

# Motivic Vitushkin invariants

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## Introduction: real integral geometry as motivation

$X \subset \mathbb{R}^n$  a compact definable set in a given o-minimal structure over  $\mathbb{R}$ .

Denote for  $\varepsilon > 0$

$$\text{Vol}_\varepsilon := \int_{x \in \mathbb{R}^n} \chi(X \cap B(x, \varepsilon)) \, dx$$

- In case  $X$  is convex or smooth,  $\text{Vol}_\varepsilon(X) = \text{Vol}_{\mathbb{R}^n}(T_\varepsilon(X))$ .
- $\text{Vol}_\varepsilon$  is **additive**, since  $\chi$  and  $\int$  are so.

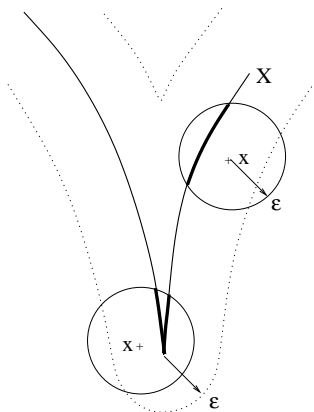
**Theorem.**

$$\text{Vol}_\varepsilon(X) = \sum_{i=0}^n \Lambda_i(X) \varepsilon^{n-i}$$

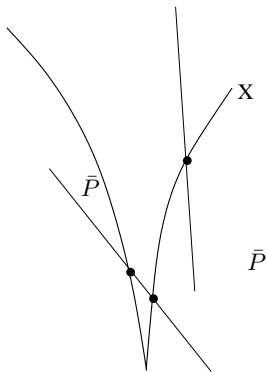
$$\Lambda_i(X) = \int_{\bar{P} \in \bar{G}_n^{n-i}} \chi(X \cap \bar{P}) \, d\bar{P}$$

$\bar{G}_n^{n-i}$  : affine  $(n-i)$ -vector planes  $\bar{P} \subset \mathbb{R}^n$ .

## Introduction: real integral geometry as motivation



$$\text{Vol}_\epsilon := \int_{x \in \mathbb{R}^n} \chi(X \cap B(x, \epsilon)) \, dx$$



$$\Lambda_i(X) = \int_{\bar{P} \in \bar{G}_n^{n-i}} \chi(X \cap \bar{P}) \, d\bar{P}$$

## Introduction: real integral geometry as motivation

Replace  $\chi$  by  $V_0 := \#$  connected components in the definition of  $\Lambda_i$ .

- $V_0(X \cup Y) \geq V_0(X) + V_0(Y) - V_0(X \cap Y)$ .

Vituskin invariants. 
$$V_i(X) = \int_{\bar{P} \in \bar{G}_n^{n-i}} V_0(X \cap \bar{P}) \, d\bar{P}$$

- $i > \dim(X) : V_i(X) = 0$
- $i = \dim(X) : \text{Cauchy-Crofton formula } V_i(X) = \Lambda_i(X) = \text{Vol}_{\dim(X)}(X)$

## Introduction: real integral geometry as motivation

Metric entropy.  $M_\varepsilon(X)$  the minimal number of  $\varepsilon$ -balls needed to cover  $X$ .

Theorem [Ivanov 1975].  $M_\varepsilon(X) \leq C(n) \sum_{i=0}^n V_i(X) \varepsilon^{-i}$

$\updownarrow$

$$\text{Vol}_\varepsilon(X) = \sum_{i=0}^n \Lambda_i(X) \varepsilon^{n-i}$$

Applications (Yomdin). Quantitative Sard theorem for the entropy dimension.

## Introduction: from the real field to nonarchimedean fields?

**Motivation.** Find nonarchimedean analogues of  $V_i$  and  $M_\epsilon$ , and relate them to obtain a nonarchimedean analogue of Ivanov's theorem.

**Difficulties.**

- Find a notion of nonarchimedean **connected components**.
- Find a notion of **preorder**  $\preceq$  on the ring of integrable motivic constructible functions & compatible wrt motivic integration.

Basically one would compare the motive  $[X^2 - 2] \in SK_0(\mathbf{RDef}_k)$  and 1 in this way:  $1 \preceq [X^2 - 2]$ .

## 1- Nonarchimedean setup

**Notation.**  $K$  a valued field,  $k$  its residual field and  $\Gamma$  its value group ( $\simeq \mathbb{Z}$ ),  $\pi$  a uniformizer of the valuation ring  $\mathcal{O}_K$ ,  $\mathcal{M}_K$  the maximal ideal,  $|x| := \mathbb{L}^{-\text{val}(x)}$ .

**Definable sets.**  $\mathcal{L}$  the 3-sorted language (VF, RF, VG),

- ring language for VF and RF,
- Presburger language  $(+, -, 0, 1, \leq, \cdot \equiv \cdot \pmod{n}, n \geq 1)$  for VG,
- symbol  $\text{val}$  for the valuation  $\text{val} : \text{VF} \rightarrow \text{VG}$ ,
- symbol  $\overline{\text{ac}}$  for the angular component  $\overline{\text{ac}} : \text{VF} \rightarrow \text{RF}$ , interpreted as  $\overline{\text{ac}}(x) = \pi^{-\text{val}(x)} x \pmod{\mathcal{M}_K}$ .

