Quantified Constraint Satisfaction Problem: towards the classification of complexity

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 $(\mathbb{N};=)$

$$(\mathbb{N};=)$$

$$\forall x_1 \exists x_2 \forall x_3 \exists x_4 (x_1 = x_2 \land x_3 = x_4),$$

$$(\mathbb{N};=)$$

 $\forall x_1 \exists x_2 \forall x_3 \exists x_4 (x_1 = x_2 \land x_3 = x_4), \text{ true}$



$$(\mathbb{N}; =) \forall x_1 \exists x_2 \forall x_3 \exists x_4 (x_1 = x_2 \land x_3 = x_4), \text{ true} \forall x_1 \exists x_2 \forall x_3 \exists x_4 (x_1 = x_2 \land x_2 = x_3 \land x_3 = x_4),$$

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$QCSP(\mathbb{N}; x = y)$

Given a sentence $\forall x_1 \exists x_2 \dots \forall x_{n-1} \exists x_n (x_{i_1} = x_{j_1} \land \dots \land x_{i_s} = x_{j_s})$. Decide whether it holds.



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• $QCSP(\mathbb{N}; x = y)$ is solvable in polynomial time.

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A concrete question Accessible to anyone Open since 2007 Easy to Formulate

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• $QCSP(\mathbb{N}; x = y \rightarrow y = z)$ is coNP-hard [Bodirsky, Chen, 2010].



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▶ QCSP(\mathbb{N} ; $x = y \rightarrow y = z$) is coNP-hard [Bodirsky, Chen, 2010].

Lemma [Zhuk, Martin, 2021] QCSP(\mathbb{N} ; $x = y \rightarrow y = z$) is PSpace-hard.

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▶ QCSP(\mathbb{N} ; $x = y \rightarrow y = z$) is coNP-hard [Bodirsky, Chen, 2010].

Lemma [Zhuk, Martin, 2021] QCSP(\mathbb{N} ; $x = y \rightarrow y = z$) is PSpace-hard.

Theorem [Zhuk, Martin, Bodirsky, Chen, 2021]

Suppose relations R_1, \ldots, R_s are definable by some Boolean combination of atoms of the form (x = y). Then QCSP($\mathbb{N}; R_1, \ldots, R_s$) is either tractable, NP-complete, or PSpace-complete.

A is a finite set,

 Γ is a set of relations on A (a constraint language)



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$QCSP(\Gamma)$:

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Given a sentence \exists y_1 \forall x_1 \dots \exists y_t \forall x_t (R_1(\dots) \land \dots \land R_s(\dots)), where R_1, \dots, R_s \in \Gamma.
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Examples: $A = \{0, 1, 2\}, \Gamma = \{x \neq y\}.$

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Main Question

What is the complexity of $QCSP(\Gamma)$ for different Γ ?

Σ	dual-Σ	Classification	Complexity Classes

Σ	dual-Σ	Classification	Complexity Classes
$\{\exists,\forall,\wedge\}$			

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$\{\exists,\lor\}$	$\{ \forall, \wedge \}$	Trivial	L

Given a sentence $\exists y_1 \dots \exists y_t (R_1(\dots) \lor \dots \lor R_s(\dots))$, where $R_1, \dots, R_s \in \Gamma$. Decide whether it holds.

Σ	dual-Σ	Classification	Complexity Classes
$\{\exists, \forall, \wedge\}$	$\{\exists,\forall,\vee\}$??????????	??????????
$\{\exists,\lor\}$	$\{ \forall, \wedge \}$	Trivial	L
$\{\exists, \wedge\}$	$\{ \forall, \lor \}$	CSP Dichotomy	P, NP-complete

Constraint Satisfaction Problem:


Given a sentence $\exists y_1 \ldots \exists y_t ((R_1(\ldots) \lor R_2(\ldots)) \land R_3(\ldots))$, where $R_1, \ldots, R_3 \in \Gamma$. Decide whether it holds.

