

# MELL proof nets

## Part 1

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# A brief recap of MLL proof nets

# Multiplicative proof structures

## Definition

A **multiplicative proof structure** is:

- ▶ a (directed, acyclic, multi-) graph built on the nodes



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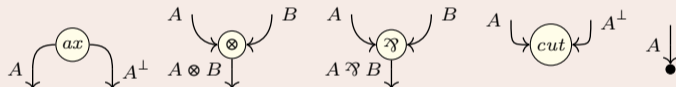
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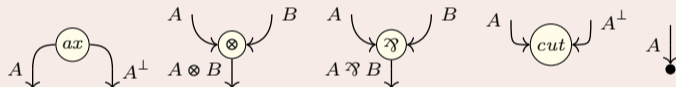
- ▶ an ordering of the two input edges of each  $\otimes$  and  $\otimes$  (one left, one right)
- ▶ a labelling of edges with MLL formulas, compatible with typing rules

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- ▶ an ordering of the two input edges of each  $\otimes$  and  $\wp$  (one left, one right)
- ▶ a labelling of edges with MLL formulas, compatible with typing rules
- ▶ a linear ordering of the conclusions

# Translation of proofs

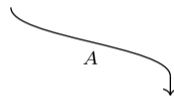
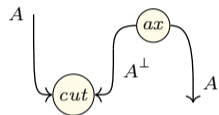
$$\frac{}{A, A^\perp} (ax) \mapsto \begin{array}{c} \textcircled{ax} \\ \swarrow \quad \searrow \\ \bullet \quad \bullet \end{array}$$

$$\frac{\pi \quad \pi'}{\Gamma, \Delta, A \otimes B} (\otimes) \mapsto \begin{array}{c} \boxed{\pi} \quad \boxed{\pi'} \\ \swarrow \quad \searrow \\ \textcircled{\otimes} \\ \downarrow \\ A \otimes B \end{array}$$

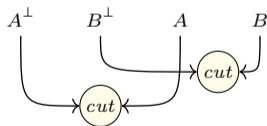
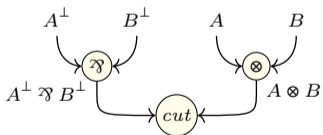
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# Cut elimination in multiplicative proof structures

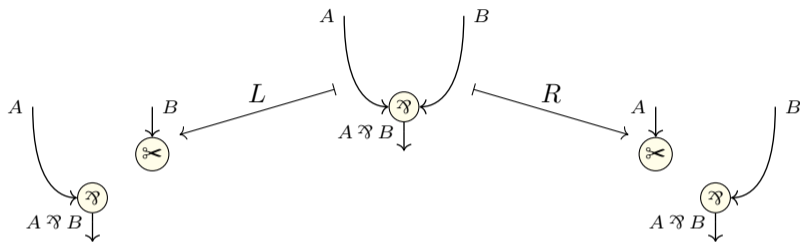


*provided the in/out edges do not coincide*





# Switchings and proof nets



## Definition

- ▶ A **switching**  $\sigma$  of a structure  $S$ , is the choice of  $L$  or  $R$  for each  $\textcircled{\sigma}$  of  $S$ .
- ▶ The **switching graph**  $S_\sigma$  is obtained by replacing each  $\textcircled{\sigma}$  accordingly.
- ▶ A **proof net** is a proof structure whose switching graphs are all (undirected) acyclic.
- ▶ A **connected proof net** is a proof net whose switching graphs have exactly one (undirected) connected component.

# Multiplicative proof nets

In proof nets, each cut can be eliminated.

## Theorem

*Proof nets (resp. connected proof nets) are stable under cut elimination.*

## Theorem

*Cut elimination is confluent and strongly normalizing on proof nets.*

## Theorem

*Connected proof nets are exactly the translations of proofs.*

## A warm-up: MLL with units

# Units

MLL with units:

$$A, B, C, \dots ::= X \mid X^\perp \mid A \otimes B \mid A \wp B \mid \mathbf{1} \mid \perp$$

$$\mathbf{1}^\perp := \perp \quad \perp^\perp := \mathbf{1}$$

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$$\frac{}{\mathbf{1}} \text{ (1)}$$

$$\frac{\Gamma}{\Gamma, \perp} (\perp)$$

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$$\frac{}{\mathbf{1}} (\mathbf{1}) \quad \mapsto \quad \begin{array}{c} \textcircled{\mathbf{1}} \\ \downarrow \\ \bullet \end{array} \quad \frac{\pi}{\Gamma, \perp} (\perp) \quad \mapsto \quad \boxed{\pi} \quad \begin{array}{c} \textcircled{\perp} \\ \downarrow \\ \bullet \end{array}$$

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Breaks connectedness!



# Option 1: restoring connectedness

## Definition

▶ A **multiplicative proof structure with jumps** is:

▶ a proof structure with units

▶ a jump from each  $\perp$  to some node:

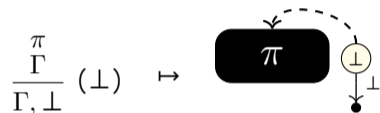


▶ Switching graphs include jumps as edges.

▶ A **connected proof net with jumps** is a proof structure with jumps, *whose switching graphs are acyclic and connected.*

# Translation of proof trees

In the translation of a proof, we can jump to an arbitrary node:



## Lemma

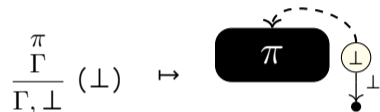
*Any translation of a proof tree is a connected proof net with jumps.*

**Proof.** Same as without units: attaching a new node with a jump does not create cycles, and does not change the number of connected components. □

What about the converse?

# Translation of proof trees

In the translation of a proof, we can jump to an arbitrary node:



## Lemma

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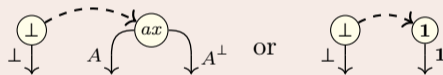
What about the converse? Easier with restricted jumps.

# Initial jumps

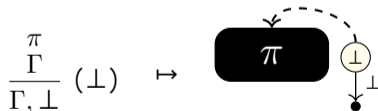
## Definition

A *multiplicative proof structure with initial jumps* is:

- ▶ a proof structure with units
- ▶ a jump from each  $\perp$  to some initial node:



- ▶ In the translation:



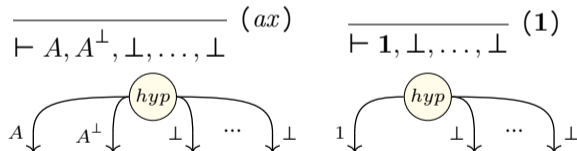
we can always jump to an initial node.

