# On the number of rational points of curves over a surface in $\mathbb{P}^3$

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Conference On alGebraic varieties over fiNite fields and Algebraic geometry Codes

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### Offer a theorem instead: THEOREMS ARE FOREVER<sup>1</sup>!

1. See the end of the talk for my endless gift!

We let  $\mathbb{F}_q$  denote a finite field with q elements and  $\mathbb{P}^n_{\mathbb{F}_q}$  the projective space.

An algebraic projective variety X defined over  $\mathbb{F}_q$  is the set of zeros of homogenous polynomials  $f_1, \ldots, f_r \in \mathbb{F}_q[x_0, \ldots, x_n]$  irreducible over  $\mathbb{F}_q$ :

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**Today:** algebraic varieties of dimension one (curves C) and two (surfaces S) in  $\mathbb{P}^3$ .

Geometry of curves OC

Curves over Frobenius classical surfaces OC

#### **Existing bounds**

### Theorem [Hasse-Weil, 1948]

If C is an absolutely irreducible smooth curve of genus g defined over the finite field  $\mathbb{F}_q$ , then  $\#C(\mathbb{F}_q) \leq q + 1 + 2g\sqrt{q}$ .

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Geometry of curves OO

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### Theorem [Homma, 2012]

If C is a non–degenerate curve defined over  $\mathbb{F}_q$  of degree  $\delta$  in  $\mathbb{P}^n$ , with  $n \geq 3$ , then  $\#C(\mathbb{F}_q) \leq (\delta - 1)q + 1$ .

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### Theorem [Stöhr–Voloch, 1986]

Let  $C/\mathbb{F}_q$  be an irreducible smooth curve of genus g and degree  $\delta$  in  $\mathbb{P}^n$ . Let  $\nu_1, \ldots, \nu_{n-1}$  be its Frobenius orders (generically  $\nu_i = i$ ). Then

$$#C(\mathbb{F}_q) \le \frac{1}{n} \left( (\nu_1 + \dots + \nu_{n-1})(2g-2) + (q+n)\delta \right).$$









Take C a plane curve of deg.  $\delta$  defined by f = 0 over  $\mathbb{F}_q$ . Write  $\Phi$  for the q-Frobenius morphism.



### Theorem [Stöhr–Voloch, 1986]

If C has at least a non-flex point ( $\Rightarrow \dim \mathbb{Z} = 0$ ), then  $\#C(\mathbb{F}_q) \leq \frac{1}{2}\delta(\delta + q - 1)$ .

Let  $C \subset S \longrightarrow \mathbb{P}^n$  (via a very ample divisor).

**Goal:** bounding  $\#C(\mathbb{F}_q)$  in terms of the embedding.

(features of the surface S and the ambient  $\mathbb{P}^n$ )

#### Main motivations:

• New bound for the number of rational points on projective curves.

(hopefully improving the previous ones)

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Bounding the minimum distance of a code from a surface ${\cal S}$	$\sim \rightarrow$	Bounding $\#C(\mathbb{F}_q)$ for the irreducible curves $C$ on $S$
Better lower bound for the minimum distance	$\iff$	Better upper bound for $\#C(\mathbb{F}_q)$

#### Strategy (n = 3)

Let  $S: (f = 0) \subset \mathbb{P}^3$  be a smooth irreducible algebraic surface of degree d defined  $\mathbb{F}_q$ . Set  $C_{\Phi}^S \stackrel{\text{def}}{=} \{P \in S \mid \Phi(P) \in T_P S\}$ . Then  $S(\mathbb{F}_q) \subset C_{\Phi}^S$ .

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Take a curve  $C \subset S$  of degree  $\delta$ . Then  $C(\mathbb{F}_q) \subseteq C \cap C_{\Phi}^S$ . If  $C \cap C_{\Phi}^S$  is a finite set of points, then

 $\#C(\mathbb{F}_q) \le \frac{\deg(C \cap C_{\Phi}^S)}{\min_{P \in C(\mathbb{F}_q)} m_P(C, C_{\Phi}^S)} \le \frac{\delta(d+q-1)}{2}.$ 

#### Comparisons with pre-existing bounds



Figure: Bounds on the number of  $\mathbb{F}_q$ -points on a non-plane curve C on a degree d surface  $S \subset \mathbb{P}^3$ .

