# Complexity of Gröbner bases computations and applications to cryptography 

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Journées nationales de calcul formel March 2, 2021

## Solving Degree

$\mathcal{F}=\left\{f_{1}, \ldots, f_{m}\right\} \subseteq R=K\left[x_{1}, \ldots, x_{n}\right]$, degree reverse lexicographic order

## Definition

The solving degree of $\mathcal{F}$, denoted solv. $\operatorname{deg}(\mathcal{F})$, is the least degree for which Gaussian elimination in the drl Macaulay matrix of degree $d$ yields a Gröbner basis of $(\mathcal{F})=\left(f_{1}, \ldots, f_{m}\right)$. max. GB. $\operatorname{deg}(\mathcal{F})$ denotes the largest degree of a polynomial in a reduced drl Gröbner basis of $(\mathcal{F})$.

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Theorem (Caminata, G.)
Suppose that $\left(\mathcal{F}^{h}\right)$ is in generic coordinates, then

$$
\operatorname{reg}\left(\mathcal{F}^{h}\right) \geq \operatorname{solv} \cdot \operatorname{deg}(\mathcal{F}) \geq \max . \mathrm{GB} \cdot \operatorname{deg}(\mathcal{F})
$$

where $\operatorname{reg}\left(\mathcal{F}^{h}\right)$ is the Castelnuovo-Mumford regularity of $\left(\mathcal{F}^{h}\right)$.

## The Castelnuovo-Mumford Regularity

$J=\left(F_{1}, \ldots, F_{m}\right), F_{i} \in S=K\left[x_{0}, \ldots, x_{n}\right]$ homogeneous of $\operatorname{deg}\left(F_{i}\right)=d_{i}$

$$
0 \rightarrow \bigoplus_{i=1}^{\ell_{p}} S\left(-b_{p, i}\right) \rightarrow \cdots \rightarrow \bigoplus_{i=1}^{\ell_{1}} S\left(-b_{1, i}\right) \rightarrow \bigoplus_{i=1}^{m} S\left(-d_{i}\right) \xrightarrow{\left(F_{1}, \ldots, F_{m}\right)} J \rightarrow 0
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## Example

$J=\left(x_{1}^{2}, x_{1} x_{2}, x_{2}^{3}\right) \subseteq K\left[x_{0}, x_{1}, x_{2}\right]$ has minimal free resolution

$$
0 \rightarrow S(-4) \oplus S(-3) \rightarrow S(-3) \oplus S(-2)^{2} \rightarrow J \rightarrow 0
$$

and $\operatorname{reg}(J)=\max \{2,3,3-1,4-1\}=3$.

## A Bound for the complexity of MinRank

## MinRank Problem

Given $M_{1}, \ldots, M_{n}, N \in \operatorname{Mat}_{k \times m}\left(\mathbb{F}_{\mathrm{q}}\right)$ and $r<\min \{k, m\}$, find $x_{1}, \ldots, x_{n} \in \mathbb{F}_{q}$ s.t.

$$
\operatorname{rank}\left(N-\sum_{i=1}^{n} x_{i} M_{i}\right) \leq r .
$$

## A Bound for the complexity of MinRank

## Generalized MinRank Problem

Given $M \in \operatorname{Mat}_{k \times m}\left(K\left[x_{1}, \ldots, x_{n}\right]\right)$ and $r<\min \{k, m\}$, find $x_{1}, \ldots, x_{n} \in K$ s.t.

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$$

The next result was shown by Faugère, Safey El Din, and Spaenlehauer for $d_{i j}=d \geq 1$.

## Theorem (Caminata, G.)

Let $M \in \operatorname{Mat}_{k \times m}(R)$, let $r<k \leq m$ and $n \geq(m-r)(k-r)$.
Assume that the entries of $M$ are generic of degree $d_{i j}$ with $d_{i j}>0$ and $d_{i j}+d_{h \ell}=d_{i \ell}+d_{h j}$ for all $i, j, h, \ell$.
Let $\mathcal{F}$ be the homogeneous polynomial system of the minors of size $r+1$ of $M$.
Then

$$
\operatorname{solv} \cdot \operatorname{deg}(\mathcal{F}) \leq(m-r) \sum_{i=1}^{r} d_{i, i}+\sum_{i=r+1}^{k} \sum_{j=r+1}^{m} d_{i j}-(m-r)(k-r)+1
$$

