

Decoding Simultaneous Rational Evaluation Codes

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LIRMM





Motivation: Fault Tolerant Linear System Solving

Linear System Solving over an
Euclidean ring \mathbb{A}

($\mathbb{A} = \mathbb{Z}$ or $\mathbb{A} = \mathbb{F}_q[x]$):

- Given $A \in \mathbb{A}^{r \times \ell}$ and $\vec{b} \in \mathbb{A}^r$
- Find \vec{y} s.t. $A\vec{y} = \vec{b}$
($y = \left(\frac{f_1}{g}, \dots, \frac{f_\ell}{g}\right) \in \text{Frac}(\mathbb{A})^\ell$)

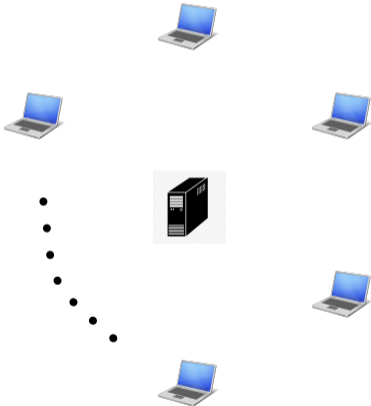


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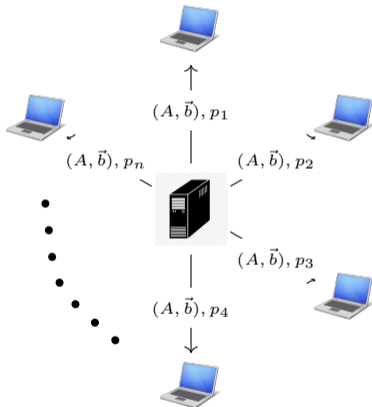
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$\mathbb{A} = \mathbb{Z}$: p_j 's distinct primes

$\mathbb{A} = \mathbb{F}_q[x]$: $p_j = x - \alpha_j$





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- Given $A \in \mathbb{A}^{r \times \ell}$ and $\vec{b} \in \mathbb{A}^r$ $A\vec{y} = \vec{b} \pmod{p_n}$
- Find \vec{y} s.t. $A\vec{y} = \vec{b}$
 $(y = (\frac{f_1}{g}, \dots, \frac{f_\ell}{g}) \in \text{Frac}(\mathbb{A})^\ell)$



$$A\vec{y} = \vec{b} \pmod{p_1}$$



$$A\vec{y} = \vec{b} \pmod{p_2}$$



$$A\vec{y} = \vec{b} \pmod{p_3}$$



$$A\vec{y} = \vec{b} \pmod{p_4}$$

$\mathbb{A} = \mathbb{Z} : p_j$'s distinct primes

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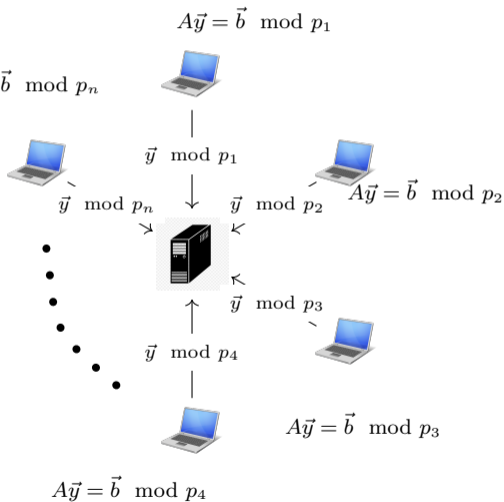
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Assumption: $\forall j = 1, \dots, n$
 $\frac{g(\alpha_j) \neq 0}{p_j \nmid g}$





