

Algorithms for fundamental invariants and equivariants of a finite group

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Joint work with **Erick Rodriguez Bazan**

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Dedicated to the memory of Karin Gatermann

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Fundamental invariants and equivariants

- 1 Equivariance expresses symmetry
- 2 Fundamental equivariants
- 3 Symmetry adapted basis
- 4 Simultaneous computation of invariants and equivariants

The group of order 6 with generators s_1 and s_2 and relationships

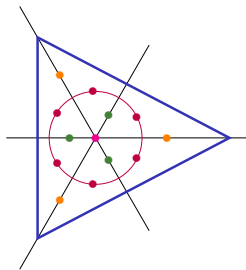
$$s_1^2 = s_2^2 = (s_1 s_2)^3 = 1.$$

\mathfrak{D}_3 : the group of symmetry of the triangle

$$\tau(s_1) = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}, \quad \tau(s_2) = \begin{bmatrix} \frac{1}{2} & \frac{\sqrt{3}}{2} \\ \frac{\sqrt{3}}{2} & \frac{1}{2} \end{bmatrix}$$

\mathfrak{S}_3 : the group of coordinate permutations in \mathbb{R}^3

$$\rho(s_1) = \begin{bmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 1 \end{bmatrix}, \quad \rho(s_2) = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{bmatrix}$$



- The **ρ -invariants** of the representation of \mathfrak{S}_3 by permutation of coordinates are the polynomials $h \in \mathbb{R}[x, y, z]$ s.t. $h \circ \rho(s) = h$.

$$h_1 = x + y + z, \quad h_2 = yz + zx + xy, \quad h_3 = xyz$$

generate the **invariant ring** $\mathbb{R}[x]^{\mathfrak{S}_3} = \mathbb{R}[h_1, h_2, h_3]$

- The **$(\rho:\tau)$ -equivariants** are the row vectors of polynomials

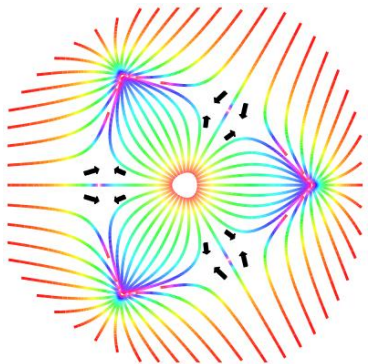
$$q = [q_1 \quad q_2] \quad \text{s.t.} \quad q \circ \rho(s) = q \tau(s)$$

They are *generated by* $q_1 = [\sqrt{3}(x+y-2z) \quad 3(y-x)]$,

$$q_2 = [\sqrt{3}(2z(z-x-y)-y^2-x^2x+4xy) \quad 3(x-y)(x+y-2z)]$$

to form the **$\mathbb{R}[x]^{\mathfrak{S}_3}$ -module** $\mathbb{R}[x]_{\tau}^{\mathfrak{S}_3}$

$$\mathbb{R}[x]_{\tau}^{\mathfrak{S}_3} = \mathbb{R}[x]^{\mathfrak{S}_3} q_1 \oplus \mathbb{R}[x]^{\mathfrak{S}_3} q_2$$



Noonburg's Neural Network model

$$\begin{aligned}\dot{x}_1 &= 1 - x_1(c + x_2^2 + x_3^2) \\ \dot{x}_2 &= 1 - x_2(c + x_3^2 + x_1^2) \\ \dot{x}_3 &= 1 - x_3(c + x_1^2 + x_2^2)\end{aligned}$$

[Gatermann, ISSAC 90]

$$\left[\frac{dx_1}{dt} \quad \frac{dx_2}{dt} \right] = [p_1(x_1, x_2) \quad p_2(x_1, x_2)]$$

$$p(x \cdot \tau(g)) = p(x) \cdot \tau(g)$$

Central configurations of N-bodies

$$\sum_{j \neq i} \frac{r_i - r_j}{|r_i - r_j|} = \lambda r_i, \quad 1 \leq i \leq N$$

2D case [Faugeres Svarz, ISSAC 12]

Symmetry adapted bases

Sum of squares

[Gatermann & Parillo 06]

$$x^2 + y^2 + z^2 - (yz + zx + xy) = \frac{3}{4} (y - z)^2 + \frac{1}{4} (2x - y - z)^2$$

In a **symmetry adapted basis** the Gram/moment matrix is block diagonal, with some blocks repeated.

