

COMPUTATION OF THE HILBERT SERIES FOR THE SUPPORT-MINORS MODELING OF THE MINRANK PB

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de l'Information
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1 Motivations and results

2 Outline of the proof

3 Examples

NIST POST-QUANTUM CRYPTOGRAPHY STANDARDIZATION PROCESS, 2016–2025–?

- ▶ mathematical problems resistant to **quantum computer**.
- ▶ 4 Rounds since 2017.
- ▶ first selection for standardization in 07/2022, FIPS standards:
 - ▶ 1 **lattice**-based KEM: ML-KEM¹;
 - ▶ 2 **lattice**-based signatures: ML-DSA, FN-DSA²;
 - ▶ 1 **Hash**-based signature: SLH-DSA³.
- ▶ 1 **code-based** KEM selected *two days ago*: **HQC-KEM**⁴ (*my guess for the name*).

¹FIPS 203 and FIPS 204 Module-Lattice-Based KEM and DSA Standard, 08/2024.

²FIPS 206 Fast Fourier Transform over NTRU Lattices DSA, under development

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Most important parameter for the choice: **confidence in the security** of the scheme.

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NIST CALL FOR DIGITAL SIGNATURES

Additional Digital Signature Schemes, 06/2023–

- ▶ 10/2024–. Round 2 ongoing.
- ▶ 14 submissions, with:
 - ▶ **multivariate Signatures**: MAYO, QR-UOV, UOV, SNOVA;
 - ▶ **code-based Signatures**: CROSS, LESS;
 - ▶ **Symmetric-based Signatures**: FAEST;
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 - ▶ Isogeny Signatures: **SQIsign**.
 - ▶ **MPC-in-the-Head Signatures**: Mirath, MQOM, PERK, RYDE, SDitH.

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based on random MinRank instances ←

THE MINRANK PROBLEM

- ▶ Input: integers $r, m, n \in \mathbb{N}$, and K matrices $M_1, \dots, M_K \in \mathbb{F}_q^{m \times n}$
- ▶ Output: $(x_1, \dots, x_K) \in \mathbb{F}_q$, not all zero, such that

$$\text{Rank} \left(M_{\mathbf{x}} \stackrel{\text{def}}{=} \sum_{i=1}^K x_i M_i \right) \leq r.$$

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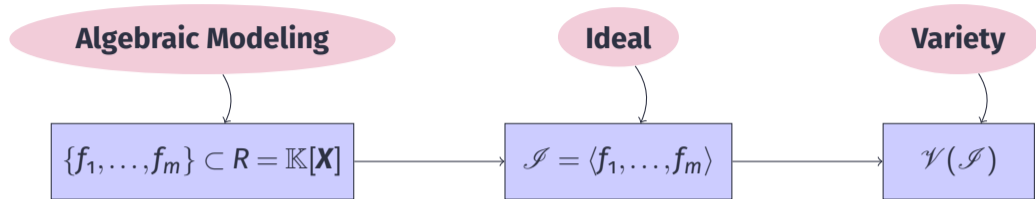
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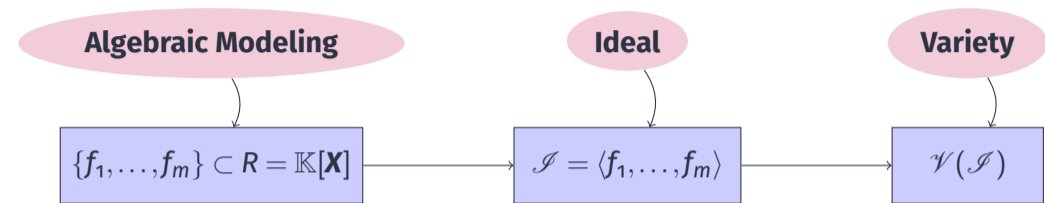
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- ▶ used to cryptanalyse various **multivariate** and **code-based** cryptosystems,
- ▶ This is exactly the **decoding problem for matrix codes**,
- ▶ Generalized MinRank Problem: the entries of $M_{\mathbf{x}}$ are polynomials of degree D in the \mathbf{x} variables.