## Algebra and geometry

$K$ field, $\mathcal{F}=\left\{f_{1}, \ldots, f_{m}\right\} \subseteq R=K\left[x_{1}, \ldots, x_{n}\right], I=(\mathcal{F})$

## Definition

The affine variety associated to $l$ is

$$
V(I)=\left\{P=\left(x_{1}, \ldots, x_{n}\right) \in K^{n} \mid f_{1}(P)=\ldots=f_{m}(P)=0\right\} \subseteq K^{n} .
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## Theorem (Hilbert's Nullstellensatz)

If $K=\bar{K}$, then we have a one-to-one correspondence between radical ideals and affine varieties.

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Affine varieties in $K^{n}$ are the closed sets of the Zarisky topology on $K^{n}$. If $K=\mathbb{F}_{q}$, then the Zarisky topology is the discrete topology. If $K$ is infinite, then any $\emptyset \neq U \subseteq K^{n}$ open is dense, i.e. $\bar{U}=K^{n}$.

## Genericity

## Definition

A property is generic if it holds on a nonempty Zarisky-open set. A polynomials of degree $d$ in $n$ variables is generic if it belong to a given Zarisky-open set of $K\binom{n+d}{d}$.
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A sequence of polynomials is generic if each polynomial is generic.
Over a finite field this is meaningless, but over an infinite field this means that the property holds "almost everywhere". However, when one can describe the open set via the equations of its complement, then one can check whether any given point belongs to the open set.

## Example

Genericity conditions for the statement on the complexity of MinRank:

- the homogenization of the minors of $M$ are the minors of the matrix obtained from $M$ by homogenizing its entries,
- the zero locus of the minors has codimension $(m-r)(k-r)$.


## IDEALS IN GENERIC COORDINATES

$K$ infinite, $S=K\left[x_{0}, \ldots, x_{n}\right]$, fix the drl order, $J \subseteq S$ homogeneous $G=\mathrm{GL}_{n+1}(K)$ acts on $S$ as changes of coordinates
Theorem (Galligo)
There is a nonempty open $U \subseteq G \subseteq K^{(n+1)^{2}}$ s.t. in $(g J)=\operatorname{in}(h J)$ for $g, h \in U$.

## Definition

$\operatorname{gin}(J):=\operatorname{in}(g J)$ for $g \in U$ is the $(\mathrm{drl})$ generic initial ideal of $J$.

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## Theorem (Bayer, Stillman)

One has

$$
\operatorname{reg}(J)=\operatorname{reg}(\operatorname{gin}(J))
$$

Hence, if $J$ is in generic coordinates, then

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## Are we in generic coordinates?

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## Theorem (Caminata, G.)

$\mathcal{F} \subseteq \mathbb{F}_{q}\left[x_{1}, \ldots, x_{n}\right]$. Assume that

$$
x_{1}^{q}-x_{1}, \ldots, x_{n}^{q}-x_{n} \in \mathcal{F} \text { or } x_{1}^{q}-x_{2}, \ldots, x_{n-1}^{q}-x_{n}, x_{n}^{q}-x_{1} \in \mathcal{F} .
$$

Then $\left(\mathcal{F}^{h}\right)$ is in generic coordinates.

## Corollary (Macaulay Bound)

$\mathcal{F}=\left\{f_{1}, \ldots, f_{m}\right\} \subseteq R=\mathbb{F}_{q}\left[x_{1}, \ldots, x_{n}\right], \operatorname{deg}\left(f_{i}\right)=d_{i}, d_{1} \geq \ldots \geq d_{m}$, $m \geq n+1$. Assume that $\left(\mathcal{F}^{h}\right)$ is in generic coordinates, or that $\mathcal{F}$ contains the field equations, or their fake Weil descent. Then

$$
\text { solv. } \operatorname{deg}(\mathcal{F}) \leq d_{1}+\ldots+d_{n+1}-n .
$$

## Invariants RELATED TO THE SOLVING DEGREE

In addition to the Castelnuovo-Mumford regularity, other invariants of systems of polynomial equations may help estimating the solving degree:

- the degree of regularity $d_{\text {reg }}$,
- the first fall degree $d_{\mathrm{ff}}$,
- the last fall degree $d_{\mathrm{If}}$,
- the witness degree $d_{\text {wit }}$.


