



UNIVERSITÉ PARIS-EST CRÉTEII VAL DE MARNE

# Some Algebraic Tools in Fractal Geometry and in Metric Number Theory

#### Faustin ADICEAM

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#### 27/06/2023

### Joint work with...



Oscar Marmon (Lund University, Sweden)

Generalisation of the Metric Oppenheim Conjecture Well-approximable points on polynomial curves

**Homogeneous forms inequalities** 





#### Theorem (Oppenheim's conjecture, 1929)

Let  $Q(\mathbf{x}) \in \mathbb{R} [\mathbf{x}]$  be a nondegenerate indefinite quadratic form in  $n \ge 3$  variables which is not a real multiple of a rational form. Then for any  $\varepsilon > 0$ , there exists  $\mathbf{m} \in \mathbb{Z}^n \setminus \{\mathbf{0}\}$  such that  $0 < |Q(\mathbf{m})| < \varepsilon$ .

• This strong form is actually due to Davenport (1946).



Alexander Oppenheim (1903–1997) & Harold Davenport (1907–1969)

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• The assumption that  $n \ge 3$  is crucial : if  $\alpha \in Bad$  and if  $Q(x_1, x_2) = x_1 \cdot (x_1 \alpha - x_2)$ , then, by definition, there exists c > 0 such that

 $\forall (\boldsymbol{\rho}, \boldsymbol{q}) \in \mathbb{Z}^2 \setminus \{\boldsymbol{0}\}, \qquad |\boldsymbol{Q}(\boldsymbol{\rho}, \boldsymbol{q})| = |\boldsymbol{q} \cdot (\boldsymbol{q}\alpha - \boldsymbol{\rho})| > \boldsymbol{c}.$ 

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• The conjecture was proved by Margulis in 1987.



Gregori Aleksandrovitch Margulis (1946 -)

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 $\mathcal{N}_{Q}(\varepsilon, T) = \# \{ \boldsymbol{m} \in \mathbb{Z}^{n} \setminus \{ \boldsymbol{0} \} : \| \boldsymbol{m} \|_{2} \leq T \text{ and } | \boldsymbol{Q}(\boldsymbol{m}) | < \varepsilon \}.$ 

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Theorem (Lindenstrauss, Mohammadi, Wang & Yang — 2023)

There exist an absolute constant  $\kappa > 0$  such that if none of the coefficients of  $Q(\mathbf{x})$  is a Liouville number, then

 $M_Q(\varepsilon) \ll_Q \varepsilon^{-\kappa}.$ 



Elon Lindenstrauss, Amir Mohammadi, Zhiren Wang & Lei Yang

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Algebra, Fractal Geometry & Metric Number Theory

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Theorem (Eskin, Margulis, Mozes — 1998)

If Q has signature  $p \ge 3$  and  $q \ge 1$ , then  $\mathcal{N}_Q(\varepsilon, T) \underset{T \to \infty}{\sim} Vol_n(\{ \mathbf{x} \in B(\mathbf{0}, T) : |Q(\mathbf{x})| < \varepsilon \}) \underset{T \to \infty}{\sim} c_Q \cdot \varepsilon \cdot T^{n-2}$ 



Alex Eskin, Grigori Margulis & Shahar Mozes

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The map *m* ∈ Z<sup>n</sup> → g ⋅ *m* ∈ g ⋅ Z<sup>n</sup> sends the integer lattice Z<sup>n</sup> onto the unimodular lattice Λ = g ⋅ Z<sup>n</sup>.



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- → One is considering quantitative versions of the generalised Oppenheim conjecture when the solutions are randomly drawn from a unimodular lattice.

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If Λ = g · Z<sup>n</sup>, the element g is uniquely defined in the space of unimodular lattices (identified with the quotient)
 X<sub>n</sub> = SL<sub>n</sub>(ℝ)/SL<sub>n</sub>(ℤ).

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   X<sub>n</sub> = SL<sub>n</sub>(ℝ)/SL<sub>n</sub>(Z).
- This quotient can be equipped with a natural (Haar) probability measure μ<sub>n</sub> (descending from SL<sub>n</sub>(ℝ)).

Recall :  $X_n = SL_n(\mathbb{R})/SL_n(\mathbb{Z})$ .

#### Theorem (Siegel — 1945)

For any  $f \in \mathbb{L}^1(\mathbb{R}^n)$ ,  $\int_{X_n} \left(\sum_{\lambda \in \Lambda} f(\lambda)\right) \cdot d\mu_n(\Lambda) = \int_{\mathbb{R}^n} f(\mathbf{x}) \cdot d\mathbf{x}.$ 

In particular, if  $A \subset \mathbb{R}^n$  is measurable and if  $f = \chi_A$ , then  $\mu_n (\Lambda \in X_n : \Lambda \cap A \neq \emptyset) \leq |A|$ .