ESTIMATE THE COST OF SOLVING THE MINRANK PROBLEM?

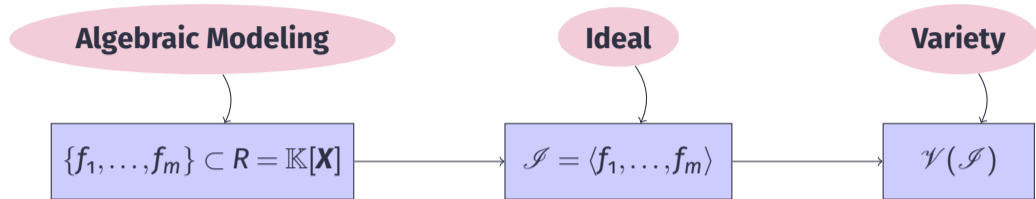


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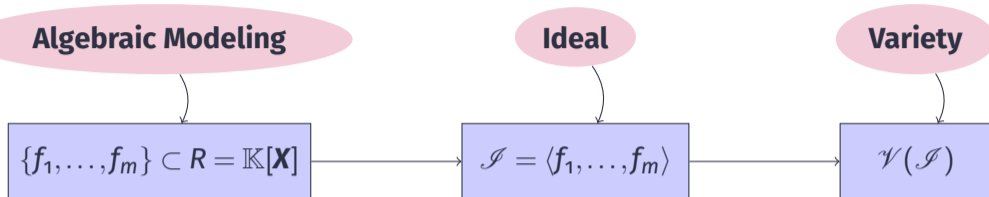
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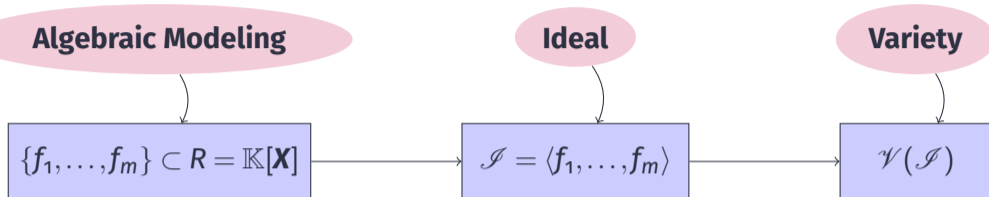
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- ▶ $\mathcal{I} = \langle f_1, \dots, f_m \rangle = \bigoplus_d \mathcal{I}_d$,
- ▶ Lazard (1983) and Giusti (1984): *linear algebra* \rightarrow basis of all \mathcal{I}_d up to degree $D_S \rightarrow$ Gröbner basis of $\mathcal{I} \rightarrow$ solutions of the system.

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- ▶ **Hilbert Series** for a system with generic coefficients

$$HS_{R/\mathcal{I}}(t) = \sum_{d \in \mathbb{N}} \dim_{\mathbb{K}}(R/\mathcal{I})_d t^d$$

provides an upper-bound on D_s for generic systems.

ALGEBRAIC MODELINGS FOR MINRANK: $\text{Rank}(\mathbf{M}_x) \leq r$

- ▶ Kipnis-Shamir modeling (Kipnis and Shamir (1999))

$$\mathbf{M}_x \begin{pmatrix} \mathbf{I}_{n-r} \\ -\mathbf{C} \end{pmatrix} = \mathbf{O}_{m \times (n-r)}, \quad \mathbf{C} \in \mathbb{K}^{r \times (n-r)}, x_i \in \mathbb{K} \quad (\text{KS})$$

ALGEBRAIC MODELINGS FOR MINRANK: $\text{Rank}(\mathbf{M}_x) \leq r$

- ▶ Minors modeling (Faugère, Safey El Din, and Spaenlehauer (2010))

