

Membership Problems in Nilpotent Groups

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Two algorithmic problems

Fix a group G and a finite generating set S .

Definition (Submonoid Membership)

Input: Elements g and $g_1, g_2, \dots, g_k \in G$ (given as words over S).

Output: Decide whether $g \in \{g_1, g_2, \dots, g_k\}^*$.

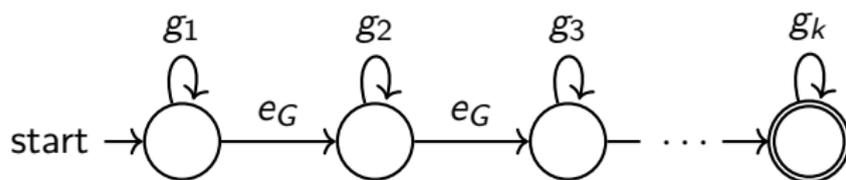
Definition (Rational Subset Membership)

Input: An element $g \in G$ (given as a word over S) and a rational subset $R \subseteq G$ (defined by a FSA, labeled by words over S).

Output: Decide whether $g \in R$.

Two (or three) algorithmic problems

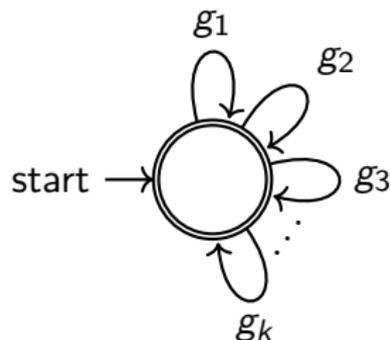
Example: Consider the automaton



We need to decide if $g \stackrel{?}{\in} R = \{g_1^{n_1} g_2^{n_2} \cdots g_k^{n_k} \mid n_1, n_2, \dots, n_k \in \mathbb{Z}_{\geq 0}\}$.
This case of the Rational Subset Membership problem (for varying k) is the **Knapsack problem**.

Two algorithmic problems

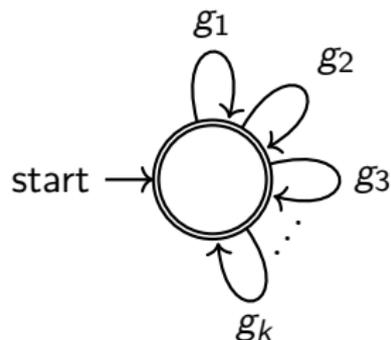
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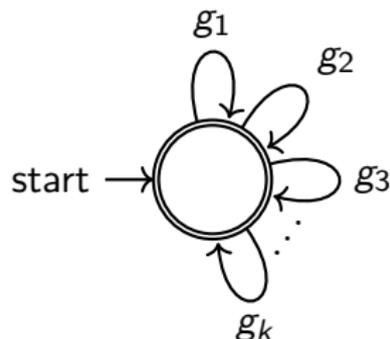
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Submonoid Membership problem.

Two algorithmic problems

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Question (Lohrey-Steinberg 2008)

Does there exist a group with decidable Submonoid Membership, and undecidable Rational Subset Membership?

(No among right-angled Artin groups and infinitely-ended groups.)

State of the arts in nilpotent groups

- Subgroup Membership is decidable in nilpotent groups (Mal'cev 1958)
- For other Membership problems, the list goes as follows

Decidable?	$h([G, G])$	Semigroup	Knapsack	Rational Subset
Virtually abelian	0			
$H_{2m+1}(\mathbb{Z}) \times \mathbb{Z}^n$	1			
$N_{2,m}$ with $m \gg 1$	$\binom{m}{2}$			

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[1] Grunschlag 1999, uses integer linear programming

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[2] Roman'kov 1999 (unpublished)

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[3] König-Lohrey-Zetsche 2016, Mishchenko-Treier 2017, uses the negative solution to Hilbert 10th problem: no algorithm can decide if a system of Diophantine equations admits a solution

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[4] Roman'kov 2022, negative solution to Hilbert 10th problem

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$N_{2,m}$ with $m \gg 1$	$\binom{m}{2}$	✗	✗	✗

[5] König-Lohrey-Zetsche 2016, uses the existence of an algorithm deciding if a quadratic Diophantine equation (in multiple variables) admits a solution

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A Reduction Theorem

Theorem

There exists an algorithm with specifications

Input: A f.p. nilpotent group G , a finite set $S \subset G$, and $g \in G$.