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• Working slightly harder with (essentially)  $f = \chi_{A^c}$ :

Theorem (Athreya & Margulis — 2009)

If  $A \subset \mathbb{R}^n$  has positive measure, then

 $\mu_n (\Lambda \in X_n : \Lambda \cap A = \emptyset) \ll_n |A|^{-1}.$ 

Question 1': given  $\varepsilon > 0$ , determine, for **almost all**  $\mathfrak{g} \in SL_n(\mathbb{R})$ ,  $M_F(\varepsilon, \mathfrak{g}) = \min \{ \|\boldsymbol{m}\|_2 : 0 < |F(\mathfrak{g} \cdot \boldsymbol{m})| < \varepsilon \}.$ 

Step 1 : Let  $\varepsilon = 2^{-j}$ ,  $h : \mathbb{R}_+ \to \mathbb{R}_+$  be an increasing function and

 $\boldsymbol{A}(j) \ := \ \left\{ \boldsymbol{x} \in \mathbb{R}^n \ : \ \|\boldsymbol{x}\| \leq h\left(2^j\right) \text{ and } 0 < |\boldsymbol{F}(\boldsymbol{x})| < 2^{-j} \right\}.$ 

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$$\sum_{\substack{j=1\\ k \in \mathcal{S}}}^{\infty} \mu_n \left( \Lambda \in X_n \ : \ \Lambda \cap \mathcal{A}(j) = \emptyset \right) \ < \ \infty,$$
  
then  $M_F \left( (2^{-j}, \mathfrak{g}) \ll_{\mathfrak{g}} h \left( 2^j \right)$  for almost all  $\mathfrak{g} \in SL_n(\mathbb{R})$ ;

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Step 3 : From the Athreya-Margulis's Theorem, if

$$\sum_{j=1}^{\infty} |A(j)|^{-1} < \infty,$$
  
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Key Step : Setting  $A(j) := \left\{ \boldsymbol{x} \in \mathbb{R}^n : \|\boldsymbol{x}\| \le h\left(2^j\right) \text{ and } 0 < |\boldsymbol{F}(\boldsymbol{x})| < 2^{-j} \right\}, \text{ if}$   $\sum_{j=1}^{\infty} |A(j)|^{-1} < \infty,$ 

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Conclusion : The problem boils down to estimating the *volume* of the set  $\mathcal{S}_F(a,b) = \{ \mathbf{x} \in \mathbb{R}^n : \|\mathbf{x}\|_2 \le a \text{ and } |F(\mathbf{x})| < b \}$ for suitable values of a, b > 0.

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# Theorem (Rogers, 1956) Let $A \subset \mathbb{R}^n$ be measurable and let $M \ge 1$ . Then, $\mu_n(\{\Lambda \in X_n : |\#(\Lambda \cap A) - |A|| > M\}) \ll_n \frac{|A|}{M^2}.$



Claude Ambrose Rogers (1920-2005)

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Question 2': given  $\varepsilon > 0$ , determine, for **almost all**  $\mathfrak{g} \in SL_n(\mathbb{R})$ , the asymptotic behavior (as  $T \to \infty$ ) of the counting function  $\mathcal{N}_F(\varepsilon, T, \mathfrak{g}) = \# \{ \boldsymbol{m} \in \mathbb{Z}^n \setminus \{ \boldsymbol{0} \} : \|\boldsymbol{m}\|_2 \leq T \text{ and } |(F \circ \mathfrak{g})(\boldsymbol{m})| < \varepsilon \}.$ 

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Step 2 : From the Borel-Cantelli lemma and from Rogers' Theorem,  $\mathcal{N}_F(\varepsilon, T, \mathfrak{g}) \sim_{T \to \infty} c_{\mathfrak{g}} \cdot \left| A_F^{(\varepsilon)}(T) \right|$  for almost all  $\mathfrak{g} \in SL_n(\mathbb{R})$  if  $\sum_{T>1}^{\infty} \frac{\left| A_F^{(\varepsilon)}(T) \right|}{M_F^{(\varepsilon)}(T)^2} < \infty.$ 

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 $\mathcal{N}_{F}(\varepsilon, T, \mathfrak{g}) = \# \{ \boldsymbol{m} \in \mathbb{Z}^{n} \setminus \{ \boldsymbol{0} \} : \| \boldsymbol{m} \|_{2} \leq T \text{ and } |(F \circ \mathfrak{g})(\boldsymbol{m})| < \varepsilon \}.$ 

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Key Step : Setting  $A = A_F^{(\varepsilon)}(T) = \{ \mathbf{x} \in \mathbb{R}^n : \|\mathbf{x}\| \le T \text{ and } |F(\mathbf{x})| < \varepsilon \}$  if  $\sum_{T \ge 1}^{\infty} \frac{\left|A_F^{(\varepsilon)}(T)\right|}{M_F^{(\varepsilon)}(T)^2} < \infty, \quad \text{where} \quad M_F^{(\varepsilon)}(T) = o\left(\left|A_F^{(\varepsilon)}(T)\right|\right),$ then  $\mathcal{N}_F(\varepsilon, T, \mathfrak{g}) \sim_{T \to \infty} c_{\mathfrak{g}} \cdot \left|A_F^{(\varepsilon)}(T)\right|$  for almost all  $\mathfrak{g} \in SL_n(\mathbb{R}).$ 

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Conclusion : The problem boils down to estimating the *volume* of the set  $\mathcal{S}_F(a, b) = \{ \mathbf{x} \in \mathbb{R}^n : \|\mathbf{x}\|_2 \le a \text{ and } |F(\mathbf{x})| < b \}$ for suitable values of a, b > 0.