# Sequentialization with initial jumps

## Theorem

*A connected proof net with initial jumps is always the translation of a proof.*

**Proof.** Geometrically, this is the same as sequentialization without units, but with generalized “axioms”:



# Sequentialization with jumps

## Lemma

*For each connected proof net with jumps, there is a connected proof net with initial jumps with the same underlying proof structure.*

**Proof.** Move the target of jumps up. □

## Theorem

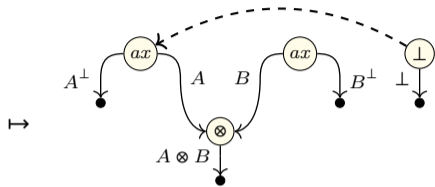
*A proof structure is the translation of a proof tree iff it can be equipped with jumps to form a connected proof net with jumps.*

(And we can require jumps to be initial.)

# Jumps are not canonical

► Even initial ones:

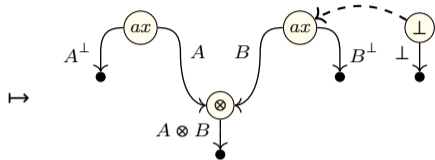
$$\frac{\frac{}{A^\perp, A} (ax) \quad \frac{}{B, B^\perp} (ax)}{\frac{}{A^\perp, A \otimes B, B^\perp} (\otimes)}{\frac{}{A^\perp, A \otimes B, B^\perp, \perp} (\perp)}$$



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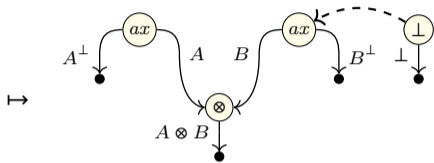




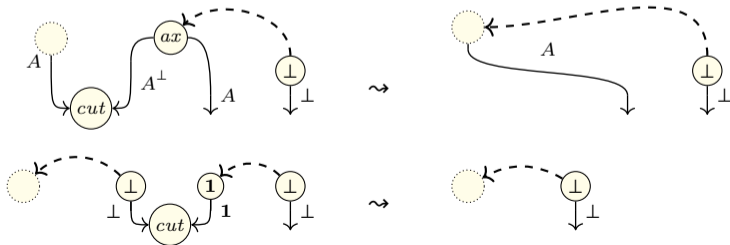
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- ▶ Cut elimination requires re-routing jumps:



## Option 2: acyclicity only

The mix rules:

$$\frac{}{\varepsilon} (mix_0) \mapsto \emptyset \quad \frac{\frac{\pi}{\Gamma} \quad \frac{\pi'}{\Delta}}{\Gamma, \Delta} (mix) \mapsto \boxed{\pi} \quad \boxed{\pi'}$$

### Theorem

*A proof structure is a proof net iff it is the translation of a proof of  $MLL + (mix_0) + (mix)$ .*

**Two words about the proof.** The main structure (reason by induction, finding a splitting  $\otimes$ ) is essentially the same. But the existence of a splitting tensor *does not* really follow from the connected case. □

▶ Pro:

- ▶ no extra data
- ▶ same switching graphs, same cut elimination with or without units.

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▶ Pro:

- ▶ no extra data
- ▶ same switching graphs, same cut elimination with or without units.

▶ Con:

- ▶ strictly more provable sequents (including the empty one, hence  $\vdash \perp$ )
- ▶ may identify some proofs that are not equal up to rule permutations

# No perfect solution

## Stumbling block

Identifying proofs of MLL with units up to rule permutations is hard: PSPACE-complete (HEIJLTJES–HOUSTON, 2014).

We stick to option 2: forget about connectedness and accept the mix-rules.

# MELL proof nets

# Introducing exponentials

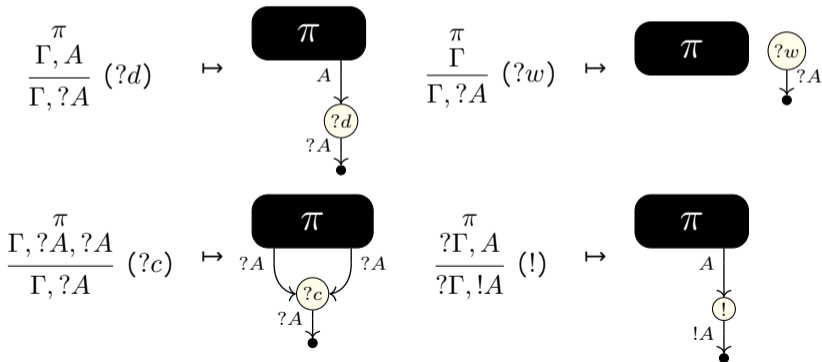
$$A, B, C, \dots ::= X \mid X^\perp \mid A \otimes B \mid A \wp B \mid !A \mid ?A$$

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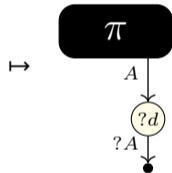


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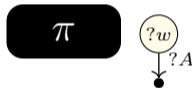
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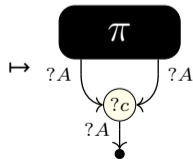
$$\frac{\pi}{\Gamma, A} \quad (?d)$$



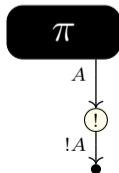
$$\frac{\pi}{\Gamma} \quad (?w)$$



$$\frac{\pi}{\Gamma, ?A, ?A} \quad (?c)$$



$$\frac{\pi}{? \Gamma, A} \quad (!)$$



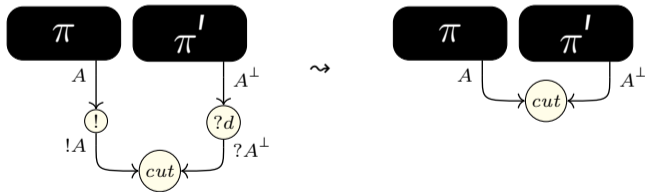
Units are derivable:

$$\perp := ?(X^\perp \otimes X) \quad \mathbf{1} := !(X \wp X^\perp)$$



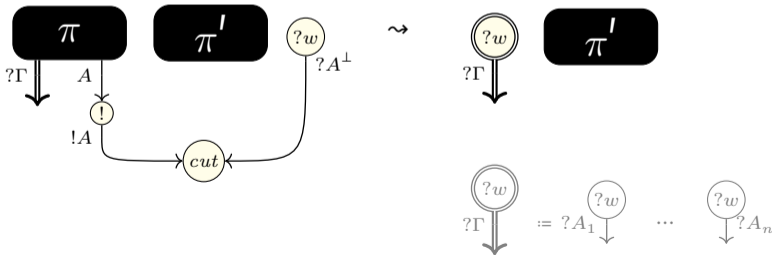
# Cut elimination in MELL

$$\frac{\frac{\pi}{? \Gamma, A} (!) \quad \frac{\Delta, A^\perp}{\Delta, ? A^\perp} (?d)}{? \Gamma, \Delta} (cut) \quad \rightsquigarrow \quad \frac{\pi \quad \Delta, A^\perp}{? \Gamma, \Delta} (cut)$$



