Computational real algebraic geometry and applications to robotics JNCF lecture, part 2

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Inria Nancy - Grand Est

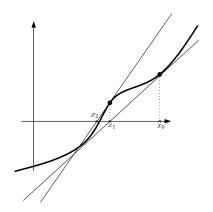
March 4th, 2021

Solving systems

- With initial point
 - Newton
- Without initial point
 - Symbolic approaches
 - Numerical approaches

Newton

$$f(x) = 0$$



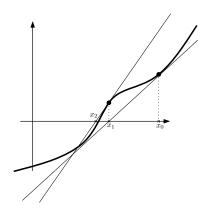
$$x_0 = initial point$$

$$x_{n+1} = x_n - \frac{f(x_n)}{f'(x_n)}$$

Good for path tracking

Newton

$$f(x) = 0$$



$$x_0 = \text{initial point}$$

 $x_{n+1} = x_n - Df(x_n)^{-1}f(x_n)$

Good for path tracking

Reliable Newton with Kantorovich

$$K(f, x_0) = \sup_{x} \|Df(x_0)^{-1}D^2f(x)\|$$
$$\beta(f, x_0) = \|Df(x_0)^{-1}f(x_0)\|$$

Theorem (Kantorovich)

 $\label{eq:formula} \text{If } \beta(f,x_0) \mathcal{K}(f,x_0) \leqslant 1/2,\\ \text{then } f \text{ has a unique solution in } B(x_0,2\beta(f,x_0)).$

Reliable Newton with Smale

$$\gamma(f, x_0) = \sup_{k \ge 2} \|Df(x_0)^{-1} \frac{D^k f(x_0)}{k!}\|^{\frac{1}{k-1}}$$
$$\beta(f, x_0) = \|Df(x_0)^{-1} f(x_0)\|$$

Theorem (Smale)

If
$$\beta(f, x_0)\gamma(f, x_0) \leq 3 - 2\sqrt{2}$$
, then f has a unique solution in $B(x_0, \frac{1-\sqrt{2}/2}{\gamma(f, x_0)})$.

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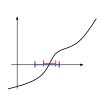
Reliable interval Newton

B a box interval containing p

1 variable

$$N(x) = p - \left(\frac{f(x) - f(p)}{x - p}\right)^{-1} f(p)$$

 $N(B) \subset B \Rightarrow N \text{ has a fix point in } B$
 $\Rightarrow f \text{ has a solution in } B$



2 variables or more

$$N(B) = p - \Box J(B)^{-1} \begin{pmatrix} f(p) \\ g(p) \end{pmatrix}$$

 $N(B) \subset B \Rightarrow f = g = 0$ has a solution in B

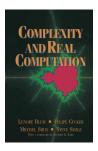


Interval operations

$$[a,b] \oplus [c,d] = [a+c,b+d]$$

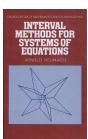
$$[a,b] \otimes [c,d] = [min(ac,ad,bc,bd), max(ac,ad,bc,bd)]$$

Further reading

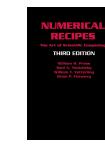


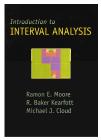


Mathématiques & Applications 54









Further computing

Computer Algebra Systems

- Maple
- Mathematica
- Mathemagix (mmx)
- Matlab
- SageMath
- Xcas
- ...

Numerical Newton

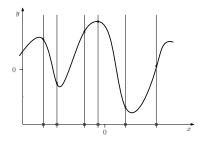
- Boost (C++)
- MPSolve (C) [Bini, Fiorentino, Robol]
- GNU Scientific Library (C)
- Roots, Optim, NLsolve (Julia)
- Scipy (Python)
- ...

Interval and ball arithmetic Libraries

- Arb (C, Python) [Johansson]GAOL (C++) [Goualard]
- Intlab (Octave/Matlab) [Rump]mpfi (C) [Revol]
- numerix (mmx, C++) [van der Hoeven]
- IntervalArithmetic (Julia) [Benet,Sanders]
- ...

