

Endomorphism algebras of geometrically split abelian surfaces over \mathbb{Q}

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A conjecture of Coleman

- A abelian variety over a number field k
 - ▶ $\text{End}_{\mathbb{Q}}^0(A) = \text{End}(A_{\overline{\mathbb{Q}}}) \otimes \mathbb{Q}$ the algebra of $\overline{\mathbb{Q}}$ -endomorphisms
- $\mathcal{A}_{g,d} = \{\text{End}_{\mathbb{Q}}^0(A) : A/k \text{ of dimension } g \text{ and } [k : \mathbb{Q}] = d\} / \simeq$

Conjecture (Coleman)

The set $\mathcal{A}_{g,d}$ is finite for any $g, d \geq 1$.

- There is even a stronger version for endomorphism rings.
- Very little is known:
 - ▶ It is known for $\mathcal{A}_{1,d}$ (elliptic curves)
 - ▶ It is known if we restrict to CM abelian varieties: $\mathcal{A}_{g,d}^{\text{CM}}$ is finite (Orr-Skorobogatov 2018)
 - ▶ We are interested in $\mathcal{A}_{2,1}$ (abelian surfaces over \mathbb{Q})

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The case $\mathcal{A}_{1,d}$ of elliptic curves

- E/k e. c. , $[k:\mathbb{Q}] = d \rightsquigarrow \text{End}_{\mathbb{Q}}^0(E) \simeq \begin{cases} \mathbb{Q} \\ M = \mathbb{Q}(\sqrt{-D}), D \in \mathbb{Q}_{>0} \end{cases}$
- Constructing elliptic curves over \mathbb{C} with CM by M
 - ▶ $I \subseteq M$ a fractional ideal
 - ▶ $I \subseteq \mathbb{C}$ is a lattice and \mathbb{C}/I is an elliptic curve with $\text{End}(E) \simeq O_M$

Theory of Complex Multiplication

$$\{E/\mathbb{C} : \text{End}(E) \simeq O_M\} / \simeq \xrightarrow{1:1} \{I \subseteq M \text{ fractional ideals}\} / I \sim \lambda I = \text{Cl}(M)$$

$$\{E/\mathbb{C} \text{ has CM by } O_M, \text{End}(E) \simeq \mathbb{Q} \otimes O_M\} \simeq \mathbb{Q} \otimes \text{Cl}(M) \simeq \mathbb{Q} \otimes \text{Cl}(\mathbb{Q})$$

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- Heilbronn (1934): \exists finitely many $\mathbb{Q}(\sqrt{-D})$ with $\#\text{Cl}(M) \leq d$
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- ▶ If E has CM by \mathcal{O}_M then $j(E) \in \overline{\mathbb{Q}}$ and $[\mathbb{Q}(j(E)) : \mathbb{Q}] = \#\text{Cl}(M)$
- ▶ If E has CM by $\mathcal{O} \subseteq \mathcal{O}_M$ then $\#\text{Cl}(M) \leq [\mathbb{Q}(j(E)) : \mathbb{Q}]$
- If E/k has CM by M , $\mathbb{Q}(j(E)) \subseteq k \Rightarrow \#\text{Cl}(M) \leq [k: \mathbb{Q}] = d$.
- Heilbronn (1934): \exists finitely many $\mathbb{Q}(\sqrt{-D})$ with $\#\text{Cl}(M) \leq d$
 - ▶ $\mathcal{A}_{1,d}$ is finite for all d
- For $d \leq 100$ the set $\mathcal{A}_{1,d}$ is known explicitly (Watkins)
- $\mathcal{A}_{1,1} = \{\mathbb{Q}\} \cup \{\mathbb{Q}(\sqrt{-D}) : D = 3, 4, 7, 8, 11, 19, 43, 67, 163\}$