### $\rightarrow$ It is worth working on this bound!

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Two necessary conditions for  $\dim(C \cap C_{\Phi}^S) = 0$ :

• dim  $C_{\Phi}^{S} = 1$ : in this case, the surface is said to be *Frobenius classical*;

Counterexample: the Hermitian surface  $X^{\sqrt{q}+1} + Y^{\sqrt{q}+1} + Z^{\sqrt{q}+1} + T^{\sqrt{q}+1} = 0$  over  $\mathbb{F}_q$ .

Let  $S: (f=0) \subset \mathbb{P}^3$  be a smooth irreducible algebraic surface of degree d defined  $\mathbb{F}_{a}$ . Set  $C^S_{\Phi} \stackrel{\text{def}}{=} \{P \in S \mid \Phi(P) \in T_P S\}$ . Then  $S(\mathbb{F}_q) \subset C^S_{\Phi}$ .

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Take a curve  $C \subset S$  of degree  $\delta$ . Then  $C(\mathbb{F}_q) \subseteq C \cap C^S_{\Phi}$ .

If  $C \cap C^S_{\Phi}$  is a finite set of points, then

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### Two necessary conditions for $\dim(C \cap C_{\Phi}^S) = 0$ :

 dim C<sup>S</sup><sub>Φ</sub> = 1: in this case, the surface is said to be *Frobenius classical*; *Counterexample*: the Hermitian surface X<sup>√q+1</sup> + Y<sup>√q+1</sup> + Z<sup>√q+1</sup> + T<sup>√q+1</sup> = 0 over 𝔽<sub>q</sub>.

 *p* ∤ d(d − 1) ⇒ S is Frobenius classical.

## Q C does not share any components with C<sup>S</sup><sub>Φ</sub>. Counterexample: if S contains a F<sub>q</sub>-line L, then L ⊂ C<sup>S</sup><sub>Φ</sub>. The bound does not hold.

Geometry of curves OO

Result and conclusion O

#### Strategy (2/2)

Let  $S: (f = 0) \subset \mathbb{P}^3$  be a smooth irreducible algebraic surface of degree d defined  $\mathbb{F}_q$ . Set  $C_{\Phi}^S \stackrel{\text{def}}{=} \{P \in S \mid \Phi(P) \in T_PS\}$ . Then  $S(\mathbb{F}_q) \subset C_{\Phi}^S$ .

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### Two necessary conditions for $\dim(C \cap C_{\Phi}^S) = 0$ :

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#### **2** C does not share any components with $C_{\Phi}^{S}$ .

*Counterexample:* if S contains a  $\mathbb{F}_q$ -line L, then  $L \subset C_{\Phi}^S$ . The bound does not hold.

Aim: understanding the components of the curve  $C_{\Phi}^{S}$  for a Frobenius classical surface.

Introduction 0000

Strategy OO

Geometry of curves OO

Curves over Frobenius classical surfaces O

Result and conclusion O

#### Osculating spaces and *P*-orders (Stöhr–Voloch theory 1)

Let  $C \subset \mathbb{P}^3$  be an absolutely irreducible projective curve defined over  $\mathbb{F}_q$ . Fix  $P \in C$ . An integer j is a P-order if there exists a plane intersecting the curve C with multiplicity j at P. If C is non-plane and P is non-singular, there are exactly four distinct P-orders:

$$j_0 = 0 < j_1 < j_2 < j_3.$$

*Remark:*  $j_1 = 1 \Leftrightarrow C$  is non-singular at the point P.

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**Osculating spaces:**  $T_P^{(i)}C = \bigcap \{ \text{planes } H \text{ s.t. } m_P(C,H) \ge j_{i+1} \}.$ 

Equation of the osculating plane 
$$T_P^{(2)}C$$
: 
$$\begin{vmatrix} X_0 & X_1 & X_2 & X_3 \\ x_0 & x_1 & x_2 & x_3 \\ D_t^{(j_1)}x_0 & D_t^{(j_1)}x_1 & D_t^{(j_1)}x_2 & D_t^{(j_1)}x_3 \\ D_t^{(j_2)}x_0 & D_t^{(j_2)}x_1 & D_t^{(j_2)}x_2 & D_t^{(j_2)}x_3 \end{vmatrix} = 0$$

where  $D_t^{(j)}$  are the Hasse derivatives with respect to a local parameter t at P defined by  $D_t^{(i)}t^k = \binom{k}{i}t^{k-i}$ .