Univariate Representation

Reduce problem to univariate polynomial



$$p(x) = 0$$
$$y = q(x)$$

Resultant definition

Given two polynomials in $\mathbb{C}[y]$:

•
$$P = p_0 y^d + \cdots + p_d$$
 with roots $\sigma_1, \ldots, \sigma_d$

$$ullet$$
 $Q = q_0 y^d + \cdots + q_d$ with roots au_1, \ldots, au_d

Definition: Resultant

$$Res(P,Q) = p_0^d q_0^d \prod_{i,j} (\sigma_i - \tau_j)$$

$$= p_0^d Q Q (\sigma_1) \cdots Q (\sigma_d)$$

$$= (-1)^{d^2} q_0^d P (\tau_1) \cdots P (\tau_d)$$

Resultant definition

Bezout

$$\begin{array}{cccc} \varphi: & \mathbb{C}[y]_{d-1} & \times & \mathbb{C}[y]_{d-1} & \to \mathbb{C}[y]_{2d-1} \\ & U & , & V & \mapsto UP + VQ \\ \\ & \varphi(U,V) = 1 & \Leftrightarrow \gcd(P,Q) = 1 \\ & \Leftrightarrow \varphi \text{ invertible} \end{array}$$

- Resultant *r* is the determinant of the Sylvester Matrix
- $r \in \langle P, Q \rangle = I$

Definition: Sylvester matrix

$$\begin{pmatrix}
p_0 & q_0 & q_0 & \\
p_1 & p_0 & q_1 & q_0 & \\
p_2 & p_1 & p_0 & q_2 & q_1 & q_0 \\
p_3 & p_2 & p_1 & p_0 & q_3 & q_2 & q_1 & q_0 \\
\vdots & \vdots & \vdots & \vdots & \ddots & \vdots & \vdots & \vdots & \ddots
\end{pmatrix}$$

Bivariate case

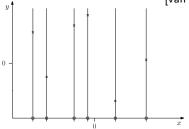
Given two polynomials in $\mathbb{C}[x,y]$ of degree d in x and y:

$$P = p_0(x)y^d + \cdots + p_d(x)$$

$$P = p_0(x)y^d + \dots + p_d(x)$$

$$Q = q_0(x)y^d + \dots + q_d(x)$$

- Res(P,Q) is a polynomial in x
- $O(n^{3-1/\omega+\varepsilon})$ arithmetic operations [Villard 2018]
- $O(n^{2+\varepsilon})$ randomized in a finite field [van der Hoeven, Lecerf 2019]



$$Res_d(P(x,y),Q(x,y))(\alpha) = Res_d(P(\alpha,y),Q(\alpha,y))$$

First subresultant definition

Given two polynomials in $\mathbb{C}[y]$:

•
$$P = p_0 y^d + \cdots + p_d$$
 with roots $\sigma_1, \ldots, \sigma_d$

•
$$P = p_0 y^d + \dots + p_d$$
 with roots $\sigma_1, \dots, \sigma_d$
• $Q = q_0 y^d + \dots + q_d$ with roots τ_1, \dots, τ_d

Properties

- $Sres_1 = s_1y + s_0$ has degree at most 1
- $Sres_1 \in \langle P, Q \rangle = I$

Definition: first subresultant

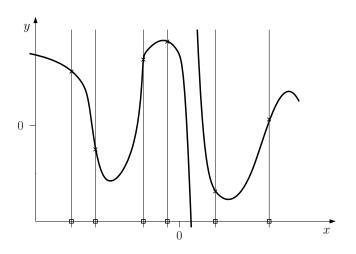
$$Sres_{1}(P,Q) = p_{0}^{d-1} \quad \left((y - \sigma_{1}) \prod_{j \neq 1} \frac{Q}{\sigma_{j} - \sigma_{1}} + \dots + (y - \sigma_{d}) \prod_{j \neq d} \frac{Q}{\sigma_{j} - \sigma_{d}} \right)$$