The case $\mathcal{A}_{2,1}$ of abelian surfaces over \mathbb{Q}

- $\mathcal{A}_{2,1} = \{\text{End}_{\mathbb{Q}}^0(A) : A/\mathbb{Q}, \dim(A) = 2\} / \simeq$
- A/\mathbb{Q} an abelian surface
 - ▶ geometrically simple if $A_{\overline{\mathbb{Q}}}$ is simple
 - ▶ geometrically split if $A_{\overline{\mathbb{Q}}} \sim E_1 \times E_2$
- $\text{End}_{\mathbb{Q}}^0(A) \simeq \begin{cases} \mathbb{Q}, \mathbb{Q}(\sqrt{D}), \text{ CM field}, B/\mathbb{Q} \text{ division indef. quat. alg.} \\ \mathbb{Q} \times \mathbb{Q}, \mathbb{Q} \times M, M \times M', M_2(\mathbb{Q}), M_2(M), M = \mathbb{Q}(\sqrt{-D}) \end{cases}$
- The case where A is geometrically simple is open:
 - ▶ There are 19 possibilities for the CM field (Murabayashi-Umegaki)
 - ▶ Nothing is known for the real quadratic field or quaternion algebra
- $\mathcal{A}_{2,1}^{\text{split}} = \{\text{End}_{\mathbb{Q}}^0(A) : A/\mathbb{Q}, \dim(A) = 2, A \text{ geom. split}\}$
- $\mathcal{A}_{2,1}^{\text{split}}$ is finite (Shafarevich, 1996)

Our goal

To determine $\mathcal{A}_{2,1}^{\text{split}}$ explicitly

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If A/\mathbb{Q} is a geometrically split abelian surface:

- 1 $A_{\overline{\mathbb{Q}}} \sim E_1 \times E_2$ or $A_{\overline{\mathbb{Q}}} \sim E_1^2$ with E_i non-CM
 - ▶ $\text{End}_{\overline{\mathbb{Q}}}^0(A) \simeq \mathbb{Q} \times \mathbb{Q}$ or $\text{End}_{\overline{\mathbb{Q}}}^0(A) \simeq M_2(\mathbb{Q})$.
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 - ▶ $\text{End}_{\overline{\mathbb{Q}}}^0(A) \simeq \mathbb{Q} \times M_1$ or $\text{End}_{\overline{\mathbb{Q}}}^0(A) \simeq M_1 \times M_2$ with $M_i = \mathbb{Q}(\sqrt{-D_i})$
 - ▶ Fite–Kedlaya–Rotger–Sutherland: $E_i \sim C_i$ for some C_i/\mathbb{Q}
 - ▶ Thus $\#\text{Cl}(M) = 1 \rightsquigarrow$ finitely many M 's
- 3 $A_{\overline{\mathbb{Q}}} \sim E^2$ and E has CM by some M
 - ▶ $\text{End}_{\overline{\mathbb{Q}}}^0(A) \simeq M_2(M)$
 - ▶ Key observation (Shafarevic):
 - ▶ $\exists d \in \mathbb{Z}_{>1}$ s.t. $\text{End}(A_{\overline{\mathbb{Q}}}) = \text{End}(A_K)$ for some K with $[K:\mathbb{Q}] \leq d$
 - ▶ $\text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})$ acts on $\text{End}(A_{\overline{\mathbb{Q}}}) \simeq M^2$ with kernel $\text{Gal}(\overline{\mathbb{Q}}/K)$
 - ▶ $\text{Gal}(K/\mathbb{Q}) \rightarrow \text{Gal}(M/\mathbb{Q})$ and so $\text{Gal}(K/\mathbb{Q}) \rightarrow \text{Gal}_{\mathbb{Q}}(\mathbb{Z}/d\mathbb{Z})$
 - ▶ E/K and $[K:\mathbb{Q}] \leq d \rightsquigarrow$ finitely many M 's since $\mathcal{A}_{1,d}$ is finite.

Main question

What imaginary quadratic fields M do in fact appear?

The case $\mathcal{A}_{2,1}^{\text{split}}$

If A/\mathbb{Q} is a geometrically split abelian surface:

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 - $\text{Gal}(K/\mathbb{Q}) \hookrightarrow \text{GL}_8(\mathbb{Z})$ and so $\text{Gal}(K/\mathbb{Q}) \hookrightarrow \text{GL}_8(\mathbb{Z}/3\mathbb{Z})$
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What imaginary quadratic fields M do in fact appear?

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Squares of CM elliptic curves

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If A/\mathbb{Q} with $A_{\overline{\mathbb{Q}}} \sim E^2$ and E has CM by M , what are the possible M 's?

