Complexity of Gröbner bases computations and applications to cryptography

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Complexity of Gröbner bases computations	Invariants	Random systems	References
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Solving degree

 $\mathcal{F} = \{f_1, \dots, f_m\} \subseteq R = K[x_1, \dots, x_n]$, degree reverse lexicographic order

Definition

The solving degree of \mathcal{F} , denoted solv. deg(\mathcal{F}), is the least degree for which Gaussian elimination in the drl Macaulay matrix of degree d yields a Gröbner basis of (\mathcal{F}) = (f_1, \ldots, f_m). max. GB. deg(\mathcal{F}) denotes the largest degree of a polynomial in a reduced drl Gröbner basis of (\mathcal{F}).

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Theorem (Caminata, G.)

Suppose that (\mathcal{F}^h) is in generic coordinates, then

 $\operatorname{reg}(\mathcal{F}^h) \geq \operatorname{solv.deg}(\mathcal{F}) \geq \max. \operatorname{GB.deg}(\mathcal{F})$

where $reg(\mathcal{F}^h)$ is the Castelnuovo-Mumford regularity of (\mathcal{F}^h) .

The Castelnuovo-Mumford regularity

 $J = (F_1, \ldots, F_m), F_i \in S = K[x_0, \ldots, x_n]$ homogeneous of deg $(F_i) = d_i$

$$0 \to \bigoplus_{i=1}^{\ell_p} S(-b_{p,i}) \to \cdots \to \bigoplus_{i=1}^{\ell_1} S(-b_{1,i}) \to \bigoplus_{i=1}^m S(-d_i) \xrightarrow{(F_1,\ldots,F_m)} J \to 0$$

Definition

The Castelnuovo-Mumford regularity of J is $reg(J) = max\{b_{j,i} - j, d_i\}$.

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Example

 $J = (x_1^2, x_1x_2, x_2^3) \subseteq K[x_0, x_1, x_2]$ has minimal free resolution

$$0
ightarrow S(-4) \oplus S(-3)
ightarrow S(-3) \oplus S(-2)^2
ightarrow J
ightarrow 0$$

and $reg(J) = max\{2, 3, 3 - 1, 4 - 1\} = 3.$

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A BOUND FOR THE COMPLEXITY OF MINRANK

MinRank Problem

Given $M_1, \ldots, M_n, N \in Mat_{k \times m}(\mathbb{F}_q)$ and $r < \min\{k, m\}$, find $x_1, \ldots, x_n \in \mathbb{F}_q$ s.t.

 $\operatorname{rank}\left(N-\sum_{i=1}^{n}x_{i}M_{i}\right)\leq r.$

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A BOUND FOR THE COMPLEXITY OF MINRANK

Generalized MinRank Problem

Given $M \in Mat_{k \times m}(K[x_1, \dots, x_n])$ and $r < \min\{k, m\}$, find $x_1, \dots, x_n \in K$ s.t.

 $\operatorname{rank}(M) \leq r.$

A BOUND FOR THE COMPLEXITY OF MINRANK

Generalized MinRank Problem

Given $M \in Mat_{k \times m}(K[x_1, \ldots, x_n])$ and $r < \min\{k, m\}$, find $x_1, \ldots, x_n \in K$ s.t.

 $\operatorname{rank}(M) \leq r.$

The next result was shown by Faugère, Safey El Din, and Spaenlehauer for $d_{ij} = d \ge 1$.

Theorem (Caminata, G.)

Let $M \in Mat_{k \times m}(R)$, let $r < k \le m$ and $n \ge (m - r)(k - r)$. Assume that the entries of M are generic of degree d_{ij} with $d_{ij} > 0$ and $d_{ij} + d_{h\ell} = d_{i\ell} + d_{hj}$ for all i, j, h, ℓ . Let \mathcal{F} be the homogeneous polynomial system of the minors of size r + 1 of M. Then

$$ext{solv. deg}(\mathcal{F}) \leq (m-r) \sum_{i=1}^r d_{i,i} + \sum_{i=r+1}^k \sum_{j=r+1}^m d_{ij} - (m-r)(k-r) + 1.$$

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Algebra and geometry

$$K$$
 field, $\mathcal{F} = \{f_1, \ldots, f_m\} \subseteq R = K[x_1, \ldots, x_n], I = (\mathcal{F})$

Definition

The affine variety associated to I is

$$V(I) = \{P = (x_1, \ldots, x_n) \in K^n \mid f_1(P) = \ldots = f_m(P) = 0\} \subseteq K^n.$$

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Theorem (Hilbert's Nullstellensatz)

If $K = \overline{K}$, then we have a one-to-one correspondence between radical ideals and affine varieties.

