



LIRMM

Probabilistic Analysis of LLL-based Decoder for ICR codes

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Table of Contents

Codes

Chinese Remainder Codes

Interleaved Chinese Remainder Codes

Our methodology and results



Outline

Codes

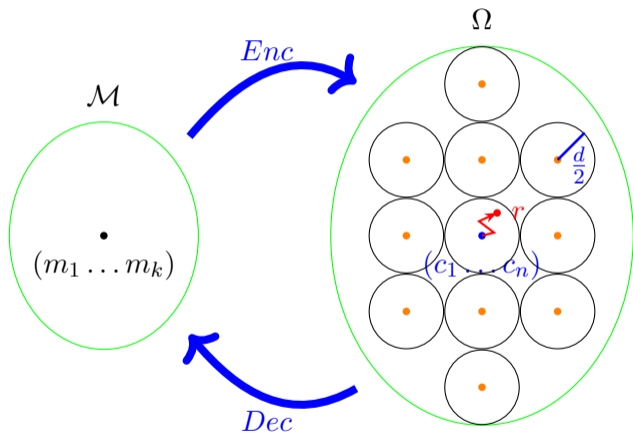
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What is a code?



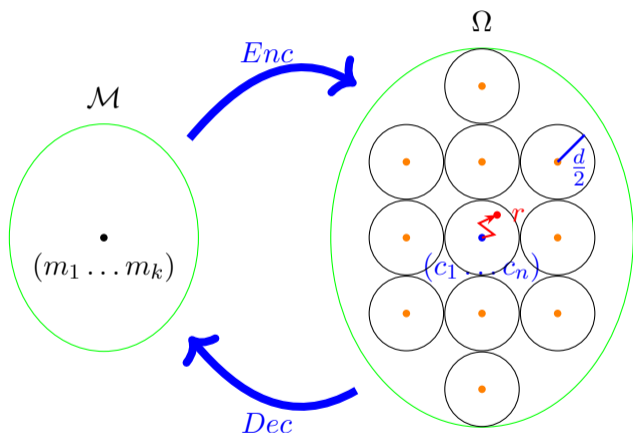
- $\mathcal{C} = Enc(\mathcal{M}) \subset \Omega$
- $n - k > 0$ Redundancy
- $d = \min_{c_1 \neq c_2} d(c_1, c_2)$ Minimum distance of the code
- $d \leq n - k + 1 (= \text{MDS})$

What a code can be used for?

- Correct errors in communications
- Correct errors in data storage
- Define fault tolerant algorithms



What is a code?



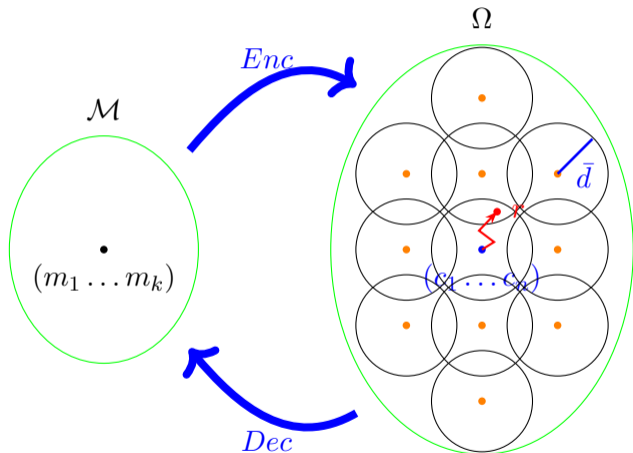
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What is Interleaving?

\mathcal{C} code of length n

◦ MDS

$\ell > 0$

◦ Efficiently decodable

$$IC_{\ell} = \left\{ \left(\begin{array}{cccc} c_1^{(1)} & c_2^{(1)} & \cdots & c_n^{(1)} \\ \vdots & \vdots & \vdots & \vdots \\ c_1^{(\ell)} & c_2^{(\ell)} & \cdots & c_n^{(\ell)} \end{array} \right) : c^{(i)} \in \mathcal{C} \right\}$$

Handle burst of errors

◦ Interleaved codeword

$(c_1^{(1)}, c_1^{(2)}, \dots, c_n^{(\ell)})$ (column-wise).