Σ	dual-Σ	Classification	Complexity Classes
$\{\exists, \forall, \wedge\}$	$\{\exists, \forall, \lor\}$??????????	??????????
$\{\exists,\lor\}$	$\{\forall, \wedge\}$	Trivial	L
$\{\exists, \land\}$	$\{\forall, \lor\}$	CSP Dichotomy	P, NP-complete
$\exists, \land, \lor\}$	$\{\forall,\wedge,\vee\}$	Trivial iff	L
		the core has	NP-complete
		one element	
$\{\exists,\forall,\wedge,\lor\}$		Positive equality	P, NP-complete
		free tetrachotomy	co-NP-complete
			PSPACE-complete

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$\{\exists, \forall, /$	$\setminus, \lor, \neg\}$	Trivial iff	L
		Γ is trivial	PSPACE-complete

Given a sentence $\exists y_1 \forall x_1 \dots \exists y_t \forall x_t ((\neg R_1(\dots) \lor R_2(\dots)) \land \neg R_3(\dots)),$ where $R_1, \dots, R_3 \in \Gamma$. Decide whether it holds.

Σ	dual-Σ	Classification	Complexity Classes
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Quantified Constraint Satisfaction Problem:

Given a sentence $\exists y_1 \forall x_1 \dots \exists y_t \forall x_t (R_1(\dots) \land \dots \land R_s(\dots))$, where $R_1, \dots, R_s \in \Gamma$. Decide whether it holds.

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$CSP(\Gamma)$:

Given a formula
$$(R_1(...) \land \cdots \land R_s(...))$$
,
where $R_1, \ldots, R_s \in \Gamma$.
Decide whether the formula is satisfiable.



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An operation f preserves a relation R,

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An operation
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if for all $\begin{pmatrix} a_1^1 \\ \vdots \\ a_1^s \end{pmatrix}, \dots, \begin{pmatrix} a_n^n \\ \vdots \\ a_n^s \end{pmatrix} \in R$,
 $f \begin{pmatrix} a_1^1 & \cdots & a_n^1 \\ \vdots & \ddots & \vdots \\ a_1^s & \cdots & a_n^s \end{pmatrix} = \begin{pmatrix} f(a_1^1, \dots, a_n^1) \\ \vdots \\ f(a_1^s, \dots, a_n^s) \end{pmatrix} \in R$

f preserves Γ (equivalently $f \in Pol(\Gamma)$) if f preserves every $R \in \Gamma$.

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Theorem [Bulatov, Zhuk, 2017]

- CSP(Γ) is solvable in polynomial time (tractable) if there exists a weak near-unanimity operation preserving Γ,
- CSP(Γ) is NP-complete otherwise.

Weak near-unanimity operation (WNU) is an operation satisfying

$$w(y, x, x, \ldots, x) = w(x, y, x, \ldots, x) = \cdots = w(x, x, \ldots, x, y)$$

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Examples: $x \lor y, x \land y, xy \lor xz \lor yz, x + y + z, 0, \min(x, y), \dots$



• If Γ contains all relations then QCSP(Γ) is PSPACE-complete.



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Are there any other complexity classes?





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Observation

Suppose each relation of Γ_1 is definable from Γ_2 using quantified conjunctive formulas

$$R(x_1,\ldots,x_n)=\forall y_1\exists y_2\forall y_3\exists y_4\ldots R_1(\ldots)\wedge\cdots\wedge R_s(\ldots).$$

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Theorem (Galois Correspondence, Börner, Bulatov, Chen, Jeavons, and Krokhin, 2003)

 Γ_1 is definable by quantified conjunctive formulas over Γ_2 IFF each surjective polymorphism of Γ_2 is a polymorphism of Γ_1 .

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 The complexity of QCSP(Γ) depends only on surjective polymorphisms of Γ.

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Suppose each relation of Γ_1 is definable from Γ_2 using primitive positive formulas

 $R(x_1,\ldots,x_n) = \exists y_1 \exists y_2 \exists y_3 \exists y_4 \ldots R_1(\ldots) \land \cdots \land R_s(\ldots).$

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Two questions

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Π_2 -restriction of QCSP.

QCSP^{Π_2}(Γ):

Given a sentence $\forall x_1 \dots \forall x_t \exists y_1 \dots \exists y_q (R_1(\dots) \land \dots \land R_s(\dots))$, where $R_1, \dots, R_s \in \Gamma$. Decide whether it holds.



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We need to check that for all evaluations of x₁,..., x_t there exists a solution of the CSP (R₁(...) ∧ ··· ∧ R_s(...)).
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- We need to check that for all evaluations of x₁,..., x_t there exists a solution of the CSP (R₁(...) ∧ ··· ∧ R_s(...)).
- How many tuples is it sufficient to check?

For an algebra (A; F) (a set of operations F on a set A) $d_F(n)$ is the minimal size of a generating set of A^n .