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Theorem (Hilbert's Nullstellensatz)

If $K = \overline{K}$, then we have a one-to-one correspondence between radical ideals and affine varieties.

Affine varieties in K^n are the closed sets of the Zarisky topology on K^n . If $K = \mathbb{F}_q$, then the Zarisky topology is the discrete topology. If K is infinite, then any $\emptyset \neq U \subseteq K^n$ open is dense, i.e. $\overline{U} = K^n$.

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Genericity			
Definition			

A property is generic if it holds on a nonempty Zarisky-open set.

A polynomials of degree *d* in *n* variables is generic if it belong to a given Zarisky-open set of $\mathcal{K}^{\binom{n+d}{d}}$.

A sequence of polynomials is generic if each polynomial is generic.

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A sequence of polynomials is generic if each polynomial is generic.

Over a finite field this is meaningless, but over an infinite field this means that the property holds "almost everywhere". However, when one can describe the open set via the equations of its complement, then one can check whether any given point belongs to the open set.

Example

Genericity conditions for the statement on the complexity of MinRank:

- the homogenization of the minors of *M* are the minors of the matrix obtained from *M* by homogenizing its entries,
- the zero locus of the minors has codimension (m-r)(k-r).

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IDEALS IN GENERIC COORDINATES

K infinite, $S = K[x_0, ..., x_n]$, fix the drl order, $J \subseteq S$ homogeneous $G = GL_{n+1}(K)$ acts on S as changes of coordinates

Theorem (Galligo)

There is a nonempty open $U \subseteq G \subseteq K^{(n+1)^2}$ s.t. in(gJ) = in(hJ) for $g, h \in U$.

Definition

gin(J) := in(gJ) for $g \in U$ is the (drl) generic initial ideal of J.

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Definition

gin(J) := in(gJ) for $g \in U$ is the (drl) generic initial ideal of J.

Theorem (Bayer, Stillman)

One has

$$\operatorname{reg}(J) = \operatorname{reg}(\operatorname{gin}(J)).$$

Hence, if J is in generic coordinates, then

 $\operatorname{reg}(J) = \operatorname{reg}(\operatorname{in}(J)).$

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Are we in generic coordinates?

One can decide if J is in generic coordinates by computing a Gröbner basis.

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ARE WE IN GENERIC COORDINATES?

One can decide if J is in generic coordinates by computing a Gröbner basis.

Theorem (Caminata, G.)

 $\mathcal{F} \subseteq \mathbb{F}_q[x_1, \dots, x_n]$. Assume that

$$x_1^q - x_1, \dots, x_n^q - x_n \in \mathcal{F}$$
 or $x_1^q - x_2, \dots, x_{n-1}^q - x_n, x_n^q - x_1 \in \mathcal{F}$.

Then (\mathcal{F}^h) is in generic coordinates.

Corollary (Macaulay Bound)

 $\mathcal{F} = \{f_1, \ldots, f_m\} \subseteq R = \mathbb{F}_q[x_1, \ldots, x_n], \deg(f_i) = d_i, d_1 \ge \ldots \ge d_m, m \ge n+1.$ Assume that (\mathcal{F}^h) is in generic coordinates, or that \mathcal{F} contains the field equations, or their fake Weil descent. Then

$$\operatorname{solv.deg}(\mathcal{F}) \leq d_1 + \ldots + d_{n+1} - n.$$

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INVARIANTS RELATED TO THE SOLVING DEGREE

In addition to the Castelnuovo-Mumford regularity, other invariants of systems of polynomial equations may help estimating the solving degree:

- the degree of regularity d_{reg},
- the first fall degree d_{ff},
- the last fall degree d_{lf},
- the witness degree *d*_{wit}.

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Homogeneous systems associated to ${\cal F}$

 $\begin{array}{l} \mathcal{F} = \{f_1, \ldots, f_m\} \subseteq R \quad \rightsquigarrow \quad \mathcal{F}^h = \{f_1^h, \ldots, f_m^h\} \subseteq S = R[x_0] \quad \text{and} \\ \mathcal{F}^{\text{top}} = \{f_1^{\text{top}}, \ldots, f_m^{\text{top}}\} \subseteq R \text{ homogeneous.} \end{array}$

Definition

The top degree part of $f = \sum_{a \in \mathbb{N}^n} \alpha_a x^a \in K[x_1, \dots, x_n]$ is

$$f^{ ext{top}} = \sum_{\substack{|a| = \deg(f) \\ x^a \end{pmatrix}} lpha_a x^a,$$
 where $|a| = a_1 + \ldots + a_n = \deg(x^a).$