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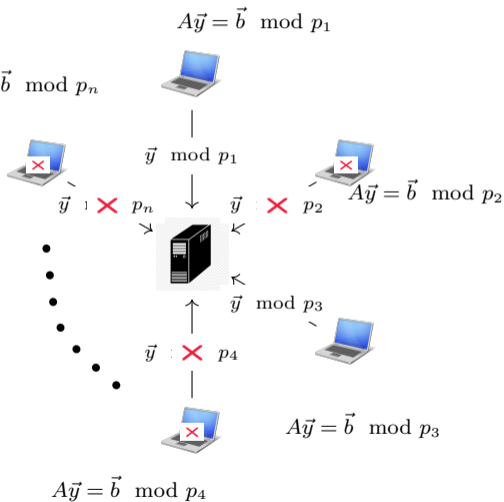
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Simultaneous Rational Reconstruction with Errors

$$\mathbb{A} = \mathbb{F}_q[x], \text{Frac}(\mathbb{A}) = \mathbb{F}_q(x)$$

SRFRwE

$$\circ \alpha_1, \dots, \alpha_n \in \mathbb{F}_q, M := \prod_{j=1}^n (x - \alpha_j)$$

$$\circ d_f, d_g, t \geq 0, \ell > 0$$

$$\circ \mathbf{R} = \begin{pmatrix} \vdots & \cdots & \vdots \\ \vec{r}_1 & \cdots & \vec{r}_n \\ \vdots & \cdots & \vdots \end{pmatrix} \in \mathbb{F}_q^{\ell \times n}$$

$$\star \text{ Find } \left(\frac{f_1}{g}, \dots, \frac{f_\ell}{g} \right) \in \mathbb{F}_q(x)^\ell \text{ s.t.}$$

$$\bullet \deg(f_i) < d_f, \deg(g) < d_g, \gcd(g, M) = 1$$

$$\bullet d_H(f_i(\alpha_j)/g(\alpha_j), \mathbf{R}) \leq t$$

$$\mathbb{A} = \mathbb{Z}, \text{Frac}(\mathbb{A}) = \mathbb{Q}$$

SRNRwE

$$\circ p_1, \dots, p_n \in \mathbb{Z}, N := \prod_{j=1}^n p_j$$

$$\circ F, G, d \geq 0, \ell > 0$$

$$\circ \mathbf{R} = \begin{pmatrix} \vdots & \cdots & \vdots \\ \vec{r}_1 & \cdots & \vec{r}_n \\ \vdots & \cdots & \vdots \end{pmatrix} \in \mathbb{Z}_{p_1}^\ell \times \dots \times \mathbb{Z}_{p_n}^\ell$$

$$\star \text{ Find } \left(\frac{f_1}{g}, \dots, \frac{f_\ell}{g} \right) \in \mathbb{Q}^\ell \text{ s.t.}$$

$$\bullet |f_i| < F, 0 < g < G, \gcd(g, N) = 1$$

$$\bullet d_w([f_i/g]_{p_j}, \mathbf{R}) \leq d$$



Codes come to help...

$\mathbb{A} = \mathbb{F}_q[x]$: Reed-Solomon codes

$$f \in \mathbb{F}_q[x]_{<k}$$

Encoding

$$\begin{array}{cc} / & \backslash \\ \text{mod } (x - \alpha_1) & \text{mod } (x - \alpha_n) \\ \downarrow & \downarrow \end{array}$$

$$\vec{c} = (f(\alpha_1), \dots, f(\alpha_n))$$

Channel

$$\vec{e} = (e_1, \dots, e_n)$$

$$\vec{r} = (r_1, \dots, r_n) \leftrightarrow R(x) \in \mathbb{F}_q[x]_{<n}$$

Decoding

$$f(x) \in \mathbb{F}_q[x]_{<k}$$

$\mathbb{A} = \mathbb{Z}$: Chinese Remainder codes

$$C \in [0, K)$$

$$\begin{array}{cc} / & \backslash \\ \text{mod } p_1 & \text{mod } p_n \\ \downarrow & \downarrow \end{array}$$

$$\vec{c} = ([C]_{p_1}, \dots, [C]_{p_n})$$

$$\vec{e} = (e_1, \dots, e_n)$$

$$\vec{r} = (r_1, \dots, r_n) \leftrightarrow R \in \mathbb{Z}_N$$

$$C \in [0, K)$$



Rational Evaluation Codes [P]¹

Field of fractions of $\mathbb{F}_q[x] : \mathbb{F}_q(x)$

RF Codes

$$\mathbb{F}_q(x)$$
$$\cup$$
$$\left\{ \frac{f}{g} : \deg(f) < d_f, \deg(g) < d_g, \gcd(g, M) = 1 \right\}$$

$$\vec{c} = \left(\frac{f}{g}(\alpha_1), \dots, \frac{f}{g}(\alpha_n) \right)$$

Field of fractions of $\mathbb{Z} : \mathbb{Q}$

RN Codes

$$\mathbb{Q}$$
$$\cup$$
$$\left\{ \frac{f}{g} : |f| < F, 0 < g < G, \gcd(g, N) = 1 \right\}$$

$$\vec{c} = ([f/g]_{p_1}, \dots, [f/g]_{p_n})$$

¹Pernet, Clément. High performance and reliable algebraic computing. Diss. Université Joseph Fourier, Grenoble 1, 2014.