# Summary of the goal

Given *a*, *b* > 0, let

 $\mathcal{S}_{F}(a,b) = \{ \boldsymbol{x} \in \mathbb{R}^{n} : \|\boldsymbol{x}\|_{2} \leq a \text{ and } |F(\boldsymbol{x})| < b \}.$ 

- Taking a = T and b = b(T) a function of a, define  $\mathcal{S}_F(T, b(T)) = \{ \mathbf{x} \in \mathbb{R}^n : \|\mathbf{x}\|_2 \le T \text{ and } |F(\mathbf{x})| < b(T) \}.$
- Goal : To determine the volume of  $S_F(T, b(T))$  as  $T \to \infty$ .
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This will solve the problem of generalising the Oppenheim Conjecture from a metric standpoint.

# Some semialgebraic sets



$$(2x^{2} + y^{2} + z^{2} - 1)^{3} - \frac{x^{2}z^{3}}{10} - y^{2}z^{3} = 0$$

The Hessian of the Cayley cubic

# Volume estimate

Goal 1 : To determine the volume of the set  $\mathcal{S}_F(T, b(T)) = \{ \mathbf{x} \in \mathbb{R}^n : ||\mathbf{x}||_2 \le T \text{ and } |F(\mathbf{x})| < b(T) \}.$ 

Theorem (A. & Marmon, 2023+)

Let  $b_F(-s) \in \mathbb{Q}[s]$  be the Sato-Bernstein polynomial associated to the homogeneous form  $F(\mathbf{x}) \in \mathbb{R}[\mathbf{x}]$ . It admits a root  $r_F \in \mathbb{Q} \cap (0, n/d]$  with multiplicity  $m_F \ge 1$  such that

$$Vol_n(\mathcal{S}_F(T, b(T))) \underset{T \to \infty}{\sim} c_F \cdot T^n \cdot \left(\frac{b(T)}{T^d}\right)^{r_F} \cdot \left|\log\left(\frac{b(T)}{T^d}\right)\right|^{m_F - 1}$$

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# On the Sato-Bernstein theory

### Theorem (Bernstein – 1971, Satō – 1972)

There exists a nonzero polynomial  $B(s) \in \mathbb{R}[s]$  and a differential operator  $\mathcal{D}(\mathbf{x}, s, \partial) \in \mathbb{R} \langle \mathbf{x}, s, \partial \rangle$  such that

 $B(s) \cdot F(\mathbf{x})^{s} = \mathcal{D}(\mathbf{x}, \mathbf{s}, \partial) F(\mathbf{x})^{s+1}.$ 



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• The Sato-Bernstein polynomial  $b_F(s) \in \mathbb{Q}[s]$  of  $F(\mathbf{x}) \in \mathbb{R}[x]$  is the smallest degree monic polynomial satisfying such a relation.

Recall :  $b_F(s) \cdot F(\mathbf{x})^s = \mathcal{D}(\mathbf{x}, s, \partial)F(\mathbf{x})^{s+1}$ .

• Example 1 : when  $F_1(\mathbf{x}) = \|\mathbf{x}\|^2 = \sum_{i=1}^n x_i^2$ ,

$$\sum_{i=1}^{n} \partial_i^2 F_1(\boldsymbol{x})^{s+1} = 4 \cdot \underbrace{(s+1) \cdot \left(s+\frac{n}{2}\right)}_{=b_{F_1}(s)} \cdot F_1(\boldsymbol{x})^s.$$

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$$\left(\prod_{i=1}^n \partial_i^{k_i}\right) F_2(\boldsymbol{x})^{s+1} = \left(\prod_{i=1}^n k_i^{k_i}\right) \cdot \underbrace{\prod_{i=1}^n \prod_{j=1}^{k_i} \left(s + \frac{j}{k_i}\right)}_{=b_{F_2}(s)} \cdot F_2(\boldsymbol{x})^s.$$

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#### Theorem (A. & Marmon, 2023+)

For  $r_F \in \mathbb{Q} \cap (0, n/d]$  root of  $b_F(-s) \in \mathbb{Q}[s]$  with multiplicity  $m_F$ ,

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In particular, the poles of  $\zeta_F$  are roots of  $b_F(s)$ .

Recall : 
$$\langle \zeta_F(s), \psi \rangle = \int_{\mathbb{R}^n} \psi(\mathbf{x}) \cdot F(\mathbf{x})^s \cdot d\mathbf{x}.$$

Theorem (A. & Marmon, 2023+) For  $r_F \in \mathbb{Q} \cap (0, n/d]$  root of  $b_F(-s) \in \mathbb{Q}[s]$  with multiplicity  $m_F$ ,  $Vol_n(\mathcal{S}_F(T, b(T))) \underset{T \to \infty}{\sim} c_F \cdot T^n \cdot \left(\frac{b(T)}{T^d}\right)^{r_F} \cdot \left|\log\left(\frac{b(T)}{T^d}\right)\right|^{m_F-1}$ .

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Step 2 : *Tauberian Theorem* : if  $\rho_F$  is the opposite of the largest pole of  $\zeta_F$ , with order  $\mu_F \ge 1$ , then the volume estimate holds with  $(\rho_F, \mu_F)$  in place of  $(r_F, m_F)$ .

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▶ If  $F(\mathbf{x}_0) = 0$  and  $\nabla F(\mathbf{x}_0) = \mathbf{0}$ , then there exists an analytic change of variables  $\varphi$  in a suitable neighbourhood of  $\mathbf{x}_0$  such that  $F(\varphi(u_1, \ldots, u_n)) = \prod_{i=1}^n u_i^{k_i}$ .