## Homogeneous systems associated to $\mathcal{F}$

$\mathcal{F}=\left\{f_{1}, \ldots, f_{m}\right\} \subseteq R \rightsquigarrow \mathcal{F}^{h}=\left\{f_{1}^{h}, \ldots, f_{m}^{h}\right\} \subseteq S=R\left[x_{0}\right]$ and $\mathcal{F}^{\text {top }}=\left\{f_{1}^{\text {top }}, \ldots, f_{m}^{\text {top }}\right\} \subseteq R$ homogeneous.

## Definition

The top degree part of $f=\sum_{a \in \mathbb{N}^{n}} \alpha_{a} x^{a} \in K\left[x_{1}, \ldots, x_{n}\right]$ is

$$
f^{\text {top }}=\sum \alpha_{a} x^{a},
$$

where $|a|=a_{1}+\ldots+a_{n}=\operatorname{deg}\left(x^{|a|=d}\right.$.

## Example

Let $\mathcal{F}=\left\{x_{1} x_{2}+x_{2}, x_{2}^{2}-1\right\} \subseteq K\left[x_{1}, x_{2}\right]$. Then
$\mathcal{F}^{\text {top }}=\left\{x_{1} x_{2}, x_{2}^{2}\right\} \subseteq K\left[x_{1}, x_{2}\right], \mathcal{F}^{h}=\left\{x_{1} x_{2}+x_{0} x_{2}, x_{2}^{2}-x_{0}^{2}\right\} \subseteq K\left[x_{0}, x_{1}, x_{2}\right]$.

## Homogeneous systems associated to $\mathcal{F}$

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If $f_{1}, \ldots, f_{m}$ are homogeneous, then $\mathcal{F}=\mathcal{F}^{h}=\mathcal{F}^{\text {top }}$.

## Degree of regularity and solving degree

## Definition (Bardet, Faugère, Salvy)

The degree of regularity of $\mathcal{F}$ is

$$
d_{\mathrm{reg}}(\mathcal{F}):=\min \left\{\ell \in \mathbb{N} \mid\left(\mathcal{F}^{\mathrm{top}}\right)_{\ell}=R_{\ell}\right\} .
$$

Since $\operatorname{in}(\mathcal{F})_{\ell} \supseteq \operatorname{in}\left(\mathcal{F}^{\text {top }}\right)_{\ell}=R_{\ell}$ for $\ell \geq d_{\mathrm{reg}}(\mathcal{F})$, then

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## Degree of Regularity and solving Degree

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If $\mathcal{F}$ is homogeneous, then $d_{\mathrm{reg}}(\mathcal{F})=\operatorname{reg}(\mathcal{F})$ if $\mathcal{F}$ has the unique solution $x_{1}=\ldots=x_{n}=0$. Else $d_{\mathrm{reg}}(\mathcal{F})=\infty$.

## ExAMPLES

## Example (Caminata, G.)

Let $f_{1}, f_{2}, f_{3} \in R:=\mathbb{F}_{q}[x, y]$ of degrees $7,7,8$ be a polynomial system for collecting relations for index calculus on elliptic curves over $\mathbb{F}_{q^{3}}$. For 150 '000 randomly generated examples of cryptographic size ( 3 different $q$ 's, 5 elliptic curves for each $q, 10$ '000 random points per curve)

$$
\left(\mathcal{F}^{\mathrm{top}}\right)_{\ell} \neq R_{\ell} \text { for all } \ell \geq 0 \quad \text { and } \quad \text { solv. } \operatorname{deg}(\mathcal{F})=15
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$$

The degree of regularity may be smaller than the solving degree.

## Example (Caminata, G.)

Let $f_{1}, f_{2}, f_{3} \in R:=\mathbb{F}_{q}[x, y]$ of degree 3 be a polynomial system for collecting relations for index calculus on elliptic curves over $\mathbb{F}_{q^{3}}$. For 150'000 randomly generated examples of cryptographic size as above

$$
\text { solv. } \operatorname{deg}(\mathcal{F})=5>4=d_{\mathrm{reg}}(\mathcal{F})
$$

