

Lecture 1:

Probabilistic Notions of Kolmogorov Complexity

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CIRM - Randomness, Information & Complexity

February/2024

Plan for the Week

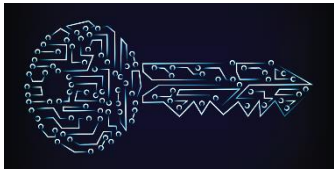
Lecture 1 (Monday)



Probabilistic Notions of (Time-Bounded) Kolmogorov Complexity

“**Unconditional** results & applications to average-case complexity”

Lecture 2 (Tuesday)



OWF P vs NP

Connections to Cryptography and Complexity Theory

“Major questions in complexity are **equivalent** to statements about Kolmogorov Complexity”

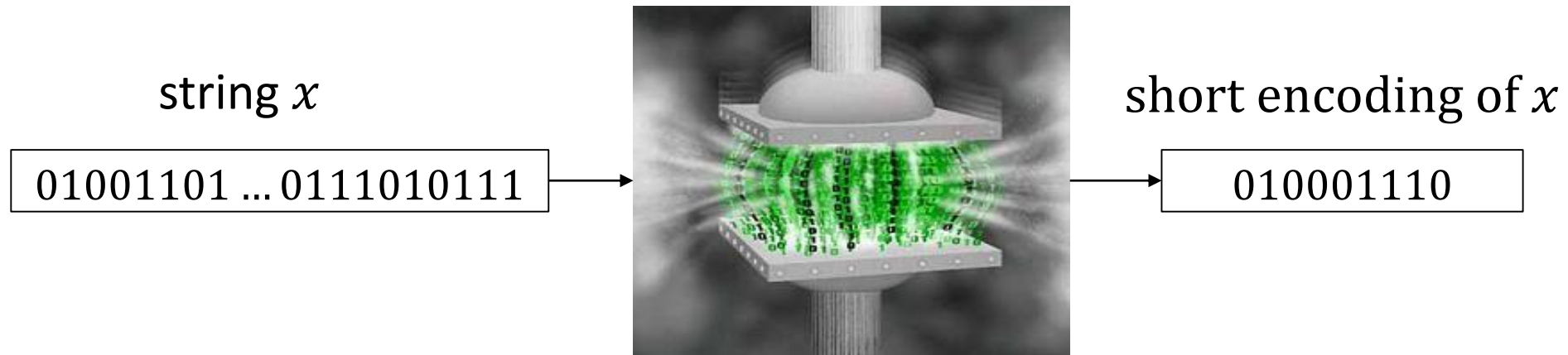
Lecture 3 (Thursday)

1	2	3	4	5	6	7	8	9	10
11	12	13	14	15	16	17	18	19	20
21	22	23	24	25	26	27	28	29	30
31	32	33	34	35	36	37	38	39	40
41	42	43	44	45	46	47	48	49	50
51	52	53	54	55	56	57	58	59	60
61	62	63	64	65	66	67	68	69	70
71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90
91	92	93	94	95	96	97	98	99	100

Connections to Algorithms (explicit constructions, generating primes, etc.)

“Existence of large primes with efficient short descriptions”

Kolmogorov Complexity



$K(x)$ = minimum **length** of a **program** M that outputs x

Formal Definition:

Let U be a Turing machine.

$$K_U(x) = \min_{M \in \{0,1\}^*} \{|M| : U(M) \text{ outputs } x\}.$$

We formally define $K(x)$ with respect to a fixed U (time-efficient universal machine)

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For simplicity, we abuse notation and refer to M directly.

Time-Bounded Kolmogorov Complexity

Kolmogorov complexity:

$$K(x) = \min_M \{|M| : M \text{ outputs } x\}$$

Time-Bounded Kolmogorov Complexity

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Levin Kolmogorov complexity:

$$Kt(x) = \min_{M, t} \{|M| + \log t : M \text{ outputs } x \text{ within } t \text{ steps}\}$$

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t -time-bounded Kolmogorov complexity:

$$K^t(x) = \min_M \{|M| : M \text{ outputs } x \text{ within } t(|x|) \text{ steps}\}$$

Despite the usefulness of time-bounded Kolmogorov complexity, many basic questions remain open:

Is it computationally hard to compute $K^t(x)$?

Do classical results in Kolmogorov complexity survive in the time-bounded setting?

Do natural objects (e.g., prime numbers) have small K^t or K^t complexity?