Simultaneous Rational Evaluation Codes

SRF Codes

$$\mathbb{F}_q(x)_{\cup}^{\ell}$$

$$\left\{ \left(\frac{f_i}{g} \right)_{1 \leq i \leq \ell} : \begin{array}{l} \deg(f_i) < d_f, \deg(g) < d_g \\ \gcd(g, M) = 1 \end{array} \right\}$$



$$\mathbf{C} = \begin{pmatrix} \frac{f_1}{g}(\alpha_1) & \cdots & \frac{f_1}{g}(\alpha_n) \\ \vdots & \vdots & \vdots \\ \frac{f_\ell}{g}(\alpha_1) & \cdots & \frac{f_\ell}{g}(\alpha_n) \end{pmatrix}$$

SRN Codes

$$\mathbb{Q}_{\cup}^{\ell}$$

$$\left\{ \left(\frac{f_i}{g} \right)_{1 \leq i \leq \ell} : \begin{array}{l} |f_i| < F, 0 < g < G, \\ \gcd(g, N) = 1 \end{array} \right\}$$



$$\mathbf{C} = \begin{pmatrix} [f_1/g]_{p_1} & \cdots & [f_1/g]_{p_n} \\ \vdots & \vdots & \vdots \\ [f_\ell/g]_{p_1} & \cdots & [f_\ell/g]_{p_n} \end{pmatrix}$$



Polyalphabetic code? Different metric!

SRF code metric in $\mathbb{F}_q^{\ell \times n}$

$$\mathbf{C} = \begin{pmatrix} \vdots & \cdots & \vdots \\ \vec{f}_g(\alpha_1) & \cdots & \vec{f}_g(\alpha_n) \\ \vdots & \cdots & \vdots \end{pmatrix} \rightsquigarrow \begin{pmatrix} \vdots & \cdots & \vdots \\ \vec{r}_1 & \cdots & \vec{r}_n \\ \vdots & \cdots & \vdots \end{pmatrix} = \mathbf{R}$$

$$\xi := \left\{ j : \vec{r}_j \neq \vec{f}_g(\alpha_j) \right\} \text{ (Error Support)}$$

$$\Lambda := \prod_{j \in \xi} (x - \alpha_j) \text{ (Error Locator)}$$

$$d_H(\mathbf{R}, \mathbf{C}) := \#\xi = \deg(\Lambda) \text{ (Hamming Distance)}$$

$$d_{\min}(SRF_{\ell}(M, d_f, d_g)) = n - d_f - d_g + 2$$

$$d_H(\mathbf{R}, \mathbf{C}) \leq \lfloor \frac{d_{\min} - 1}{2} \rfloor := d_u \Rightarrow \text{Unique Solution}$$

SRN code metric in $\mathbb{Z}_{p_1}^{\ell} \times \dots \times \mathbb{Z}_{p_n}^{\ell}$

$$\mathbf{C} = \begin{pmatrix} \vdots & \cdots & \vdots \\ \left[\frac{f}{g} \right]_{p_1} & \cdots & \left[\frac{f}{g} \right]_{p_n} \\ \vdots & \cdots & \vdots \end{pmatrix} \rightsquigarrow \begin{pmatrix} \vdots & \cdots & \vdots \\ \vec{r}_1 & \cdots & \vec{r}_n \\ \vdots & \cdots & \vdots \end{pmatrix} = \mathbf{R}$$

$$\xi := \left\{ j : \vec{r}_j \neq \left[\frac{f}{g} \right]_{p_j} \right\} \text{ (Error Support)}$$

$$\Lambda := \prod_{j \in \xi} p_j \text{ (Error Locator)}$$

$$d_w(\mathbf{R}, \mathbf{C}) := \log_2(\Lambda) \text{ (Weighted Distance)}$$

$$d_{\min}(SRN_{\ell}(N, F, G)) \gtrsim \log_2 \left(\frac{N}{2FG} \right)$$

$$d_w(\mathbf{R}, \mathbf{C}) \leq \log_2 \left(\sqrt{\frac{N}{2FG}} \right) \Rightarrow \text{Unique Solution}$$