## Another example

The gap btwn the solving degree and the degree of regularity can be large.
Example (Bigdeli, De Negri, Dizdarevic, G., Minko, Tsakou)
Let $f_{1}=x^{5}+y^{5}+z^{5}-1, f_{2}=x^{3}+y^{3}+z^{2}-1, f_{3}=y^{6}-1, f_{4}=z^{6}-1 \in$ $R=\mathbb{F}_{7}[x, y, z]$. Let

$$
\mathcal{F}:=\left\{\prod_{j=1}^{3} f_{i_{j}} \mid 1 \leq i_{1} \leq i_{2} \leq i_{3} \leq 4\right\} \cup\left\{x^{7}-x, y^{7}-y, z^{7}-z\right\} .
$$

Using Magma one can compute

$$
\text { solv. } \operatorname{deg}(\mathcal{F})=24>15=d_{\mathrm{reg}}(\mathcal{F})
$$

- The solving degree is computed with Magma.
- $\mathcal{F}$ contains equations of degree $18>15=d_{\mathrm{reg}}(\mathcal{F})$.


## Degree falls

$\mathcal{F}=\left\{f_{1}, \ldots, f_{m}\right\} \subseteq R=\mathbb{F}_{q}\left[x_{1}, \ldots, x_{n}\right], \operatorname{deg}\left(f_{i}\right)=d_{i}$

## Definition (Doubois, Gama - Ding, Yang)

A degree fall occurs in degree $d$ if there are $h_{1}, \ldots, h_{m} \in R /\left(x_{1}^{q}, \ldots, x_{n}^{q}\right)$ homogeneous of $\operatorname{deg}\left(h_{i}\right)=d-d_{i}$ s.t.

$$
\sum_{i=1}^{m} h_{i} f_{i}^{\text {top }}=0 \text { modulo }\left(x_{1}^{q}, \ldots, x_{n}^{q}\right)
$$

$\left(h_{1}, \ldots, h_{m}\right)$ is a syzygy of $f_{1}^{\text {top }}, \ldots, f_{m}^{\text {top }}$ modulo $\left(x_{1}^{q}, \ldots, x_{n}^{q}\right)$.
This is called a degree fall since

$$
\operatorname{deg}\left(\sum_{i=1}^{m} h_{i} f_{i}\right)<d=\operatorname{deg}\left(h_{j} f_{j}\right) \text { for all } j .
$$

## Trivial Degree falls and first fall degree

Trivial degree falls and corresponding trivial syzygies come from:

- $f_{i}^{\text {top }} f_{j}^{\text {top }}-f_{j}^{\text {top }} f_{i}^{\text {top }}=0$
- $\left(f_{i}^{\text {top }}\right)^{q}-f_{i}^{\text {top }}\left(x_{1}^{q}, \ldots, x_{n}^{q}\right)=0 \rightsquigarrow\left(f_{i}^{\text {top }}\right)^{q}=0 \operatorname{modulo}\left(x_{1}^{q}, \ldots, x_{n}^{q}\right)$


## Example

$\mathcal{F}=\left\{x_{1} x_{2}+x_{2}, x_{2}^{2}-1\right\} \subseteq \mathbb{F}_{q}\left[x_{1}, x_{2}\right], q \geq 3$, has $\mathcal{F}^{\text {top }}=\left\{x_{1} x_{2}, x_{2}^{2}\right\}$.
The trivial syzygies of $\mathcal{F}^{\text {top }}$ are $\left\langle\left(x_{2}^{2},-x_{1} x_{2}\right),\left(\left(x_{1} x_{2}\right)^{q-1}, 0\right),\left(0, x_{2}^{2(q-1)}\right)\right\rangle$, while $\left(x_{2},-x_{1}\right),\left(0, x_{2}^{q-2}\right)$ are non-trivial syzygies.

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## Definition

The first fall degree of $\mathcal{F}$ is

$$
\begin{aligned}
d_{\mathrm{ff}}(\mathcal{F}) & =\min \{d \in \mathbb{N} \mid \text { a non-trivial degree fall occurs in } \operatorname{deg} d\} \\
& =\min \{d \in \mathbb{N} \mid \text { there is a non-trivial syzygy of } \operatorname{deg} d\}
\end{aligned}
$$

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## First fall Degree And solving Degree

## Example

$\mathcal{F}=\left\{x_{1} x_{2}+x_{2}, x_{2}^{2}-1, x_{1}^{q-1}-1\right\} \subseteq \mathbb{F}_{q}\left[x_{1}, x_{2}\right]$ has $\mathcal{F}^{\text {top }}=\left\{x_{1} x_{2}, x_{2}^{2}, x_{1}^{q-1}\right\}$, with non-trivial syzygies $\left(x_{2},-x_{1}, 0\right),\left(x_{1}^{q-2}, 0,-x_{2}\right),\left(0, x_{2}^{q-2}, 0\right),\left(0,0, x_{1}\right)$. Hence

$$
d_{\mathrm{ff}}(\mathcal{F})=3 \leq q-1=\text { solv. } \operatorname{deg}(\mathcal{F}) .
$$