First subresultant definition

The s_0 and s_1 are the determinants of minors of the Sylvester Matrix

Sylvester matrix

Parametrization of y



$$s_1(x)y + s_0(x) = 0$$
$$r(x) = 0$$

Three variables and more

$$f_1(x_1,\cdots,x_n)=\cdots=f_n(x_1,\cdots,x_n)=0$$

Problem

Find
$$p(x_1) = q_1 f_1 + \cdots + q_n f_n$$

Matrix of $1, x_1, \ldots, x_1^D$ modulo $\langle f_1, \ldots, f_n \rangle$

$$M = egin{array}{cccc} & & & & 1 & & \cdots & & x_1^D \\ M = & \vdots & & & & & \\ & m_k & & & & & \end{array}$$

 \Rightarrow $v \in Ker(M)$ iff $v_0 + \cdots + v_D x^D \in \langle f_1, \dots, f_n \rangle$

Three variables and more

$$f_1(x_1,\cdots,x_n)=\cdots=f_n(x_1,\cdots,x_n)=0$$

Problem

Find
$$p(x_1) = q_1 f_1 + \cdots + q_n f_n$$

Matrix of multiplication by x_1 modulo $\langle f_1, \ldots, f_n \rangle$

$$M = \begin{pmatrix} x_1 m_1 & \cdots & x_1 m_k \\ \vdots & & & \\ m_k & & \end{pmatrix}$$

$$\Rightarrow \chi_M(x_1) \in \langle f_1, \dots, f_n \rangle$$

Three variables and more

$$f_1(x_1,\cdots,x_n)=\cdots=f_n(x_1,\cdots,x_n)=0$$

Problem

Find
$$p(x_1) = q_1 f_1 + \cdots + q_n f_n$$

Matrix of multiplication by x_1 modulo $f_1(x_1)$

$$M = \begin{pmatrix} 0 & 0 & \dots & 0 & -c_0 \\ 1 & 0 & \dots & 0 & -c_1 \\ 0 & 1 & \dots & 0 & -c_2 \\ \vdots & \vdots & \ddots & \vdots & \vdots \\ 0 & 0 & \dots & 1 & -c_{d-1} \end{pmatrix}$$

$$\Rightarrow \chi_M(x_1) = f_1(x_1) \in \langle f_1 \rangle$$

Normal form and univariate representation

Normal form

$$x_1m_i \mod \langle f_1,\ldots,f_n\rangle$$

Euclidean division by Gröbner basis

Groebner Bases computation

• n>2 in $\widetilde{O}((nd)^{(\omega+1)n})$ operations

- [Bardet, Faugère, Salvy 2015]
- n=2 in $\widetilde{O}(d^2)$ with terse representation

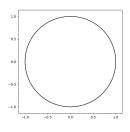
[van der Hoeven, Larrieu 2018]

Univariate Representation

- Multivariate subresultant
- Rational Univariate Representation [Kronecker 1882, Rouillier 1999]
 - u-resultant and its derivatives at point (t, a_1, \ldots, a_n)

u-resultant =
$$C \prod_{\zeta \mid F(\zeta) = 0} (u_0 + u_1 \zeta_n + \dots + u_n \zeta_n)$$

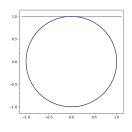
- Lexicographical Gröbner bases
 - Polynomial parametrization generically, bigger size



$$f(x,y)=0$$

Theorem (Hensel lifting)

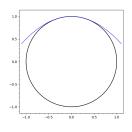
$$\begin{cases} y_0 &= \text{ root of } f(0, y) = 0 \\ y_{n+1}(x) &= y_n(x) - \frac{f(x, y_n(x))}{\frac{\partial f}{\partial y}(x, y_n(x))} \mod x^{2^{n+1}} \end{cases}$$



$$f(x,y)=0$$

Theorem (Hensel lifting)