Frobenius orders (Stöhr–Voloch theory 2)

Fix  $P \in C \subset \mathbb{P}^3$  with *P*-orders  $(0, j_1, j_2, j_3)$ . Then  $\Phi(P) \in T_P^{(2)}C$  if and only if

$$\Delta(j_1, j_2) \stackrel{\text{def}}{=} \begin{vmatrix} x_0^q & x_1^q & x_2^q & x_3^q \\ x_0 & x_1 & x_2 & x_3 \\ D_t^{(j_1)} x_0 & D_t^{(j_1)} x_1 & D_t^{(j_1)} x_2 & D_t^{(j_1)} x_3 \\ D_t^{(j_2)} x_0 & D_t^{(j_2)} x_1 & D_t^{(j_2)} x_2 & D_t^{(j_2)} x_3 \end{vmatrix} = 0$$

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### Theorem [Stöhr–Voloch, 1986]

There exist integers  $\nu_1 < \nu_2$  s.t.  $\Delta(\nu_1, \nu_2)$  is a nonzero function.

### Definition

The integers  $\nu_0 = 0, \nu_1, \nu_2$  chosen minimally with respect to the lexicographic order are called the Frobenius orders of C.

The curve C is Frobenius classical if  $(\nu_1, \nu_2) = (1, 2)$ , Frobenius non-classical otherwise.

Introduction 0000

Frobenius non-classical curves on surfaces

Aim: Understand the components of  $C_{\Phi}^{S} = \{P \in S \mid \Phi(P) \in T_{P}S\}$  on a Frob. classical surface.

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### Proposition [BN21]

Let C be a non-plane curve lying on a surface S. Assume that C is Frobenius non-classical with  $\nu_1 = 1$ . Then C is not a component of  $C_{\Phi}^S$ .

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Remark: Hefez and Voloch (1990) gave the exact number of rational points on **smooth** curves with  $\nu_1 > 1$ , while Borges and Homma (2018) studied **singular plane** curves with  $\nu_1 > 1$ .

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**Tool:** Use the existence and the minimality of the Frobenius orders  $\nu_1, \nu_2$  s.t.  $\Delta(\nu_1, \nu_2) \neq 0$ .

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**Tool:** Use the existence and the minimality of the Frobenius orders  $\nu_1, \nu_2$  s.t.  $\Delta(\nu_1, \nu_2) \neq 0$ . **Example:** C is Frobenius non-classical  $\Rightarrow \{\nu_1, \nu_2\} \neq \{1, 2\} \Rightarrow \Delta(1, 2) = 0$ . If  $\Phi(P) \in T_PS$ 

 $\Rightarrow \Delta(1,2) = (u'' - g''u_y) \quad [(x - \tilde{x})g' - (y - \tilde{y})] = 0$ 

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### Proposition [BN21]

Let C be a non-plane curve lying on a surface S. Assume that C is Frobenius non-classical with  $\nu_1 = 1$ . Then C is not a component of  $C_{\Phi}^S$ .

What about  $\nu_1 > 1$ ?  $\nu_1 > 1 \Rightarrow \Phi(P) \in T_P C \subset T_P S$ 

(Sad) Fact: Frobenius non-classical curves with  $\nu_1 > 1$  are components of  $C_{\Phi}^S$ . However...

### Proposition [BN21]

Assume that C is Frobenius non-classical with  $\nu_1 > 1$  and  $\delta \leq q$ . Then C is plane.

Remark: Hefez and Voloch (1990) gave the exact number of rational points on **smooth** curves with  $\nu_1 > 1$ , while Borges and Homma (2018) studied **singular plane** curves with  $\nu_1 > 1$ .