Example

Let
$$\mathcal{F} = \{x_1x_2 + x_2, x_2^2 - 1\} \subseteq \mathcal{K}[x_1, x_2]$$
. Then
 $\mathcal{F}^{top} = \{x_1x_2, x_2^2\} \subseteq \mathcal{K}[x_1, x_2], \mathcal{F}^h = \{x_1x_2 + x_0x_2, x_2^2 - x_0^2\} \subseteq \mathcal{K}[x_0, x_1, x_2].$

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Homogeneous systems associated to ${\cal F}$

 $\begin{aligned} \mathcal{F} &= \{f_1, \dots, f_m\} \subseteq R \quad \rightsquigarrow \quad \mathcal{F}^h = \{f_1^h, \dots, f_m^h\} \subseteq S = R[x_0] \quad \text{and} \\ \mathcal{F}^{\text{top}} &= \{f_1^{\text{top}}, \dots, f_m^{\text{top}}\} \subseteq R \text{ homogeneous.} \end{aligned}$

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If f_1, \ldots, f_m are homogeneous, then $\mathcal{F} = \mathcal{F}^h = \mathcal{F}^{top}$.

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DEGREE OF REGULARITY AND SOLVING DEGREE Definition (Bardet, Faugère, Salvy)

The degree of regularity of \mathcal{F} is

$$d_{\mathsf{reg}}(\mathcal{F}) := \min\{\ell \in \mathbb{N} \mid (\mathcal{F}^{\mathsf{top}})_{\ell} = R_{\ell}\}.$$

Since $\operatorname{in}(\mathcal{F})_{\ell} \supseteq \operatorname{in}(\mathcal{F}^{\operatorname{top}})_{\ell} = R_{\ell}$ for $\ell \ge d_{\operatorname{reg}}(\mathcal{F})$, then $d_{\operatorname{reg}}(\mathcal{F}) \ge \max. \operatorname{GB.deg}(\mathcal{F}).$

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Example

Let $\mathcal{F} = \{x_1x_2 + x_2, x_2^2 - 1\} \subseteq \mathcal{K}[x_1, x_2]$. Then $\mathcal{F}^{top} = \{x_1x_2, x_2^2\}$ and $(x_1x_2, x_2^2)_{\ell} = \langle x_1^{\ell-1}x_2, \dots, x_1x_2^{\ell-1}, x_2^{\ell} \rangle \neq R_{\ell}$ for all $\ell \ge 2$, so $d_{reg}(\mathcal{F}) = \infty$.

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If \mathcal{F} is homogeneous, then $d_{\text{reg}}(\mathcal{F}) = \text{reg}(\mathcal{F})$ if \mathcal{F} has the unique solution $x_1 = \ldots = x_n = 0$. Else $d_{\text{reg}}(\mathcal{F}) = \infty$.

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EXAMPLES

Example (Caminata, G.)

Let $f_1, f_2, f_3 \in R := \mathbb{F}_q[x, y]$ of degrees 7,7,8 be a polynomial system for collecting relations for index calculus on elliptic curves over \mathbb{F}_{q^3} . For 150'000 randomly generated examples of cryptographic size (3 different q's, 5 elliptic curves for each q, 10'000 random points per curve)

 $(\mathcal{F}^{\mathsf{top}})_\ell
eq \mathsf{R}_\ell$ for all $\ell \geq 0$ and solv. $\mathsf{deg}(\mathcal{F}) = 15.$

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EXAMPLES

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$$(\mathcal{F}^{\mathsf{top}})_\ell
eq R_\ell \text{ for all } \ell \geq 0 \quad \mathsf{and} \quad \mathsf{solv.deg}(\mathcal{F}) = 15.$$

The degree of regularity may be smaller than the solving degree.

Example (Caminata, G.)