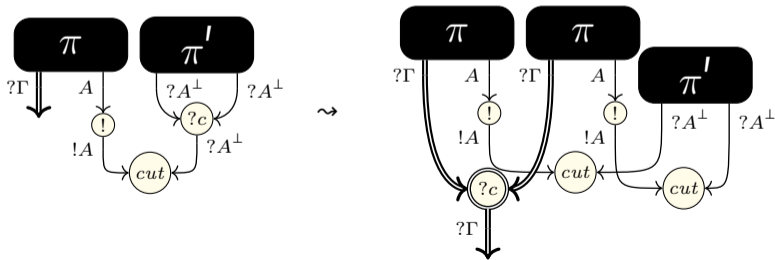
# Cut elimination in MELL

$$\frac{\frac{\pi}{? \Gamma, A} \quad (!) \quad \frac{\pi'}{\Delta, ? A^\perp} (?w)}{? \Gamma, !A} \quad (cut) \quad \approx \quad \frac{\pi'}{\Delta} (?w)}{? \Gamma, \Delta} (?w)$$

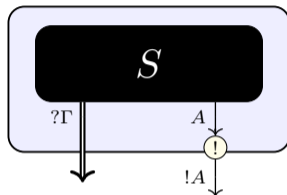


# Cut elimination in MELL

$$\frac{\frac{\pi}{? \Gamma, A} (!) \quad \frac{\Delta, ? A^\perp, ? A^\perp}{? A^\perp, \Delta} (?c)}{? \Gamma, \Delta} (cut)
 \quad \rightsquigarrow \quad
 \frac{\frac{\pi}{? \Gamma, A} (!) \quad \frac{\frac{\pi}{? \Gamma, A} (?c) \quad \Delta, ? A^\perp, ? A^\perp}{? \Gamma, ! A} (!)}{? \Gamma, \Delta, ? A^\perp} (cut)}{\frac{? \Gamma, ? \Gamma, \Delta}{? \Gamma, \Delta} (?c)} (cut)$$

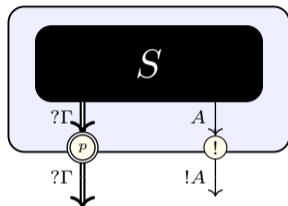


# Promotion boxes



- ▶ allows to treat the box as one initial node
- ▶ amounts to every internal node of  $S$  jumping to  $!$
- ▶ boxes must be either disjoint or nested

# Promotion boxes

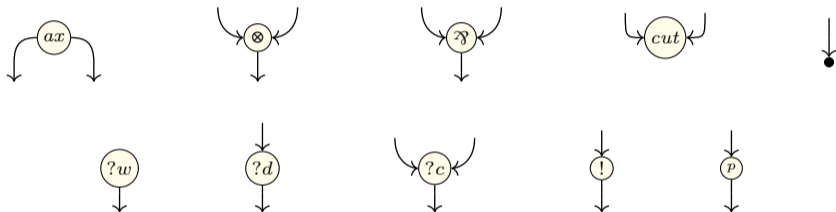


- ▶ allows to treat the box as one initial node
- ▶ amounts to every internal node of  $S$  jumping to  $!$
- ▶ boxes must be either disjoint or nested
- ▶ we add extra nodes as a convenience: box ports = conclusions of the boxed net

# MELL proof structures

An **MELL proof structure** is:

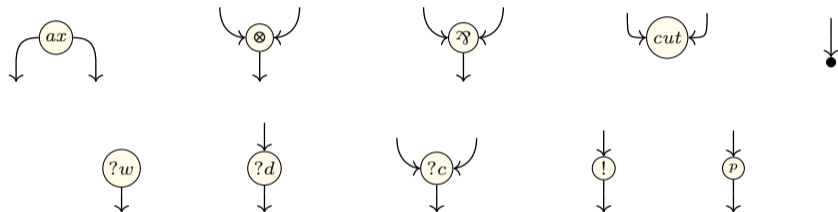
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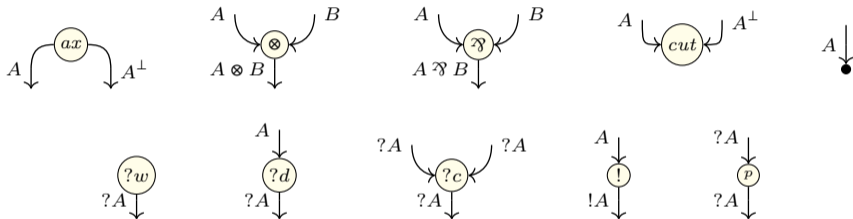


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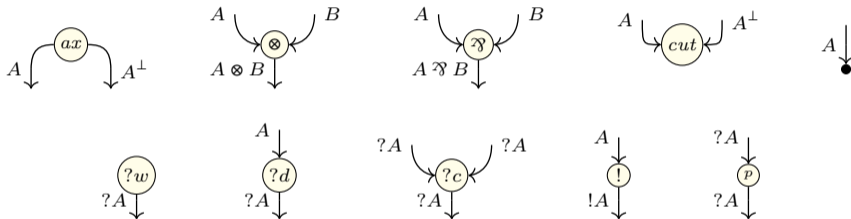
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- ▶ a labelling of edges with MELL formulas, compatible with typing rules