# Back to Question 1'

### Question 1': given $\varepsilon > 0$ , determine, for **almost all** $\mathfrak{g} \in SL_n(\mathbb{R})$ , $M_F(\varepsilon, \mathfrak{g}) = \min \{ \|\boldsymbol{m}\|_2 : 0 < |F(\mathfrak{g} \cdot \boldsymbol{m})| < \varepsilon \}.$

The problem boils down to estimating the *volume* of the set  $S_F(a, b) = \{ \mathbf{x} \in \mathbb{R}^n : \|\mathbf{x}\|_2 \le a \text{ and } |F(\mathbf{x})| < b \}$  for suitable values of a, b > 0.

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# Back to Question 1'

Question 1': given  $\varepsilon > 0$ , determine, for **almost all**  $\mathfrak{g} \in SL_n(\mathbb{R})$ ,  $M_F(\varepsilon, \mathfrak{g}) = \min \{ \|\boldsymbol{m}\|_2 : 0 < |F(\mathfrak{g} \cdot \boldsymbol{m})| < \varepsilon \}.$ 



• One can take  $h(x) = x^{\alpha}$  for any  $\alpha > (r_F + 1)/(n - r_F d)$ when  $r_F < n/d$ .

# Back to Question 2'

Question 2': given  $\varepsilon > 0$ , determine, for almost all  $\mathfrak{g} \in SL_n(\mathbb{R})$ , the asymptotic behavior (as  $T \to \infty$ ) of the counting function  $\mathcal{N}_F(\varepsilon, T, \mathfrak{g}) = \# \{ \boldsymbol{m} \in \mathbb{Z}^n \setminus \{ \mathbf{0} \} : \| \boldsymbol{m} \|_2 \le T \text{ and } |(F \circ \mathfrak{g})(\boldsymbol{m})| < \varepsilon \}$ 

The problem boils down to estimating the *volume* of the set  $S_F(a, b) = \{ \mathbf{x} \in \mathbb{R}^n : \|\mathbf{x}\|_2 \le a \text{ and } |F(\mathbf{x})| < b \}$  for suitable values of a, b > 0.

#### Theorem (A. & Marmon, 2023+)

For  $r_F \in \mathbb{Q} \cap (0, n/d]$  root of  $b_F(-s) \in \mathbb{Q}[s]$  with multiplicity  $m_F$ ,  $Vol_n(\mathcal{S}_F(T, b(T))) \underset{T \to \infty}{\sim} c_F \cdot T^n \cdot \left(\frac{b(T)}{T^d}\right)^{r_F} \cdot \left|\log\left(\frac{b(T)}{T^d}\right)\right|^{m_F-1}$ .
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#### Theorem (A. & Marmon, 2023+)

If  $r_F < n/d$ , then for almost every  $g \in SL_n(\mathbb{R})$ ,

$$\mathcal{N}_{\mathsf{F}}(\varepsilon, T, \mathfrak{g}) \underset{T o \infty}{\sim} c_{\mathsf{F}}(\mathfrak{g}) \cdot T^{n-r_{\mathsf{F}}d} \cdot \left(\log T\right)^{m_{\mathsf{F}}-1} \cdot \varepsilon^{r}.$$



#### Problem

Given  $\tau > 0$ , determine the Hausdorff dimension of the set

$$W_3(\tau) = \left\{ x \in \mathbb{R} : \left| x - \frac{p}{q} \right| < \frac{1}{q^{\tau}} \quad and \quad \left| x^3 - \frac{r}{q} \right| < \frac{1}{q^{\tau}} \quad i.o. \right\}.$$

• More generally, fix  $P(x) \in \mathbb{R}[x]$  of degree  $d \ge 3$  and define

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Question 3 : determine the Hausdorff dimension of the set  $W_P(\tau)$ .

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Question 3 : determine the Hausdorff dimension of the set  $W_P(\tau)$ .

Recall: 
$$W_P(\tau) = \{x \in \mathbb{R} : \max\{|x - p/q|, |P(x) - r/q|\} < q^{-\tau} \text{ i.o.}\}$$

• From Dirichlet's Theorem, for any  $(x, y) \in \mathbb{R}^2$ ,

$$\max\left\{\left|x-\frac{p}{q}\right|, \left|y-\frac{r}{q}\right|\right\} < \frac{1}{q^{3/2}} \qquad \text{i.o.}$$



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Theorem (Beresnevich, Dickinson, Velani — 2007)

Let I be an interval and let  $\tau \in (3/2, 2)$ . Assume that  $f \in C^3(I)$  is such that dim  $\{x \in I : f''(x) = 0\} \le (3 - \tau)/\tau$ . Then,

$$\dim W_f(\tau) = \frac{3-\tau}{\tau}$$



Victor Beresnevich, Detta Dickinson & Sanju Velani





**Recall:**  $W_P(\tau) = \{x \in \mathbb{R} : \max\{|x - p/q|, |P(x) - r/q|\} < q^{-\tau} \text{ i.o.}\}$ 

Assume that |x − p/q|, |P(x) − r/q| < q<sup>-τ</sup> for some x in a bounded interval and some Q/2 ≤ q ≤ Q. By a Taylor expansion :

$$P\left(\frac{p}{q}\right) = P\left(x + \left(\frac{p}{q} - x\right)\right)$$
$$= P(x) + O\left(|x - p/q|\right) = \frac{r}{q} + O\left(\frac{1}{q^{\tau}}\right)$$