Let $f_1, f_2, f_3 \in R := \mathbb{F}_q[x, y]$ of degree 3 be a polynomial system for collecting relations for index calculus on elliptic curves over \mathbb{F}_{q^3} . For 150'000 randomly generated examples of cryptographic size as above

$$\operatorname{solv.deg}(\mathcal{F}) = 5 > 4 = d_{\operatorname{reg}}(\mathcal{F}).$$

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ANOTHER EXAMPLE

The gap beyn the solving degree and the degree of regularity can be large. Example (Bigdeli, De Negri, Dizdarevic, G., Minko, Tsakou) Let $f_1 = x^5 + y^5 + z^5 - 1$, $f_2 = x^3 + y^3 + z^2 - 1$, $f_3 = y^6 - 1$, $f_4 = z^6 - 1 \in R = \mathbb{F}_7[x, y, z]$. Let

$$\mathcal{F} := \left\{ \prod_{j=1}^{3} f_{i_j} \middle| 1 \le i_1 \le i_2 \le i_3 \le 4 \right\} \cup \{x^7 - x, y^7 - y, z^7 - z\}.$$

Using Magma one can compute

$$\mathsf{solv}.\,\mathsf{deg}(\mathcal{F})=\mathsf{24}>\mathsf{15}=\mathit{d_{\mathsf{reg}}}(\mathcal{F}).$$

- The solving degree is computed with Magma.
- \mathcal{F} contains equations of degree $18 > 15 = d_{reg}(\mathcal{F})$.

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DEGREE FALLS

$$\mathcal{F} = \{f_1, \ldots, f_m\} \subseteq R = \mathbb{F}_q[x_1, \ldots, x_n], \ \mathsf{deg}(f_i) = d_i$$

Definition (Doubois, Gama – Ding, Yang)

A degree fall occurs in degree d if there are $h_1, \ldots, h_m \in R/(x_1^q, \ldots, x_n^q)$ homogeneous of deg $(h_i) = d - d_i$ s.t.

$$\sum_{i=1}^{m} h_i f_i^{\text{top}} = 0 \text{ modulo } (x_1^q, \dots, x_n^q).$$

 (h_1,\ldots,h_m) is a syzygy of $f_1^{\text{top}},\ldots,f_m^{\text{top}}$ modulo (x_1^q,\ldots,x_n^q) .

This is called a degree fall since

$$\mathsf{deg}\left(\sum_{i=1}^m h_i f_i\right) < d = \mathsf{deg}(h_j f_j) \text{ for all } j.$$

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TRIVIAL DEGREE FALLS AND FIRST FALL DEGREE

Trivial degree falls and corresponding trivial syzygies come from:

•
$$f_i^{\text{top}} f_j^{\text{top}} - f_j^{\text{top}} f_i^{\text{top}} = 0$$

• $(f_i^{\text{top}})^q - f_i^{\text{top}} (x_1^q, \dots, x_n^q) = 0 \quad \rightsquigarrow \quad (f_i^{\text{top}})^q = 0 \text{ modulo } (x_1^q, \dots, x_n^q)$

Example

$$\mathcal{F} = \{x_1x_2 + x_2, x_2^2 - 1\} \subseteq \mathbb{F}_q[x_1, x_2], \ q \ge 3, \ \mathsf{has} \ \mathcal{F}^{\mathsf{top}} = \{x_1x_2, x_2^2\}.$$

The trivial syzygies of \mathcal{F}^{top} are $\langle (x_2^2, -x_1x_2), ((x_1x_2)^{q-1}, 0), (0, x_2^{2(q-1)}) \rangle$, while $(x_2, -x_1), (0, x_2^{q-2})$ are non-trivial syzygies.

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Definition

The first fall degree of \mathcal{F} is

$$\begin{array}{lll} d_{\rm ff}(\mathcal{F}) &=& \min\{d \in \mathbb{N} \mid \text{a non-trivial degree fall occurs in deg } d\} \\ &=& \min\{d \in \mathbb{N} \mid \text{there is a non-trivial syzygy of deg } d\} \end{array}$$

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TRIVIAL DEGREE FALLS AND FIRST FALL DEGREE

Trivial degree falls and corresponding trivial syzygies come from:

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$$f_i^{\text{top}} f_j^{\text{top}} - f_j^{\text{top}} f_i^{\text{top}} = 0$$

• $(f_i^{\text{top}})^q - f_i^{\text{top}}(x_1^q, \dots, x_n^q) = 0 \quad \rightsquigarrow \quad (f_i^{\text{top}})^q = 0 \text{ modulo } (x_1^q, \dots, x_n^q)$

Example

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The trivial syzygies of \mathcal{F}^{top} are $\langle (x_2^2, -x_1x_2), ((x_1x_2)^{q-1}, 0), (0, x_2^{2(q-1)}) \rangle$, while $(x_2, -x_1), (0, x_2^{q-2})$ are non-trivial syzygies. Hence $d_{\text{ff}}(\mathcal{F}) = 3$.