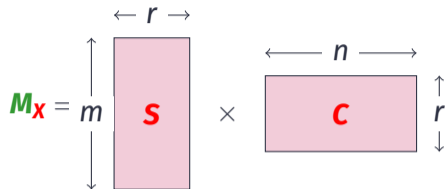
$$\text{Minors}_{r+1}(\mathbf{M}_x) = 0 \quad (\text{Minors})$$

$$\text{HS}_{\text{Minors}}(t) = \left[\frac{\det(B(t^D))(1-t^D)^{(m-r)(n-r)}}{(1-t)^K} \right]_+$$

$$\text{where } B(t) = \left(\sum_{\ell \geq 0} \binom{n-i}{\ell+j-i} \binom{m-j}{\ell} t^\ell \right)_{1 \leq i, j \leq r}.$$

- ▶ The complete Hilbert Series is known, genericity is proven for $K \geq (m-r)(n-r)$.

SUPPORT MINORS MODELING

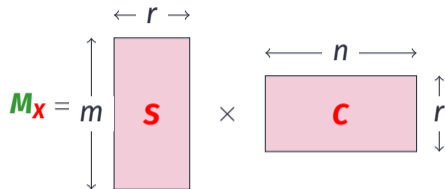


- Support Minors modeling (Bardet, Bros, et al. (2020))

$$\text{Minors}_{r+1} \left(\begin{pmatrix} (M_x)_{j,*} \\ \mathbf{C} \end{pmatrix} \right) = 0 \quad \forall j \in \{1..m\}. \quad (\text{SM})$$

with a **change of variables** for the *minors variables* $c_T = \det(\mathbf{C}_{*,T})$,
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 $T \subset \{1..n\}, \#T = r$.

- the equations are bilinear in the \mathbf{X} and c_T 's \rightarrow **bi-grading in (d_x, d_c)** .
- The first terms of the bi-Hilbert Series are *conjectured* for $d_c = 1, d_x \leq r + 1$.

THIS WORK: HILBERT SERIES FOR SUPPORT MINORS

- ▶ $R = \mathbb{K}[\mathbf{X}, \mathbf{C}_T]$ the algebra generated by the \mathbf{X} variables and the minors \mathbf{C}_T of \mathbf{C} ,

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$$\text{HS}_{\mathbb{K}[\mathbf{x}][\mathbf{c}]_{d_c}/\mathcal{I}_{d_c}}(t) = \left[\frac{\det(B_{d_c}(t^D))(1-t^D)^{(m-r)(n-r)}}{(1-t)^K} \right]_+$$

$$\text{where } B_{d_c}(t) = \left(\sum_{\ell \geq 0} \binom{n+d_c-i}{\ell+d_c+j-i} \binom{m-d_c-j}{\ell} t^\ell \right)_{1 \leq i, j \leq r}.$$

- ▶ genericity proven for $K \geq m(n-r)$, conjectured otherwise.

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TRANSFERRING DETERMINANTAL PROPERTIES, d_C FIXED

$$\mathbf{c} = \begin{pmatrix} c_{1,1} & \cdots & c_{1,n} \\ \vdots & & \vdots \\ c_{r,1} & \cdots & c_{r,n} \end{pmatrix}, \mathbf{u} = \begin{pmatrix} u_{1,1} & \cdots & u_{1,n} \\ \vdots & & \vdots \\ u_{m,1} & \cdots & u_{m,n} \end{pmatrix} \longrightarrow \begin{pmatrix} \mathbf{c} \\ \mathbf{u} \end{pmatrix}$$

- ▶ We compute the Hilbert Series of the **Determinantal Support Minors** ideal in $\mathbb{K}[\mathbf{U}][\mathbf{c}_T]_{d_C}$ \rightarrow HS(t)
- ▶ weight D on $u_{i,j}$ + add the K variables \mathbf{X} \rightarrow $HS(t^D) \frac{1}{(1-t)^K}$
- ▶ We add mn generic forms⁵ in the \mathbf{X} and \mathbf{u} variables, they are non-zero divisor at least⁶ for $K \geq (m+r-r)(n-r)$ \rightarrow $HS(t^D) \frac{(1-t^D)^{mn}}{(1-t^K)}$

Over $\overline{\mathbb{K}}$, the set of systems with $u_{i,j}$ polynomials in \mathbf{X} having the same Hilbert Series is a non-empty open Zarisky set.