## First fall Degree And solving Degree

## Example

$\mathcal{F}=\left\{x_{1} x_{2}+x_{2}, x_{2}^{2}-1, x_{1}^{q-1}-1\right\} \subseteq \mathbb{F}_{q}\left[x_{1}, x_{2}\right]$ has
$\mathcal{F}^{\text {top }}=\left\{x_{1} x_{2}, x_{2}^{2}, x_{1}^{q-1}\right\}$, with non-trivial syzygies
$\left(x_{2},-x_{1}, 0\right),\left(x_{1}^{q-2}, 0,-x_{2}\right),\left(0, x_{2}^{q-2}, 0\right),\left(0,0, x_{1}\right)$. Hence

$$
d_{\mathrm{ff}}(\mathcal{F})=3 \leq q-1=\text { solv. } \operatorname{deg}(\mathcal{F})
$$

## Example

$\mathcal{F}=\left\{x_{1}+x_{2}, x_{2}^{2}-1\right\} \subseteq \mathbb{F}_{q}\left[x_{1}, x_{2}\right], q \geq 3$ has $\mathcal{F}^{\text {top }}=\left\{x_{1}, x_{2}^{2}\right\}$.
The trivial syzygies of $x_{1}, x_{2}^{2}$ are $\left\langle\left(x_{2}^{2},-x_{1}\right),\left(x_{1}^{q-1}, 0\right),\left(0, x_{2}^{2(q-1)}\right)\right\rangle$.
The only non-trivial syzygy is $\left(0, x_{2}^{q-2}\right)$. Hence

$$
d_{\mathrm{ff}}(\mathcal{F})=q>2=\text { solv. } \operatorname{deg}(\mathcal{F})
$$

## The Last FALL DEGREE

$$
\mathcal{F} \subseteq R=K\left[x_{1}, \ldots, x_{n}\right]
$$

Definition (Huang, Kosters, Yang, Yeo)
For $d \in \mathbb{Z}_{\geq 0}$, let $V_{\mathcal{F}, d}$ be the smallest $K$-vector space s.t.:

- $\mathcal{F} \cap R_{\leq d} \subseteq V_{\mathcal{F}, d}$,
- if $f \in V_{\mathcal{F}, d}$ and $g \in R_{\leq d-\operatorname{deg}(f)}$, then $f g \in V_{\mathcal{F}, d}$.


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## Definition

The last fall degree of $\mathcal{F}$ is

$$
d_{\mathrm{If}}(\mathcal{F})=\min \left\{d \in \mathbb{N} \mid f \in V_{\mathcal{F}, \max \{d, \operatorname{deg}(f)\}} \text { for all } f \in I\right\} .
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$$

If $\mathcal{F}$ is homogeneous, then $V_{\mathcal{F}, d}=(\mathcal{F})_{\leq d}$ for all $d \in \mathbb{N}$ and $d_{\mathrm{If}}(\mathcal{F})=0$.

## EXAMPLE AND PROPERTIES

## Example

$\mathcal{F}=\left\{x_{1} x_{2}+x_{2}, x_{2}^{2}-1\right\}$ has $V_{\mathcal{F}, 0}=V_{\mathcal{F}, 1}=0, V_{\mathcal{F}, 2}=\left\langle x_{1} x_{2}+x_{2}, x_{2}^{2}-1\right\rangle$ and

$$
V_{\mathcal{F}, 3}=V_{\mathcal{F}, 2}+x_{1} V_{\mathcal{F}, 2}+x_{2} V_{\mathcal{F}, 2}+\left(x_{1}+1\right) R_{\leq 2}=(\mathcal{F})_{\leq 3} .
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Then $d_{\mathrm{lf}}(\mathcal{F})=3=\operatorname{solv} \cdot \operatorname{deg}(\mathcal{F})$.