Definition

The first fall degree of \mathcal{F} is

$$\begin{array}{lll} d_{\rm ff}(\mathcal{F}) &=& \min\{d \in \mathbb{N} \mid {\rm a \ non-trivial \ degree \ fall \ occurs \ in \ \deg d\} \\ &=& \min\{d \in \mathbb{N} \mid {\rm there \ is \ a \ non-trivial \ syzygy \ of \ \deg d\} \end{array}$$

FIRST FALL DEGREE AND SOLVING DEGREE

Example

$$\mathcal{F} = \{x_1 x_2 + x_2, x_2^2 - 1, x_1^{q-1} - 1\} \subseteq \mathbb{F}_q[x_1, x_2] \text{ has}$$

$$\mathcal{F}^{top} = \{x_1 x_2, x_2^2, x_1^{q-1}\}, \text{ with non-trivial syzygies}$$

$$(x_2, -x_1, 0), (x_1^{q-2}, 0, -x_2), (0, x_2^{q-2}, 0), (0, 0, x_1). \text{ Hence}$$

$$d_{\mathrm{ff}}(\mathcal{F}) = 3 \leq q-1 = \mathrm{solv.\,deg}(\mathcal{F}).$$

FIRST FALL DEGREE AND SOLVING DEGREE

Example

$$\mathcal{F} = \{x_1 x_2 + x_2, x_2^2 - 1, x_1^{q-1} - 1\} \subseteq \mathbb{F}_q[x_1, x_2] \text{ has}$$

$$\mathcal{F}^{top} = \{x_1 x_2, x_2^2, x_1^{q-1}\}, \text{ with non-trivial syzygies}$$

$$(x_2, -x_1, 0), (x_1^{q-2}, 0, -x_2), (0, x_2^{q-2}, 0), (0, 0, x_1). \text{ Hence}$$

$$d_{\mathrm{ff}}(\mathcal{F}) = 3 \leq q-1 = \mathrm{solv.\,deg}(\mathcal{F}).$$

Example

$$\begin{split} \mathcal{F} &= \{x_1 + x_2, x_2^2 - 1\} \subseteq \mathbb{F}_q[x_1, x_2], \ q \geq 3 \ \text{has} \ \mathcal{F}^{\text{top}} = \{x_1, x_2^2\}. \\ \text{The trivial syzygies of} \ x_1, x_2^2 \ \text{are} \ \langle (x_2^2, -x_1), (x_1^{q-1}, 0), (0, x_2^{2(q-1)}) \rangle. \\ \text{The only non-trivial syzygy is} \ (0, x_2^{q-2}). \ \text{Hence} \end{split}$$

$$d_{\mathrm{ff}}(\mathcal{F}) = q > 2 = \mathrm{solv.deg}(\mathcal{F}).$$

The last fall degree

$$\mathcal{F} \subseteq R = K[x_1, \ldots, x_n]$$

Definition (Huang, Kosters, Yang, Yeo)

For $d \in \mathbb{Z}_{\geq 0}$, let $V_{\mathcal{F},d}$ be the smallest K-vector space s.t.:

- $\mathcal{F} \cap R_{\leq d} \subseteq V_{\mathcal{F},d}$,
- if $f \in V_{\mathcal{F},d}$ and $g \in R_{\leq d-\deg(f)}$, then $fg \in V_{\mathcal{F},d}$.

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Definition

The last fall degree of \mathcal{F} is

$$d_{\mathsf{lf}}(\mathcal{F}) = \min\{d \in \mathbb{N} \mid f \in V_{\mathcal{F}, \max\{d, \deg(f)\}} \text{ for all } f \in I\}.$$

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If \mathcal{F} is homogeneous, then $V_{\mathcal{F},d} = (\mathcal{F})_{\leq d}$ for all $d \in \mathbb{N}$ and $d_{\mathrm{lf}}(\mathcal{F}) = 0$.

EXAMPLE AND PROPERTIES

Example

 $\mathcal{F} = \{x_1x_2 + x_2, x_2^2 - 1\}$ has $V_{\mathcal{F},0} = V_{\mathcal{F},1} = 0$, $V_{\mathcal{F},2} = \langle x_1x_2 + x_2, x_2^2 - 1 \rangle$ and

$$V_{\mathcal{F},3} = V_{\mathcal{F},2} + x_1 V_{\mathcal{F},2} + x_2 V_{\mathcal{F},2} + (x_1 + 1) R_{\leq 2} = (\mathcal{F})_{\leq 3}.$$

Then $d_{lf}(\mathcal{F}) = 3 = \text{solv. deg}(\mathcal{F})$.

References

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Then $d_{lf}(\mathcal{F}) = 3 = \text{solv. deg}(\mathcal{F})$.