Output: Finitely many instances $h_i \stackrel{?}{\in} R_i$ of Rational Subset Membership in a subgroup $H \leq G$ such that $g \in S^$ iff $h_i \in R_i$ for some i .*

Moreover, the algorithm solves these instances if $h([H, H]) = h([G, G])$.

Proof of the Theorem

We may assume $e_G \in S$.

(1) Compute the “torsionfree-abelian-isation”

$$\pi: G \longrightarrow G/[G, G] \xrightarrow{\sim} \mathbb{Z}^r \times T \longrightarrow \mathbb{Z}^r$$

(2) Compute $P = \text{ConvHull}(\pi(S))$ and F the minimal face supporting $\mathbf{0}$.



Let $H = \pi^{-1}(\text{Vect}(F))$. We denote $S_0 = S \cap H$ and $S_+ = S \setminus H$.

⚠ If $\mathbf{0}$ lie in the interior of P , then $F = P$ and $H = G$.

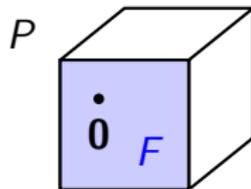
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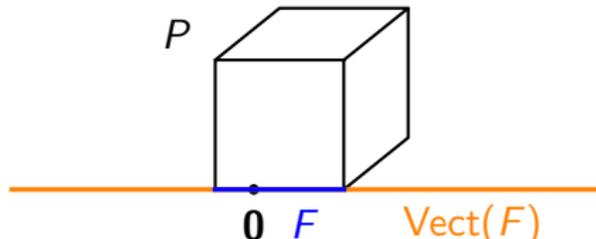
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(3) For any $g \in G$, there exists finitely many words $u_1 \dots u_k \in (S_+)^*$ s.t.

$$\pi(u_1 u_2 \dots u_k) - \pi(g) \in \text{Vect}(F).$$

(4) For each of those word $u_1 u_2 \dots u_k$, we have to decide if

$$g \stackrel{?}{\in} S_0^* u_1 S_0^* u_2 S_0^* \dots S_0^* u_k S_0^*$$

$$\iff g(u_1 \dots u_k)^{-1} \stackrel{?}{\in} S_0^* \cdot (u_1 S_0 u_1^{-1})^* \dots ((u_1 \dots u_k) S_0 (u_1 \dots u_k)^{-1})^*$$

This is an instance of the Rational Subset Membership in H !

- If $h([H, H]) < h([G, G])$, then 😊.

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- Suppose $h([H, H]) = h([G, G])$ (eg. if $H = G$),

Lemma (B.-Ciobanu-Metcalf)

Let H be a finitely generated nilpotent group and $S_0 \subset H$. TFAE

- (a) $\mathbf{0}$ lies in the interior of $\text{ConvHull}(\tau(S_0))$ where

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- (b) The submonoid S_0^* is a finite-index subgroup in H .

$h([H, H]) = h([G, G])$ implies $\tau = \pi|_H$ hence $\text{ConvHull}(\tau(S_0)) = F$. We conclude that S_0^* is a finite-index subgroup in H , hence

$$g(u_1 \dots u_k)^{-1} \stackrel{?}{\in} S_0^* \cdot (u_1 S_0 u_1^{-1})^* \dots ((u_1 \dots u_k) S_0 (u_1 \dots u_k)^{-1})^*$$

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Submonoid Membership $\not\equiv$ Rational Subset Membership

Corollary

There exist a group with decidable Submonoid Membership problem and undecidable Rational Subset Membership.

Proof.

Consider $G = N_{2,m} \times \mathbb{Z}^n$ with undecidable Rational Subset Membership and m minimum. We prove G has decidable Submonoid Membership.

Fact. All subgroups $H \leq G$ are virtually isomorphic to

$$N_{2,k} \times \mathbb{Z}^\ell \quad \text{with } k \leq m \text{ and } \ell \leq \binom{m}{2} - \binom{k}{2} + n.$$

By minimality of m , there exist a uniform(!) algorithm solving the Rational Subset Membership in all subgroups H with $h([H, H]) < h([G, G])$. \square

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Theorem

Rational Subset Membership is decidable in $H_3(\mathbb{Z})$.

Idea: Reduction to the Knapsack problem in $H_3(\mathbb{Z})$, which is solvable.

Corollary

Submonoid Membership is decidable in the filiform 3-step nilpotent group

$$E = \langle x, y_1, y_2, y_3 \mid [x, y_1] = y_2, [x, y_2] = y_3, [x, y_3] = [y_i, y_j] = 1 \rangle.$$

Conjecture

Rational Subset Membership is decidable in $H_{2m+1}(\mathbb{Z})$.

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