**Tool:** Use the existence and the minimality of the Frobenius orders  $\nu_1, \nu_2$  s.t.  $\Delta(\nu_1, \nu_2) \neq 0$ . **Example:** C is Frobenius non-classical  $\Rightarrow \{\nu_1, \nu_2\} \neq \{1, 2\} \Rightarrow \Delta(1, 2) = 0$ . If  $\Phi(P) \in T_PS$ 

$$\Rightarrow \Delta(1,2) = \begin{array}{cc} (u'' - g''u_y) & [(x - \tilde{x})g' - (y - \tilde{y})] &= 0\\ \Phi(P) \notin T_PS & \nu_1 > 1 \end{array}$$

#### Frobenius classical components of $C_{\Phi}^{S}$

**Recap:** A component of  $C_{\Phi}^{S}$  falls in one of the following cases:

- $\nu_1 > 1$ : in this case, if it has  $\delta \leq q$ , it is plane;
- it is Frobenius classical, i.e.  $\{\nu_1, \nu_2\} = \{1, 2\}.$

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### Example of surface with highly reducible $C_{\Phi}^{S}$

Over  $\mathbb{F}_5$ , consider the surface S defined by

$$\begin{split} f &= & 2X_0X_1^2 + 2X_1^3 + 2X_0^2X_2 + 2X_0X_1X_2 + X_1^2X_2 + 2X_0X_2^2 + 3X_1X_2^2 \\ & + 3X_2^3 + 4X_0^2X_3 + X_0X_1X_3 + X_1^2X_3 + 2X_1X_2X_3 + 2X_2^2X_3 \\ & + 3X_0X_3^2 + 4X_1X_3^2 + X_2X_3^2. \end{split}$$

The curve  $C_{\Phi}^{S}$  has degree 21 and is formed of 15  $\mathbb{F}_{5}$ -lines and one non-plane sextic ( $\delta = q + 1$ ).

Curves over Frobenius classical surfaces OO

#### Main result & Conclusion

### Theorem [BN21]

Let S be an irreducible Frobenius classical surface of degree d > 1 in  $\mathbb{P}^3$ . Let C be a non-plane irreducible curve of degree  $\delta \leq q$  lying on S. Suppose C is Frobenius non-classical. Then

$$#C(\mathbb{F}_q) \le \frac{\delta(d+q-1)}{2}.$$

Under the conjecture, the bound also holds for Frobenius classical curves.

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• A plane curve on a degree d surface has  $\delta \leq d \Rightarrow$  our bound holds for plane curves which have at least one point P such that  $\Phi(P) \notin T_P C$  by Stöhr–Voloch bound  $(\delta(\delta + q - 1)/2)$ .

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- Embedding entails arithmetic and geometric constraints on a variety: For  $\delta = 11$  and d = 5 over  $\mathbb{F}_9$ , C has genus at most 17 and  $\#C(\mathbb{F}_q) \leq 72$ . In ManyPoints, maximal curves of genus 16 and 17 have 74  $\mathbb{F}_9$ -points. These record curves cannot lie on a Frobenius classical surface in  $\mathbb{P}^3$ , unless being a component of  $C_{\Phi}^S$ .

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Can we generalize our approach when  $C \subset S \subset \mathbb{P}^n$ , for  $n \geq 4$ ?

Curves over Frobenius classical surfaces OC

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### Thank you for your attention!

Consider the varieties in  $S \times \mathbb{P}^n$ 

•  $\Gamma_C = \{(P, \Phi(P)) \in C^2 \mid P \in C\}$  the graph of  $\Phi$  restricted to the curve C,

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$$\mathcal{T}_S = \{ (P,Q) \in S \times \mathbb{P}^n \mid P \in S, Q \in T_PS \}.$$

Then  $C(\mathbb{F}_q) \xrightarrow{\Delta} \Gamma_C \cap \mathcal{T}_S \simeq \{P \in C \mid \Phi(P) \in T_PS\}.$ Remark:  $C_{\Phi}^S$  was the image of  $\Gamma_C \cap \mathcal{T}_S \in S \times \mathbb{P}^3$  under the  $1^{st}$  projection.

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 $\Gamma_C$  and  $\mathcal{T}_S$  have complementary dimensions in  $S \times \mathbb{P}^n$  (of dim n+2) if and only if n=3.  $\rightarrow$  bound the number of rational points on C by a fraction of the intersection product  $[\Gamma_C] \cdot [\mathcal{T}_S]$ .

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Idea: Fix this dimension incompatibility by blowing up  $\mathcal{T}_S$  or  $S \times S$ .