◦ If \mathcal{C} has error correction capacity t , then an interleaving of \mathcal{C} of depth ℓ can handle burst of errors of length up to ℓt

Correct confidently errors beyond unique decoding radius

◦ Stack ℓ codewords together into a matrix

◦ Collaborative decoding algorithm

◦ Increase decoding radius $\bar{d} > \frac{d}{2}$

◦ Failure probability $\mathbb{P}_f(\bar{d}, \ell)$

$\nearrow \bar{d}, \searrow \ell$



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Chinese Remainder Codes

Definition

Let $p_1 < \dots < p_n$ be n distinct primes, $1 \leq k < n$, $N = \prod_{i=1}^n p_i$, $K = \prod_{i=1}^k p_i$.
 $\mathcal{C} = CR(N, k) = \{([C]_{p_1}, \dots, [C]_{p_n}) : 0 \leq C < K\} \subset \mathbb{Z}_{p_1} \times \dots \times \mathbb{Z}_{p_n}$

$$\begin{array}{ccc}
 c = (c_1^{\parallel}, \dots, \overset{r_{i_1}}{\cancel{c_{i_1}^{\parallel}}}, \dots, \overset{r_{i_t}}{\cancel{c_{i_t}^{\parallel}}}, \dots, c_n^{\parallel}) & \rightsquigarrow & r \in \mathbb{Z}_{p_1} \times \dots \times \mathbb{Z}_{p_n} \\
 \updownarrow & CRT & \updownarrow \\
 C \in \mathbb{Z}_N \quad 0 \leq C < K & & R \in \mathbb{Z}_N
 \end{array}$$

Important notions:

- $\xi_{r,c} = \{i : r_i \neq c_i\}$ Error support
- $\Lambda_{r,c} = \prod_{i \in \xi_{r,c}} p_i$ Error locator $\rightsquigarrow d(r, c) = \log_2(\Lambda_{r,c})$



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Lemma

The minimal distance $d(\mathcal{C})$ of the $CR(N, k)$ code is such that

$$d(\mathcal{C}) > \log_2 \left(\frac{N}{K} \right)$$

Key Equation & Decoding idea

$$\Lambda R = \Lambda C \pmod{N} \quad \begin{cases} \varphi = \Lambda \\ \psi = \Lambda C \end{cases} \xrightarrow{\text{Linearize}} \begin{cases} \varphi R = \psi \pmod{N} \\ 0 \leq \varphi \leq \sqrt{N/K} \\ 0 \leq \psi < \sqrt{NK} \end{cases} \leftarrow \text{Rational reconstruction - EEA}$$



Decoding CR codes below half the minimum distance [3]

Algorithm 1: Unique decoding of CR codes

Input: a code $CR(P; n, K)$ and a received word $R \in \mathbb{Z}_N$

Output: a codeword C such that $d(C, R) \leq \log \left(\sqrt{\frac{N}{K}} \right)$ or "decoding failure"

Compute (φ, ψ) by rational reconstruction

if φ divides ψ and $0 \leq \psi/\varphi < K$ **then**

 | **return** $C := \psi/\varphi$

end

else

 | **return** "decoding failure"

end



Evaluation - Interpolation codes

RS	CR
$\mathcal{M} = \{f \in \mathbb{F}_q[x] : \deg(f) < k\}$ $Enc(f) = (f(\alpha_1), \dots, f(\alpha_n))$ Lagrange interpolation $\Lambda f = \Lambda Y \pmod{\prod (x - \alpha_i)}$ Linear, MDS: $d = n - k + 1$	$\mathcal{M} = \{C \in \mathbb{Z} : 0 \leq C < K\}$ $Enc(C) = ([C]_{p_1}, \dots, [C]_{p_n})$ CRT $\Lambda C = \Lambda R \pmod{N}$ Not Linear, $d > \log_2(N/K)$
IRS [4][5]	ICR [2]
$\tau_{IRS} = \lfloor \frac{\ell(n-k)}{\ell+1} \rfloor, \mathbb{P}_f \leq \frac{\exp(q^2-\ell)}{q-1}$	Li, Wenhui, Vladimir Sidorenko, Johan SR Nielsen.



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Heuristic arguments

No heuristics in
our work



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What do we work with?

Definition(ICR)

$$ICR(N, k, \ell) = \left\{ \mathbf{C} = \begin{pmatrix} c_1^{(1)} & c_2^{(1)} & \dots & c_n^{(1)} \\ c_1^{(2)} & c_2^{(2)} & \dots & c_n^{(2)} \\ \vdots & \vdots & \ddots & \vdots \\ c_1^{(\ell)} & c_2^{(\ell)} & \dots & c_n^{(\ell)} \end{pmatrix} : c^{(j)} = (c_1^{(j)}, \dots, c_n^{(j)}) \in \mathcal{C} \forall j \right\}$$



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Multidimensional key equation

$$\begin{cases} \Lambda R^{(1)} \equiv \Lambda C^{(1)} \pmod{N} \\ \Lambda R^{(2)} \equiv \Lambda C^{(2)} \pmod{N} \\ \vdots \\ \Lambda R^{(\ell)} \equiv \Lambda C^{(\ell)} \pmod{N} \end{cases}, \quad \Lambda = lcm(\Lambda_1, \dots, \Lambda_\ell)$$



\mathbb{Z} -module
minimization



A few words on LLL

- A. Lenstra, H. Lenstra, L. Lovász reduction algorithm on lattices.

