# Access control policies modeling, representation and analysis

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#### Outline

- 1. Overview on access control
- 2. A unified framework based on categorization : CBAC
  - Axiomatization
  - Operational Semantics
  - Graphical representation of CBAC policies
- 3. Conclusions







- Access control model :
  - abstract representation of notions of relevance for access control.
    - principals, resources, privileges, actions on resources, ...
- Access control policies

associate privileges with principals.

 Many models with increasing power have been defined in the last decades.

## AC Models

#### Discretionary Access Control (DAC)

The access control is to the discretion of the object's owner. The owner can determine who should have access rights to an object and what those rights should be.



Access control matrix model

## AC Models

#### Mandatory Access Control (MAC)

Access control policy decisions are made by a central authority, not by the individual owner of an object. User cannot change access rights.



#### Role-based Access control [Ferraiolo,Kuhn'92]

- A user is assigned to privileges based on roles, reflecting the permissions needed to perform defined functions within an organization.
- Role permissions may be inherited through a role hierarchy.
- Many variants : time-based, location-based, etc. ...



#### Attribute-based Access Control (ABAC)

[Wang, Wijesekera, Jajodia'04]

- access is mediated based on attributes associated with subjects (requesters) and the objects to be accessed.
- An access control rule set defines the combination of attributes under which an access may take place.



Idea : identifying the core concepts commun to the different existing models.

 $\Rightarrow$  definition of a meta-model of access control [Barker'09]

 $\Rightarrow$  unifying model with rule-based semantics: CBAC [Inf&Comp'14]

Formal approach : entities, relationships, axioms for access control

Extensions with prohibitions, obligations, distributed semantics,

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#### The CBAC model

- i) a family *E* of sets of **entities**: principals *P*, actions *A*, resources *R*, ... which are classified into **categories** *C* (seen as groups of entities).
- ii) a family *Rel* of relationships between entities,
- ▶ Principal-Category Assignment,  $\mathcal{PCA}$ :  $(p, c) \in \mathcal{PCA}$
- ► Resource-Category Assignment, RCA: (r, c) ∈ RCA
- ▶ Permissions, ARCA:  $(a, c_r, c_p) \in ARCA$
- Authorisations,  $\mathcal{PAR}$ :  $(p, a, r) \in \mathcal{PAR}$

## The CBAC model

iii) **axioms** that specify the properties the relationships should satisfy.

$$\begin{array}{ll} (ax) & \forall p \in \mathcal{P}, \ \forall a \in \mathcal{A}, \ \forall r \in \mathcal{R}, (\exists c_p \in \mathcal{C}, \\ \exists c_r \in \mathcal{C}, (p, c_p) \in \mathcal{PCA} \land (r, c_r) \in \mathcal{RCA} \\ \land (a, c_r, c_p) \in \mathcal{ARCA}) \Rightarrow (p, a, r) \in \mathcal{PAR} \end{array}$$

A reflexive-transitive relation ⊆ may be added to model category hierarchy and be included in a generalised version of axiom (ax).

# Example: bank policy

The policy considers several principals and their functions (bank manager, teller, financial adviser, personal banker,...).

 $\mathcal{PCA} = \{(\text{John Smith, manager}), (\text{Bob Duval, bank teller}),... \}$ 

There are two main resource kinds, accounts and investments, (that may be specialised into e.g. personal account or saving account)

 $\mathcal{RCA} = \{(Lynns account, saving account), (McGregor insurance, investment),...\}$ 

- Standard actions like open, close or read an account, and register, delete and validate an investment are defined. ARCA = {(bank manager, open account, saving account), (financial adv, register, investment),... }
- ► Policies authorizations are derived using the axiom (ax1) (John Smith, open account, Lynns account) ∈ PAR

#### Category-based access control model

Advantages of our formal meta-model:

- compare and compose policies rigorously [PPDP'08]
- better understand the consequences of changes [PPDP'16,TCS'17]
- develop analysis techniques (to deal with policy conflicts, to prove properties of policies,...) [STM'10,Inf&Comp'14]
- re-use work (in all instances of the generic model) e.g.
  - RBAC : role = category [DBSec'06]
  - ABAC : categories are used to specify and structure the relation between attributes and permissions [CODASPY'19]