**Theory.** We consider a complete  $\mathcal{L}$ -theory  $\mathcal{T}$  of discrete valued fields of equi-characteristic 0, which is 1-h-minimal [Cluckers-Halupczok-Rideau 2020] ( $\longleftrightarrow$  o-minimality for  $\mathbb{R}$ ).

- Always  $K \models \mathcal{T}$ .

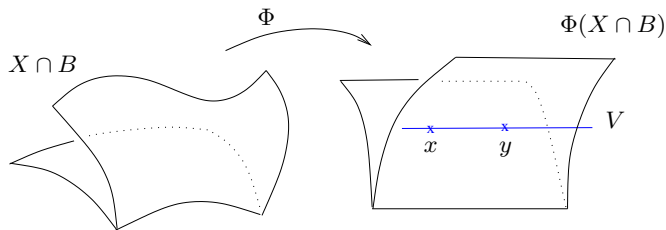
**Concrete example.** Henselian discrete valued field of equi-characteristic 0. For instance  $K = k((t))$ ,  $\text{char} k = 0$ , with symbols in  $\mathcal{L}$  for all analytic functions  $\mathcal{O}_K^n \rightarrow K$ ,  $n \geq 1$ .

## 2- t-stratifications and motivic connected components

**Translatability on a ball.**  $X \subset K^n$  bounded definable,  $B \subset K^n$  ball,  $V \subset K^n$  vector subspace.

$X$  is  $V$ -*translatable on  $B$*  if there exists a bijection  $\Phi : B \rightarrow B$  s.t.

- $\forall x, y \in B$ , if  $x - y \in V$  then  $x \in \Phi(X \cap B) \iff y \in \Phi(X \cap B)$ ,
- $\text{val}(\Phi(y) - \Phi(x) - (y - x)) < \text{val}(y - x)$ .



One says that  $X$  is  $d$ -*translatable on  $B$*  if there exists  $V$  of dimension  $d$  s.t.  $X$  is  $V$ -translatable on  $B$ .

**Existence of  $t$ -stratifications [Halupczok 2014].**  $\forall d < n$ ,  $\exists S_d \subset K^n$ ,  $S_d = \emptyset$  or  $\dim(S_d) = d$ , such that:  $\forall B \subset K^n \setminus S_d$ ,  $X$  is  $(d + 1)$ -translatable on  $B$ .



### 3- t-stratifications and motivic connected components

- $S_0$  is finite ( $S_0 \subset K^n$  and  $\dim(S_0) \leq 0$ ).
- In case  $\dim(X) = 0$  one can take  $S_0 = X$ .
- Let  $\ell := \min \# \{S; X \text{ is 1-translatable on } K^n \setminus S\}$ ,

$$\Sigma_0 = \bigcup_{\#S=\ell} S$$

**Proposition.** •  $\Sigma_0$  is definable and  $\Sigma_0 = \prod_{i=1}^{\ell} B_i$ ,  $B_i$  : ball or singleton.

- $X$  is 1-translatable on every  $B \subset K^n \setminus S \iff S = \prod_{i=1}^{\ell} \{p_i\}$ ,  $p_i \in B_i$ .

**Definition.** Let  $\Sigma_{0,mot} \subset k^m$ ,  $\#\Sigma_{0,mot} = \ell$ , definably parameterizing  $\Sigma_0$ :

$\Sigma_0 \rightarrow \Sigma_{0,mot}$  with fibers the  $B_i$ 's

$$V_0(X) := \mu_0(\Sigma_{0,mot})$$

- If each point of  $\Sigma_{0,mot}$  is definable  $V_0(X) = \#\Sigma_{0,mot} = \ell \in SK_0(\text{RDef}_k)$ .
- But in general the situation is like  $V_0(X) = [X^2 - 2] \in SK_0(\text{RDef}_k)$ .

## 4- Motivic integration

- $Z \subset K^n \times k^m \times \Gamma^r$  definable,  $SK_0(\text{RDef}_Z)$  the Grothendieck semiring of definable sets  $\subset Z \times k^p \rightarrow Z$ ,  $[Z \times k] := \mathbb{L}$ .
- $A := \mathbb{Z}[\mathbb{L}, \mathbb{L}^{-1}, (\frac{1}{1-\mathbb{L}^i})_{i>1}]$ ,  $A_+$  semigroup of positive elements of  $A$ , after evaluating  $\mathbb{L}$  at any  $r > 1$ .
- $\mathcal{P}(Z)$  subring of  $A^Z$  generated by constant functions, definable functions  $Z \rightarrow \mathbb{Z}$ ,  $\mathbb{L}^\beta$  with  $\beta : Z \rightarrow \mathbb{Z}$  definable,  $\mathcal{P}_+(Z)$  elements of  $\mathcal{P}(Z)$  with values in  $A_+$ .