⁵The coefficients are new variables b, c , we compute in $\mathbb{K}(b, c)$

⁶it is true in $\mathbb{K}(b, c)[\mathbf{u}, \mathbf{c}]$

MINORS AND BIVECTORS

$$\mathbf{U} = \begin{pmatrix} u_{1,1} & \cdots & u_{1,n} \\ \vdots & & \vdots \\ u_{m,1} & \cdots & u_{m,n} \end{pmatrix}.$$

- $(a|b) = (a_p, \dots, a_1 | b_1, \dots, b_p) =$ minors of \mathbf{U} with rows indexed by a and columns indexed by b .

$$1 \leq a_1 < a_2 < \cdots < a_p \leq m \text{ and } 1 \leq b_1 < b_2 < \cdots < b_p \leq n.$$

$(4, 2, 1 | 1, 2, 3)$ represents the minor
$$\begin{vmatrix} u_{1,1} & u_{1,2} & u_{1,3} \\ u_{2,1} & u_{2,2} & u_{2,3} \\ u_{4,1} & u_{4,2} & u_{4,3} \end{vmatrix}.$$

PARTIAL ORDER

Partial order on the sets of all minors of \mathbf{U}

$(a_p, \dots, a_1 | b_1, \dots, b_p) \leq (\alpha_s, \dots, \alpha_1 | \beta_1, \dots, \beta_s)$ if and only if:

- ▶ $p \geq s$, and
- ▶ $a_i \leq \alpha_i$ and $b_i \leq \beta_i$ for all $1 \leq i \leq s$.

Examples

- ▶ $(4, 2, 1 | 1, 2, 3) \leq (5, 2 | 2, 3) \leq (5, 4 | 3, 4)$
- ▶ $(4, 3, 1 | 1, 2, 3) \not\leq (2, 1 | 2, 3)$
- ▶ $(5, 2 | 2, 3) \not\leq (5, 1 | 2, 3)$

STANDARD MONOMIALS

$Y = \gamma_1 \cdots \gamma_t$ is a Standard monomial if $\gamma_1 \leq \cdots \leq \gamma_t$ with γ_i minors of \mathbf{U} .

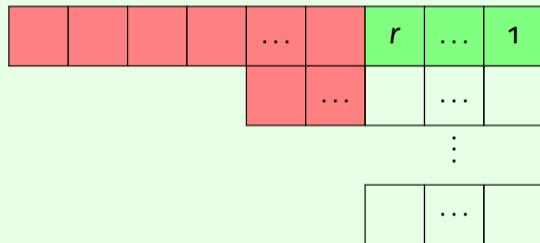
5	3	2	1	1	2	3	4
	4	3	1	1	2	3	
			5	2			

- ▶ shape $(3, 2, 2, 1)$,
- ▶ length 4,
- ▶ degree 8.

- ▶ Standard monomials form a basis of $\mathbb{K}[\mathbf{U}]$ as a \mathbb{K} -vector space.
- ▶ Straightening law to rewrite non-standard monomials.
- ▶ The number of standard monomials of a given shape is known.

GENERATING SET FOR THE SUPPORT-MINORS IDEAL

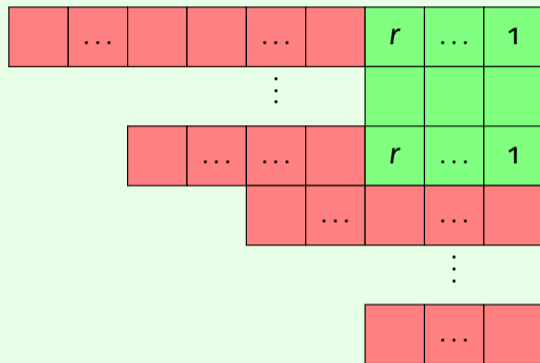
Lemma: the standard monomials with left tableau



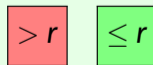
form a \mathbb{K} -basis of the ideal $\langle SM \rangle_{\mathbb{K}[\mathbf{u}, \mathbf{c}]}$.