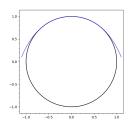
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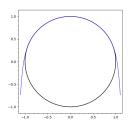
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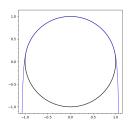
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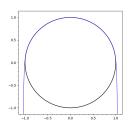
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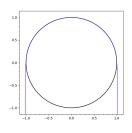
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$$f_1(x_1,x_2,x_3)=\cdots=f_3(x_1,x_2,x_3)=0$$

• RUR of $f_1(x_1, x_2, 0)$ and $f_2(x_1, x_2, 0)$

$$p_1(x_1) = 0$$
 $x_2 = q_{12}(x_1)$



• Lift x_3 in $f_1(x_1, x_2, x_3) = f_2(x_1, x_2, x_3) = 0$

$$\tilde{p}_1(x_1, x_3) = 0$$
 $x_2 = \tilde{q}_{12}(x_1, x_3)$



• RUR of $\tilde{p}_{11}(x_1, x_3)$ and $f_3(x_1, \tilde{q}_{12}(x_1, x_3), x_3)$

$$p_2(x_1) = 0$$
 $x_2 = q_{22}(x_1)$ $x_3 = q_{23}(x_1)$





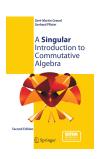
 $\widetilde{O}(d^{3n})$ operations [Giusti, Lecerf, Salvy 2001]

Further reading

















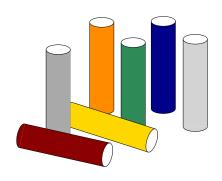
Further computing

Computer Algebra systems

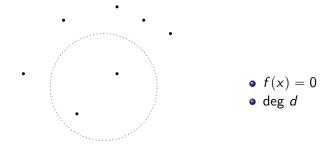
- Giac/xcas
- Maple
- Magma
- Mathemagix
- Mathematica
- Mcaulay2
- MuPAD
- SageMath
- Singular
- ...

Special purpose libraries/software

```
FGb (C) [Faugère]
Flint (C) [Hart]
msolve [Berthomieux, Eder, Safey El Din]
RS/RS3 (C) [Rouillier]
borderbasix (mmx, C++) [Trebuchet, Mourrain]
algebramix (mmx, C++) [van der Hoeven, Lecerf]
geomsolvex (mmx, C++) [Lecerf]
larrix (mmx, C++) [Larrieu]
```



Is it possible to arrange 7 infinite cylinders of unit radius such that they are mutually touching?



• We know the solutions of $x^d - 1 = 0$

$$(1-t)(x^d-1) + tf(x) = 0$$

- Multivariate case: compute the mixed volume first
- Find 1 solution by following only one path Polynomial time in $\binom{n+d}{d}$ average [Beltran, Pardo 2009], [Lairez 2017]
- Roots distribution of random polynomials [Edelman, Kostlan 1995]

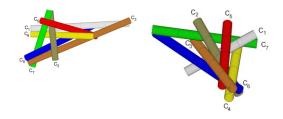


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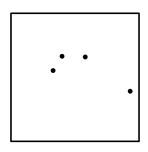
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- Roots distribution of random polynomials [Edelman, Kostlan 1995]

2 arrangements of 7 cylinders mutually touching [Bozóki et al. 2015]



- Modeled with 20 equations in 20 variables
- Mixed volume 121 098 993 664
- First real solution after 80 000 000 paths
- Solution certified with Smale theorem

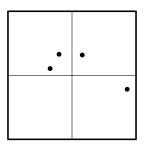


Test each cell

ullet 1 solution, guaranteed \Rightarrow keep

• 0 solution, guaranteed \Rightarrow remove

• don't know \Rightarrow subdivide

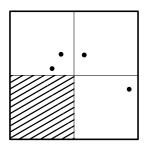


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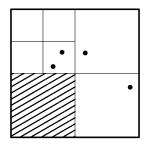