Algorithm (Huang, Kosters, Yang, Yeo)

Assume that \mathcal{F} has finitely many solutions. There is a linear algebra based algorithm which computes the solutions of \mathcal{F} and whose complexity is upper bounded by an exponential function of $d_{lf}(\mathcal{F})$.

This is only meaningful for non-homogeneous systems.

Complexity of Gröbner bases computations	Invariants	Random systems	References
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LAST FALL DEGREE AND SOLVING DEGREE

Unlike the solving degree, the last fall degree is independent of the choice of a degree-compatible term order and of coordinate changes.

Complexity of Gröbner bases computations	Invariants	Random systems	References
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LAST FALL DEGREE AND SOLVING DEGREE

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Theorem (G., Petit, Müller – Caminata, G.)

solv. deg(\mathcal{F}) = max{ $d_{lf}(\mathcal{F})$, max. GB. deg(\mathcal{F})}

Complexity of Gröbner bases computations	Invariants	Random systems	References
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solv. deg(\mathcal{F}) = max{ $d_{lf}(\mathcal{F})$, max. GB. deg(\mathcal{F})}

If \mathcal{F} is homogeneous, then $d_{lf}(\mathcal{F}) = 0$ and we recover that solv. $\deg(\mathcal{F}) = \max$. GB. $\deg(\mathcal{F})$.

The witness degree

Let
$$K = \mathbb{F}_2$$
 and $\mathcal{F} = \{f_1, \dots, f_{m-n}, x_1^2 + x_1, \dots, x_n^2 + x_n\}$, $\deg(f_i) = d_i$
 $I = (\mathcal{F}), I_{\leq d} = \{f \in I : \deg(f) \leq d\}, R_{\leq d} = \langle x^a : |a| \leq d \rangle$
 $W_{\leq d} = \{\sum_{i=1}^{m-n} h_i f_i + \sum_{i=1}^n \ell_i (x_i^2 + x_i), h_i \in R_{\leq d-d_i}, \ell_i \in R_{\leq d-2}\}$

Definition (Bardet, Faugère, Salvy, Spaenlehauer)

The witness degree of \mathcal{F} is the least d s.t. $W_{\leq d} = I_{\leq d}$ and max. GB. deg $(\mathcal{F}) \leq d$

 $d_{\mathsf{wit}}(\mathcal{F}) = \max\{\min\{d \in \mathbb{N} \mid W_{\leq d} = I_{\leq d}\}, \max. \mathsf{GB}. \mathsf{deg}(\mathcal{F})\}.$

Complexity of Gröbner bases computations	Invariants	Random systems	References
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WINTESS DEGREE,	REGULARITY,	AND SOLVING	

DEGREE

Complexity of Gröbner bases computations	Invariants	Random systems	References
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WINTESS DEGREE, REGULARITY, AND SOLVING DEGREE

Theorem (Bardet, Faugère, Salvy, Spaenlehauer)

If ${\mathcal F}$ has no solutions, then

$$d_{\mathrm{wit}}(\mathcal{F}) \leq d_{\mathrm{reg}}(\mathcal{F}^h) = \mathrm{reg}(\mathcal{F}^h).$$

WINTESS DEGREE, REGULARITY, AND SOLVING DEGREE

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Example

$$\begin{aligned} \mathcal{F} &= \{x_1x_2 + x_2, x_1^2 + 1, x_2^2 + 1\} \text{ has max. GB. deg}(\mathcal{F}) = 2 \text{ and} \\ \mathcal{W}_0 &= \mathcal{W}_1 = 0, \ \mathcal{W}_2 = \langle x_1x_2 + x_2, x_1^2 + 1, x_2^2 + 1 \rangle \text{ and} \end{aligned}$$

$$W_3 = W_2 + x_1 W_2 + x_2 W_2 + \langle x_1 + 1 \rangle \subsetneq (\mathcal{F})_{\leq 3}.$$

Then $d_{wit}(\mathcal{F}) > 3 = \text{solv.deg}(\mathcal{F})$.

Complexity of Gröbner bases computations	Invariants	Random systems	References
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Summarizing			

- the degree of regularity is an upper bound for the largest degree of an element in a drl Gröbner basis,
- the degree of regularity and the first fall degree are heuristic estimates for the solving degree,
- the last fall degree and the largest degree of an element in the reduced Gröbner basis of \mathcal{F} together determine the solving degree,
- the witness degree is a lower bound on the degree of regularity and on the Castenuovo-Mumford regularity of \mathcal{F}^h , for a system \mathcal{F} that has no solutions.