Idea of the Decoding beyond Unicity

SRF/SRN code

$$\begin{cases} \varphi = \Lambda g \\ \psi_i = \Lambda f_i \end{cases}$$

$$(M(x) := \prod_{j=1}^n (x - \alpha_j))$$

$$(N := \prod_{j=1}^n p_j)$$

Linearize

$$\Lambda g R_i = \Lambda f_i \pmod{M(x) \text{ or } N} \quad \Downarrow \quad \varphi R_i = \psi_i \pmod{M(x) \text{ or } N}$$

$$S_{R,t} := \{(\varphi, \psi_1, \dots, \psi_\ell) \in \mathbb{F}_q[x]^{\ell+1} : \varphi R_i = \psi_i \pmod{M(x)}, \deg(\varphi) < d_g + t, \deg(\psi_i) < d_f + t\}$$

$$S_{R,d} := \{(\varphi, \psi_1, \dots, \psi_\ell) \in \mathbb{Z}^{\ell+1} : \varphi R_i = \psi_i \pmod{N}, 0 < \varphi < 2^d G, |\psi_i| < 2^d F\}$$



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SRF/SRN code

$$\begin{cases} \varphi = \Lambda g \\ \psi_i = \Lambda f_i \end{cases}$$

$$\begin{aligned} (M(x) &:= \prod_{j=1}^n (x - \alpha_j)) \\ (N &:= \prod_{j=1}^n p_j) \end{aligned}$$

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Decoding Algorithm SRN Codes:

Input: Received matrix \mathbf{R} , distance bound d

Output: Code word \mathbf{C} s.t. $d(\mathbf{R}, \mathbf{C}) \leq d$ or "Decoding Failure"

1. Compute $v_s = (\varphi, \psi_1, \dots, \psi_\ell) \in S_{R,d}$ (β -Approx-SVP)

2. if $v_s = v_C := (\Lambda g, \Lambda f_1, \dots, \Lambda f_\ell)$:

$$\text{return } \left(\frac{\psi_1}{\varphi}, \dots, \frac{\psi_\ell}{\varphi} \right)$$

3. else

return "Decoding failure"

Goal: Decode for $t > \lfloor \frac{n-d_f-d_g+1}{2} \rfloor$

$$\bullet \mathbb{P}_f \leq \mathbb{P}(S_{R,t} \not\subseteq v_C \mathbb{F}_q[x])$$

Goal: Decode for $d > \log_2 \left(\sqrt{\frac{N}{2FG}} \right)$

$$\bullet \mathbb{P}_f \leq \mathbb{P}(S_{R,d} \not\subseteq v_C \mathbb{Z})$$



Interleaving

Burst Errors Channels

$\dots | c_{1,1}, c_{1,2}, c_{1,3}, c_{1,4}, c_{1,5} | c_{2,1}, c_{2,2}, c_{2,3}, c_{2,4}, c_{2,5} | c_{3,1}, c_{3,2}, c_{3,3}, c_{3,4}, c_{3,5} | \dots$



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Burst Errors Channels (length bursts $\ell \approx 3$)

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$\dots | c_{1,1}$



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$\dots | c_{1,1}, c_{2,1}$



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$\dots | c_{1,1}, c_{2,1}, c_{3,1}, c_{1,2}$



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$$I_\ell(\mathcal{C}) = \left\{ \left(\begin{array}{ccc} \dots & \vec{c}_1 & \dots \\ \dots & \vec{c}_2 & \dots \\ & \vdots & \\ \dots & \vec{c}_\ell & \dots \end{array} \right) : \vec{c}_i \in \mathcal{C} \right\}$$



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- Localized errors on common coordinates
- Increases the decoding radius beyond d_u
- Involves a failure probability analysis