• Thus, one needs to count the number of integer solutions to the homogeneous form inequality

$$|F_P(p,q,r)| \ll Q^{d-\tau}$$
 and  $\max\{|p|,|q|,|r|\} \ll Q,$   
where  $F_P(p,q,r) = q^d \cdot P\left(\frac{p}{q}\right) - r \cdot q^{d-1}.$ 

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Conclusion : The problem boils down to estimating the *number of integer points* in the set

 $\mathcal{S}_F(\boldsymbol{a}, \boldsymbol{b}) = \{ \boldsymbol{x} \in \mathbb{R}^n : \|\boldsymbol{x}\|_2 \leq \boldsymbol{a} \text{ and } |F(\boldsymbol{x})| < \boldsymbol{b} \}$ 

for suitable values of a, b > 0 (when n = 3).

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#### Summary of the goal

Given *a*, *b* > 0, let

 $\mathcal{S}_{F}(a,b) = \{ \boldsymbol{x} \in \mathbb{R}^{n} : \|\boldsymbol{x}\|_{2} \leq a \text{ and } |F(\boldsymbol{x})| < b \}.$ 

• Taking a = T and b = b(T) a function of a, define

 $\mathcal{S}_F(T, b(T)) = \{ \boldsymbol{x} \in \mathbb{R}^n : \|\boldsymbol{x}\|_2 \leq T \text{ and } |F(\boldsymbol{x})| < b(T) \}.$ 

- Goal : To determine  $\# (\mathbb{Z}^n \cap S_F(T, b(T)))$  as  $T \to \infty$ .
  - This is to tackle the problem of simultaneous approximation on polynomial curves.

Goal 2 : To determine  $\# (\mathbb{Z}^n \cap S_F(T, b(T)))$  as  $T \to \infty$ , where  $S_F(T, b(T)) = \{ \mathbf{x} \in \mathbb{R}^n : ||\mathbf{x}||_2 \le T \text{ and } |F(\mathbf{x})| < b(T) \}.$ Principle :

$$\#\left(\mathbb{Z}^n \cap \mathcal{S}_F(T, b(T))\right) = \sum_{\boldsymbol{k} \in \mathbb{Z}^n} \chi_{B_2(\boldsymbol{0}, 1)}\left(\frac{\boldsymbol{k}}{T}\right) \cdot \chi_{[-1, 1]}\left(\frac{F(\boldsymbol{k})}{b(T)}\right)$$

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$$\# \left( \mathbb{Z}^n \cap \mathcal{S}_F \left( T, b(T) \right) \right) = \sum_{\boldsymbol{k} \in \mathbb{Z}^n} \chi_{B_2(\boldsymbol{0},1)} \left( \frac{\boldsymbol{k}}{T} \right) \cdot \chi_{[-1,1]} \left( \frac{F(\boldsymbol{k})}{b(T)} \right)$$

$$\leq \sum_{\boldsymbol{k} \in \mathbb{Z}^n} \xi \left( \frac{\boldsymbol{k}}{T} \right) \cdot \psi \left( \frac{F(\boldsymbol{k})}{b(T)} \right),$$

where  $\xi$  and  $\psi$  are smooth and compactly supported, bounding from above the characteristic functions.



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$$\begin{aligned} \# \left( \mathbb{Z}^{n} \cap \mathcal{S}_{F} \left( T, b(T) \right) \right) &= \sum_{\boldsymbol{k} \in \mathbb{Z}^{n}} \chi_{B_{2}(\boldsymbol{0},1)} \left( \frac{\boldsymbol{k}}{T} \right) \cdot \chi_{[-1,1]} \left( \frac{F(\boldsymbol{k})}{b(T)} \right) \\ &\leq \sum_{\boldsymbol{k} \in \mathbb{Z}^{n}} \xi \left( \frac{\boldsymbol{k}}{T} \right) \cdot \psi \left( \frac{F(\boldsymbol{k})}{b(T)} \right) \\ &= \sum_{\boldsymbol{k} \in \mathbb{Z}^{n}} \left( \xi \left( \frac{\cdot}{T} \right) \cdot \psi \left( \frac{F(\cdot)}{b(T)} \right) \right) (\boldsymbol{k}) \end{aligned}$$

from the Poisson Summation formula, where

$$\left(\xi\left(\frac{\cdot}{T}\right)\cdot\psi\left(\frac{F(\cdot)}{b(T)}\right)\right): \mathbf{y}\in\mathbb{R}^{n}\mapsto\int_{\mathbb{R}^{n}}\xi\left(\frac{\mathbf{u}}{T}\right)\cdot\psi\left(\frac{F(\mathbf{u})}{b(T)}\right)\cdot\boldsymbol{e}(\mathbf{y}\cdot\mathbf{u})\cdot\boldsymbol{du}.$$

Goal 2: To determine  $\# (\mathbb{Z}^n \cap \mathcal{S}_F(T, b(T)))$  as  $T \to \infty$ , where  $\mathcal{S}_F(T, b(T)) = \{ \mathbf{x} \in \mathbb{R}^n : \|\mathbf{x}\|_2 \le T \text{ and } |F(\mathbf{x})| < b(T) \}.$ 