Despite the usefulness of time-bounded Kolmogorov complexity, many basic questions remain open:

Is it computationally hard to compute $K^t(x)$?

Do classical results in Kolmogorov complexity survive in the time-bounded setting?

Do natural objects (e.g., prime numbers) have small K^t or K_t complexity?

A more recent theory of **probabilistic Kolmogorov complexity provides new insights.**

Overview of this lecture

rK^t rK^t pK^t

Probabilistic notions and some recent advances

Probabilistic versus deterministic

Two applications of pK^t to average-case complexity:

1. Worst-case complexity of easy-on-average problems
2. Worst-case to average-case reductions

Overview of this lecture

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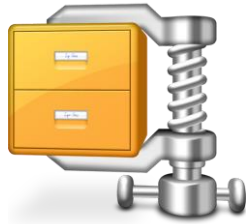
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Probabilistic Notions of Kolmogorov Complexity



Probabilistic Notions of Kolmogorov Complexity



Definitions inspired by the notion of **pseudodeterministic algorithm**:

A **randomized algorithm** that produces the **same output string w.h.p.**

Probabilistic Notions of Kolmogorov Complexity



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A **randomized algorithm** that produces the **same output string w.h.p.**

In Kolmogorov complexity terminology: **probabilistic decompression**

rKt complexity

Kt \longrightarrow rKt



Recall Levin Kolmogorov complexity:

$$Kt(x) = \min_{M, t} \{ |M| + \log t : M \text{ outputs } x \text{ in } \leq t \text{ steps} \}$$

rKt complexity

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Randomized Levin Kolmogorov complexity:

$$rKt(x) = \min_{\text{Randomized } M, t} \{ |M| + \log t : M \text{ outputs } x \text{ in } \leq t \text{ steps with probability } \geq \frac{2}{3} \}$$

An unconditional lower bound
Is it hard to detect patterns?

$$\mathcal{R}_{\leq n^\epsilon}^{\text{rKt}}$$

$$\mathcal{R}_{\geq .99n}^{\text{rKt}}$$

“structured”

“random”

An unconditional lower bound

Is it hard to detect patterns?



Theorem [O'19]. $\forall \epsilon > 0$, there is no randomised algorithm running in quasi-polynomial time that accepts strings in $\mathcal{R}_{\leq n^\epsilon}^{\text{rKt}}$ and rejects strings in $\mathcal{R}_{\geq .99n}^{\text{rKt}}$

Fixed time bounds: rK^t

Recall t -time-bounded Kolmogorov complexity:

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Succinct probabilistic representations

[Lagarias-Odlyzko'87] \implies For every large n , there is an n -bit prime p_n with $\text{Kt}(p_n) \leq \frac{n}{2} + o(n)$.

Recall: Open to show \exists primes of Kt complexity $< n/2$.

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Theorem [O-Santhanam'17, O'19]. $\forall \varepsilon > 0$, for infinitely many values of n , \exists n -bit prime p_n such that $\text{rKt}(p_n) \leq n^\varepsilon$.

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 running time can be exponential

Theorem [Lu-O-Santhanam'21]. $\forall \varepsilon > 0$, for infinitely many values of n , \exists n -bit prime q_n such that $\text{rK}^{\text{poly}}(q_n) \leq n^\varepsilon$.

succinct and efficient representation

pK^t

Probabilistic t -time-bounded Kolmogorov complexity [Goldberg-Kabanets-Lu-O'22]:

$$pK^t(x) = \min_k \left\{ k : \Pr_{w \in \{0,1\}^{t(|x|)}} [\exists M \in \{0,1\}^k \text{ s.t. } M(w) \text{ outputs } x \text{ within } t(|x|) \text{ steps}] \geq \frac{2}{3} \right\}$$

For **most** w there exists a small program M that outputs x given w

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Randomized t -time-bounded Kolmogorov complexity:

$$rK^t(x) = \min_k \left\{ k : \exists t(|x|) \text{ time program } M \in \{0,1\}^k \text{ s.t. } \Pr_{\text{randomness of } M} [M \text{ outputs } x] \geq \frac{2}{3} \right\}$$

There exists a **fixed** small (randomized) program that outputs x **w.h.p over its internal randomness**