Global optimization [Gatermann Parillo], [Riener *et al.*] Approximation theory [Rodriguez H.], [Collowald H.] Combinatorics [Stanley], Cryptography, ... as well as Physics, chemistry [Fässler Stiefels], [Muggli], [Cassam Chennai *et al.*], ...

Computing higher degree **symmetry adapted bases** is a major motivation for the results presented today.

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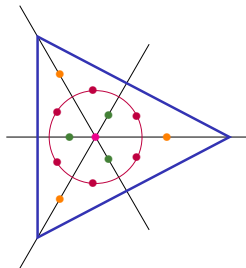
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irreducible



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$\mathbb{R} \begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix}$ and its orthogonal complement are invariant: reducible

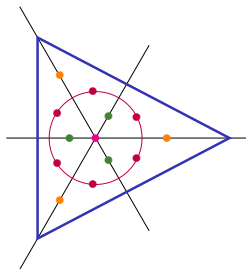
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$\mathbb{R} \begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix}$ and its orthogonal complement are invariant: reducible

A finite group has finitely many irreducible representations.

Fundamental invariants and equivariants

Consider a finite group \mathfrak{G} and a representation $\rho : \mathfrak{G} \rightarrow \mathrm{GL}_n(\mathbb{R})$

- $\tau^{(1)}, \dots, \tau^{(t)}$ the irreducible representations of \mathfrak{G} :

$$\tau^{(1)}(g) = [1] \quad \tau^{(\ell)} : \mathfrak{G} \rightarrow \mathrm{GL}_{n_\ell}(\mathbb{C})$$

- A $\tau^{(\ell)}$ -equivariant is a row vector $q = [q_1 \ \dots \ q_{n_\ell}] \in \mathbb{R}[x]^{n_\ell}$ s.t.

$$q(\rho(g)x) = q(x) \tau^{(\ell)}(g) \quad \text{for all } g \in \mathfrak{G}$$

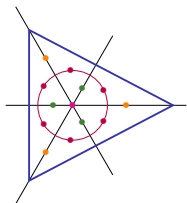
$\tau^{(\ell)}$ -equivariants form the module $\mathbb{C}[x]_{\tau^{(\ell)}}^{\mathfrak{G}}$

The **fundamental invariants and equivariants** consist of

- the generators of the ring $\mathbb{C}[x]^{\mathfrak{G}}$
- the generators of the $\mathbb{C}[x]^{\mathfrak{G}}$ -modules $\mathbb{C}[x]_{\tau^{(\ell)}}^{\mathfrak{G}}$, $2 \leq \ell \leq t$

Fundamental invariants and equivariants of \mathfrak{S}_3

$$\varrho : \mathfrak{S}_3 \rightarrow \mathrm{GL}_3(\mathbb{R}) \quad \text{s.t.} \quad \rho(s_1) = \begin{bmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 1 \end{bmatrix}, \quad \rho(s_2) = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{bmatrix}$$



	s_1	s_2
$\tau^{(1)}$	$\begin{bmatrix} 1 \end{bmatrix}$	$\begin{bmatrix} 1 \end{bmatrix}$
$\tau^{(2)}$	$\begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}$	$\frac{1}{2} \begin{bmatrix} 1 & \sqrt{3} \\ \sqrt{3} & 1 \end{bmatrix}$
$\tau^{(3)}$	$\begin{bmatrix} -1 \end{bmatrix}$	$\begin{bmatrix} -1 \end{bmatrix}$

Fundamental invariants and equivariants:

- $\mathbb{C}[x]^{\mathfrak{S}_3} = \mathbb{C}[x + y + z, yz + zx + xy, xyz]$
- $\mathbb{C}[x]_{\tau^{(2)}}^{\mathfrak{S}_3} = \mathbb{C}[x]^{\mathfrak{S}_3} q_1 \oplus \mathbb{C}[x]^{\mathfrak{S}_3} q_2$ where
 $q_1 = [\sqrt{3}(x+y-2z) \quad 3(y-x)]$,
 and $q_2 = [\sqrt{3}(2z(z-x-y)-y^2-x^2x+4xy) \quad 3(x-y)(x+y-2z)]$
- $\mathbb{C}[x]_{\tau^{(3)}}^{\mathfrak{S}_3} = \mathbb{C}[x]^{\mathfrak{S}_3} (y-z)(z-x)(x-y)$

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Symmetry adapted bases

$\varrho : \mathfrak{G} \rightarrow \text{GL}_n(\mathbb{R})$ $\tau^{(1)}, \dots, \tau^{(t)}$ the irreducible representations of \mathfrak{G}

$$\varrho(g) = Q \begin{bmatrix} I_{m_1} \otimes \tau^{(1)}(g) & & \\ & \dots & \\ & & I_{m_t} \otimes \tau^{(t)}(g) \end{bmatrix} Q^{-1}$$

$$Q = \bigcup_{\ell=1}^t Q^{(\ell)} \text{ with } Q^{(\ell)} = \left\{ \left[q_{i1}^{(\ell)}, \dots, q_{in_\ell}^{(\ell)} \right] \mid 1 \leq i \leq m_\ell \right\}$$

Symmetry adapted bases

$\varrho : \mathfrak{G} \rightarrow \text{GL}_n(\mathbb{R})$ $\tau^{(1)}, \dots, \tau^{(t)}$ the irreducible representations of \mathfrak{G}

$$\varrho(g) = Q \begin{bmatrix} I_{m_1} \otimes \tau^{(1)}(g) & & \\ & \dots & \\ & & I_{m_t} \otimes \tau^{(t)}(g) \end{bmatrix} Q^{-1} = P \begin{bmatrix} \tau^{(1)}(g) \otimes I_{m_1} & & \\ & \dots & \\ & & \tau^{(t)}(g) \otimes I_{m_t} \end{bmatrix} P^{-1}$$

$$\mathcal{Q} = \bigcup_{\ell=1}^t \mathcal{Q}^{(\ell)} \text{ with } \mathcal{Q}^{(\ell)} = \left\{ \left[q_{i1}^{(\ell)}, \dots, q_{in_\ell}^{(\ell)} \right] \mid 1 \leq i \leq m_\ell \right\}$$

P provides a symmetry adapted basis.

It can be computed thanks to

$$\pi_{ij}^{(\ell)} = \sum_{g \in \mathfrak{G}} \tau_{ij}^{(\ell)}(g^{-1}) \varrho(g)$$

- $q_{11}^{(\ell)}, \dots, q_{m_\ell 1}^{(\ell)}$ a basis of $\pi_{11}^{(\ell)}(\mathbb{R}^n)$
- $q_{ij}^{(\ell)} = \pi_{j1}^{(\ell)}(q_{i1}^{(\ell)})$

Block diagonalisation of equivariant linear maps

Equivariant linear map

$$\begin{array}{l} \Phi : U \rightarrow V \\ \mu : \mathfrak{G} \rightarrow \mathrm{GL}(U) \\ \nu : \mathfrak{G} \rightarrow \mathrm{GL}(V) \end{array} \quad \Phi(\mu(g)u) = \nu(g)\Phi(u)$$

In s.a.b. of U and V the matrix of Φ is

$$\mathrm{diag}(\mathrm{I}_{n_\ell} \otimes \Phi^{(\ell)} \mid 1 \leq \ell \leq t) = \left[\begin{array}{cccc} \Phi^{(1)} & & & \\ & \ddots & & \\ & & \ddots & \\ & & & \Phi^{(t)} \\ & & & & \ddots \\ & & & & & \Phi^{(t)} \end{array} \right]$$