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$$\#\left(\mathbb{Z}^n \cap \mathcal{S}_F(T, b(T))\right) \leq \sum_{\mathbf{k} \in \mathbb{Z}^n} \left(\xi\left(\frac{\cdot}{T}\right) \cdot \widehat{\psi\left(\frac{F(\cdot)}{b(T)}\right)}\right)(\mathbf{k})$$

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= \left(\xi\left(\frac{\cdot}{T}\right) \cdot \psi\left(\frac{F(\cdot)}{b(T)}\right)\right) (\boldsymbol{0}) \\
+ \left(\sum_{1 \leq ||\boldsymbol{k}|| \leq M} + \sum_{||\boldsymbol{k}|| > M}\right) \left(\xi\left(\frac{\cdot}{T}\right) \cdot \psi\left(\frac{F(\cdot)}{b(T)}\right)\right) (\boldsymbol{k}).$$

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= \left(\xi\left(\frac{\cdot}{T}\right) \cdot \psi\left(\frac{F(\cdot)}{b(T)}\right)\right) (\boldsymbol{0}) \qquad \asymp \operatorname{Vol}_{n}\left(\mathcal{S}_{F}\left(T, b(T)\right)\right) \\
+ \left(\sum_{1 \leq ||\boldsymbol{k}|| \leq M} + \sum_{||\boldsymbol{k}|| > M}\right) \left(\xi\left(\frac{\cdot}{T}\right) \cdot \psi\left(\frac{F(\cdot)}{b(T)}\right)\right) (\boldsymbol{k}).$$

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= \left(\xi\left(\frac{\cdot}{T}\right) \cdot \psi\left(\frac{F(\cdot)}{b(T)}\right)\right) (\boldsymbol{0}) \qquad \text{Fast decay} \\
+ \left(\sum_{1 \leq \|\boldsymbol{k}\| \leq M} + \sum_{\|\boldsymbol{k}\| > M}\right) \left(\xi\left(\frac{\cdot}{T}\right) \cdot \psi\left(\frac{F(\cdot)}{b(T)}\right)\right) (\boldsymbol{k}).$$

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$$\begin{split} &\#\left(\mathbb{Z}^{n}\cap\mathcal{S}_{F}\left(T,b(T)\right)\right) \leq \sum_{\boldsymbol{k}\in\mathbb{Z}^{n}}\left(\xi\left(\frac{\cdot}{T}\right)\widehat{\cdot\psi\left(\frac{F(\cdot)}{b(T)}\right)}\right)(\boldsymbol{k}) \\ &\ll \operatorname{Vol}_{n}\left(\mathcal{S}_{F}\left(T,b(T)\right)\right) + \sum_{1\leq \|\boldsymbol{k}\|\leq M}\left|\left(\xi\left(\frac{\cdot}{T}\right)\widehat{\cdot\psi\left(\frac{F(\cdot)}{b(T)}\right)}\right)(\boldsymbol{k})\right|. \end{split}$$

Key step : to estimate the decay of  $\int_{\mathbb{R}^n} \xi\left(\frac{\boldsymbol{u}}{T}\right) \cdot \psi\left(\frac{F(\boldsymbol{u})}{b(T)}\right) \cdot \boldsymbol{e}(\boldsymbol{k} \cdot \boldsymbol{u}) \cdot d\boldsymbol{u} \sim \int_{\mathbb{R}^n} \chi_{B_2}\left(\frac{\boldsymbol{u}}{T}\right) \cdot \chi_{[-1,1]}\left(\frac{F(\boldsymbol{u})}{b(T)}\right) \cdot \boldsymbol{e}(\boldsymbol{k} \cdot \boldsymbol{u}) \cdot d\boldsymbol{u}$ 



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Formalisation: decompose  $\mathbf{k} = \lambda \cdot \mathbf{v}$  with  $\lambda = \|\mathbf{k}\| > 0$  and  $\mathbf{v} \in \mathbb{S}^{n-1}$  and make the "change of variables"

 $\boldsymbol{u} = (u_1, \ldots, u_n) \mapsto (u_1, \ldots, u_{n-1}, \boldsymbol{v} \cdot \boldsymbol{u}) = (u_1, \ldots, u_{n-1}, \sigma)$ 

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$$\boldsymbol{u} = (u_1, \ldots, u_n) \mapsto (u_1, \ldots, u_{n-1}, \boldsymbol{v} \cdot \boldsymbol{u}) = (u_1, \ldots, u_{n-1}, \sigma)$$

Conclusion : one obtains

$$\int_{\mathbb{R}^n} \xi\left(\frac{\boldsymbol{u}}{T}\right) \cdot \psi\left(\frac{F(\boldsymbol{u})}{b(T)}\right) \cdot \boldsymbol{e}\left(\boldsymbol{k} \cdot \boldsymbol{u}\right) \cdot d\boldsymbol{u} = \int_{\mathbb{R}} \underbrace{\mu\left(\frac{b(T)}{T^d}, \boldsymbol{v}, \sigma\right)}_{\text{Gel'fand-Leray form}} \cdot \boldsymbol{e}\left(\lambda\sigma\right) \cdot d\sigma$$

Key step : to estimate the decay of  $\int_{\mathbb{R}^n} \xi\left(\frac{\boldsymbol{u}}{T}\right) \cdot \psi\left(\frac{F(\boldsymbol{u})}{b(T)}\right) \cdot \boldsymbol{e}\left(\boldsymbol{k} \cdot \boldsymbol{u}\right) \cdot d\boldsymbol{u} = \int_{\mathbb{R}} \underbrace{\mu\left(\frac{b(T)}{T^d}, \boldsymbol{v}, \sigma\right)}_{e(\lambda\sigma) \cdot d\sigma} \cdot \boldsymbol{e}(\lambda\sigma) \cdot d\sigma$ 





A semialgebraic domain defined by  $|F(\mathbf{x})| \le b(T)$  and  $\|\mathbf{x}\|_2 \le T$ .