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Probabilistic Kolmogorov Complexity

$$K^t(x) \leq k$$

Resembles **NP**

$$rK^t(x) \leq k$$

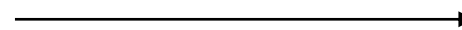
Resembles **MA**

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$$M \in \{0,1\}^k$$



Sends a program $M \in \{0,1\}^k$

Runs M for $t(|x|)$ steps to recover x

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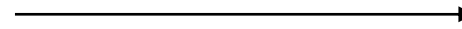
Resembles MA

$$pK^t(x) \leq k$$

Resembles AM



$$M \in \{0,1\}^k$$



Sends a **randomized** program $M \in \{0,1\}^k$

Runs M for $t(|x|)$ steps to recover x **with high probability**

Probabilistic Kolmogorov Complexity

$$K^t(x) \leq k$$

Resembles NP

$$rK^t(x) \leq k$$

Resembles MA

$$pK^t(x) \leq k$$

Resembles **AM**

Shared randomness $w \in \{0,1\}^t$



$M \in \{0,1\}^k$



Sends a program $M \in \{0,1\}^k$,
based on w

Runs $M(w)$ for $t(|x|)$ steps to
recover x

Time-Bounded Kolmogorov Complexity

Proposition: For every x and t ,

$$K(x) \lesssim pK^t(x) \leq rK^t(x) \leq K^t(x)$$


$$AM \supseteq MA \supseteq NP$$

Time-Bounded Kolmogorov Complexity

Proposition: For every x and t ,

$$K(x) \lesssim pK^t(x) \leq rK^t(x) \leq K^t(x)$$


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Proposition: For every x and t ,

$$K^{\text{poly}(t)}(x) \leq rK^t(x) + O(\log t) \text{ if } \mathbf{E} \not\subseteq \text{i. o. SIZE}[2^{\Omega(n)}]$$

Derandomizing **MA** (to **NP**)

$$K^{\text{poly}(t)}(x) \leq pK^t(x) + O(\log t) \text{ if } \mathbf{E} \not\subseteq \text{i. o. NSIZE}[2^{\Omega(n)}]$$

Derandomizing **AM** (to **NP**)

$$rK^{\text{poly}(t)}(x) \leq pK^t(x) + O(\log t) \text{ if } \mathbf{BPE} \not\subseteq \text{i. o. NSIZE}[2^{\Omega(n)}]$$

Converting **AM** to **MA**

Under strong circuit lower bound assumptions:

$$\mathbf{K}^{\text{poly}}(x) \approx \mathbf{rK}^{\text{poly}}(x) \approx \mathbf{pK}^{\text{poly}}(x) \quad (\text{up to } O(\log n) \text{ additive terms})$$

\Rightarrow **Probabilistic theory** sheds light on classical time-bounded Kolm. complexity

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\Rightarrow **Probabilistic theory** sheds light on classical time-bounded Kolm. complexity

But theory can be independently developed (unconditional results, simpler proofs, new applications, etc.)

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- ✓ Probabilistic versus deterministic

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Average-case Complexity

A problem L is solvable in *average-case polynomial time* w.r.t a distribution family $D = \{D_n\}_n$ if there is a poly-time algorithm A such that:

- $\Pr_{x \sim D_n} [A(x; 1^k) \neq L(x)] \leq 1/k,$
- $A(x; 1^k) \in \{L(x), \perp\}$ for every x in $\text{Support}(D)$

$(L, D) \in \text{AvgP}$

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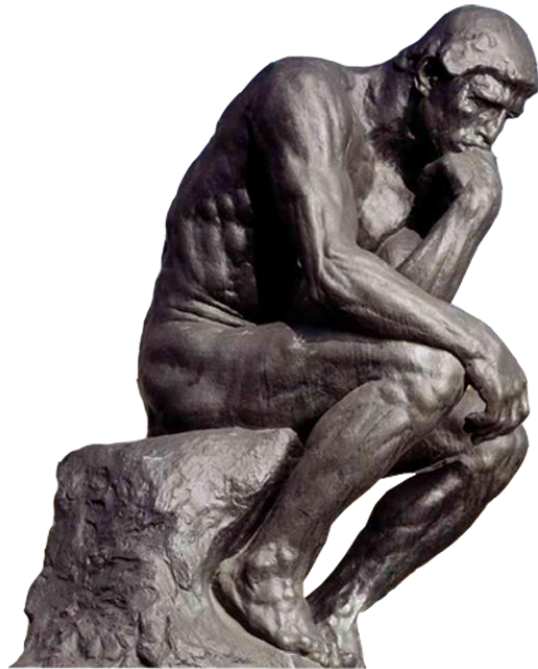
A language L is solvable in *randomized average-case polynomial time* w.r.t a distribution family $D = \{D_n\}_n$ if there is a poly-time *randomized* algorithm A such that:

- $\Pr_{A, x \sim D_n} [A(x; 1^k) \neq L(x)] \leq 1/k,$
- $A(x; 1^k) \in \{L(x), \perp\}$ *w.h.p over A* , for every x in Support(D)

$(L, D) \in \mathbf{AvgBPP}$

Worst-case Running Times for Average-case Problems

If L is solvable in *average-case polynomial time* w.r.t to *all poly-time samplable distributions*, what can we say about the time needed to solve L in the *worst case*?



Worst-case Running Times for Average-case Problems

Theorem (Antunes-Fortnow'09): Under a strong derandomization assumption,
The following statements are equivalent for every language L :

- For every P-samplable distribution D , L can be solved in polynomial-time on average with respect to D .
- For every polynomial t , L is solvable by some algorithm that runs in time $2^{O(K^t(x) - K(x) + \log |x|)}$ on every input x .

$K^t(x) - K(x)$ is called the *t-computational depth* of x

Worst-case Running Times for Average-case Problems

$E = \text{DTIME}[2^{O(n)}]$ does not have $2^{o(n)}$ circuits with Σ_2^P oracle gates

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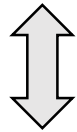
$\mathfrak{pK}^t(x) - K(x)$ is the t -probabilistic computational depth of x

A useful ingredient of the proof

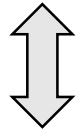
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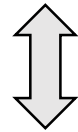
(Informal) For every polynomial t , L can be solved in polynomial-time on average with respect to $\mu^t(x) = 2^{-pK^t(x)}$



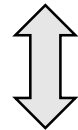
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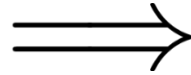
The link between **samplable distributions** and the “**universal**” distribution is obtained by a “**Coding Theorem**”

Optimal coding theorem for pK^t

[Lu-O-Zimand'22]

Coding Theorem in
Kolmogorov Complexity

An object x can be sampled
with probability δ



x admits a representation
of length $\approx \log(1/\delta)$

We want an efficient version of the coding lemma.

Optimal coding theorem for pK^t

[Lu-O-Zimand'22]

Coding Theorem in
Kolmogorov Complexity

An object x can be sampled
with probability δ \implies x admits a representation
of length $\approx \log(1/\delta)$

We want an efficient version of the coding lemma.

Theorem [Lu-Oliveira-Zimand'22]:

For every poly-time-samplable distribution $\{D_n\}$ over $\{0,1\}^n$, and every $x \in \text{support}(D_n)$

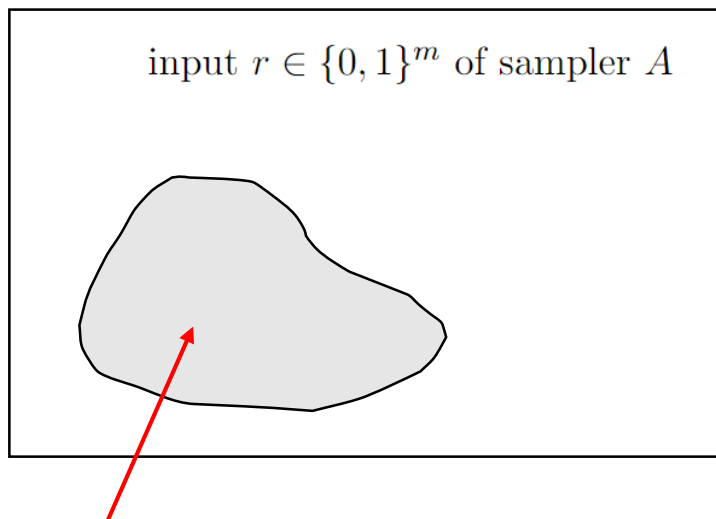
$$pK^{\text{poly}}(x) \leq \log\left(\frac{1}{D_n(x)}\right) + O(\log n)$$

Proof sketch: Coding Theorem for pK^t (adapting Antunes-Fortnow)

Let $A(1^n)$ be a poly-time sampler. Suppose it outputs $x \in \{0, 1\}^n$ with probability δ .

