, 3^{h+2})$ 

- Elliptic curve  $\mathcal{E}: X^3 + Y^3 + Z^3 = 0$  ( $J(\mathcal{E}) =$  Jacobian group)
- P = (-1, 0, 1) is an inflection point of  $\mathcal{E}$ , and  $\bar{\alpha} : (X, Y, Z) \mapsto (X, \epsilon Y, Z)$  with  $\epsilon^3 = 1$  primitive, is an order 3 automorphism of  $\mathcal{E}$  fixing P
- $\bar{\alpha}$  has two more fixed points on  $\mathcal{E}$ , namely  $P_1 = (-\epsilon, 0, 1)$  and  $P_2 = (-\epsilon^2, 0, 1)$  $\implies \bar{\alpha} \notin J(\mathcal{E})$

#### Theorem (Korchmáros-M. 2020)

A 3-group  $\overline{G}$  of automorphisms of  $\mathcal{E}$  can be written up to conjugation as  $\overline{G} = \overline{H} \rtimes \langle \overline{\alpha} \rangle = \overline{H} \rtimes \overline{G}_P$  where  $\overline{H} = \overline{G} \cap J(\mathcal{E})$  and  $\overline{G}$  can be generated by 2 elements

- let  $\bar{G} = \bar{H} \rtimes \langle \bar{\alpha} \rangle \leq \operatorname{Aut}(\mathcal{E})$  with  $|\bar{G}| = 3^{h+1}$ ,  $h \geq 2$
- Since  $\bar{G}$  can be generated by 2 elements,  $\bar{G}/\Phi(\bar{G})$  is elementary abelian of order 9
- since  $\bar{H}$  is maximal,  $\Phi(\bar{G}) \leq \bar{H}$
- $\theta_1 = \Phi(\bar{G})$ -orbit containing  $P \implies |\theta_1| = 3^{h-1}$
- $\Phi(\bar{G})$  is a normal subgroup of  $\bar{H}$ , the  $\bar{H}$ -orbit  $\theta$  containing P is partitioned into three  $\Phi(\bar{G})$ -orbits which may be parameterized by  $\Phi(\bar{G})$  together with its two cosets in  $\bar{H}$



• (Korchmáros-Nagy-Pace, 2014) If  $Q \in \theta_2$  then the line through P and Q meets  $\mathcal{E}$  in a point  $R \in \theta_3$ 



- r has homogenous equation mX Y + mZ = 0 for some  $m \in K$
- (inflectional) tangent to  $\mathcal{E}$  at P is i: X + Z = 0

• in  $K(\mathcal{E})=K(x,y)$  with  $x^3+y^3+1=0$  define  $t=\frac{mx-y+m}{x+1}$  then (t)=Q+R-2P



• let  $w = \prod_{f \in \Phi(\bar{G})} f(t)$ . Then  $(w) = -2\theta_1 + \theta_2 + \theta_3$  and  $\bar{g} \in \bar{G}$  acts on  $\{\theta_1, \theta_2, \theta_3\}$ .

$$\begin{cases} (1) \ \bar{g}(w)/w = \lambda, \ for \ some \ \lambda \in K \\ (2) \ (\bar{g}(w)/w) = -2\theta_1 + \theta_2 + \theta_3 - (-2\theta_3 + \theta_1 + \theta_2) = -3\theta_1 + 3\theta_3 \end{cases}$$

In any case

4

(key property)  $\bar{g}(w)/w = v^3$ , for some  $v \in K(x,y)$ 

We define

$$\mathcal{X}: \begin{cases} x^3+y^3+1=0,\\ z^3=w \end{cases} \implies g(\mathcal{X})=3^h+1$$

- Also every  $\bar{g} \in \bar{G}$  can be lifted in three ways creating a group  $G \leq \operatorname{Aut}(\mathcal{X})$  of order  $3|\bar{G}| = 3^{h+2} = 9(g-1)$
- Indeed for  $\bar{g} \in \bar{G}$  we define,

$$g:(x,y,z)\mapsto (\bar{g}(x),\bar{g}(y),vz),$$

where  $v^3=\bar{g}(w)/w.$  Then

$$g(z^3) = v^3 z^3 = \frac{\bar{g}(w)}{w} w = \bar{g}(w) = g(w) \implies \mathcal{X} \text{ is preserved!}$$