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Then $d_{\mathrm{lf}}(\mathcal{F})=3=\operatorname{solv} \cdot \operatorname{deg}(\mathcal{F})$.
Algorithm (Huang, Kosters, Yang, Yeo)
Assume that $\mathcal{F}$ has finitely many solutions. There is a linear algebra based algorithm which computes the solutions of $\mathcal{F}$ and whose complexity is upper bounded by an exponential function of $d_{\mathrm{If}}(\mathcal{F})$.

This is only meaningful for non-homogeneous systems.

## LAST FALL DEGREE AND SOLVING DEGREE

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\text { solv. } \operatorname{deg}(\mathcal{F})=\max \left\{d_{\mathrm{lf}}(\mathcal{F}), \max \cdot \mathrm{GB} \cdot \operatorname{deg}(\mathcal{F})\right\}
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$$

If $\mathcal{F}$ is homogeneous, then $d_{\mathrm{If}}(\mathcal{F})=0$ and we recover that solv. $\operatorname{deg}(\mathcal{F})=\max . \mathrm{GB} \cdot \operatorname{deg}(\mathcal{F})$.

## The WITness DEGREE

Let $K=\mathbb{F}_{2}$ and $\mathcal{F}=\left\{f_{1}, \ldots, f_{m-n}, x_{1}^{2}+x_{1}, \ldots, x_{n}^{2}+x_{n}\right\}, \operatorname{deg}\left(f_{i}\right)=d_{i}$
$I=(\mathcal{F}), I_{\leq d}=\{f \in I: \operatorname{deg}(f) \leq d\}, R_{\leq d}=\left\langle x^{a}:\right| a|\leq d\rangle$
$W_{\leq d}=\left\{\sum_{i=1}^{m-n} h_{i} f_{i}+\sum_{i=1}^{n} \ell_{i}\left(x_{i}^{2}+x_{i}\right), h_{i} \in R_{\leq d-d_{i}}, \ell_{i} \in R_{\leq d-2}\right\}$

## Definition (Bardet, Faugère, Salvy, Spaenlehauer)

The witness degree of $\mathcal{F}$ is the least $d$ s.t. $W_{\leq d}=I_{\leq d}$ and max. GB. $\operatorname{deg}(\mathcal{F}) \leq d$

$$
d_{\text {wit }}(\mathcal{F})=\max \left\{\min \left\{d \in \mathbb{N} \mid W_{\leq d}=I_{\leq d}\right\}, \max . \mathrm{GB} \cdot \operatorname{deg}(\mathcal{F})\right\}
$$

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If $\mathcal{F}$ has no solutions, then

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## Example

$\mathcal{F}=\left\{x_{1} x_{2}+x_{2}, x_{1}^{2}+1, x_{2}^{2}+1\right\}$ has max. GB. $\operatorname{deg}(\mathcal{F})=2$ and $W_{0}=W_{1}=0, W_{2}=\left\langle x_{1} x_{2}+x_{2}, x_{1}^{2}+1, x_{2}^{2}+1\right\rangle$ and

$$
W_{3}=W_{2}+x_{1} W_{2}+x_{2} W_{2}+\left\langle x_{1}+1\right\rangle \subsetneq(\mathcal{F})_{\leq 3} .
$$

Then $d_{\text {wit }}(\mathcal{F})>3=\operatorname{solv} \cdot \operatorname{deg}(\mathcal{F})$.

## Summarizing

- the degree of regularity is an upper bound for the largest degree of an element in a drl Gröbner basis,
- the degree of regularity and the first fall degree are heuristic estimates for the solving degree,
- the last fall degree and the largest degree of an element in the reduced Gröbner basis of $\mathcal{F}$ together determine the solving degree,
- the witness degree is a lower bound on the degree of regularity and on the Castenuovo-Mumford regularity of $\mathcal{F}^{h}$, for a system $\mathcal{F}$ that has no solutions.


## Hilbert series and Regular sequences

$J \subseteq S=K\left[x_{0}, \ldots, x_{n}\right]$ homogeneous,

## Definition

The Hilbert series of $S / J$ is the formal power series

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H S_{S / J}(z)=\sum_{d \geq 0} \operatorname{dim}_{k}\left(S_{d} / J_{d}\right) z^{d}
$$

## Hilbert series and Regular sequences

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$$

## Definition

$f_{1}^{h}, \ldots, f_{m}^{h}$ is a regular sequence if multiplication by $f_{i}^{h}$ is injective modulo $\left(f_{1}^{h}, \ldots, f_{i-1}^{h}\right)$ for all $i$. Equivalently, if

$$
H S_{S /\left(\mathcal{F}^{h}\right)}(z)=\frac{\prod_{i=1}^{m}\left(1-z^{d_{i}}\right)}{(1-z)^{n+1}}
$$

Regular sequences only exist for $m \leq n+1$.