Faustin ADICEAM

Algebra, Fractal Geometry & Metric Number Theory

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Slices  $\mathbf{v} \cdot \mathbf{x} = \sigma$  of a semialgebraic domain defined by  $|F(\mathbf{x})| \le b(T)$  and  $\|\mathbf{x}\|_2 \le T$ .

Faustin ADICEAM

Algebra, Fractal Geometry & Metric Number Theory
### Counting lattice points : geometric tomography

Key step : to estimate the decay of  $\int_{\mathbb{R}^n} \xi\left(\frac{\boldsymbol{u}}{T}\right) \cdot \psi\left(\frac{F(\boldsymbol{u})}{b(T)}\right) \cdot \boldsymbol{e}\left(\boldsymbol{k} \cdot \boldsymbol{u}\right) \cdot d\boldsymbol{u} = \int_{\mathbb{R}} \underbrace{\mu\left(\frac{b(T)}{T^d}, \boldsymbol{v}, \sigma\right)}_{\boldsymbol{v}} \cdot \boldsymbol{e}\left(\lambda\sigma\right) \cdot d\sigma$ 



 Properties of the Gel'fand–Leray form :

Gel'fand-Leray form

• the number of intervals of monotonicity of the map  $\sigma \mapsto \mu(\varepsilon, \mathbf{V}, \sigma)$  is bounded above uniformly in the direction **V**.

### Counting lattice points : geometric tomography





## Counting lattice points : geometric tomography

Key step : to estimate the decay of  $\int_{\mathbb{R}^n} \xi\left(\frac{\boldsymbol{u}}{T}\right) \cdot \psi\left(\frac{F(\boldsymbol{u})}{b(T)}\right) \cdot \boldsymbol{e}\left(\boldsymbol{k} \cdot \boldsymbol{u}\right) \cdot d\boldsymbol{u} = \int_{\mathbb{R}} \underbrace{\mu\left(\frac{b(T)}{T^d}, \boldsymbol{v}, \sigma\right)}_{\boldsymbol{u}} \cdot \boldsymbol{v} \cdot$ 



-2

-4

$$\int_{\mathbb{R}} \underbrace{\mu\left(\frac{b(T)}{T^{d}}, \mathbf{v}, \sigma\right)}_{\text{Gel'fand-Leray form}} \cdot \boldsymbol{e}\left(\lambda\sigma\right) \cdot \boldsymbol{d}\sigma$$

 Properties of the Gel'fand–Leray form : for some α<sub>F</sub> ≥ 0 (a semialgebraic level of flatness) and β<sub>F</sub> ≥ 0, for ε > 0 small enough,

 $\max_{\substack{\sigma \in \mathbb{R} \\ \mathbf{v} \in \mathbb{S}^{n-1}}} \mu(\varepsilon, \mathbf{v}, \sigma) \asymp \varepsilon^{\alpha_F} \cdot |\log \varepsilon|^{\beta_F}.$ 

 α<sub>F</sub> determines the decay of the Fourier coefficient.

Goal 2: To determine  $\# (\mathbb{Z}^n \cap \mathcal{S}_F (T, b(T)))$  as  $T \to \infty$ , where  $\mathcal{S}_F (T, b(T)) = \{ \mathbf{x} \in \mathbb{R}^n : \|\mathbf{x}\|_2 \le T \text{ and } |F(\mathbf{x})| < b(T) \}.$ 

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Principle : Fixing a free parameter  $M \ge 1$ ,

$$\begin{aligned} &\#\left(\mathbb{Z}^{n}\cap\mathcal{S}_{F}\left(T,b(T)\right)\right) \leq \sum_{\boldsymbol{k}\in\mathbb{Z}^{n}}\left(\xi\left(\frac{\cdot}{T}\right)\cdot\psi\left(\frac{F(\cdot)}{b(T)}\right)\right)(\boldsymbol{k}) \\ &\ll\underbrace{\operatorname{Vol}_{n}\left(\mathcal{S}_{F}\left(T,b(T)\right)\right)}_{\asymp T^{n}\cdot\left(\frac{b(T)}{T^{d}}\right)^{r_{F}}\cdot\left|\log\left(\frac{b(T)}{T^{d}}\right)\right|^{m_{F}-1}} + \sum_{1\leq ||\boldsymbol{k}||\leq M}\left|\left(\xi\left(\frac{\cdot}{T}\right)\cdot\psi\left(\frac{F(\cdot)}{b(T)}\right)\right)(\boldsymbol{k})\right|,
\end{aligned}$$

Goal 2: To determine  $\# (\mathbb{Z}^n \cap \mathcal{S}_F(T, b(T)))$  as  $T \to \infty$ , where  $\mathcal{S}_F(T, b(T)) = \{ \mathbf{x} \in \mathbb{R}^n : \|\mathbf{x}\|_2 \le T \text{ and } |F(\mathbf{x})| < b(T) \}.$ 

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where the decay rate of the Fourier coefficients is, uniformly in *k*, dictated by the semialgebraic level of flatness  $\alpha_F > 0$ .