Definition (Lattice)

Given $n > 0$ and $\{v_1, \dots, v_n\} \subset \mathbb{R}^n$ a basis, then

$$\mathcal{L} = \sum_{1 \leq i \leq n} \mathbb{Z}v_i$$

- $LLL(\mathcal{L}) = v \in \mathcal{L} \setminus \{0\}$ such that $\|v\|_2 \leq \gamma \lambda_1(\mathcal{L})$
- $\gamma = \sqrt{2}^n$: Approximation factor of LLL
- $\lambda_1(\mathcal{L})$: 2-norm shortest non-zero vector of \mathcal{L}



Why LLL?

$$\exists \begin{pmatrix} a_1 \\ a_2 \\ \vdots \\ a_\ell \end{pmatrix} \in \mathbb{Z}^\ell \text{ s.t. } \begin{cases} \Lambda R^{(1)} - a_1 N = \Lambda C^{(1)} \\ \Lambda R^{(2)} - a_2 N = \Lambda C^{(2)} \\ \vdots \\ \Lambda R^{(\ell)} - a_\ell N = \Lambda C^{(\ell)} \end{cases} \Leftrightarrow v_C = (\Lambda, \Lambda C^{(1)}, \dots, \Lambda C^{(\ell)}) \in \mathcal{L}$$

$$\mathcal{L} = \begin{pmatrix} 1 & R^{(1)} & R^{(2)} & \dots & R^{(\ell)} \\ 0 & N & 0 & \dots & 0 \\ 0 & 0 & N & \dots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & 0 & \dots & N \end{pmatrix}$$



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$$\mathcal{L} = \begin{pmatrix} 1 & R^{(1)} & R^{(2)} & \dots & R^{(\ell)} \\ 0 & N & 0 & \dots & 0 \\ 0 & 0 & N & \dots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & 0 & \dots & N \end{pmatrix}$$

Short vector in the lattice

$$v_C \in S_{\mathbf{R}} = \left\{ (\varphi, \psi_1, \dots, \psi_\ell) \in \mathbb{Z}^{\ell+1} \mid \begin{array}{l} \varphi R_i \equiv \psi_i \pmod{N} \\ |\psi_i| < 2^\tau K \text{ and } |\varphi| \leq 2^\tau \end{array} \right\} \quad \begin{array}{l} \text{Parameter related} \\ \tau : \text{to the decoding radius} \\ (\Lambda \leq 2^\tau) \end{array}$$



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How do we use LLL here?

- Scale the lattice \mathcal{L} by K on the first entry (homogenize the size constraints):

$$\sigma_K((v_0, v_1, \dots, v_\ell)) = (v_0 K, v_1, \dots, v_\ell), \quad \sigma_K(\mathcal{L}) = \begin{pmatrix} K & R^{(1)} & R^{(2)} & \dots & R^{(\ell)} \\ 0 & N & 0 & \dots & 0 \\ 0 & 0 & N & \dots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & 0 & \dots & N \end{pmatrix}$$

- Run LLL on $\sigma_K(\mathcal{L})$: $\bar{v}_s = LLL(\sigma_K(\mathcal{L}))$
- Unscale the result: $v_s = \sigma_K^{-1}(\bar{v}_s)$

Constraint 1

There exists a codeword C such that $\gamma\sqrt{\ell+1}\Lambda_{C,R} \leq 2^\tau$.

\Rightarrow

$$v_s \in S_R$$



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Decoding ICR codes

Algorithm 2: Interleaved CR codes decoder

Input: $\mathcal{C} = ICR(N, k, \ell)$, received word R , parameter τ

Output: A codeword C s.t. $d(C, R) \leq \tau$ or "decoding failure"

Let $\bar{\mathcal{L}} = \sigma_K(\mathcal{L})$ be the scaled lattice

Compute a short vector $\bar{v}_s := LLL(\bar{\mathcal{L}})$

Unscale the vector: $v_s = (\varphi, \psi_1, \dots, \psi_\ell) := \sigma_K^{-1}(\bar{v}_s)$

if ($|\varphi| \leq 2^\tau$) **and** (φ divides all the $(\psi_j)_{j=1, \dots, \ell}$) **and** ($0 \leq \psi_j/\varphi < K$ for all $1 \leq j \leq \ell$)

then

| **return** $(C_1, \dots, C_\ell) := (\psi_1/\varphi, \dots, \psi_\ell/\varphi)$

end

else

| **return** "decoding failure"

end

Lemma

$Alg(\mathcal{C}, R, \tau) \rightarrow C \Rightarrow C \in \mathcal{C}, d(C, R) \leq \tau$