## Regular and semiregular sequences

$\mathcal{F}=\left\{f_{1}, \ldots, f_{m}\right\}, d_{i}=\operatorname{deg}\left(f_{i}\right)$, for $h(z) \in \mathbb{Z}[[z]]$ let

$$
[h(z)]:=\sum_{d=0}^{\Delta} h_{d} z^{d}, \text { where } \Delta=\sup \left\{d \geq 0 \mid h_{0}, \ldots, h_{d}>0\right\}
$$

Definition (Pardue - Bardet, Faugère, Salvy - Bigdeli, De Negri, Dizdarevic, G., Minko, Tsakou)
$\mathcal{F}$ is a (cryptographic) semiregular sequence if

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## REGULAR AND SEMIREGULAR SEQUENCES

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$$

## Example

- $x_{0}^{d_{0}}, x_{1}^{d_{1}}, \ldots, x_{n}^{d_{n}}$ is a regular sequence in $K\left[x_{0}, \ldots, x_{n}\right]$
- $x^{2}, x y, y^{2}$ is a semiregular sequence in $k[x, y]$, since

$$
\left[\frac{\left(1-z^{2}\right)^{3}}{(1-z)^{2}}\right]=\left[(1+z)^{2}\left(1-z^{2}\right)\right]=\left[1+2 z-2 z^{3}-z^{4}\right]=1+2 z=H S_{K[x, y] /\left(x^{2}, x y, y^{2}\right)}(z)
$$

## Are RANDOM SEQUENCES (SEMI)REGULAR?

Many authors define a random system as one where the coefficients are chosen uniformly at random in the ground field.

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## Conjecture (Pardue)

Semiregular sequences over an infinite field are generic, i.e., semiregular sequences form a dense open subset of the set of all sequences of given degrees $d_{1}, \ldots, d_{m}$, wrt the Zariski topology.

There is evidence in favor of Pardue's Conjecture and the equivalent Fröberg's Conjecture. E.g., they are true for regular sequences. Over an infinite field, genericity is the right formulation of randomness.

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Over a finite field, genericity makes no sense, as the Zariski topology is the discrete topology.
The case of $\mathbb{F}_{2}$ was studied by Hodges, Molinas, Schlather. They provide mixed evidence. Other finite fields have not yet been studied.

## Castelnuovo-Mumford Regularity of

## SEMIREGULAR SEQUENCES

For a semiregular sequence

$$
\operatorname{reg}\left(\mathcal{F}^{h}\right)=d_{\mathrm{reg}}\left(\mathcal{F}^{h}\right)
$$

so in principle it can be computed via

$$
H S_{S /\left(\mathcal{F}^{h}\right)}(z)=\left[\frac{\prod_{i=1}^{m}\left(1-z^{d_{i}}\right)}{(1-z)^{n+1}}\right]
$$

## Example

$n=2, m=5, d_{1}=\ldots=d_{5}=2, \mathcal{F}$ semiregular

$$
H S_{S /\left(\mathcal{F}^{h}\right)}(z)=\left[\frac{\left(1-z^{2}\right)^{5}}{(1-z)^{3}}\right]=\left[1+3 z+z^{2}-5 z^{3}+\ldots\right]=1+3 z+z^{2}
$$

hence solv. $\operatorname{deg}(\mathcal{F}) \leq \operatorname{reg}\left(\mathcal{F}^{h}\right)=3$, if $\mathcal{F}^{h}$ is in generic coordinates.

## Solving degree of semiregular sequences

Asymptotic formulas for $m=n+c$ and $m=c n$, for $n \rightarrow \infty$, were given by Bardet, Faugère, Salvy.
$\mathcal{F}=\left\{f_{1}, \ldots, f_{m}\right\} \subseteq R=K\left[x_{1}, \ldots, x_{n}\right], \operatorname{deg}\left(f_{i}\right)=d_{i}, d_{1} \leq \ldots \leq d_{m}$ $\mathcal{F}$ semiregular sequence, $\mathcal{F}^{h} \subseteq S=K\left[x_{0}, \ldots, x_{n}\right]$ in generic coordinates If $m=n, n+1$, then solv. $\operatorname{deg}(\mathcal{F}) \leq d_{1}+\ldots+d_{m}-m+1$.