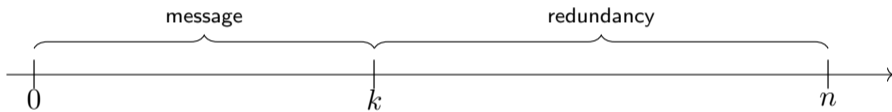
The power of Interleaving

k bits message $\xrightarrow{n - k \text{ redundant bits}}$ n bits codeword



The power of Interleaving

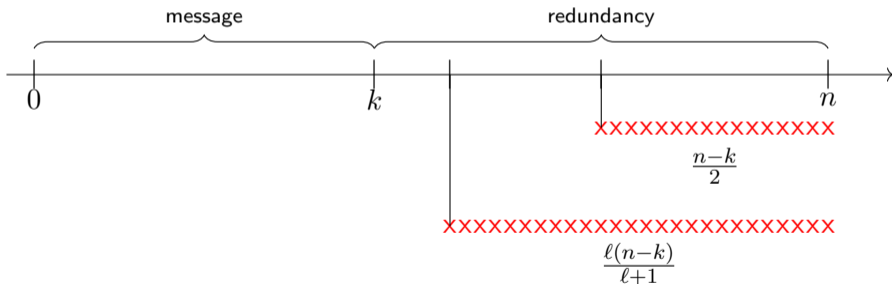
k bits message $\xrightarrow{n - k \text{ redundant bits}}$ n bits codeword





The power of Interleaving

k bits message $\xrightarrow{n - k \text{ redundant bits}}$ n bits codeword





Distributions Received Codewords: *SRF* codes

Error Model I: $D_{C,\xi}^I := \{\mathbf{R} = \mathbf{C} + \mathbf{E}\}$

$$\mathbf{E} = \begin{pmatrix} \vdots & & \vdots \\ \vec{E}_1 & \cdots & \vec{E}_n \\ \vdots & & \vdots \end{pmatrix} \text{ Fix error support } \xi \subseteq \{1, \dots, n\}, \#\xi = t$$
$$\Lambda = \prod_{j \in \xi} (x - \alpha_j) \quad \vec{E}_j = \begin{cases} \vec{0} & \text{if } j \notin \xi \\ \sim \mathcal{U}(\mathbb{F}_q^\ell \setminus \{\vec{0}\}) & \text{if } j \in \xi \end{cases}$$

Error Model II: $D_{C,\xi_r}^{II} := \{\mathbf{R} = \mathbf{C} + \mathbf{E}\}$

$$\mathbf{E} = \begin{pmatrix} \vdots & & \vdots \\ \vec{E}_1 & \cdots & \vec{E}_n \\ \vdots & & \vdots \end{pmatrix} \text{ Fix maximal error support } \xi_r \subseteq \{1, \dots, n\}, \#\xi_r = t$$
$$\Lambda_r = \prod_{j \in \xi_r} (x - \alpha_j) \quad \vec{E}_j = \begin{cases} \vec{0} & \text{if } j \notin \xi_r \\ \sim \mathcal{U}(\mathbb{F}_q^\ell) & \text{if } j \in \xi_r \end{cases}$$



Distributions Received Codewords: *SRN* codes

Error Model I: $D_{C,\xi}^I := \{\mathbf{R} = \mathbf{C} + \mathbf{E}\}$

$$\mathbf{E} = \begin{pmatrix} \vdots & & \vdots \\ \vec{E}_1 & \cdots & \vec{E}_n \\ \vdots & & \vdots \end{pmatrix} \text{ Fix error support } \xi \subseteq \{1, \dots, n\}, \#\xi = t$$
$$\Lambda = \prod_{j \in \xi} p_j \quad \vec{E}_j = \begin{cases} \vec{0} & \text{if } j \notin \xi \\ \sim \mathcal{U}(\mathbb{Z}_{p_j}^\ell \setminus \{\vec{0}\}) & \text{if } j \in \xi \end{cases}$$