## **Open Problem 1:** *d*-group of automorphisms, $d \neq p$ prime number **Explicit examples using MAGMA**



• g = 10, |G| = 81

$$\begin{cases} x^3 + y^3 + 1 = 0; \\ z^3 = \frac{x}{y^2}. \end{cases}$$

• g = 28, |G| = 729

$$\left\{ \begin{array}{l} x^3+y^3+1=0;\\ z^3=(y^{18}+3y^{15}+52y^{12}+26y^9+52y^6+3y^3\\ +1)/(y^{17}+3y^{14}+5y^{11}+5y^8+3y^5+y^2)x. \end{array} \right.$$

• g = 82, |G| = 2187

$$\left\{ \begin{array}{l} x^3+y^3+1=0;\\ z^3=(y^{54}+9y^{51}+151y^{48}+191y^{45}+243y^{42}+21y^{39}+86y^{36}\\ +184y^{33}+y^{30}+153y^{27}+y^{24}+184y^{21}+86y^{18}+21y^{15}\\ +243y^{12}+191y^9+151y^6+9y^3+1)/(y^{53}+9y^{50}+261y^{47}\\ +258y^{44}+138y^{41}+146y^{38}+206y^{35}+24y^{32}+12y^{29}+12y^{26}\\ +24y^{23}+206y^{20}+146y^{17}+138y^{14}+258y^{11}\\ +261y^8+9y^5+y^2)x. \end{array} \right.$$

#### Open Problem 2: Automorphism groups of ordinary curves Ordinary algebraic curves with many automorphisms



- ${\mathcal X}$  is ordinary if  $g({\mathcal X})=\gamma({\mathcal X})$
- Nakajima (1987):  $|Aut(\mathcal{X})| \le 84(g(\mathcal{X}) 1)g(\mathcal{X}) \to \text{can this bound be improved?}$

#### Theorem (Korchmáros-M., 2019)

Let  $\mathcal{X}$  be an ordinary curve of genus  $g(\mathcal{X}) \geq 2$  defined over an algebraically closed field of odd characteristic p. If  $G \leq Aut(\mathcal{X})$  is solvable then

$$|G| \le 34(g(\mathcal{X})+1)^{3/2} < 68\sqrt{2}g(\mathcal{X})^{3/2}$$

- This is the best bound known for automorphism groups of ordinary curves
- (Korchmáros-M.-Speziali, 2018) Extremal example up to the constant term: a generalized Artin-Schreier extension of the Artin-Mumford curve
- (M.-Zini, 2018) An infinite family of extremal examples: Generalized Artin-Mumford curves
- $\implies$  Our bound cannot be improved!

- First observation: if  $g(\mathcal{X}) = 2$  then  $|G| \le 48$  (known), so the statement is true. We assume  $g(\mathcal{X}) \ge 3$ .
- By contradiction:  $(G, g(\mathcal{X}))$  is a **minimal counterexample**, that is,  $|G| > 34(g(\mathcal{X}) + 1)^{3/2}$  and if  $g(\mathcal{Y}) < g(\mathcal{X})$ ,  $\mathcal{Y}$  is ordinary and  $H \leq Aut(\mathcal{Y})$  is solvable then  $|H| \leq 34(g(\mathcal{Y}) + 1)^{3/2}$
- $\bullet$  Since G is solvable, it admits a minimal normal subgroup S which is elementary abelian.
- Two cases are treated separately: either S is a p-group, or it has order prime to p.
- In both cases we try to construct a quotient curve which is still ordinary and gives a contradiction to the minimality of  $(G, g(\mathcal{X}))$ .