(\Leftarrow) is probabilistic



Decoding ICR codes

Algorithm 3: Interleaved CR codes decoder

Input: $\mathcal{C} = ICR(N, k, \ell)$, received word R , parameter τ

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Lemma

$$\text{Alg}(\mathcal{C}, R, \tau) \rightarrow C \Rightarrow C \in \mathcal{C}, \quad d(C, R) \leq \tau$$

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Our Theorem

Theorem (Main result)

Given an ICR code \mathcal{C} with parameters N, K, ℓ , and the approximation constant γ of LLL, set

$$d_{\max} := \frac{\ell}{\ell + 1} \left[\log(N/K) - \log(6\gamma\sqrt{\ell + 1}) \right].$$

Choose a decoding distance bound $d_t < d_{\max}$, and set the parameter $\tau_t := d_t + \log(\gamma\sqrt{\ell + 1})$ in Algorithm 2. Consider a random received word $R \sim D_{C, \mathcal{E}_r}$, for some codeword $C \in \mathcal{C}$ and error support \mathcal{E}_r such that $\log \Lambda_r \leq d_t$.

Then, Algorithm 2 on random input R outputs the center codeword C of the distribution D_{C, \mathcal{E}_r} , with a probability of failure \mathbb{P}_f upper-bounded by

$$\mathbb{P}_f \leq 2^{-(\ell+1)(d_{\max}-d_t)} + \exp\left(\frac{n}{p_1^{\ell-1}}\right) - 1 =: U_{\mathbb{P}_f}.$$



Ideas of the proof

$$\Lambda_{C,R} \leq \Lambda_r \leq 2^{dt} = \frac{2^{\tau t}}{\gamma \sqrt{\ell+1}}$$

Constraint 1

$$v_s \in S_R$$

Algorithm succeeds if
 $S_R \subseteq (\Lambda, \Lambda C_1, \dots, \Lambda C_\ell)\mathbb{Z}$

$$\mathbb{P}_f \leq \mathbb{P}(S_R \not\subseteq (\Lambda, \Lambda C_1, \dots, \Lambda C_\ell)\mathbb{Z}) \leq U_{\mathbb{P}_f}$$

$$\mathbb{P}(S_R \not\subseteq (\Lambda, \Lambda C_1, \dots, \Lambda C_\ell)\mathbb{Z}) \leq \left(3 \frac{2^{\tau t+1} K}{N}\right)^\ell \Lambda_r + \exp\left(\frac{n}{p_1^{\ell-1}}\right) - 1$$

Imposes the expression of d_{max}

$$\mathbb{P}_f \leq U_{\mathbb{P}_f}$$



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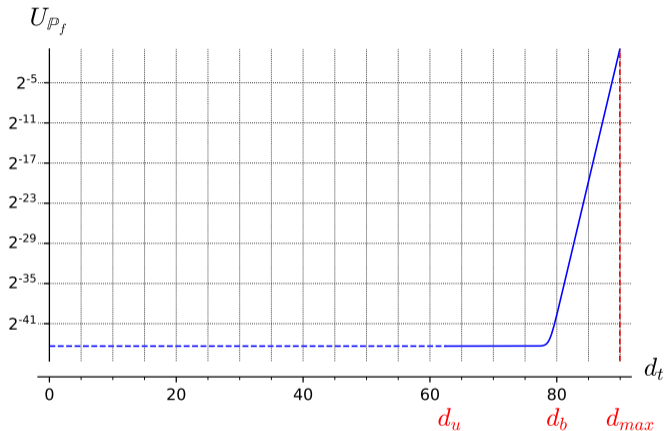
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Imposes the expression of d_{max}

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An example



○ $n = 50$ [~ 25 bits]

○ $k = 45$

○ $\ell = 2$

◇ $d_u = \log_2 \left(\sqrt{\frac{N}{K}} \right)$

◇ d_{max} as in the main Thm.

◇ d_b such that $2^{-(\ell+1)(d_{max}-d_b)} = \exp \left(n/p_1^{\ell-1} \right) - 1$



Future work






- Extension to rational number codes.
- Generalization to composite moduli.
- Fault tolerant algorithms for integer matrix problems (linear systems, matrix multiplication, matrix inversion...).



Thank you for your attention!



References

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