Goal 2: To determine  $\# (\mathbb{Z}^n \cap \mathcal{S}_F(T, b(T)))$  as  $T \to \infty$ , where  $\mathcal{S}_F(T, b(T)) = \{ \mathbf{x} \in \mathbb{R}^n : \|\mathbf{x}\|_2 \le T \text{ and } |F(\mathbf{x})| < b(T) \}.$ 

#### Problem (Sarnak — 1997)

Provided that the zero set F = 0 is sufficiently curved, there exists  $\delta > 0$  such that # $(\mathbb{Z}^n \cap S_F(T, b(T))) \ll Vol_n(S_F(T, b(T)))) + T^{n-1-\delta}$ .

**Recall**:  $Vol_n(\mathcal{S}_F(T, b(T)))) \asymp T^n \cdot \left(\frac{b(T)}{T^d}\right)^{r_F} \cdot \left|\log\left(\frac{b(T)}{T^d}\right)\right|^{m_F-1}$ .



Peter Sarnak

Goal 2: To determine  $\# (\mathbb{Z}^n \cap \mathcal{S}_F (T, b(T)))$  as  $T \to \infty$ , where  $\mathcal{S}_F (T, b(T)) = \{ \mathbf{x} \in \mathbb{R}^n : \|\mathbf{x}\|_2 \le T \text{ and } |F(\mathbf{x})| < b(T) \}.$ 

#### Problem (Sarnak — 1997)

Provided that the zero set F = 0 is sufficiently curved, there should exist  $\delta > 0$  such that  $\# (\mathbb{Z}^n \cap S_F (T, b(T))) \ll Vol_n (S_F (T, b(T))) + T^{n-1-\delta}.$ 

$$\text{Recall}: Vol_n\left(\mathcal{S}_F\left(T, b(T)\right)\right)) \asymp T^n \cdot \left(\frac{b(T)}{T^d}\right)^{r_F} \cdot \left|\log\left(\frac{b(T)}{T^d}\right)\right|^{m_F - 1}$$

Theorem (A. & Marmon, 2023+ — weak form)

Sarnak's claim holds whenever

$$\alpha_F > \max\left\{r_F - 1, n - 1 - r_F\right\}.$$



## Approximation on polynomial curves : what is known



Question 3 : when  $\tau \ge 2$ , determine the Hausdorff dimension of the set

$$W_P(\tau) = \left\{ x \in \mathbb{R} : \left| x - \frac{p}{q} \right| < \frac{1}{q^{\tau}} \text{ and } \left| P(x) - \frac{r}{q} \right| < \frac{1}{q^{\tau}} \text{ i.o.} \right\}.$$

Theorem (A. & Marmon, 2023+ — weak form)

When  $\rho_F > \max\{r_F - 1, n - 1 - r_F\}$ , there exists  $\delta > 0$  such that  $\#(\mathbb{Z}^n \cap S_F(T, b(T))) \ll \operatorname{Vol}_n(S_F(T, b(T)))) + T^{n-1-\delta}$ .

$$\operatorname{\mathsf{Recall}}: \operatorname{\mathit{Vol}}_n(\mathcal{S}_F(T, b(T)))) \asymp T^n \cdot \left(\frac{b(T)}{T^d}\right)^{r_F} \cdot \left|\log\left(\frac{b(T)}{T^d}\right)\right|^{m_F - 1}$$

Expectation : Take n = 3 and  $b(T) = T^{d}$ 

• Over any compact domain where  $P''(x) \neq 0$ , one has  $r_F = 1$  and  $\delta > 1$ .

$$\dim W_{P}(\tau) \begin{cases} = 1 & \text{when } \tau \leq 3/2; \\ = (3 - \tau)/\tau & \text{when } 3/2 \leq \tau < 2; \\ = (3 - \tau)/\tau & \text{when } 2 \leq \tau < 1 + \delta; \\ \leq 2 - \delta & \text{when } 1 + \delta \leq \tau. \end{cases}$$

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When  $\rho_F > \max\{r_F - 1, n - 1 - r_F\}$ , there exists  $\delta > 0$  such that  $\#(\mathbb{Z}^n \cap \mathcal{S}_F(T, b(T))) \ll \operatorname{Vol}_n(\mathcal{S}_F(T, b(T))) + T^{n-1-\delta}$ .

Recall : 
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Expectation : Take n = 3 and  $b(T) = T^{a}$ 

Over any compact domain where P''(x) ≠ 0, one has r<sub>F</sub> = 1 and δ > 1.

$$\dim W_{\mathcal{P}}(\tau) \begin{cases} = 1 & \text{when } \tau \leq 3/2; \\ = (3-\tau)/\tau & \text{when } 3/2 \leq \tau < 2; \\ = (3-\tau)/\tau & \text{when } 2 \leq \tau < 1+\delta; \\ \leq 2-\delta & \text{when } 1+\delta \leq \tau. \end{cases}$$

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Expectation : Take n = 3 and  $b(T) = T^{d-\tau}$ .

• Over any compact domain where  $P''(x) \neq 0$ , one has  $r_F = 1$  and  $\delta > 1$ .

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### To conclude