# MELL proof structures

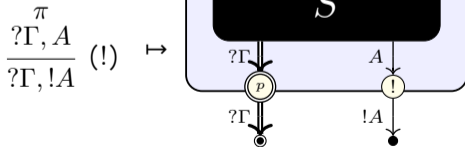
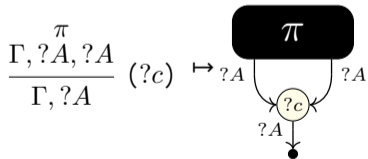
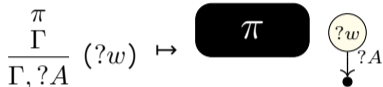
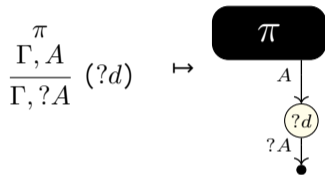
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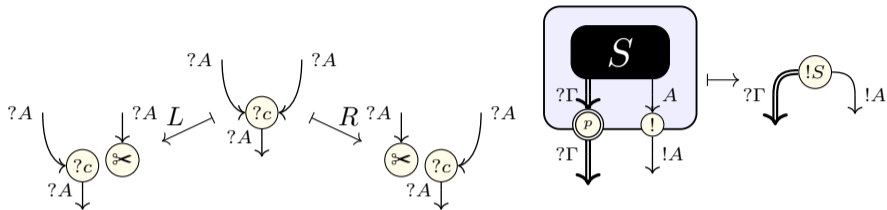


- ▶ an ordering of the two input edges of each  $\otimes$  and  $\wp$  (not  $?c$ )
- ▶ a labelling of edges with MELL formulas, compatible with typing rules
- ▶ a tree order on  $!$  nodes (+ a root for top level) and a graph morphism to this tree
  - ▶ content of a box = preimage of a subtree
  - ▶  $!$  and  $p$  nodes mark the border between a box and its parent

# Translation of proofs



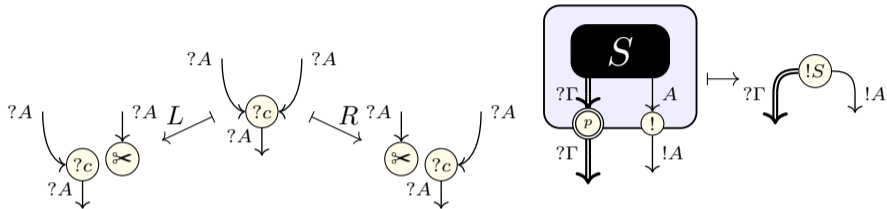
# Switchings and proof nets



## Definition

- ▶ A **switching**  $\sigma$  of a structure  $S$ , is the choice of  $L$  or  $R$  for each  $(\otimes)$  or  $(?c)$  of  $S$ .
- ▶ The **switching graph**  $S_\sigma$  is obtained by replacing each  $(\otimes)$  or  $(?c)$  accordingly, and each box with an initial node.
- ▶ A **proof net** is a proof structure whose switching graphs are all (undirected) acyclic,

# Switchings and proof nets



## Definition

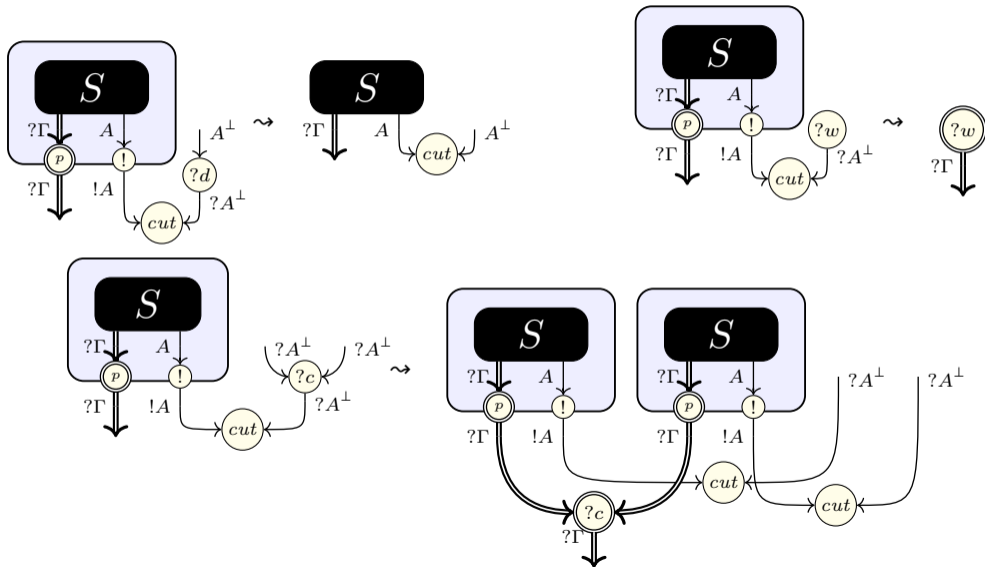
- ▶ A **switching**  $\sigma$  of a structure  $S$ , is the choice of  $L$  or  $R$  for each  $(\textcircled{?})$  or  $(\textcircled{?c})$  of  $S$ .
- ▶ The **switching graph**  $S_\sigma$  is obtained by replacing each  $(\textcircled{?})$  or  $(\textcircled{?c})$  accordingly, and each box with an initial node.
- ▶ A **proof net** is a proof structure whose switching graphs are all (undirected) acyclic, and such that the content of each box is a proof net, inductively.

## Theorem

*A proof structure is a proof net iff it is the translation of a proof of  $MELL + (mix_0) + (mix)$ .*

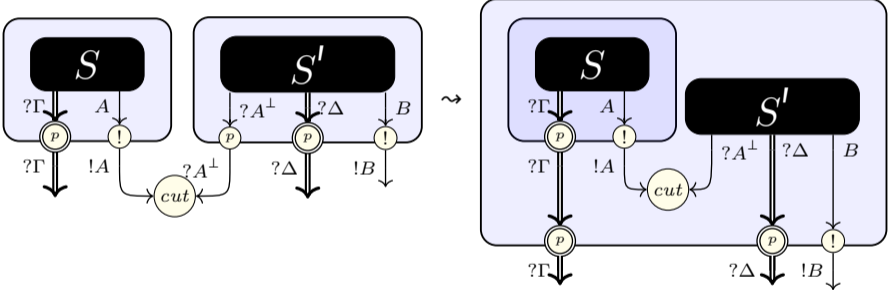
**Proof.** Same proof as for MLL with units, inductively: treat boxes as general axioms in switching graphs. □