Theorem (Fité–G., 2015)

Necessarily $\text{Cl}(M) \simeq C_1, C_2$, or $C_2 \times C_2$

- K/\mathbb{Q} minimal such that $\text{End}(A_{\overline{\mathbb{Q}}}) = \text{End}(A_K) \rightsquigarrow E/K$ and $A_K \sim E^2$
 - ▶ CM theory $\rightsquigarrow H_M \subseteq K$
 - ▶ [FKRS12] $\rightsquigarrow \text{Gal}(K/M) \simeq C_1, C_r, D_r$ with $r \in \{2, 3, 4, 6\}$
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- Main idea:
 - ▶ Show that $\exists N \subseteq K$ with $\text{Gal}(N/M)$ of exponent 2 such that E can be defined over N up to isogeny
 - ▶ $\text{Gal}(N/M) \simeq C_1, C_2, C_2 \times C_2$ and $H_M \subseteq N$ so $\text{Gal}(H_M/M)$ as well
- Idea of the proof: adapt Ribet's theory of \mathbb{Q} -curves
 - ▶ $\sigma \in \text{Gal}(K/\mathbb{Q}) \rightsquigarrow (\sigma E)^2 \sim \sigma A_K = A_K \sim E^2$
 - ★ There is an isogeny $\mu_\sigma: \sigma E \rightarrow E$
 - ▶ Cohomology class $c_E \in H^2(\text{Gal}(K/M), M^\times)$
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For $L \subseteq K$, there exists E^*/L with $E \sim E_K^* \iff c_{E|\text{Gal}(K/L)} = 1$.

- $A_K \sim E^2 \Rightarrow c_E \in H^2(\text{Gal}(K/M), M^\times)[2]$
- Ribet: $P = M^\times / \{\pm 1\}$ and $M^\times \simeq \{\pm 1\} \times P$.
- $H^2(\text{Gal}(K/M), M^\times)[2] \simeq H^2(\text{Gal}(K/M), \{\pm 1\}) \times H^2(\text{Gal}(K/M), P)[2]$
- $\simeq H^2(\text{Gal}(K/M), \{\pm 1\}) \times \text{Hom}(\text{Gal}(K/M), P/P^2)$
- c_E is trivial when restricted to $\langle \sigma^2 \rangle$
- $N \subseteq K$ the corresponding subfield, $\text{Gal}(N/M) \simeq$ of exponent 2
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Now the question is

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Constructing abelian surfaces: restriction of scalars

Easy cases

Given M with $\text{Cl}(M) \simeq C_1, C_2$, construct A/\mathbb{Q} abelian surface with $A_{\overline{\mathbb{Q}}} \sim E^2$ and E with CM by M .

- If $\text{Cl}(M) = 1$
 - ▶ take E/\mathbb{Q} with CM by M and $A = E \times E$.
- If $\text{Cl}(M) = C_2$
 - ▶ If E has CM by \mathcal{O}_M then $[\mathbb{Q}(j_E) : \mathbb{Q}] = 2$, so we can take $E/\mathbb{Q}(j(E))$
 - ▶ $A = \text{Res}_{\mathbb{Q}(j_E)/\mathbb{Q}} E$ has dimension 2 and $A_{\overline{\mathbb{Q}}} \sim E \times {}^\sigma E$
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- If $\text{Cl}(M) = C_2 \times C_2$ then $[\mathbb{Q}(j_E) : \mathbb{Q}] = 4$ and $\text{Res}_{\mathbb{Q}(j_E)/\mathbb{Q}} E$ has dim 4
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Case $C_2 \times C_2$: Gross's \mathbb{Q} -curves

- $H = H_M$ Hilbert class field of M .
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Theorem (Shimura–Nakamura)

\exists Gross \mathbb{Q} -curve $E/H \iff \text{Disc}(M) \neq -4 \times (\text{primes} \equiv 1 \pmod{4})$

- $M = \mathbb{Q}(\sqrt{-D})$ has $\text{Cl}(M) \simeq C_2 \times C_2$ for D in
$$D^{2,2} = \{84, 120, 132, 168, 195, 228, 280, 312, 340, 372, 408, 435, \\ 483, 520, 532, 555, 595, 627, 708, 715, 760, 795, 1012, 1435\}$$
- There is Gross \mathbb{Q} -curves in all cases except $D = 340$
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\exists Gross \mathbb{Q} -curve $E/H \iff \text{Disc}(M) \neq -4 \times (\text{primes} \equiv 1 \pmod{4})$