Goal: $\text{pK}^t(x) \leq \log(1/\delta) + O(\log n)$, where $t(n) = \text{poly}(n)$

(For most random strings w , the string x has a short description **given** w)



δ -fraction of strings lead to x

Consider a random hash function $\mathbf{H}: \{0, 1\}^k \rightarrow \{0, 1\}^m$.

$\Pr_{\mathbf{H}}[\text{for no } z \in \{0, 1\}^k \text{ we have } A(\mathbf{H}(z)) = x] \leq (1 - \delta)^{2^k} \leq 1/10$

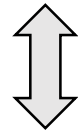
(if we let $k = \log(1/\delta) + 100$)

Claim. For most \mathbf{H} , x has a short description **given** \mathbf{H}

Issue: Efficiency (\mathbf{H} can be of exponential size) (**Fix:** Efficiently derandomize construction of \mathbf{H})

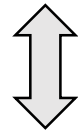
Back to equivalence result

- For every **P-samplable distribution** D , L can be solved in polynomial-time on average with respect to D .



Optimal Coding Theorem for pK^t

(Informal) For every polynomial t , L can be solved in polynomial-time on average with respect to $\mu^t(x) = 2^{-pK^t(x)}$



Time-bounded variant of result from Kolmogorov complexity

- For every polynomial t , L is solvable by some algorithm that runs in time $2^{O(pK^t(x) - K(x) + \log |x|)}$ on every input x .

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✓ Probabilistic notions and some recent advances

✓ Probabilistic versus deterministic

Two applications of pK^t to average-case complexity:

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 2. Worst-case to average-case reductions

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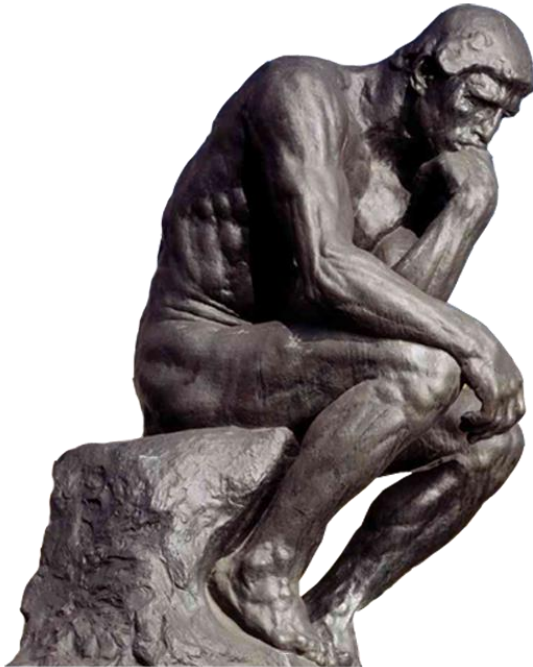
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Worst-case to Average-case Reductions

Is **NP** solvable in average-case polynomial time?

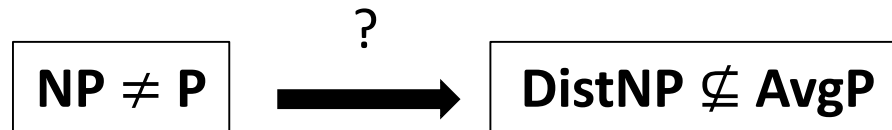


DistNP is the set of (L, D) , where $L \in \mathbf{NP}$ and D is poly-time samplable.

Is **DistNP** \subseteq **AvgP**?

Worst-case to Average-case Reductions

Does *worst-case* hardness of **NP** imply *average-case* hardness of **NP**?



This is called a **worst-case to average-case reduction**.

Worst-case to Average-case Reductions



Theorem (Ben-David, Chor, Goldreich, Luby' 92):

$\text{DistNP} \subseteq \text{AvgP}$ implies $\text{NP} \subseteq \text{DTIME}[2^{O(n)}]$

Worst-case to Average-case Reductions



Theorem (Ben-David, Chor, Goldreich, Luby' 92):

$\text{DistNP} \subseteq \text{AvgP}$ implies $\text{NP} \subseteq \text{DTIME}[2^{O(n)}]$

Open to show $\text{DistPH} \subseteq \text{AvgP}$ implies $\text{NP} \subseteq \text{DTIME}[2^{O(n)}]$

for nearly 30 years.