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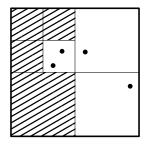


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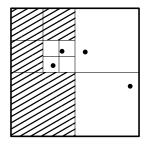


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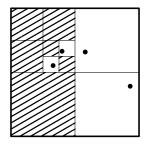


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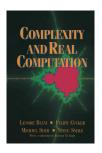
Test each cell

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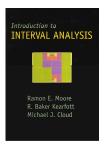
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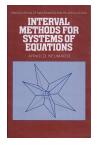
• don't know \Rightarrow subdivide

Further reading











Further computing

Path continuation

```
Bertini (C++, Python)
                                        [Bates, Amethyst, Hauenstein, Sommese, Wampler]

    Hom4PS (C++)

                                              [Tien-Yien Li, Tianran Chen, Tsung-Lin Lee]
  PHCpack (Ada)
                                                                            [Verschelde]
  analyziz (mmx, C++)
                                                                       [van der Hoeven]
  . . . .
Subdivision multivariate
                                            Subdivision univariate
  ibex (C++)
                                 [Chabert]
                                               ANewDsc (C) [Kobel,Rouillier,Sagraloff]
  Realpaver (C)
                      [Granvilliers]
                                               Clenshaw (C, Python)
  realroot (mmx, C++)
                                [Mourrain]
                                               Ccluster (C, Julia)
                                                                      [Imbach, Pan, Yap]
  subdivision_solver (C++,Python)
                                               real_roots (sage)
                                                                                [Wittv]
                                  [Imbach]
                                               RS (C)
                                                                              [Rouillier]
  voxelize (C++)
                                      [M.]

    SLV (C)

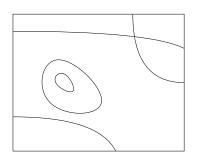
                                                                            [Tsigaridas]
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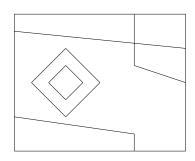
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Drawing reliably the projection of the solution

- Isotopy
- Projection
- Orawing reliably

Drawing hypersurfaces





Definition (isotopy)

A triangulation T is isotope to $V \subset \mathbb{R}^n$ if there exists $\varphi: [0,1] \times T \to \mathbb{R}^n$ such that:

- ullet φ is continuous
- $\varphi(0,T) = T$ and $\varphi(1,T) = V$
- $\varphi_{t_0}: T \to \varphi(t_0, T)$ is an homeomorphism

Size of the triangulation [Kerber and Sagraloff 2011]

$$f(x_1,\ldots,x_n)$$
 of degree d

2 variables

Theorem

In the worst case $\Omega(d^2)$ vertices and $O(d^2)$ segments