Open Problem 2: Automorphism groups of ordinary curves Our bound is sharp (up to the constant term)



$$q=p^h,\,h\geq 1$$
 and  $K=\overline{\mathbb{F}}_q.$  For  $(m,p)=1$  let 
$$\mathcal{Y}:y^q+y=x^m+1/x^m$$

and  $F = K(\mathcal{Y})$  its function field. Let  $t = x^{m(q-1)}$ . F|K(t) is not Galois

#### Theorem (Korchmáros-M.-Speziali, 2018)

The Galois closure of F|K(t) is L=K(x,y,z) where  $y^q+y=x^m+1/x^m$  and  $z^a+z=x^m.$  Also

- $g(L) = (q-1)(q^m 1), \ \gamma(L) = (q-1)^2,$
- $|Aut(L)| \ge m(q-1)$ ,
- if m = 1, L is ordinary and  $|Aut(\mathcal{X})| > 2g^{3/2}$ .
- (M.-Zini, 2018): infinite family of extremal examples (Generalized Artin-Mumford curves)  $\mathcal{X}_{L_1,L_2}: L_1(x) \cdot L_2(y) = 1$ , where  $L_1$  and  $L_2$  are linearized polynomials.

#### Open Problem 2: Automorphism groups of ordinary curves Large automorphism groups of ordinary curves Natural questions:



- What if p = 2 and G is solvable?
- What if p is odd but G is not solvable?

#### Theorem (M.-Speziali, 2019)

Let  $\mathcal{X}$  be an ordinary curve of even genus  $g(\mathcal{X}) \geq 2$  defined over an algebraically closed field of odd characteristic 2. If  $G \leq Aut(\mathcal{X})$  is solvable then

 $|G|\leq 35(g(\mathcal{X})+1)^{3/2}$ 

#### Theorem (M.-Speziali, 2019)

Let  $\mathcal{X}$  be an ordinary curve of genus  $g(\mathcal{X}) \geq 2$  defined over an algebraically closed field of odd characteristic p. If  $G \leq Aut(\mathcal{X})$  is not solvable then

 $|G| \le 822g(\mathcal{X})^{7/4}$ 

• A general and sharp refinement of Nakajima's bound is still an open problem!

#### Open Problem 3: large automorphism groups imply *p*-rank zero The third open problem: improving Henn's result

- If  $G \leq \operatorname{Aut}(\mathcal{X})$  is such that  $|G| > 84(g(\mathcal{X}) 1)$  then one of the following occurs:
- (1) G has two short orbits and both are non-tame; here  $|G| \leq 16g^2$
- **2** G has three short orbits with precisely one non-tame orbit; here  $|G| \leq 24g^2$
- **3** G has a unique short orbit which is non-tame; here  $|G| \le 8g^3$
- **4** G has two short orbits and one short orbit is tame, one non-tame ( if  $|G| \ge 8g^3$  then G is known and  $\gamma(\mathcal{X}) = 0$ ).

#### **Open Problem 3**

Is it possible to find a (optimal) function f(g) such that the existence of an automorphism group G of  $\mathcal{X}$  with |G| > f(g) implies that  $\mathcal{X}$  has p-rank zero?

- we already see that if  $|Aut(\mathcal{X})| > 24g^2$  then either Case 3 or 4 occurs.
- $\longrightarrow$  Natural idea: improve the bounds in 3 and/or 4 to obtain (up to finite exceptions) a function  $f(g) = cg^2$  for some constant c

#### Theorem (M., 2023)

Let  $G \leq Aut(\mathcal{X})$ , where  $g = g(\mathcal{X}) \geq 2$  and  $\mathcal{X}$  is defined over an algebraically closed field of characteristic p > 0.

- 1 If G satisfies Case 3 then  $|G| \leq 336g(\mathcal{X})^2$ .
- **2** If  $|G| \ge 60g^2$  and Case 3 is satisfied than  $\gamma(\mathcal{X})$  is positive and congruent to zero modulo p.
- **③** If  $|G| ≥ 900g^2$  then Case 4 is satisfied. If γ(X) ≠ 0 then g(X) is odd. Furthermore, if for  $P, R ∈ O_1$  (non-tame short orbit) one has  $g(X/G_P^{(1)}) = 0$ and  $G_{P,R}$  is either a *p*-group or a prime to *p* group then γ(X) = 0.

Work in progress: Is it true that if  $|G| \ge 900g^2$  then  $\gamma(\mathcal{X}) = 0$ ?