Complexity of Gröbner bases computations	Invariants	Random systems	References
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HILBERT SERIES AND REGULAR SEQUENCES

 $J \subseteq S = K[x_0, \ldots, x_n]$ homogeneous,

Definition

The Hilbert series of S/J is the formal power series

$$HS_{S/J}(z) = \sum_{d\geq 0} \dim_k (S_d/J_d) z^d.$$

Complexity of Gröbner bases computations	Invariants 00000000000000	Random systems •000000	References 0

HILBERT SERIES AND REGULAR SEQUENCES

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 homogeneous, $\mathcal{F} = \{f_1, \dots, f_m\}$, $d_i = \deg(f_i)$

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$$HS_{S/J}(z) = \sum_{d\geq 0} \dim_k (S_d/J_d) z^d.$$

Definition

 f_1^h, \ldots, f_m^h is a regular sequence if multiplication by f_i^h is injective modulo $(f_1^h, \ldots, f_{i-1}^h)$ for all *i*. Equivalently, if

$$HS_{S/(\mathcal{F}^{h})}(z) = rac{\prod_{i=1}^{m}(1-z^{d_{i}})}{(1-z)^{n+1}}$$

Regular sequences only exist for $m \leq n+1$.

Invariants

REGULAR AND SEMIREGULAR SEQUENCES

$$\mathcal{F} = \{f_1, \dots, f_m\}, \ d_i = \deg(f_i), \text{ for } h(z) \in \mathbb{Z}[[z]] \text{ let}$$
$$[h(z)] := \sum_{d=0}^{\Delta} h_d z^d, \text{ where } \Delta = \sup\{d \ge 0 \mid h_0, \dots, h_d > 0\}.$$

Definition (Pardue – Bardet, Faugère, Salvy – Bigdeli, De Negri, Dizdarevic, G., Minko, Tsakou)

 ${\mathcal F}$ is a (cryptographic) semiregular sequence if

$$\mathcal{HS}_{S/(\mathcal{F}^{h})}(z) = \left[rac{\prod_{i=1}^{m}(1-z^{d_{i}})}{(1-z)^{n+1}}
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Invariants

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ight]$$

Example

•
$$x_0^{d_0}, x_1^{d_1}, \dots, x_n^{d_n}$$
 is a regular sequence in $K[x_0, \dots, x_n]$
• x^2, xy, y^2 is a semiregular sequence in $k[x, y]$, since
 $\left[\frac{(1-z^2)^3}{(1-z)^2}\right] = [(1+z)^2(1-z^2)] = [1+2z-2z^3-z^4] = 1+2z = HS_{K[x,y]/(x^2,xy,y^2)}(z)$

Complexity of Gröbner bases computations	Invariants	Random systems	References
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ARE RANDOM SEQUENCES (SEMI)REGULAR?

Many authors define a random system as one where the coefficients are chosen uniformly at random in the ground field.

Complexity of Gröbner bases computations	Invariants	Random systems	References
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Conjecture (Pardue)

Semiregular sequences over an infinite field are generic, i.e., semiregular sequences form a dense open subset of the set of all sequences of given degrees d_1, \ldots, d_m , wrt the Zariski topology.

There is evidence in favor of Pardue's Conjecture and the equivalent Fröberg's Conjecture. E.g., they are true for regular sequences. Over an infinite field, genericity is the right formulation of randomness.

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There is evidence in favor of Pardue's Conjecture and the equivalent Fröberg's Conjecture. E.g., they are true for regular sequences. Over an infinite field, genericity is the right formulation of randomness.

Over a finite field, genericity makes no sense, as the Zariski topology is the discrete topology.

The case of \mathbb{F}_2 was studied by Hodges, Molinas, Schlather. They provide mixed evidence. Other finite fields have not yet been studied.

Complexity of Gröbner bases computations	Invariants	Random systems	References
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CASTELNUOVO-MUMFORD REGULARITY OF SEMIREGULAR SEQUENCES

For a semiregular sequence

$$\mathsf{reg}(\mathcal{F}^h) = d_{\mathsf{reg}}(\mathcal{F}^h)$$

so in principle it can be computed via

$$HS_{S/(\mathcal{F}^{h})}(z) = \left[rac{\prod_{i=1}^{m}(1-z^{d_{i}})}{(1-z)^{n+1}}
ight]$$

Example

n = 2, m = 5, d₁ = ... = d₅ = 2,
$$\mathcal{F}$$
 semiregular

$$HS_{S/(\mathcal{F}^{h})}(z) = \left[\frac{(1-z^{2})^{5}}{(1-z)^{3}}\right] = [1+3z+z^{2}-5z^{3}+\ldots] = 1+3z+z^{2}$$

hence solv. deg $(\mathcal{F}) \leq \operatorname{reg}(\mathcal{F}^h) = 3$, if \mathcal{F}^h is in generic coordinates.