Symmetry adapted bases of $\mathbb{C}[\mathbf{x}]$ and basic equivariants

$$\varrho : \mathfrak{G} \rightarrow \mathrm{GL}_n(\mathbb{C})$$

$$\mathbb{C}[\mathbf{x}] = \mathbb{C}[x_1, \dots, x_n]$$

$$\rho : \mathfrak{G} \rightarrow \mathrm{GL}_n(\mathbb{C}[\mathbf{x}]_d) \quad \rho(g)(f) = f \circ \varrho(g^{-1})$$

$$\mathbb{C}[\mathbf{x}]_d = \mathbb{C}[\mathbf{x}]_d^{(1)} \oplus \dots \oplus \mathbb{C}[\mathbf{x}]_d^{(t)}$$

$\mathbb{C}[\mathbf{x}]_d^{(\ell)}$ spanned by the components of $q_1^{(\ell)}, \dots, q_{m_\ell}^{(\ell)}$ where $q_k^{(\ell)} \in \mathbb{C}[\mathbf{x}]_{\tau^{(\ell)}}^{\mathfrak{G}}$

$\mathbb{C}[\mathbf{x}]^{\mathfrak{G}}$ -combination of generators of $\mathbb{C}[\mathbf{x}]_{\tau^{(2)}}^{\mathfrak{G}}, \dots, \mathbb{C}[\mathbf{x}]_{\tau^{(t)}}^{\mathfrak{G}}$ provide **s.a.b.** of $\mathbb{C}[\mathbf{x}]$

Our contributions: Fundamental invariants and equivariants

From a s.a.b of $\mathbb{C}[\mathbf{x}]_{\leq d}$ compute minimal generators for $\mathbb{C}[\mathbf{x}]^{\mathfrak{G}}$ and $\mathbb{C}[\mathbf{x}]_{\tau^{(\ell)}}^{\mathfrak{G}}$

and these provide generators of $\mathbb{C}[\mathbf{x}]_{\tau}^{\mathfrak{G}}$ for any representation τ .

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Previously for finite, or compact, groups

Computing invariants: with the Reynolds operator: $\pi^{(1)} : \mathbb{C}[x] \rightarrow \mathbb{C}[x]^{\mathfrak{G}}$

$$\pi^{(1)}(f) = \frac{1}{|\mathfrak{G}|} \sum_{g \in \mathfrak{G}} f \circ \rho(g)$$

- Using the Hilbert series of the invariant ring, known from Molien's formula, for termination [\[Sturmfels 93\]](#), [\[Gattermann 00\]](#)
- Computing a homogeneous system of parameters and then using the Hilbert series of the invariant ring [\[Sturmfels 93\]](#), [\[Derksen & Kemper 02\]](#)
- Using the Hilbert ideal [\[Derksen 99\]](#), [\[King 13\]](#)

Computing equivariants: the module of $(\rho : \tau)$ -equivariants can be identified to a submodule of the ring of $(\rho \oplus \tau)$ -invariants [\[Gattermann 00\]](#)

- Reflection groups : ideal interpolation along an orbit

The invariants are read on a H-basis of the ideal J of a generic orbit.

The equivariants on the s.a.b. of the least interpolation space (a.k.a. the orthogonal complement of J^0) [\[Rodriguez Bazan & H, JSC 23\]](#)

- Free module generators over primary invariants

from the s.a.b. of an invariant complement of the ideal generated by the primary invariants [\[Rodriguez Bazan & H, JSC 21\]](#)

- Minimal set of generating invariants and equivariants

Computing invariants and equivariants degree by degree.

Constructing

- the H-basis of the Hilbert ideal N
- a s.a.b. of its orthogonal complement.

Hilbert ideal and covariant algebra : the key concepts

$$\varrho : \mathfrak{G} \rightarrow \mathrm{GL}_n(\mathbb{C}) \quad \rho : \mathfrak{G} \rightarrow \mathrm{GL}_n(\mathbb{C})$$

Hilbert ideal: $N = \langle h \mid h \in \mathbb{C}[x]^{\mathfrak{G}} \setminus \mathbb{C} \rangle$

[Hilbert 1890] h_1, \dots, h_k generate $\mathbb{C}[x]^{\mathfrak{G}}$ as a ring iff $N = \langle h_1, \dots, h_k \rangle$

Covariant algebra: $\mathbb{C}[x]/N$ a \mathbb{C} -vector space

\mathfrak{G} finite $\Rightarrow \mathbb{C}[x]/N$ finite dimensional \mathbb{C} -vector space

If $\mathbb{C}[x] = N \oplus Q$ then $Q \cong \mathbb{C}[x]/N$.