Theorem (Bigdeli, De Negri, Dizdarevic, G., Minko, Tsakou)
Let $m \geq n+2$. Assume wlog $d_{n+2} \leq d_{1}+\cdots+d_{n+1}-n-1$. Then

$$
\text { solv. } \operatorname{deg}(\mathcal{F}) \leq\left\lfloor\frac{d_{1}+\cdots+d_{n+2}-n-2}{2}\right\rfloor+1
$$

In particular, if $d_{1}=\cdots=d_{n+2}=d$, then

$$
\text { solv. } \operatorname{deg}(\mathcal{F}) \leq\left\lfloor\frac{(d-1) n}{2}\right\rfloor+d
$$

## Solving degree of semiregular sequences

$\mathcal{F}=\left\{f_{1}, \ldots, f_{m}\right\} \subseteq R=K\left[x_{1}, \ldots, x_{n}\right], \operatorname{deg}\left(f_{i}\right)=d_{i}, d_{1}=\ldots=d_{m}=2$
$\mathcal{F}$ semiregular sequence, $\mathcal{F}^{h} \subseteq S=K\left[x_{0}, \ldots, x_{n}\right]$ in generic coordinates

Theorem (Bigdeli, De Negri, Dizdarevic, G., Minko, Tsakou)

$$
\text { solv. } \operatorname{deg}(\mathcal{F}) \leq \begin{cases}\lfloor n / 2\rfloor+2 & \text { if } m=n+2 \\ \lceil(5+n-\sqrt{5+n}) / 2\rceil & \text { if } m=n+3 \\ \lceil(7+n-\sqrt{19+3 n}) / 2\rceil & \text { if } m=n+4 \\ \left\lceil\left( 9+n-\sqrt{\left.\left.23+3 n+\sqrt{2} \sqrt{170+45 n+3 n^{2}}\right) / 2\right\rceil}\right.\right. & \text { if } m=n+5 \\ \left\lceil\left(11+n-\sqrt{45+5 n+\sqrt{2} \sqrt{368+85 n+5 n^{2}}}\right) / 2\right\rceil & \text { if } m \geq n+6\end{cases}
$$

## GENERIC SEQUENCES OF QUADRICS

$\mathcal{F}=\left\{f_{1}, \ldots, f_{m}\right\} \subseteq R=K\left[x_{1}, \ldots, x_{n}\right], \operatorname{deg}\left(f_{i}\right)=2$
$\mathcal{F}^{h} \subseteq S=K\left[x_{0}, \ldots, x_{n}\right]$ contains a regular sequence of $n+1$ quadratic polynomials, $\mathcal{F}^{h}$ in generic coordinates.

We use a famous conjecture by Eisenbud, Green, Harris on the Hilbert series of an ideal containing a regular sequence of homogeneous quadratic polynomials.

## GEnERIC SEQUENCES OF QUADRICS

$\mathcal{F}=\left\{f_{1}, \ldots, f_{m}\right\} \subseteq R=K\left[x_{1}, \ldots, x_{n}\right], \operatorname{deg}\left(f_{i}\right)=2$
$\mathcal{F}^{h} \subseteq S=K\left[x_{0}, \ldots, x_{n}\right]$ contains a regular sequence of $n+1$ quadratic polynomials, $\mathcal{F}^{h}$ in generic coordinates.

Theorem (Bigdeli, De Negri, Dizdarevic, G., Minko, Tsakou)
Assume that the EGH Conjecture holds. Let $\alpha$ be the unique integer such that $\sum_{i=n+1-\alpha}^{n+1} i<m \leq \sum_{i=n-\alpha}^{n+1} i$. Then

$$
\text { solv. } \operatorname{deg}(\mathcal{F}) \leq n+1-\alpha
$$

## Example

- $n=2, m=5: \alpha=0$ since $3<m \leq 3+2$, hence $\operatorname{solv} . \operatorname{deg}(\mathcal{F}) \leq 3$,
- $n=100, m=600, \alpha=5$ since
$101+100+99+98+97+96<m \leq 101+100+99+98+97+96+95$, hence solv. $\operatorname{deg}(\mathcal{F}) \leq 96$.


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