Complexity of Gröbner bases computations	Invariants 00000000000000	Random systems 0000●00	References 0

Solving degree of semiregular sequences

Asymptotic formulas for m = n + c and m = cn, for $n \to \infty$, were given by Bardet, Faugère, Salvy.

 $\mathcal{F} = \{f_1, \dots, f_m\} \subseteq R = \mathcal{K}[x_1, \dots, x_n], \text{ deg}(f_i) = d_i, d_1 \leq \dots \leq d_m$ $\mathcal{F} \text{ semiregular sequence, } \mathcal{F}^h \subseteq S = \mathcal{K}[x_0, \dots, x_n] \text{ in generic coordinates}$

If m = n, n + 1, then solv. deg $(\mathcal{F}) \leq d_1 + \ldots + d_m - m + 1$.

Theorem (Bigdeli, De Negri, Dizdarevic, G., Minko, Tsakou)

Let $m \ge n+2$. Assume wlog $d_{n+2} \le d_1 + \cdots + d_{n+1} - n - 1$. Then

$$\operatorname{solv.deg}(\mathcal{F}) \leq \left\lfloor rac{d_1 + \cdots + d_{n+2} - n - 2}{2}
ight
floor + 1.$$

In particular, if $d_1 = \cdots = d_{n+2} = d$, then

$$\operatorname{solv.deg}(\mathcal{F}) \leq \left\lfloor rac{(d-1)n}{2}
ight
floor + d.$$

Solving degree of semiregular sequences

 $\mathcal{F} = \{f_1, \ldots, f_m\} \subseteq R = \mathcal{K}[x_1, \ldots, x_n], \deg(f_i) = d_i, d_1 = \ldots = d_m = 2$ \mathcal{F} semiregular sequence, $\mathcal{F}^h \subseteq S = \mathcal{K}[x_0, \ldots, x_n]$ in generic coordinates

Theorem (Bigdeli, De Negri, Dizdarevic, G., Minko, Tsakou)

$$\begin{bmatrix} \lfloor n/2 \rfloor + 2 & \text{if } m = n+2 \\ \lceil (5+n-\sqrt{5+n})/2 \rceil & \text{if } m = n+3 \end{bmatrix}$$

solv. deg(
$$\mathcal{F}$$
) $\leq \begin{cases} \left\lceil (7 + n - \sqrt{19 + 3n})/2 \right\rceil & \text{if } m = n + 4, \\ \left\lceil (9 + n - \sqrt{23 + 3n + \sqrt{2}\sqrt{170 + 45n + 3n^2}})/2 \right\rceil & \text{if } m = n + 5, \\ \left\lceil (11 + n - \sqrt{45 + 5n + \sqrt{2}\sqrt{368 + 85n + 5n^2}})/2 \right\rceil & \text{if } m \ge n + 6. \end{cases}$

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GENERIC SEQUENCES OF QUADRICS

 $\mathcal{F} = \{f_1, \ldots, f_m\} \subseteq R = K[x_1, \ldots, x_n], \deg(f_i) = 2$ $\mathcal{F}^h \subseteq S = K[x_0, \ldots, x_n]$ contains a regular sequence of n + 1 quadratic polynomials, \mathcal{F}^h in generic coordinates.

We use a famous conjecture by Eisenbud, Green, Harris on the Hilbert series of an ideal containing a regular sequence of homogeneous quadratic polynomials.

Complexity of Gröbner bases computations	Invariants 00000000000000	Random systems 000000●	References 0

GENERIC SEQUENCES OF QUADRICS

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Theorem (Bigdeli, De Negri, Dizdarevic, G., Minko, Tsakou)

Assume that the EGH Conjecture holds. Let α be the unique integer such that $\sum_{i=n+1-\alpha}^{n+1} i < m \leq \sum_{i=n-\alpha}^{n+1} i$. Then

 $\operatorname{solv.deg}(\mathcal{F}) \leq n+1-\alpha.$

Example

• n = 2, m = 5: $\alpha = 0$ since $3 < m \le 3 + 2$, hence solv. deg $(\mathcal{F}) \le 3$, • n = 100, m = 600, $\alpha = 5$ since $101 + 100 + 99 + 98 + 97 + 96 < m \le 101 + 100 + 99 + 98 + 97 + 96 + 95$, hence solv. deg $(\mathcal{F}) \le 96$.

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