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$$A = \{0, 1\}, F = \{x \lor y\}, d_F(n) = n + 1$$
. It is sufficient to have $(0, ..., 0)$ and $(0, ..., 0, 1, 0, ..., 0)$ for any position of 1 to generate $\{0, 1\}^n$.



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- 2. $A = \{0, 1\}, F = \{\neg x\}, d_F(n) = 2^{n-1}$. It is sufficient to have all tuples starting with 0 to generate $\{0, 1\}^n$.



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Theorem[Zhuk, 2015]

Every finite algebra either has PGP, or has EGP.



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Pair (a_i, a_{i+1}) with $a_i \neq a_{i+1}$ is a switch in a tuple (a_1, \ldots, a_n) . (0,0,0,1,2,2,0,0,0,0) has 3 switches, (3,3,3,4,3,3,3,3,3,3) has 2 switches.

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Theorem[Zhuk, 2015]

A finite algebra **A** has PGP IFF there exists k such that each **A**ⁿ is generated by all tuples with at most k switches.

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Given a sentence $\forall x_1 \dots \forall x_t \exists y_1 \dots \exists y_q (R_1(\dots) \land \dots \land R_s(\dots))$, where $R_1, \dots, R_s \in \Gamma$. Decide whether it holds.



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Example

If $x \lor y$ preserves Γ then it is sufficient to check that $(R_1(\ldots) \land \cdots \land R_s(\ldots))$ is satisfiable for $(x_1, \ldots, x_t) = (0, \ldots, 0)$ and $(x_1, \ldots, x_{i-1}, x_i, x_{i+1}, \ldots, x_t) = (0, \ldots, 0, 1, 0, \ldots, 0)$ for $\forall i$.

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If Pol(Γ) has PGP, then QCSP^{Π_2}(Γ) can be polynomially reduced to CSP($\Gamma \cup \{x = a \mid a \in A\}$).

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Proof:

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Proof: the instance is equivalent to the CSP instance

$$\bigwedge_{\substack{(a_1,\ldots,a_t) \text{ with} \\ \text{at most } k \text{ switches}}} (R_1(\ldots) \wedge \cdots \wedge R_s(\ldots) \wedge (x_1 = a_1) \wedge \cdots \wedge (x_t = a_t))$$







ወ

$$\exists y \forall x \ \Phi$$

$$\updownarrow$$

$$\forall x^1 \forall x^2 \dots \forall x^{|\mathcal{A}|} \exists y \ \Phi_1 \land \Phi_2 \land \dots \land \Phi_{|\mathcal{A}|}$$
is obtained from Φ by renaming x by x^i



$$\begin{array}{c} \exists y \forall x \ \Phi \\ & \updownarrow \\ \forall x^1 \forall x^2 \dots \forall x^{|\mathcal{A}|} \exists y \ \Phi_1 \land \Phi_2 \land \dots \land \Phi_{|\mathcal{A}|} \\ \Phi_i \text{ is obtained from } \Phi \text{ by renaming } x \text{ by } x^i \end{array}$$

 $\exists y_1 \forall x_1 \ldots \exists y_t \forall x_t \Phi$

$$\exists y \forall x \ \Phi$$

$$\Leftrightarrow$$

$$\forall x^1 \forall x^2 \dots \forall x^{|A|} \exists y \ \Phi_1 \land \Phi_2 \land \dots \land \Phi_{|A|}$$

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For the PGP case it is sufficient to check tuples with at most k switches

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$$(1)$$

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$$\uparrow$$

$$1 \ 1 \ 1 \dots 1 \ 1 \ 1 \ 1 \ 2 \dots 2 \ \dots \ 0 \ 0 \ 0 \ 0 \dots 0$$

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- For the PGP case it is sufficient to check tuples with at most k switches
- We keep variables with the switches

$$\exists y_1 \forall x_1 \dots \exists y_t \forall x_t \ \Phi$$

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• We assign
$$x_1^1 = \cdots = x_1^{|\mathcal{A}|} = 1, \ldots, x_t^1 = \cdots = x_t^{|\mathcal{A}|^t} = 0$$



Theorem

Suppose Pol(Γ) has PGP. Then QCSP(Γ) is polynomially reducible to CSP($\Gamma \cup \{x = a \mid a \in A\}$).



Theorem*

Suppose Pol(Γ) has PGP. Then QCSP(Γ) is polynomially reducible to CSP($\Gamma \cup \{x = a \mid a \in A\}$).