3 variables

Theorem

In the worst case $\Omega(d^3)$ vertices and $O(d^5)$ triangles

n variables

Theorem

In the worst case $\Omega(d^n)$ vertices and $O(d^{3/4 \cdot 2^n - 1})$ simplices

Size of the triangulation [Kerber and Sagraloff 2011]

f of degree d and vertices on the surface

2 variables

Theorem

In the worst case $\Omega(d^{23})$ vertices and $O(d^{23})$ segments

3 variables

Theorem

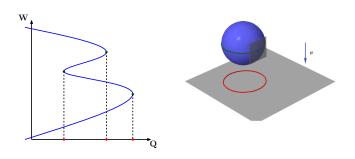
In the worst case $\Omega(d^{\cancel{3}4})$ vertices and $O(d^{\cancel{5}7})$ triangles

n variables

Theorem

In the worst case $\Omega(d^{n+1})$ vertices and $O(d^{3/4}2^{n}-1)$ simplices

In Robotic



$$V$$
 solutions of $f_1 = \cdots = f_k = \det(\frac{\partial f_i}{\partial x_j}) = 0$ in $\mathbb{R}^n \times \mathbb{R}^k$

Goal: draw the projection of V in Q

Projection



Definition (Semi-algebraic)

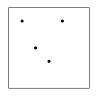
A semi-algebraic set is a set solution of a system of equalities and inequalities.

Theorem (Tarski-Seidenberg)

The projection of a semi-algebraic set is semi-algebraic.

Zariski closure







Definition (Zariski closure)

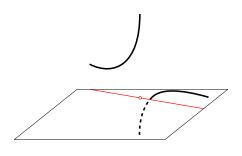
The Zariski closure of E is the minimal set \overline{E} containing E that is solution of a system of equalities.

Theorem (elimination)

- V solution of $p_1(q,x) = \cdots = p_{k+1}(q,x) = 0$
- G Gröbner basis of F with respect to the lexdeg ordering with x > q
- ullet G_q the polynomials in $G \cap \mathbb{Q}[q]$

$$\Rightarrow \pi_Q(V) = \text{ solutions of } G_q$$

Projective elimination



$$p_1(q,x)=\cdots=p_{k+1}(q,x)=0$$

Theorem

If V_p is the projective closure of V in $\mathbb{C}^n \times \mathbf{P}_k$, then

$$\overline{\pi_Q(V)} = \pi_Q(V_p)$$

Geometry of the elimination

$$x, y \in \mathbb{R}, z = a + ib \in \mathbb{C}$$

$$\begin{cases} 0 = f_1(x, y, a + ib) = & f_1(x, y, a) - \frac{b^2}{2} f_1''(x, y, a) + \cdots \\ & + ib \left(f_1'(x, y, a) - \frac{b^2}{6} f_1'''(x, y, a) + \cdots \right) \\ 0 = f_2(x, y, a + ib) = & f_2(x, y, a) - \frac{b^2}{2} f_2''(x, y, a) + \cdots \\ & + ib \left(f_2'(x, y, a) - \frac{b^2}{6} f_2'''(x, y, a) + \cdots \right) \end{cases}$$

Case b = 0: 2 equation in x, y, a

Case $b \neq 0$: 4 equation in x, y, a, b

 \Rightarrow possibly isolated points with $x,y\in\mathbb{R}$ and $z\in\mathbb{C}\backslash\mathbb{R}$

In general: $\overline{\pi_Q(V)}$ can have stable real components of dim n-2

Geometry of the projection

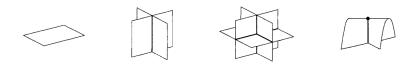


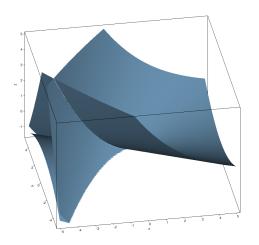
Figure 1: Local singularities of images of generic maps of surfaces into 3-space

[Guryonov 1997]

There exists a hypersurface $\Delta \subset C^{\infty}(M,\mathbb{R}^3)$ s.t. if $f \in C^{\infty}(M,\mathbb{R}^3) \setminus \Delta$, then the neighborhood of any point of f(M) is one of the 4 above.

- Classification of generic singularities started with Whitney
- Thom introduced the transversality theorem
- Arnold and others provided numerous classifications

Geometry of the projection