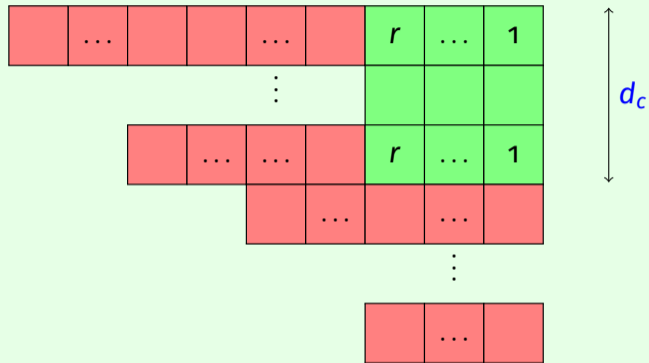
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Lemma: the standard monomials with left tableau

r	\dots	1
r	\dots	1
	\dots	
		\vdots
	\dots	

d_c

form a \mathbb{K} -basis of $R/\langle SM \rangle_{\mathbb{K}[\mathbf{u}, \mathbf{c}_T]_{d_c}}$.

$> r$

$\leq r$

SUPPORT-MINORS HILBERT SERIES

Theorem (B., Gilard). For all $d_c \geq 1$, we have:

$$\text{HS}_{\mathbb{K}[\mathbf{u}]_{d_c}/\mathcal{S}_{d_c}}(t) = \sum_{d_u \geq 0} m_{d_u, d_c} t^{d_u}$$

where

$$m_{d_u, d_c} = \sum_{v \rightsquigarrow d_u} \det \left(\begin{bmatrix} m-j \\ v(i)+j-i \end{bmatrix} \right)_{1 \leq i, j \leq r} \det \left(\begin{bmatrix} n-j \\ v(i)+d_c+j-i \end{bmatrix} \right)_{1 \leq i, j \leq r}$$
$$\begin{bmatrix} a \\ b \end{bmatrix} = \begin{pmatrix} a+b \\ b \end{pmatrix}$$

The sum is over all shapes that have degree d_u and indices in $\{r+1..r+m\}$.

COMPACT FORMULA

Factorization as a determinant with the Cauchy-Binet Formula Galligo (1983)
+ Saalschütz formula Gessel and Stanton (1985):

For all $d_c \geq 1$,

$$\text{HS}_{\mathbb{K}[\mathbf{u}]_{d_c}/\mathcal{S}_{d_c}}(\mathbf{t}) = \det(\Delta_{d_c}(\mathbf{t})) = \frac{\det(B_{d_c}(\mathbf{t}))}{(1-t)^{(m+n-r)r}}$$

$$\text{where } \Delta_{d_c}(\mathbf{t}) = \left(\sum_{\ell \geq 0} \begin{bmatrix} m-i \\ \ell \end{bmatrix} \begin{bmatrix} n-j \\ \ell + d_c + j - i \end{bmatrix} t^\ell \right)_{1 \leq i, j \leq r}$$