* For Γ containing all constants relations this was shown earlier by Chen, Martin, Carvalho, and Madelaine.

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Corollary 1

Suppose $Pol(\Gamma)$ has PGP. Then $QCSP(\Gamma)$ is in NP.

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Corollary 1

Suppose $Pol(\Gamma)$ has PGP. Then $QCSP(\Gamma)$ is in NP.

Corollary 2

Suppose $Pol(\Gamma)$ has PGP. Then $QCSP(\Gamma)$ is either tractable, or NP-complete.

Suppose Γ contains $\{x = a \mid a \in A\}$. Then QCSP(Γ)



Suppose Γ contains $\{x = a \mid a \in A\}$. Then QCSP(Γ)

is in P, if Pol(Γ) has PGP and WNU



Suppose Γ contains $\{x = a \mid a \in A\}$. Then QCSP(Γ)

- is in P, if Pol(Γ) has PGP and WNU
- is NP-complete, if Pol(Γ) has PGP and has no WNU



Chen Conjecture (QCSP Trichotomy Conjecture)

Suppose Γ contains $\{x = a \mid a \in A\}$. Then QCSP(Γ)

- is in P, if Pol(Γ) has PGP and WNU
- is NP-complete, if Pol(Γ) has PGP and has no WNU
- is PSPACE-complete, if Pol(Γ) has no PGP



Weak Chen Conjecture

If $Pol(\Gamma)$ has EGP, then $QCSP(\Gamma)$ is coNP-hard.



Weak Chen Conjecture

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Almost a proof of Weak Chen Conjecture



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Almost a proof of Weak Chen Conjecture

 If Pol(Γ) has EGP then we can define (encode) by a positive primitive formula the compliment to 3-CNF.


Chen Conjecture

Weak Chen Conjecture

If $Pol(\Gamma)$ has EGP, then $QCSP(\Gamma)$ is coNP-hard.

Almost a proof of Weak Chen Conjecture

- If Pol(Γ) has EGP then we can define (encode) by a positive primitive formula the compliment to 3-CNF.
- 2. If this definition is efficiently computable, then $QCSP(\Gamma)$ is coNP-hard.

Chen Conjecture

Weak Chen Conjecture

If $Pol(\Gamma)$ has EGP, then $QCSP(\Gamma)$ is coNP-hard.

Almost a proof of Weak Chen Conjecture

- **1.** If Pol(Γ) has EGP then we can define (encode) by a positive primitive formula the compliment to 3-CNF.
- 2. If this definition is efficiently computable, then $QCSP(\Gamma)$ is coNP-hard.

Lemma (Classification for the conservative case) [Zhuk, Martin, 2018]

Chen Conjecture holds for Γ containing all unary relations.



 there exists Γ on a 3-element domain such that QCSP(Γ) is coNP-complete.



- there exists Γ on a 3-element domain such that QCSP(Γ) is coNP-complete.
- there exists Γ on a 4-element domain such that QCSP(Γ) is DP-complete, where DP = NP ∧ coNP.



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- there exists Γ having EGP such that QCSP(Γ) is in P.



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coNP

• there exists Γ having EGP such that QCSP(Γ) is in P.

Are there any other monsters???

PSPACE

Classification for a 3-element-domain



Classification for a 3-element-domain

Theorem (Classification for a 3-element domain)

Suppose Γ is a constraint language on $\{0, 1, 2\}$ containing $\{x = a \mid a \in \{0, 1, 2\}\}$. Then QCSP(Γ) is

- in P, or
- NP-complete, or
- coNP-complete, or
- PSPACE-complete.



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Two questions

What makes QCSP(Γ) easy?

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What makes $QCSP(\Gamma)$ PSpace-hard?



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What makes $QCSP(\Gamma)$ PSpace-hard?

Let
$$A = \{+, -, 0, 1\}$$
, $\Gamma = \{R_0, R_1, \{+\}, \{-\}\}$.
 $R_0(y_1, y_2, x) = (y_1, y_2 \in \{+, -\}) \land (y_1 = y_2 \lor x \neq 0)$

What makes QCSP(Γ) **PSpace-hard?**



What makes $QCSP(\Gamma)$ **PSpace-hard?**

Let
$$A = \{+, -, 0, 1\}, \Gamma = \{R_0, R_1, \{+\}, \{-\}\}.$$

 $R_0(y_1, y_2, x) = (y_1, y_2 \in \{+, -\}) \land (y_1 = y_2 \lor x \neq 0)$
 x
 y_1
 y_2

 $R_1(y_1, y_2, x) = (y_1, y_2 \in \{+, -\}) \land (y_1 = y_2 \lor x \neq 1)$

What makes $QCSP(\Gamma)$ **PSpace-hard?**





х

*Y*1



 y_2



Let
$$A = \{+, -, 0, 1\}$$
, $\Gamma = \{R_0, R_1, \{+\}, \{-\}\}$.