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Covariant algebra: $\mathbb{C}[x]/N$ a \mathbb{C} -vector space

\mathfrak{G} finite $\Rightarrow \mathbb{C}[x]/N$ finite dimensional \mathbb{C} -vector space

If $\mathbb{C}[x] = N \oplus Q$ then $Q \cong \mathbb{C}[x]/N$. Choose Q invariant

$$Q = \bigcup_{\ell=1}^t Q^{(\ell)} \text{ with } Q^{(\ell)} = \left\{ \left[q_{i1}^{(\ell)}, \dots, q_{in_{\ell}}^{(\ell)} \right] \mid 1 \leq i \leq m_{\ell} \right\} \text{ s.a.b. of } Q$$

[Nakayama] and consequence

$$\mathbb{C}[x] = \mathbb{C}[x]^{\mathfrak{G}} \oplus \bigoplus_{\ell=2}^t \bigoplus_{j=1}^{n_{\ell}} \sum_{i=1}^{m_{\ell}} \mathbb{C}[x]^{\mathfrak{G}} q_{ij}^{(\ell)}$$

$\Rightarrow Q^{(\ell)}$ is a basis of $\mathbb{C}[x]_{\tau(\ell)}^{\mathfrak{G}}$ as a $\mathbb{C}[x]^{\mathfrak{G}}$ -module.

Basic ideas of the algorithm

Compute degree by degree

- an orthogonal H -basis H of the Hilbert ideal $N = \langle h \mid h \in \mathbb{C}[x]^{\mathfrak{G}} \setminus \mathbb{C} \rangle$
- a s.a.b. $Q = \bigcup_{\ell} Q^{(\ell)}$ of the orthogonal complement of N in $\mathbb{C}[x]$

Then

- $H = \{h_1, \dots, h_k\}$ is a minimal generating set of invariants
- $Q^{(\ell)}$ is a basis of $\mathbb{C}[x]_{\tau(\ell)}^{\mathfrak{G}}$ as a $\mathbb{C}[x]^{\mathfrak{G}}$ -module .

Basically

- $\mathbb{C}[x]_d = \Psi_d(H_{d-1}) \overset{\perp}{\oplus} \langle K_d \rangle_{\mathbb{C}} \overset{\perp}{\oplus} \langle R_d \rangle_{\mathbb{C}}$
 $\Psi_d(H) = \sum_{h \in H} \langle p h \mid \deg(p) + \deg(h) = d \rangle$
- $H_d \leftarrow H_{d-1} \cup K_d; \quad Q_d \leftarrow Q_{d-1} \cup R_d.$

taking into account the $\rho - \tau_d$ equivariance of Ψ

Algorithm

[H. & Rodriguez Bazan]

$d := 0; R_0^{(1)} = \{1\};$

do $d \leftarrow d + 1$

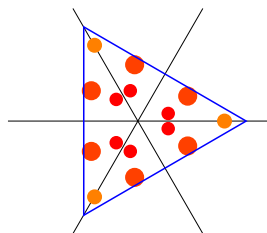
- $\mathbb{C}[x]_d^{(1)} = \psi_d^{(1)}(H_{d-1}) \overset{\perp}{\oplus} \langle K_d \rangle_{\mathbb{C}}$
- $\mathbb{C}[x]_d^{(\ell,1)} = \psi_d^{(\ell,1)}(H_{d-1}) \overset{\perp}{\oplus} \langle R_d^{(\ell,1)} \rangle_{\mathbb{C}} \stackrel{\text{lemma}}{=} \psi_d^{(1)}(Q_{d-1}^{(\ell,1)}) \overset{\perp}{\oplus} \langle R_d^{(\ell,1)} \rangle_{\mathbb{C}}$
- $H_d \leftarrow H_{d-1} \cup K_d, \quad Q_d^{(\ell)} \leftarrow Q_{d-1}^{(\ell)} \cup R_d^{(\ell)}$

until $\bigcup_{\ell=1}^t R_d^{(\ell)} = \emptyset$ i.e. $\langle H_d \rangle \cap \mathbb{C}[x]_d = \mathbb{C}[x]_d$