- $M = \mathbb{Q}(\sqrt{-D})$ has $\text{Cl}(M) \simeq C_2 \times C_2$ for D in
$$D^{2,2} = \{84, 120, 132, 168, 195, 228, 280, 312, 340, 372, 408, 435, 483, 520, 532, 555, 595, 627, 708, 715, 760, 795, 1012, 1435\}$$
- There is Gross \mathbb{Q} -curves in all cases except $D = 340$
- (Gross) If $\mathcal{E} = \text{Res}_{\mathbb{Q}(j_E)/\mathbb{Q}} E$ then $\text{End}^0(\mathcal{E}) \simeq \mathbb{Q}^{c_E}[\text{Gal}(H/M)]$
- If $\text{End}^0(\mathcal{E}) \simeq M_2(\mathbb{Q}) \rightsquigarrow \mathcal{E} \sim A^2$ and we're done!
- For $D \neq 340$, Nakamura showed that:
 - ▶ For each D , Gross \mathbb{Q} -curves D give rise to 8 cohomology classes
 - ▶ Gave a method for computing all these cohomology classes c_E

Case $C_2 \times C_2$: Gross's \mathbb{Q} -curves

- $H = H_M$ Hilbert class field of M .
- A Gross \mathbb{Q} -curve is
 - ▶ E/H elliptic curve with CM by M s.t. ${}^\sigma E \sim E \forall \sigma \in \text{Gal}(H/\mathbb{Q})$

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Computing the endomorphism algebra of \mathcal{E}

- For each $D \neq 340$, we computed $\text{End}^0(\mathcal{E})$ for each of the eight representatives of \mathbb{Q} -curves with CM by $\mathbb{Q}(\sqrt{-D})$.

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For $D \in \{84, 120, 132, 168, 228, 280, 372, 408, 435, 483, 520, 532, 595, 627, 708, 795, 1012, 1435\}$

at least one of the \mathbb{Q} -curves has $\text{End}^0(\mathcal{E}) \simeq M_2(\mathbb{Q})$.

2 For $D \in \{195, 312, 555, 715, 760\}$

all \mathbb{Q} -curves have $\text{End}^0(\mathcal{E}) \simeq \begin{cases} \text{number field} \\ \text{division quaternion algebra} \end{cases}$

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Ruling out abelian surfaces

- $M \in D^{2,2}$ s.t. for any Gross \mathbb{Q} -curve E/H we know that $\text{Res}_{H/\mathbb{Q}} E$ does not have any factor of dimension 2.
- Suppose that $\exists A/\mathbb{Q}$ with $A_{\overline{\mathbb{Q}}} \sim E^2$ and E has CM by M .
- $K =$ minimal field where this decomposition takes place.
 - ▶ $\text{Gal}(K/M) \simeq C_2 \times C_2, D_r, r = 3, 4, 6$
- If $\text{Gal}(K/M) \simeq C_2 \times C_2$
 - ▶ $H \subseteq K$ and $\text{Gal}(H/M) \simeq \text{Cl}(M) \simeq C_2 \times C_2 \Rightarrow K = H$
 - ▶ Then E is a Gross \mathbb{Q} -curve, but this is a contradiction:
 - ▶ $\text{Hom}(A_H, E) \neq 0 \Rightarrow \text{Hom}(A, \text{Res}_{H/\mathbb{Q}} E) \neq 0$.
 - ▶ But the simple factors of $\text{Res}_{H/\mathbb{Q}} E$ are of dimension 4.
- If $\text{Gal}(K/M) \simeq D_r$ with $r \in \{3, 4, 6\}$
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- Key: $\text{Hom}^0(E_L^*, A_L) \otimes \text{Hom}^0(E_L^*, A_L)^* \simeq \text{End}^0(A_K)$ as $\text{Gal}(K/M)$ -rep's
- Using this we show that $c_{E^*}(\bar{\beta}, \bar{\beta}) \in \pm 1$
- The cocycles of Gross's \mathbb{Q} -curves satisfy that $c_{E^*}(\bar{\beta}, \bar{\beta}) = \pm d$ with d a proper divisor of $\text{Disc}M$. Contradiction!
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The set $\mathcal{A}_{2,1}^{\text{split}}$ of $\overline{\mathbb{Q}}$ -endomorphism algebras of geometrically split abelian surfaces over \mathbb{Q} consists of 92 algebras.

Question

Which of the 92 endomorphism algebras arise from Jacobians of genus 2 curves defined over \mathbb{Q} ?

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Not all of them.

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Endomorphism algebras of geometrically split abelian surfaces over \mathbb{Q}

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COUNT 2023