# Cut elimination in MELL proof nets



# Cut elimination in MELLproof nets

Commuting cuts are back



# Properties of cut elimination in MELL proof nets

## Theorem

*Proof nets are stable under cut elimination*

**Proof.** No cut elimination rule can create a cycle. □

## Lemma

*Cut elimination is locally confluent*

**Proof.** Inspect critical pairs and solve them. □

## Theorem

*Cut elimination is confluent and strongly normalizing.*

**Proof (teaser).** By Newman's lemma, it "suffices" to prove strong normalization:

- ▶ a general pattern is to first prove weak normalizability of cut elimination without erasing steps (  $\textcircled{!}$  vs  $\textcircled{?w}$  ) then deduce SN;
- ▶ an example of proof technique in the next lecture. □



# $\lambda$ -calculus and proof nets

# Translating the $\lambda$ -calculus

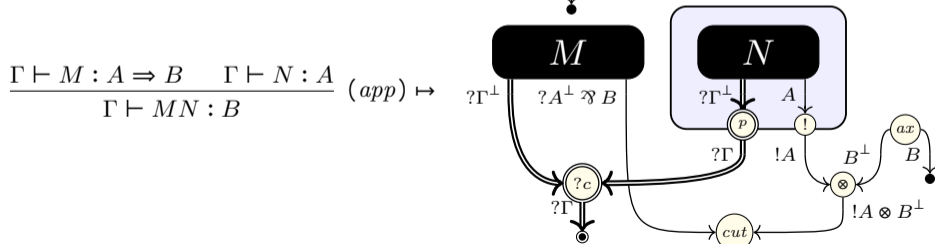
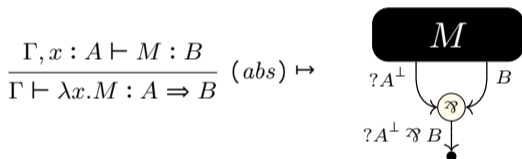
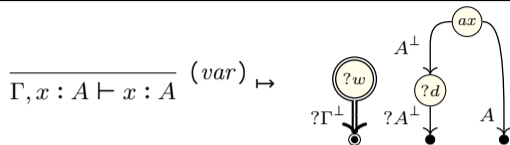
One famous translation:

$$(A \Rightarrow B) \mapsto (!A \multimap B)$$

Given  $\Gamma \vdash M : B$  with  $\Gamma = x_1 : A_1, \dots, x_n : A_n$  we construct

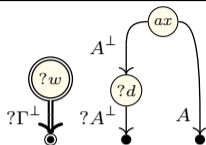
$$\begin{array}{c} \text{[Black box with } M \text{]} \\ \downarrow \text{?}\Gamma^\perp \quad \downarrow B \\ \circ \quad \bullet \end{array} = \begin{array}{c} \text{[Black box with } M \text{]} \\ \downarrow \text{?}A_1^\perp \quad \dots \quad \downarrow \text{?}A_n^\perp \quad \downarrow B \\ \bullet \quad \dots \quad \bullet \quad \bullet \end{array}$$

# Translating the $\lambda$ -calculus

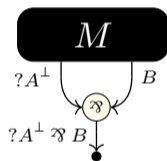


# Translating the $\lambda$ -calculus: $\Lambda \rightarrow \text{NJ} \rightarrow \text{MELL} \rightarrow \text{MELL proof nets}$

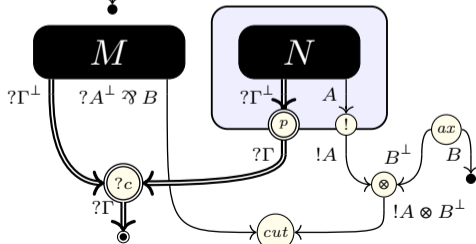
$$\frac{}{\Gamma, x : A \vdash x : A} \text{ (var)} \mapsto$$



$$\frac{\Gamma, x : A \vdash M : B}{\Gamma \vdash \lambda x.M : A \Rightarrow B} \text{ (abs)} \mapsto$$

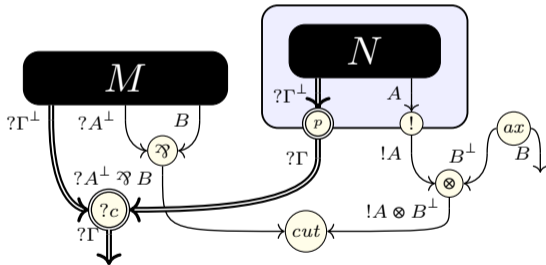


$$\frac{\Gamma \vdash M : A \Rightarrow B \quad \Gamma \vdash N : A}{\Gamma \vdash MN : B} \text{ (app)} \mapsto$$



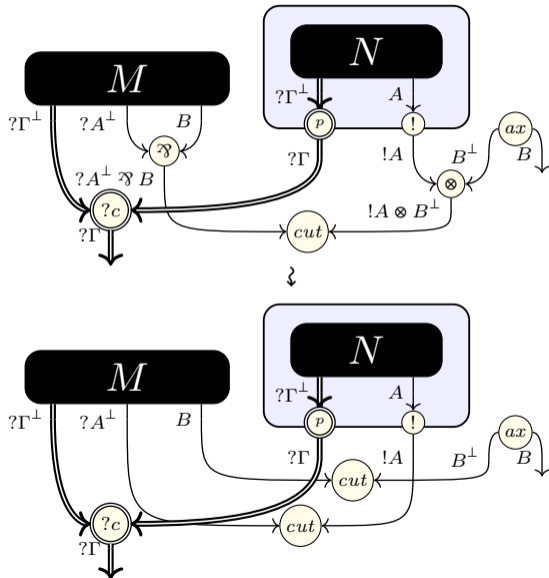
# Simulating $\beta$ -reduction

$$\frac{\frac{\Gamma, x : A \vdash M : B}{\Gamma \vdash \lambda x.M : A \Rightarrow B} \text{ (abs)}}{\Gamma \vdash (\lambda x.M)N : B} \text{ (app)} \quad \Gamma \vdash N : A$$