Output:

- $H = \{h_1, \dots, h_k\}$ is a minimal generating set of invariants
- $Q^{(\ell)}$ is a minimal basis of $\mathbb{C}[x]_{\tau^{(\ell)}}^{\mathfrak{G}}$ as a $\mathbb{C}[x]^{\mathfrak{G}}$ -module

Reflection symmetry \mathfrak{D}_3



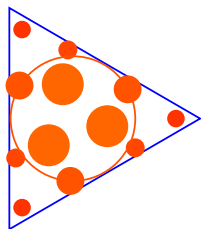
	s_1	s_2
$\tau^{(1)}$	$[1]$	$[1]$
$\tau^{(2)}$	$\begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}$	$\frac{1}{2} \begin{bmatrix} 1 & \sqrt{3} \\ \sqrt{3} & 1 \end{bmatrix}$
$\tau^{(3)}$	$[-1]$	$[-1]$

$$H = \{x^2 + y^2, x(x^2 - 3y^2)\}$$

$$Q^{(1)} = \{1\}, \quad Q^{(2)} = \{[x, y], [y^2 - x^2, 2xy]\}, \quad Q^{(3)} = \{y(y^2 - 3x^2)\}$$

$$\mathbb{R}[x, y]^{\mathfrak{D}_3} = \mathbb{R}[x^2 + y^2, x(x^2 - 3y^2)], \quad \mathbb{R}[x, y]_{\tau^{(3)}}^{\mathfrak{D}_3} = y(y^2 - 3x^2) \mathbb{R}[x, y]^{\mathfrak{D}_3}$$

$$\mathbb{R}[x, y]_{\tau^{(2)}}^{\mathfrak{D}_3} = [x, y] \mathbb{R}[x, y]^{\mathfrak{D}_3} \oplus [y^2 - x^2, 2xy] \mathbb{R}[x, y]^{\mathfrak{D}_3}$$



	g
$\tau^{(1)}$	$[1]$
$\tau^{(2)}$	$[e^{i\frac{2\pi}{3}}]$
$\tau^{(3)}$	$[e^{-i\frac{2\pi}{3}}]$
$\tau^{(2)} \oplus \tau^{(3)}$	$\frac{1}{2} \begin{bmatrix} -1 & -\sqrt{3} \\ \sqrt{3} & -1 \end{bmatrix}$

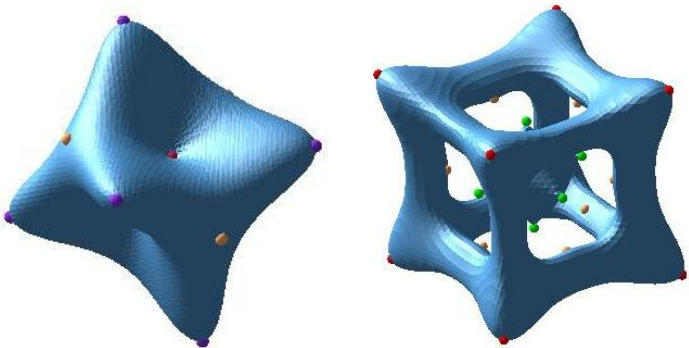
$$H = \{x^2 + y^2, x(x^2 - 3y^2), y(y^2 - 3x^2)\}$$

$$Q^{(1)} = \{1\}, \quad Q^{(2+3)} = \{[x, y], [y^2 - x^2, 2xy]\}$$

$$\mathbb{R}[x, y]^{\mathcal{C}_3} = \mathbb{R}[x^2 + y^2, x(x^2 - 3y^2), y(y^2 - 3x^2)],$$

$$\mathbb{R}[x, y]_{\tau^{(2+3)}}^{\mathcal{C}_3} = [x, y] \mathbb{R}[x, y]^{\mathcal{C}_3} + [y^2 - x^2, 2xy] \mathbb{R}[x, y]^{\mathcal{C}_3}$$

Thanks



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