Worst-case to Average-case Reductions

Theorem (Hirahara'21):

$\text{DistNP} \subseteq \text{AvgP}$



$\text{UP} \subseteq \text{DTIME}[2^{O(n/\log n)}]$

Extensions to **NP** and **PH**:

$\text{Dist}\Sigma_2 \subseteq \text{AvgP}$ implies $\text{NP} \subseteq \text{DTIME}[2^{O(n/\log n)}]$

$\text{DistPH} \subseteq \text{AvgP}$ implies $\text{PH} \subseteq \text{DTIME}[2^{O(n/\log n)}]$

Worst-case to Average-case Reductions

Theorem (Hirahara'21):

$$\text{DistNP} \subseteq \text{AvgP} \implies \text{UP} \subseteq \text{DTIME}[2^{O(n/\log n)}]$$

Extensions to **NP** and **PH**:

$$\text{Dist}\Sigma_2 \subseteq \text{AvgP} \text{ implies } \text{NP} \subseteq \text{DTIME}[2^{O(n/\log n)}]$$

$$\text{DistPH} \subseteq \text{AvgP} \text{ implies } \text{PH} \subseteq \text{DTIME}[2^{O(n/\log n)}]$$

Theorem (Goldberg-Kabanets-Lu-O'22):

$$\text{DistNP} \subseteq \text{AvgBPP} \implies \text{UP} \subseteq \text{RTIME}[2^{O(n/\log n)}]$$

$$\text{Dist}\Sigma_2 \subseteq \text{AvgBPP} \text{ implies } \text{NP} \subseteq \text{RTIME}[2^{O(n/\log n)}]$$

$$\text{DistPH} \subseteq \text{AvgBPP} \text{ implies } \text{PH} \subseteq \text{BPTIME}[2^{O(n/\log n)}]$$

$$\text{DistPH} \subseteq \text{AvgBPP} \implies \text{NP} \subseteq \text{BPTIME} \left[2^{O(n/\log n)} \right]$$



$\text{DistPH} \subseteq \text{AvgBPP}$



$\text{NP} \subseteq \text{BPTIME}[2^{O(n/\log n)}]$

$\text{DistPH} \subseteq \text{AvgBPP}$



$\text{NP} \subseteq \text{BPTIME}[2^{O(n/\log n)}]$

Recall the equivalence:

- For every P-samplable distribution D , L can be solved in polynomial-time on average with respect to D .
- For every polynomial t , L is solvable by some algorithm that runs in time $2^{O(\text{pK}^t(x) - \text{K}(x) + \log |x|)}$ **on every input x** .

Interested in the quantity $\text{pK}^t(x) - \text{K}(x)$

Exercise: There is x of length n such that $\text{pK}^t(x) - \text{K}(x) > n - C \log n$

Perhaps in our application we can get an exponent that is less than $\text{pK}^t(x) - \text{K}(x)$?

$\text{DistPH} \subseteq \text{AvgBPP}$



$\text{NP} \subseteq \text{BPTIME}[2^{O(n/\log n)}]$

Recall the equivalence:

- For every P-samplable distribution D , L can be solved in polynomial-time on average with respect to D .
- For every polynomial t , L is solvable by some algorithm that runs in time $2^{O(pK^t(x) - K(x) + \log |x|)}$ **on every input x** .

↑
Term $K(x)$ derived from the **Language Compression Theorem** for K

“If A is a decidable subset of $\{0,1\}^n$, then for every string y in A , $K(y) < \log |A| + O(\log n)$ ”

Similarly to the **Coding Theorem**, perhaps we can establish **Language Compression** for pK^{poly} ?

$$\boxed{\text{DistPH} \subseteq \text{AvgBPP}} \longrightarrow \boxed{\text{NP} \subseteq \text{BPTIME} \left[2^{O(n/\log n)} \right]}$$

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↑
Term $K(x)$ derived from the **Language Compression Theorem** for K

“If A is a decidable subset of $\{0,1\}^n$, then for every string y in A , $K(y) < \log |A| + O(\log n)$ ”

“has complexity t ”

$$\text{pK}^{\text{poly}(t)}(y) < \log |A| + O(\log n)$$

Similarly to the Coding Theorem, perhaps we can establish Language Compression for pK^{poly} ?

$$\boxed{\text{DistPH} \subseteq \text{AvgBPP}} \longrightarrow \boxed{\text{NP} \subseteq \text{BPTIME}\left[2^{O(n/\log n)}\right]}$$

Recall the equivalence:

- For every P-samplable distribution D , L can be solved in polynomial-time on average with respect to D .
- For every polynomial t , L is solvable by some algorithm that runs in time $2^{O(\text{pK}^t(x) - \text{K}(x) + \log |x|)}$ **on every input x** .