#### **Rewrite-based operational semantics**

The operational specification of a CBAC policy can be defined by a set of rewrite rules :

par(p, a, r)	$\rightarrow$	if $zip(a, rca(r)) \cap arca^*(pca(p)) \neq \emptyset$
		then grant else deny
pca(p)	$\rightarrow$	$[c_1,\ldots,c_n]$
rca(r)	$\rightarrow$	$[c'_1,\ldots,c'_m]$
arca(c <sub>i</sub> )	$\rightarrow$	$[(a_1, c'_1), \ldots, (a_n, c'_n)]$
<i>zip(a</i> , nil)	$\rightarrow$	nil
<i>zip(a,cons(c,l)</i>	$\rightarrow$	cons((a, c), zip(a, l))
arca*(nil)	$\rightarrow$	nil
arca*(cons(c, l))	$\rightarrow$	append(arca(c), arca*(l))

#### Proposition

 $par(p, a, r) \rightarrow^* grant$  if and only if  $(p, a, r) \in \mathcal{PAR}$ .

#### **Rewrite-based specification**

- make use of rewrite-based frameworks (such as CiME, MAUDE or TOM) to evaluate policy queries.
- perform automated policy analysis:
  - Consistency of a policy: every access request receives a unique answer.
  - Termination of a policy: every access request evaluation returns an answer.
  - Totality: every access request evaluation returns a decision.

 $\Rightarrow$  Properties of policies are checked using confluence and termination of sets of rewrite rules.

Rich literature and automated tools like Aprove available.

#### Graphical representation for CBAC policies

An *policy graph* is a tuple  $\mathcal{G} = (\mathcal{V}, \mathcal{E}, \mathcal{I} \mathcal{V}, \mathcal{I} \mathcal{e})$ . where nodes and edges are labelled by records.



 $lv(v_1) = \{ent="John Smith", type=P, age= 32, ...\}, le(e1) = \{adj=v_1v_2, type=PC, ...\}$ 

A policy graph is *well-formed* if it satisfies certain type constraints and it has no redundant edges.

# CBAC policies and policy graphs

Given a well-formed policy graph, we can extract

- entities  $\mathcal{E}^{\mathcal{G}}$  as the nodes in the graph, and
- ► relations  $\mathcal{Rel}^{\mathcal{G}} = \{\mathcal{PCA}^{\mathcal{G}}, \mathcal{RCA}^{\mathcal{G}}, \mathcal{ARCA}^{\mathcal{G}}, \mathcal{PAR}^{\mathcal{G}}\}$



PAR relation

#### Proposition

Let  $\mathcal{G} = (\mathcal{V}, \mathcal{E}, \mathit{lv}, \mathit{le})$  be a well-formed policy graph. Then  $\langle \mathcal{E}^{\mathcal{G}}, \mathcal{R} e \mathit{l}^{\mathcal{G}} \rangle$  defines a CBAC policy.

#### Proposition

For any CBAC policy  $\langle \mathcal{E}, \mathcal{R}el \rangle$  there exists a well-formed policy graph  $\mathcal{G}$  such that  $\mathcal{E} = \mathcal{E}^{\mathcal{G}}$  and  $\mathcal{R}el = \mathcal{R}el^{\mathcal{G}}$ .

# Policy review queries

Policy review queries directly examine the content of policies.

- which principals are authorised to perform a given operation on a resource?
- for a given principal, what are the associated permissions?
- each user has at least one permission, each resource can be accessed by at least one user (Effectiveness)
- Are all the resources accessible (in terms of principals and permissions)? (Liveness)

 $\Rightarrow$  can be checked in polynomial time in the size of the policy by graph traversal.

## Extensions and applications

- Administrative CBAC model specified within CBAC [CODASPY'20,21]
- A Data Access Model for Cloud Storage [ICSS'18,SACMAT20]

## Conclusions

- CBAC : a formal framework for access control policy specification
- formal operational rewrite-based semantics
- graph-based representation of CBAC policies,
- policy properties can be checked using rewrite techniques and graph traversal algorithms.

#### Future work:

- study policy composition and develop techniques to compare policies represented by graphs,
- use the graph modeling tool PORGY<sup>1</sup> to visualise and simulate CBAC and Admin-CBAC policies.

<sup>&</sup>lt;sup>1</sup>https://porgy.labri.fr

#### Conclusions

Line of work done in collaboration with: *M. Fernandez, B.Thuraisingham, S. Barker, S. Alves, J. Jaimunk, and more...* (see references)

Thank you!

Any questions?

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