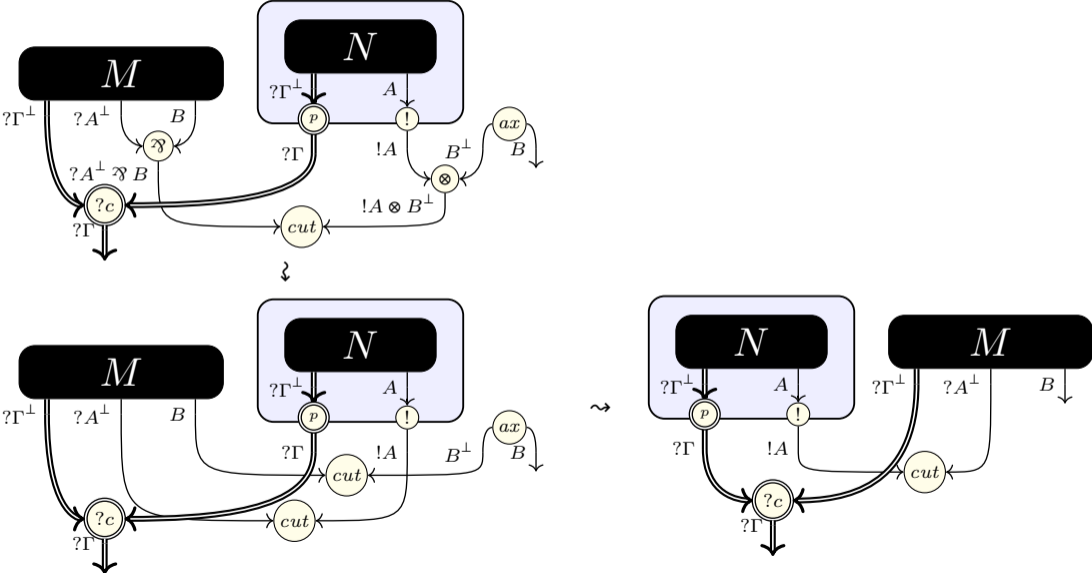




# Simulating $\beta$ -reduction

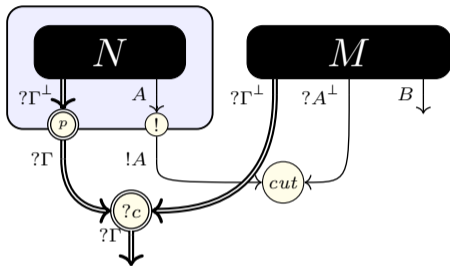


# Simulating $\beta$ -reduction





# Simulating substitution

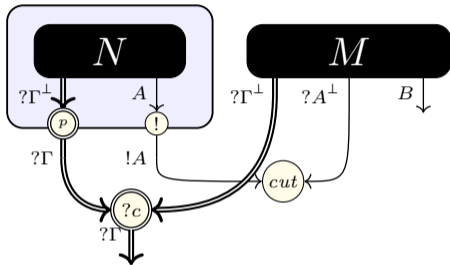


## Lemma

*Iterating exponential cut elimination in the above net yields the translation of  $M[N/x]$ .*

Write  $M\langle N/x \rangle$  for the above proof net.

# Simulating substitution



## Lemma

*Iterating exponential cut elimination in the above net yields the translation of  $M[N/x]$ .*

In fact, not quite...

Write  $M\langle N/x \rangle$  for the above proof net.

# Substitutions...

Let  $M = x(x y)$  and  $N = x$ .

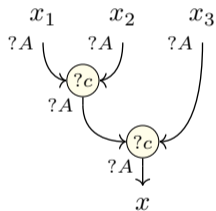
# Substitutions...

Let  $M = x_1(x_2 y)$  and  $N = x_3$ .

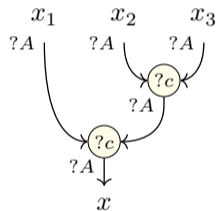
# Substitutions...

Let  $M = x_1(x_2 y)$  and  $N = x_3$ .

$M\langle N/y \rangle$



$M[N/y] = x_1(x_2 x_3)$



# Substitutions. . .

Let  $M = z(xy)$  and  $N = x$ .

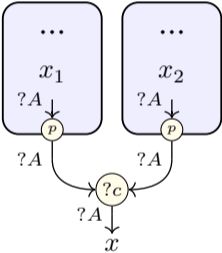
# Substitutions. . .

Let  $M = z(x_1 y)$  and  $N = x_2$ .

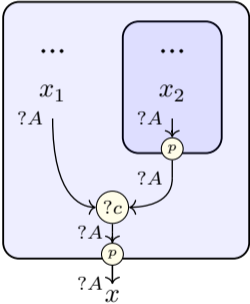
# Substitutions...

Let  $M = z(x_1 y)$  and  $N = x_2$ .

$$M\langle N/y \rangle$$



$$M[N/y] = z(x_1 x_2)$$

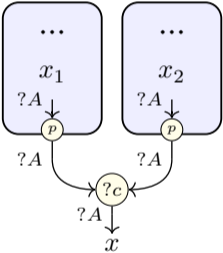




# Substitutions...

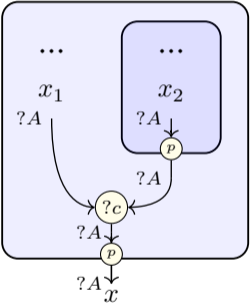
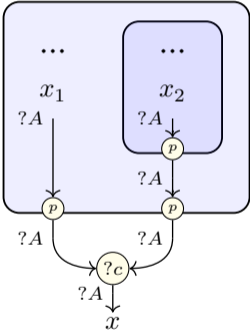
Let  $M = z(x_1 y)$  and  $N = x_2$ .

$$M\langle N/y \rangle$$



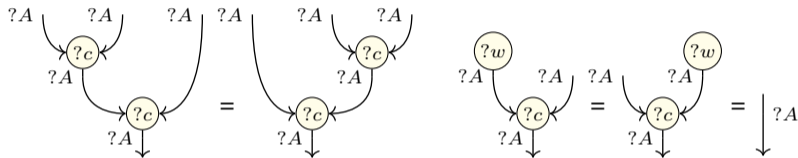
$\rightsquigarrow$

$$M[N/y] = z(x_1 x_2)$$

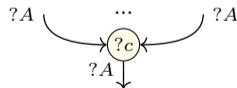


# Rétoré conversions

Contraction and weakening form a commutative monoid:

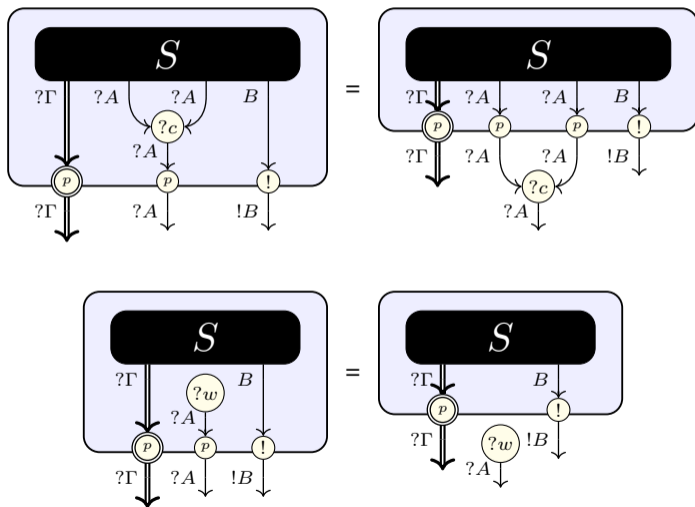


We should thus consider  $n$ -ary contractions:



# Rétoré conversions

Contraction and weakening cross the border of boxes:



**Theorem** (RETORE, 1987)

*Up to Retoré conversions, cut elimination refines  $\beta$ -reduction.*

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*Up to Retoré conversions, cut elimination refines  $\beta$ -reduction.*

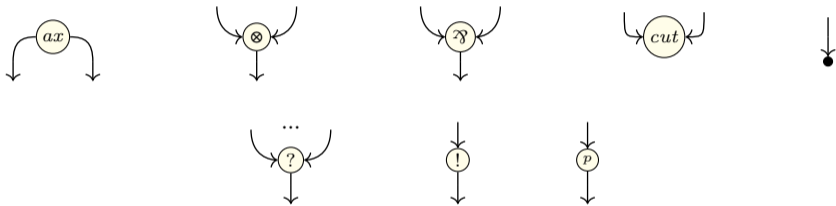
With  $n$ -ary contractions, normal forms for (the obvious orientation of) these conversions are  **$\lambda$ -canonical nets** (the old name for this is *nouvelle syntaxe*).

And cut elimination can be defined directly on  $\lambda$ -canonical nets (DANOS & REGNIER, 1993).

# ?-canonical proof structures

An **?-canonical proof structure** is:

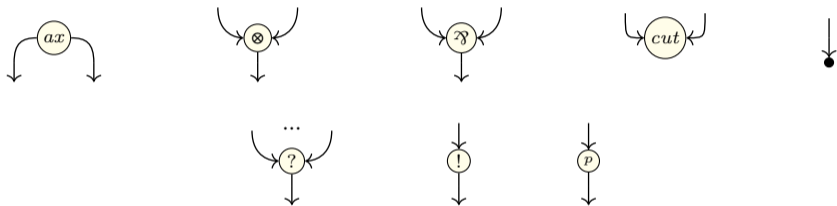
- ▶ a (directed, acyclic, multi-) graph built on the nodes



# ?-canonical proof structures

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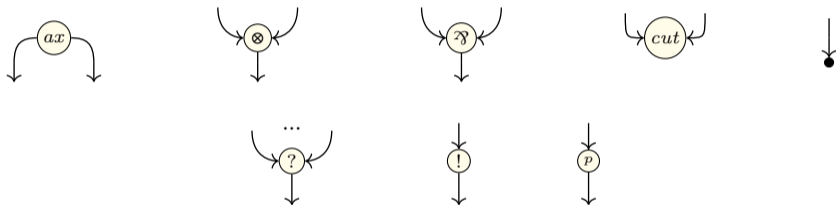


- ▶ an ordering of the two input edges of each  $\otimes$  and  $\otimes$

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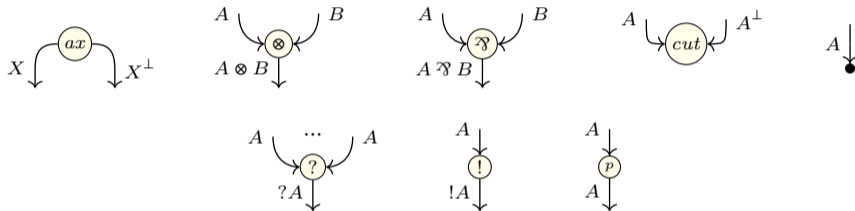
- ▶ an ordering of the two input edges of each  $\otimes$  and  $\otimes$
- ▶ a tree order on  $!$  nodes (+ a root for top level) and a graph morphism to this tree, respecting box conditions



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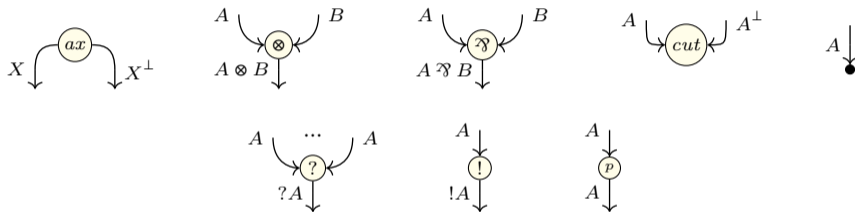


- ▶ an ordering of the two input edges of each  $\otimes$  and  $\wp$
- ▶ a tree order on  $!$  nodes (+ a root for top level) and a graph morphism to this tree, respecting box conditions
- ▶ a labelling of edges with MELL formulas, compatible with typing rules

# ?-canonical proof structures

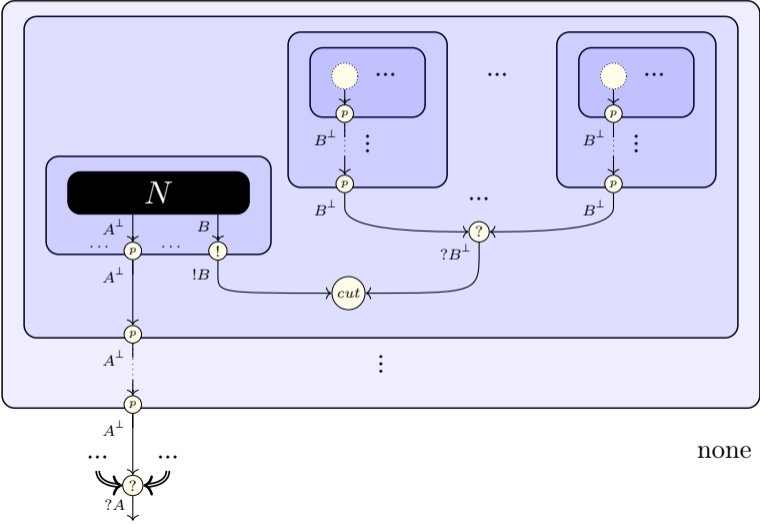
An **?-canonical proof structure** is:

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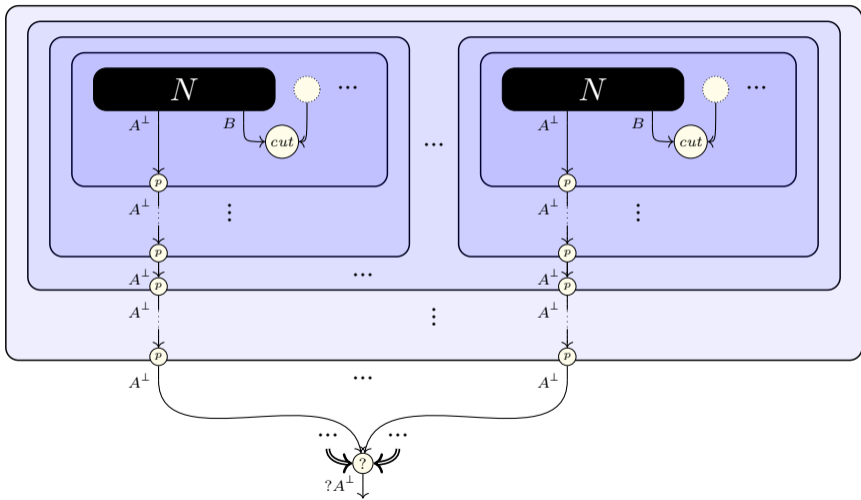


- ▶ an ordering of the two input edges of each  $\otimes$  and  $\wp$
- ▶ a tree order on  $!$  nodes (+ a root for top level) and a graph morphism to this tree, respecting box conditions
- ▶ a labelling of edges with MELL formulas, compatible with typing rules
- ▶ moreover such that the conclusion of a  $p$  must target a  $p$  or  $?$

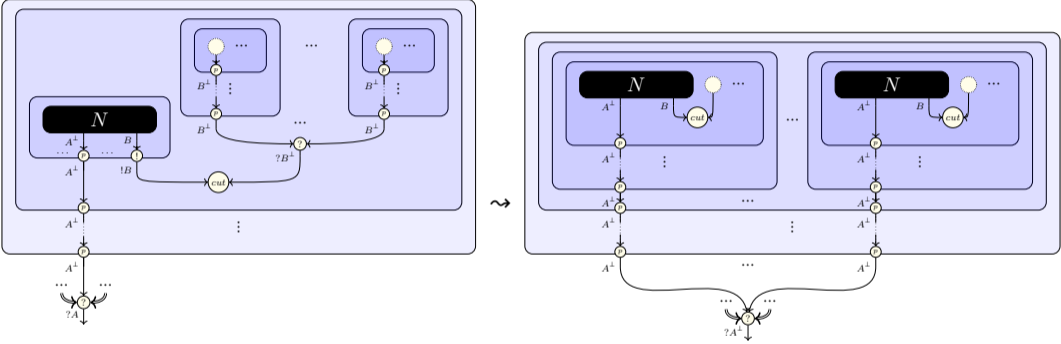
# The exponential cut elimination rule in $\text{?}$ -canonical nets



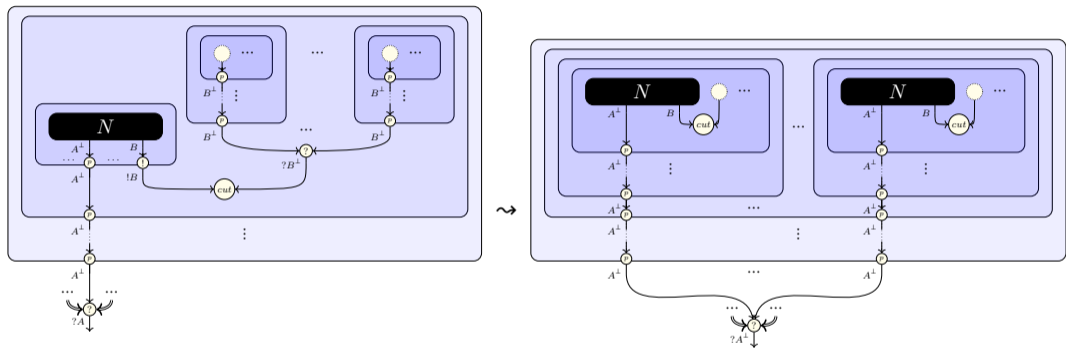
# The exponential cut elimination rule in $\text{?}$ -canonical nets



# The exponential cut elimination rule in $?$ -canonical nets



# The exponential cut elimination rule in $\lambda$ -canonical nets



## Theorem

*Cut elimination in  $\lambda$ -canonical nets simulates  $\beta$ -reduction.*

# Analysing $\beta$ -reduction with proof nets

- ▶ Conversely, one can look for a counterpart of small-step exponential cut elimination: *explicit substitutions* (DI COSMO & KESNER, 1997).
- ▶ Untyped  $\lambda$ -calculus: type proof nets with  $o = (o \Rightarrow o) = (?o^\perp \wp o)$ ,  $!o$ ,  $o^\perp$  and  $?o^\perp$ .
- ▶ A versatile tool to find better rewriting theories (e.g., for CBV *via* another translation of  $\Rightarrow$ , CARRARO & GUERRIERI, 2014).

## Conclusion: what was not in this tutorial?

- ▶ explicit substitutions
- ▶ pure types for simulating the untyped  $\lambda$ -calculus
- ▶ variants for call-by-value  $\beta$ -reduction and other strategies



## Conclusion: what was not in this tutorial?

- ▶ explicit substitutions
- ▶ pure types for simulating the untyped  $\lambda$ -calculus
- ▶ variants for call-by-value  $\beta$ -reduction and other strategies
- ▶ a proof of strong normalization (next lecture)
- ▶ restrictions for implicit complexity (a hint in the next lecture)
- ▶ denotational semantics (tomorrow)
- ▶ geometry of interaction (tomorrow)
- ▶ additives, quantifiers
- ▶ polarized / intuitionistic variants
- ▶ differential nets and Taylor expansion
- ▶ *etc.*