This idea can improve the time bound to $2^{O(\text{pK}^t(x) - \text{pK}^{\text{poly}(t)}(x) + \log |x|)}$

Language Compression for pK^{poly} is not known...

But it can be established for every set A in NP under the assumption that $\text{DistPH} \subseteq \text{AvgBPP}$.

(even for A in AM)

$$\boxed{\text{DistPH} \subseteq \text{AvgBPP}} \longrightarrow \boxed{\text{NP} \subseteq \text{BPTIME}\left[2^{O(n/\log n)}\right]}$$

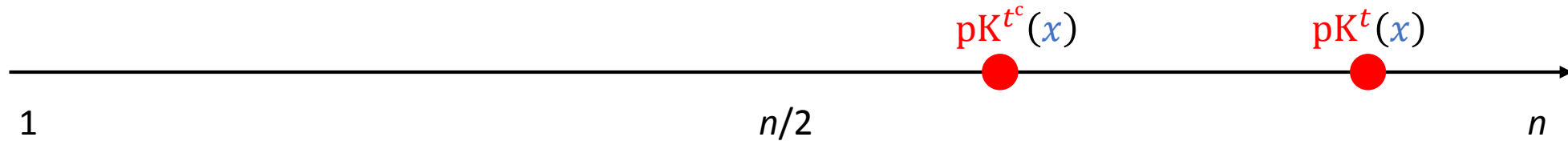
Fix L in NP . For every input x , and for every polynomial t ,

We can decide if x is in L in time $2^{O(p_K^t(x) - p_K^{t^c}(x) + \log |x|)}$

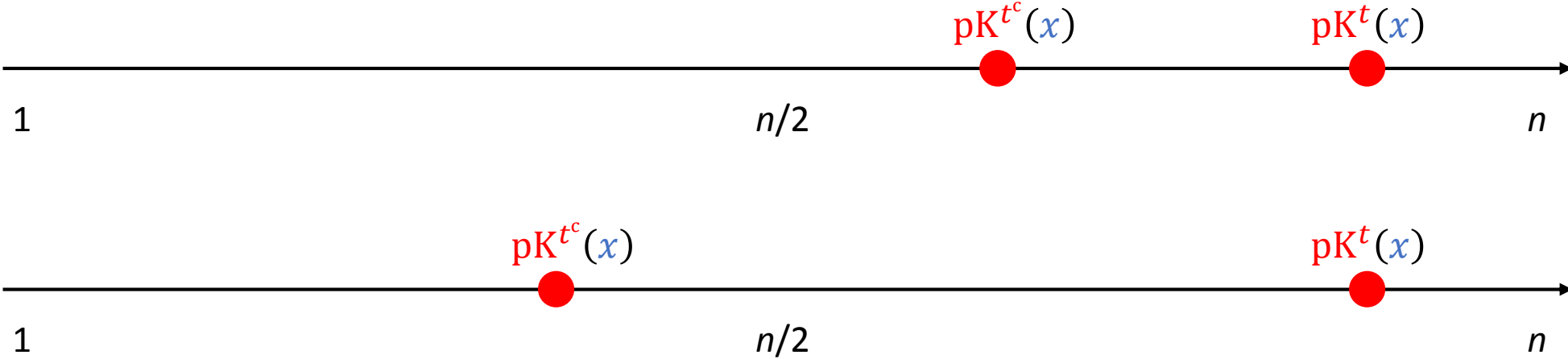
It remains to understand the bound $p_K^t(x) - p_K^{t^c}(x)$, for an arbitrary x .

(Crucial Point: We can use different values of t in this upper bound!)