Motivic constructible functions.

$$C_+(Z) := SK_0(\text{RDef}_Z) \otimes_{\substack{[Y]=1_Y \\ \mathbb{L}-1=\mathbb{L}-1}} \mathcal{P}_+(Z)$$

Theorem [Cluckers-Loeser 2008] Functor (+ axiomatic) of motivic integration:

$$f_! : I_Z C_+(X) \rightarrow I_Z C_+(Y) \quad \text{for} \quad \begin{array}{ccc} X & \xrightarrow{f} & Y \\ & \searrow & \swarrow \\ & Z & \end{array}$$

$$f_!(\varphi)(y) \text{ "="} \int_{x \in f^{-1}(y)} \varphi$$

## 5- A convenient partial preorder on $C(X)$

$X \subset K^n \times k^m \times \Gamma^r$  definable.

**Preorder on  $C(X)$ .** Say that  $F \in C(X)$  is  $\succeq 0$  if there exist

- $Y, Z \in k^{m'} \times \Gamma^{r'}$ ,
- a definable surjection  $f : Z \rightarrow Y$  with finite fibres,
- $\varphi \in I_{\{pt\}} C_+(Y \times X)$

$$\begin{array}{ccccc}
 Z \times X & \xrightarrow{f_X} & Y \times X & \xrightarrow{\varphi} & SK_0(\text{RDef}_X) \otimes A_+ \\
 & \searrow \pi_X & \swarrow \pi_X & & \\
 & & X & \longrightarrow & \{pt\}
 \end{array}$$

such that

$$F = \pi_{X!} f_X^* \varphi - \pi_{X!} \varphi$$

More intuitively  $F(x) = \int_{z \in Z} \varphi \circ f_X(z, x) - \int_{y \in Y} \varphi(y, x)$ .

Denote  $C_{\succeq 0}(X) := \{F \in C(X); F \succeq 0\}$ .

## 5- A convenient partial preorder on $C(X)$

### Properties.

- $\succeq 0$  is compatible with positivity wrt  $A_+$ :  $I_{\{pt\}}C_+(X) \subset C_{\succeq 0}(X)$ .
- $\succeq 0$  is compatible with addition. In particular

$$\boxed{F \preceq G \iff G - F \succeq 0}$$

is a partial preorder.

- $\succeq$  is compatible with integration:  $F, G \in I_V C(U \times V)$

$$F \preceq G \implies \pi_{V!}F \preceq \pi_{V!}G.$$

- $V, W \subset X \times K^p$ ,  $g: V \rightarrow W$  finite definable surjection,

$$\begin{array}{ccc} V & \xrightarrow{g} & W \\ & \searrow \pi_X^V & \swarrow \pi_X^W \\ & X & \end{array}$$

Then  $F := \pi_{X!}^V(\mathbf{1}_V) \succeq \pi_{X!}^W(\mathbf{1}_W)$ .

In particular  $[X^2 - 2] \succeq 1$  (as measures in  $C(\{pt\})$ ).

## 6- Motivic Vitushkin invariants

Motivic Vitushkin invariants.

$$V_i(X) = \int_{\bar{P} \in \bar{G}_n^{n-i}} V_0(X \cap \bar{P}) \, d\bar{P}$$

Motivic Cauchy-Crofton formula. If  $\dim(X) = d$ ,  $V_d(X) = C(n, d)\mu_d(X)$ .

It uses uniform Taylor approximation [Cluckers-Halupczok-Rideau 2020]

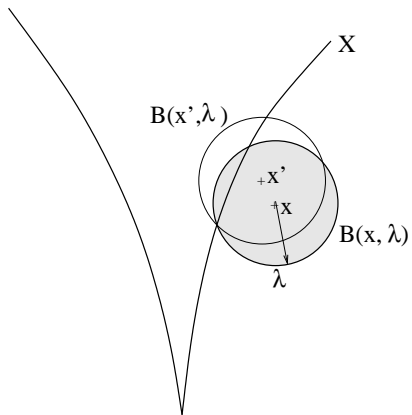
- For the real local C-C formula [C. 2000],
- For the motivic local C-C formula [Forey 2020].

Motivic metric entropy. For  $\lambda \in \mathbb{L}^{\mathbb{Z}}$ ,

$$M_\lambda(X) := \lambda^{-n} \mu_n(\{x \in K^n, B(x, \lambda) \cap X \neq \emptyset\})$$

Note that  $\lambda^{-n} = \mu_n(B(0, \lambda))$ .

## 7- Motivic metric entropy



## 8- Motivic metric entropy and Vitushkin invariants

Theorem.

$$M_\lambda(X) \preceq \tilde{C}(n) \sum_{i=0}^n V_i(X) \lambda^{-i}$$

$\updownarrow$

$$M_\varepsilon(X) \leq C(n) \sum_{i=0}^n V_i(X) \varepsilon^{n-i}$$