 $\neg((x_1 \lor \overline{x}_2 \lor x_3) \land (\overline{x}_1 \lor x_2 \lor \overline{x}_3) \land (x_1 \lor \overline{x}_2 \lor \overline{x}_3))$



 $\neg((x_1 \lor \overline{x}_2 \lor x_3) \land (\overline{x}_1 \lor x_2 \lor \overline{x}_3) \land (x_1 \lor \overline{x}_2 \lor \overline{x}_3))$



 $\forall x_1 \forall x_2 \forall x_3 \neg ((x_1 \lor \overline{x}_2 \lor x_3) \land (\overline{x}_1 \lor x_2 \lor \overline{x}_3) \land (x_1 \lor \overline{x}_2 \lor \overline{x}_3))$



Let
$$A = \{+, -, 0, 1\}$$
, $\Gamma = \{R_0, R_1, \{+\}, \{-\}\}$.
 $\forall x_1 \forall x_2 \forall x_3 + x_3$

Claim

 $QCSP(\Gamma)$ is coNP-hard.

Let
$$A = \{+, -, 0, 1\}$$
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Let $A = \{+, -, 0, 1\}$, $\Gamma = \{R_0, R_1, \{+\}, \{-\}\}$.

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Claim

 $QCSP(\Gamma)$ is PSpace-hard.
- is PSpace-hard if there exists a reflexive relation S ⊊ Aⁿ and a nontrivial equivalence relation σ on D ⊆ A such that R(y₁, y₂, x₁,..., x_n) = σ(y₁, y₂) ∨ S(x₁,..., x_n) is definable by a positive primitive formula over Γ
- in Π_2^P otherwise.



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Suppose Γ contains $\{x = a \mid a \in A\}$. Then QCSP(Γ)

- is PSpace-hard if there exists a reflexive relation S ⊊ Aⁿ and a nontrivial equivalence relation σ on D ⊆ A such that R(y₁, y₂, x₁,..., x_n) = σ(y₁, y₂) ∨ S(x₁,..., x_n) is definable by a positive primitive formula over Γ
- in Π_2^P otherwise.



Lemma

There exists Γ on a 6-element set such that QCSP(Γ) is Π_2^P -complete.







1. P vs NP-hard (under Turing reductions).



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- 2. NP vs coNP-hard



- 1. P vs NP-hard (under Turing reductions).
- 2. NP vs coNP-hard
- 3. coNP vs NP-hard



- 1. P vs NP-hard (under Turing reductions).
- 2. NP vs coNP-hard
- 3. coNP vs NP-hard
- **4.** NP \cup coNP vs DP-hard



- 1. P vs NP-hard (under Turing reductions).
- 2. NP vs coNP-hard
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- **4.** NP \cup coNP vs DP-hard
- **5.** DP vs Θ_2^P -hard



- 1. P vs NP-hard (under Turing reductions).
- 2. NP vs coNP-hard
- 3. coNP vs NP-hard
- **4.** NP \cup coNP vs DP-hard
- **5.** DP vs Θ_2^P -hard
- **6.** Θ_2^P vs Π_2^P -hard



- 1. P vs NP-hard (under Turing reductions).
- 2. NP vs coNP-hard
- 3. coNP vs NP-hard
- **4.** NP \cup coNP vs DP-hard
- **5.** DP vs Θ_2^P -hard
- **6.** Θ_2^P vs Π_2^P -hard
- **7.** Π_2^P vs PSpace-hard



- 1. P vs NP-hard (under Turing reductions).
- 2. NP vs coNP-hard
- 3. coNP vs NP-hard
- **4.** NP \cup coNP vs DP-hard
- **5.** DP vs Θ_2^P -hard
- **6.** Θ_2^P vs Π_2^P -hard
- **7.** Π_2^P vs PSpace-hard (proved for Γ containing $\{x = a \mid a \in A\}$)