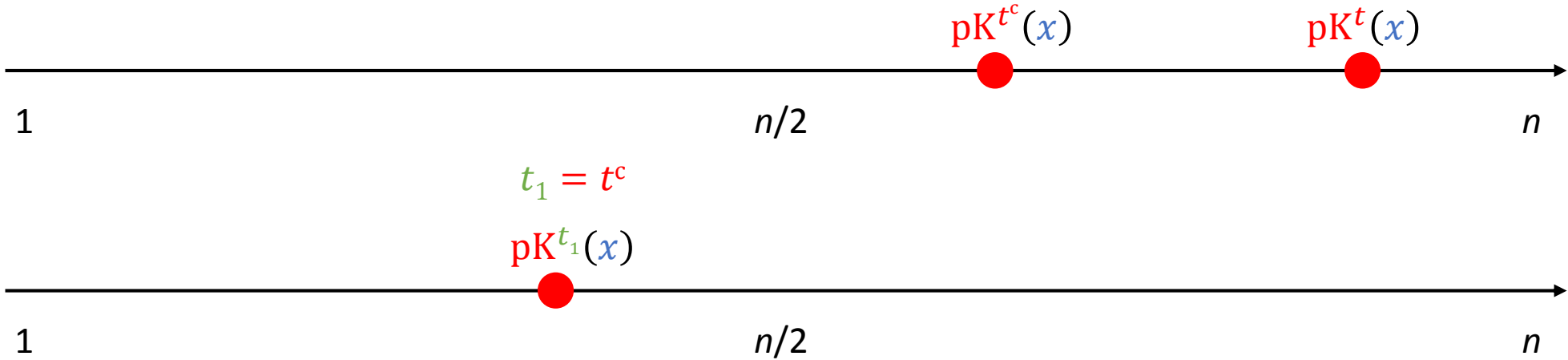
$$pK^t(x) - pK^{t^c}(x)$$



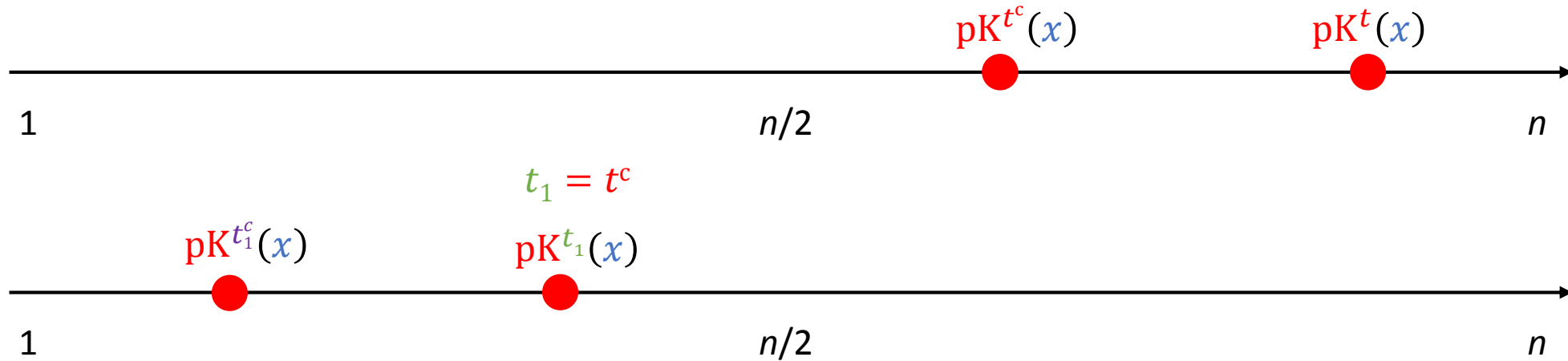
$$pK^t(x) - pK^{t^c}(x)$$



$$pK^t(x) - pK^{t^c}(x)$$



$$pK^t(x) - pK^{t^c}(x)$$



By considering time bounds of the form t , $\text{poly}(t)$, $\text{poly}(\text{poly}(t))$, ..., the difference in pK complexity is small for some consecutive pair of time bounds.

Lemma. [Hirahara] For every $x \in \{0, 1\}^n$, there is $t \in [n, 2^{o(n/\log n)}]$ such that

$$pK^t(x) - pK^{t^c}(x) = O(n/\log n).$$

Summary

rK^t rK^t pK^t

- ✓ Probabilistic notions and some recent advances
- ✓ Probabilistic versus deterministic
- ✓ Two applications of pK^t to average-case complexity:
 - ✓ 1. Worst-case complexity of easy-on-average problems
 - ✓ 2. Worst-case to average-case reductions

Main Reference for Lecture 1:

Theory and Applications of Probabilistic Kolmogorov Complexity [\[Lu-O'22\]](#)

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Thank you