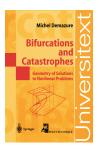


For the projection of critical points, we also have swallowtail singularities

Further reading







Further computing

Quantifier elimination

- Redlog (Reduce)
- RegularChains (Maple)
- Resolve (Mathematica)
- QEPCAD (C)
- Tarski (C++)
- ...

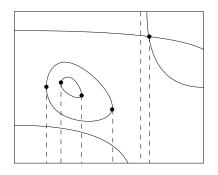
Satisfiability of formula

- RAGLib (Maple)
- RealCertify (Maple)
- RSolver (OCaml)
- TSSOS (Julia)
- ...

[Dolzmann, Sturm] [Moreno Maza et al] [Strzebonski] [Brown]

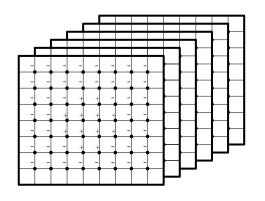
[Safey el Din] [Magron, Safey el Din] [Ratschan] [Lassere, Magron, Wang]

Drawing with symbolic approach



- ullet Topology of curves in $\widetilde{O}(d^6+ au d^5)$ operations [Kobel, Sagraloff, 2015], [Niang Diatta, Diatta, Rouillier, Roy, Sagraloff, submitted 2018]
- ullet Topology of surfaces with $O(d^5)$ simplices [Berberich, Kerber, Sagraloff 2009]

Marching cubes [Lorensen and Cline 1987]









- Evaluate f(x, y, z) of deg d on a grid of size $N = n \times n \times n$
- For each cube, compute a triangle of the surface to plot

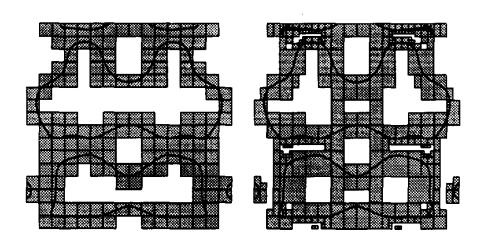
Complexity: Cost of evaluating f on 1 point with Hörner: $O(d^3)$

Total naive: $O(d^3n^3)$

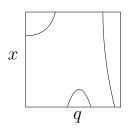
Reuse computation by coordinates: $O(dn^3)$

Subdivision pruning

Interval arithmetic to remove boxes [Snyder 1992, Plantinga-Vegter 2006]



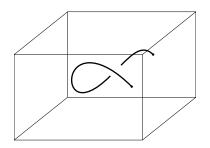
Jacobian



$$0
otin \det(J_X(B)) = \Box \det \left(\qquad rac{\partial f_i}{\partial x_j}(B) \qquad
ight)$$

 \Rightarrow V is globally parametrized by q in B

Surface tracking



Regular case

First marching segments

[Dobkin et al. 1990]

• First marching triangles

[Hilton et al. 1996]

 Recent result on marching simplices, topology guaranteed [Boissonnat, Kachanovich, Win

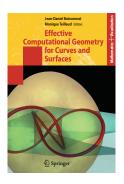
[Boissonnat, Kachanovich, Wintraecken, 2020]

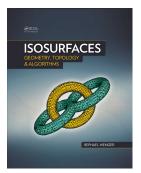
Singular surfaces

Use isosingular deflation near singularities

[Bates, Brake, Hauenstein, Sommese, Wampler, 2014]

Further reading





Further computing

Drawing with symbolic approach

- algcurve (Maple)
- isotop (C, Maple)
- EXACUS (C++)

Drawing with subdivision

- axl (C++, mmx)
- ibex (C++)
- Realpaver (C)
- voxelize (C++)

Drawing with marching cube

- JuliaGeometry (Julia)
- scikit-image (C, python)
- MathMod (C++)

[Deconink, Patterson, van Hoeii] [Peñeranda, Pouget, Lazard, Rouillier] [Melhorn et al]

[Christoflorou, Mantzaflaris, Mourrain, Wintz] [Chabert] [Granvilliers] [M.]

Drawing with continuation

- bertini real
- CGAL (C++)
- GUDHI (C++)

[Brake et al] [Rineau, Yvinec]

[Kachanovich]

[Kelly]

[Taha]

[Lewiner]

Merci!

Open problems

- Complexity of gröbner bases in dimension 3 with terse representation?
- What are the actual bounds on the number of simplices in drawings in 3D, in nD?
- What if we use polynomial pieces of degree k instead of linear pieces?
- Reliable drawing of singular surfaces with prescribed singularities?