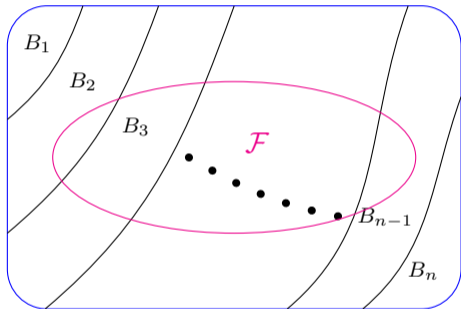
Error Model II: $D_{C,\xi_r}^{II} := \{\mathbf{R} = \mathbf{C} + \mathbf{E}\}$

$$\mathbf{E} = \begin{pmatrix} \vdots & & \vdots \\ \vec{E}_1 & \cdots & \vec{E}_n \\ \vdots & & \vdots \end{pmatrix} \text{ Fix maximal error support } \xi_r \subseteq \{1, \dots, n\}, \#\xi_r = t$$
$$\Lambda_r = \prod_{j \in \xi_r} p_j \quad \vec{E}_j = \begin{cases} \vec{0} & \text{if } j \notin \xi_r \\ \sim \mathcal{U}(\mathbb{Z}_{p_j}^\ell) & \text{if } j \in \xi_r \end{cases}$$



From Model I to Model II (Law of Total Probability)

Ω



$$\mathbb{P}(\mathcal{F}) = \sum_{i=1}^n \mathbb{P}(\mathcal{F}|B_i)\mathbb{P}(B_i)$$

$$\mathbb{P}_{\xi_r}^{II}(\mathcal{F}) = \sum_{\xi \subseteq \xi_r} \overbrace{\mathbb{P}_{\xi_r}^{II}(\mathcal{F}|\xi_{\mathbf{E}} = \xi)}^{\mathbb{P}_{\xi}^I(\mathcal{F})} \mathbb{P}_{\xi_r}^{II}(\xi_{\mathbf{E}} = \xi)$$

↑
No \mathcal{F}



Our Results (SRNRwE unicity)

$$d_{max} := \frac{\ell}{\ell+1} \left[\log_2 \left(\frac{N}{2FG} \right) - \log_2(3\beta) \right]$$

Model I: $\mathbf{R} \in D_{C,\xi}^I, \log_2 \left(\prod_{p \in \xi} p \right) \leq d \leq d_{max} \implies \mathbb{P}_f \leq 2^{-(\ell+1)(d_{max}-d)} \exp \left(\frac{n}{p_1^\ell} \right)$

Model II: $\mathbf{R} \in D_{C,\xi_r}^{II}, \log_2 \left(\prod_{p \in \xi_r} p \right) \leq d \leq d_{max} \implies \mathbb{P}_f \leq 2^{-(\ell+1)(d_{max}-d)}$

Improves
& [AAGL]²
Generalizes

²Abbondati, Matteo, et al. "Probabilistic Analysis of LLL-based Decoder of Interleaved Chinese Remainder Codes." ITW 2023-IEEE Information Theory Workshop. 2023.



Our Results (SRFRwE unicity)

$$t_{max} := \frac{\ell}{\ell+1} [n - d_f - d_g + 1]$$

Model I: $\mathbf{R} \in D_{\mathbf{C}, \xi}^I, t := \#\xi \leq t_{max} \Rightarrow \mathbb{P}_f \leq \left(\frac{q^\ell - \frac{1}{q}}{q^\ell - 1} \right)^t \frac{q^{-(\ell+1)(t_{max}-t)}}{q-1}$ $d_g = 1$
(no fractions) [SSB]³

Model II: $\mathbf{R} \in D_{\mathbf{C}, \xi_r}^{II}, t := \#\xi_r \leq t_{max} \Rightarrow \mathbb{P}_f \leq \frac{q^{-(\ell+1)(t_{max}-t)}}{q-1}$ $\mathbb{P}_f \leq \frac{d_g+t}{q}$ [GLZ]⁴

³Schmidt, Georg, Vladimir R. Sidorenko, and Martin Bossert. "Collaborative decoding of interleaved Reed–Solomon codes and concatenated code designs." IEEE Transactions on Information Theory 55.7 (2009): 2991-3012.

⁴Guerrini, E., Lebreton, R., & Zappatore, I. (2020). Enhancing simultaneous rational function recovery: adaptive error correction capability and new bounds for applications. arXiv preprint arXiv:2003.01793.



Thank you for your attention!