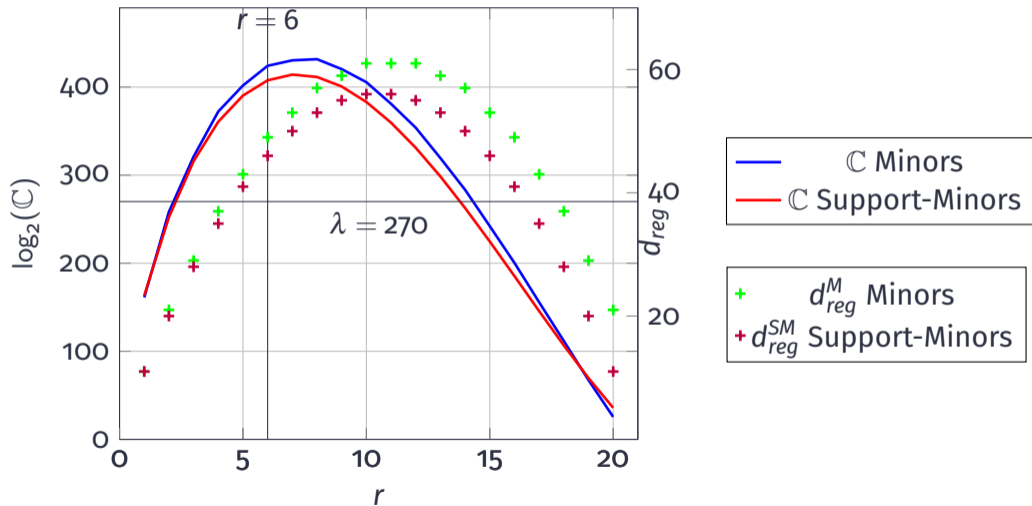
$$\text{and } B_{d_c}(\mathbf{t}) = \left(\sum_{\ell \geq 0} \binom{n+d_c-i}{\ell + d_c + j - i} \binom{m-d_c-j}{\ell} t^\ell \right)_{1 \leq i, j \leq r}$$

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MIRATH-V ($m = n = 22, r = 6, K = (m - r)(n - r) - 1, \mathbb{F}_{16}$)



PARAMETERS FOR MIRATH WITH SUPPORT MINORS

Security level	m	n	K	r	Before				This work			
					$\log_2(\mathbb{C})$	d_c	d_{reg}	a	$\log_2(\mathbb{C})$	d_c	d_{reg}	a
NIST-I	16	16	143	4	166	1	2	8	164	1	6	5
NIST-III	19	19	195	5	227	1	6	7	227	1	6	7
NIST-V	22	22	255	6	301	1	1	11	298	1	10	7

► $\mathbb{C} = 3K(r+1) \binom{n}{r}^2 \binom{K + d_{reg}^{SM} - 1}{d_{reg}^{SM}}$ with the Wiedemann algorithm.

► $\mathbb{C}(m, n, K, r) = q^{ar} \mathbb{C}(m, n - a, K - am, r)$ (Bardet, Briaud, et al. (2023))





CONCLUSION AND FUTURE WORK






- ▶ We computed the **Hilbert Series** for **generic Support Minors** ideals.
- ▶ Those results comfort the choice of parameters for **Mirath** and the security of the scheme.
- ▶ By-product: the equations $\text{Minors} \times \mathbf{C}_T$ are in SM for any \mathbf{C}_T at degree $(r+1, 1)$.
- ▶ Experiments for $d_c = 1$ for small values of n up to large d_x .
- ▶ Experiments for $d_c > 1$ will need the **Plücker** relations between minors.
- ▶ **F_5 criterion** to construct full rank matrices?

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SUPPORT-MINORS HILBERT SERIES

Proposition Bardet, Bros, et al. (2020)

We fix $d_c = 1$. Then $HS(t) = \left[\sum_{d_x} a_{d_x} t^{d_x} \right]_+$, with

$$a_{d_x,1} = \sum_{i=1}^{d_x} (-1)^{i+1} \binom{n}{r+i} \binom{m+i-1}{i} \binom{K+d_x-i-1}{d_x-i}$$

as long as $1 \leq d_x \leq r+1$.

DEGREE OF REGULARITY

Proposition

If $K = (m - r)(n - r)$ and $d_c \leq m - r$, for generic SM instances,

$$d_{reg}^{SM} \leq rD(\min(m - d_c, n) - r) + (D - 1)K + 1$$

$$d_{reg}^{SM}(D = 2) \leq r(\min(m - d_c, n) - r) + 1$$

$$d_{reg}^{Minors} \leq rD(\min(m, n) - r) + (D - 1)K + 1$$