## Open Problem 3: large automorphism groups imply *p*-rank zero Sketch of the proof of the first item



- By contradiction  $|G| > 336g^2$
- Let  $O := P^G$  be the unique short orbit of G
- [Case 1:  $\mathbf{O} = \{\mathbf{P}\}$ ] Thus,  $G = G_P$ . Let  $\mathcal{X}_1 := \mathcal{X}/G_P^{(1)}$
- If  $\mathcal{X}_1$  is not rational  $\longrightarrow |G| = |G_P| = |G_P^{(1)} \rtimes H| \le g(4g+2) < 5g^2$ , a contradiction
- Let  $\mathcal{X}_1$  be rational. Thus,  $G_P = G_P^{(1)} \rtimes H$ . If  $\alpha \in H$  then  $\alpha$  induces an automorphism  $\alpha'$  on  $\mathcal{X}_1$
- Since every automorphism of a rational function field whose order is prime to p has exactly 2 fixed places  $\to \alpha'$  fixes a place  $Q \neq P$
- This implies that  $Q^G$  is short and  $Q^G \neq O$ , a contradiction
- This shows that if  $G = G_P$  and Case 3 is satisfied then  $|G| < 5g^2$

## Open Problem 3: large automorphism groups imply *p*-rank zero Sketch of the proof of the first item



- [Case 2:  $O \supset \{P\}$ ]
- $g(\mathcal{X}/G_P) = 0$  and either  $\gamma(\mathcal{X}) = 0$  or  $\gamma(\mathcal{X}) > 0$  and  $G_P$ ,  $G_P^{(1)}$  have the same two (non-tame) short orbits
- First aim: To prove that the case  $\gamma=\gamma(\mathcal{X})>0$  is impossible
- If  $\gamma > 0$  then  $G_P$  has 2 short orbits  $O_1 = \{P\}$  and  $O_2$
- $O = \{P\} \cup O_2$
- Since  $G_P$  acts transitively on  $O_2 = O \setminus \{P\} \longrightarrow G$  acts 2-transitively on O
- Idea: Use the complete list of finite 2-transitive groups to exclude the case  $\gamma>0$
- Second aim: the case  $\gamma=0$  is not possible from the Deuring-Shafarevic formula





• Example 1: GK Curve:

$$C_n: Y^{n^3+1} + (X^n + X)(\sum_{i=0}^n (-1)^{i+1} X^{i(n-1)})^{n+1}) = 0$$

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$$|Aut(\mathcal{C}_n)| = (n^3 + 1)n^3(n - 1) \sim 4g^2$$

• Example 2: Skabelund curves

$$\tilde{S}: \begin{cases} y^{q} + y = x^{q_{0}}(x^{q} + x), \\ t^{m} = x^{q} + x \end{cases}$$

where  $q = 2q_0^2 = 2^{2s+1}$  and  $m = q - 2q_0 + 1$ (Giulietti-M.-Quoos-Zini, 2017)  $|Aut(\tilde{S})| = m(q^2 + 1)q^2(q - 1) \sim 4g^2$ 

#### Applications of automorphism groups and future work Automorphism groups as a tool: classifications and constructions



- Coding theory:
  - (Bartoli-M.-Quoos, 2021) Locally recoverable codes (LRC) from curves of genus  $g \ge 1$
  - (Bartoli-M.-Zini, 2021) Construction of self-orthogonal AG codes (quantum codes)
- Classification of maximal curves
  - (Bartoli-M.-Torres, 2021) Classification of  $\mathbb{F}_{p^2}$ -maximal curves with many automorphisms
- Construction of maximal curves
  - (Giulietti-Kawakita-Lia-M., 2021) Construction of maximal curves of low genus (Kani-Rosen)
  - (Beelen-M.-Niemann-Quoos, 2025) A family of non-isomorphic maximal curves
  - (Beelen-Drue-M.-Zini, 2025) New maximal function fields (as subcovers of the BM maximal curves)

- Find a sharp bound for non-solvable automorphism groups of ordinary curves
- Link between automorphism groups and a-number
- $\bullet$  For p-rank zero complete the proof  $f(g)\sim g^2$
- Classification results for extremal ordinary curves
- Classify maximal curves based on their automorphisms

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# Thank you

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