Time Warps, from Algebra to Algorithms

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Recall that $\omega^+ = \omega \cup \{\omega\}$. We call a map $f : \omega^+ \to \omega^+$ a time warp if it is join-preserving (for all $S \subseteq \omega^+$, $f(\bigvee S) = \bigvee f[S]$).

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• $\langle \mathcal{W}, \wedge, \vee, \bot, \top \rangle$ is a bounded lattice with \wedge and \vee defined point-wise (e.g., $(f \wedge g)(p) = \max\{f(p), g(p)\}$), $\bot(p) = 0$ for all $p \in \omega^+$, and $\top(p) = \omega$ for all $p \in \omega^+ \setminus \{0\}$.

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- $\langle \mathscr{W}, \circ, \mathit{id} \rangle$ is a monoid with $(f \circ g)(p) = f(g(p))$ and id the identity.
- For all $f, g, h \in \mathscr{W}$

$$f \circ g \leq h \iff g \leq f \backslash h \iff f \leq h/g, \qquad (\text{residuation})$$

where $f \setminus g = \bigvee \{h \in \mathscr{W} \mid f \circ h \leq g\}$, $g/f = \bigvee \{h \in \mathscr{W} \mid h \circ f \leq g\}$.

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We call W the time warp algebra.

Properties of the Time Warp Algebra

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• A map $f: \omega^+ \to \omega^+$ is a time warp if and only if it is order-preserving and satisfies f(0) = 0 and $f(\omega) = \bigvee \{f(n) \mid n \in \omega\}$.

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• For all
$$f, g_1, g_2, h \in \mathcal{W}$$
,

 $f(g_1 \vee g_2)h = fg_1h \vee fg_2h$ and $f(g_1 \wedge g_2)h = fg_1h \wedge fg_2h$.

Why do we care about the time warp algebra?

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- Universal Algebra: Endomorphism algebras are natural to consider, e.g., automorphism ℓ-groups of chains in the theory of lattice-ordered groups, or, more closely related, quantales of sup-preserving functions on complete lattices (see e.g., Santocanale 2020).

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For potential real-world applications of time warps as graded modalities it is important to have a decidable equational theory, i.e., an algorithm to decide which equations hold in the time warp algebra.

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Main Theorem

The equational theory of the time warp algebra \mathbf{W} is decidable.

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We fix a countably infinite set of variables Var and the term algebra $\mathbf{T}(Var)$ over the language $\{\land,\lor,\circ,\backslash,/,id,\bot,\top\}$ of type (2,2,2,2,0,0,0).

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Then we have $\mathbf{W} \models s \approx t$ if and only if $\mathbf{W} \models s \leq t$ and $\mathbf{W} \models t \leq s$, and, by residuation, $\mathbf{W} \models s \leq t$ if and only if $\mathbf{W} \models id \leq s \setminus t$.

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Therefore, to show that the equational theory of \mathbf{W} is decidable it is enough to show that for every time warp term t it is decidable whether $\mathbf{W} \models id \leq t$ holds or not.

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We prove the main theorem by describing an algorithm with the following behaviour:

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Input. A time warp term t in the variables x_1, \ldots, x_k . **Output.** If $\mathbf{W} \models id \leq t$, the algorithm returns 'Valid'; if $\mathbf{W} \not\models id \leq t$, the algorithm returns 'Invalid at $(\hat{f}_1, \ldots, \hat{f}_k, p)$ ' for some $p \in \omega^+$ and finite descriptions $\hat{f}_1, \ldots, \hat{f}_k$ of time warps f_1, \ldots, f_k such that $\llbracket t \rrbracket (p) < p$, where $\llbracket t \rrbracket$ is the time warp obtained from t by mapping each x_i to f_i .

Image: A matrix

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- Step 2. We give a finitary characterization of 'potential counterexamples' via 'diagrams'¹.

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- Step 1. We prove that time warp terms can be 'brought' into a normal form.
- Step 2. We give a finitary characterization of 'potential counterexamples' via 'diagrams'¹.
- Step 3. We encode the existence of a 'diagram' as a first-order satisfiability problem over (N, ≤^N).

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For a time warp f we define

$$f^{\ell} \coloneqq id/f, \quad f^{\mathsf{r}} \coloneqq f \setminus id, \quad \text{and} \quad f^{\mathsf{o}} \coloneqq \top \setminus f.$$

and we call terms constructed using only the operations \circ , id, \perp and the defined operations $t^{\ell} = id/t$, $t^{r} = t \setminus id$, and $t^{\circ} = \top \setminus t$ basic terms.

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One can show that join and meet 'distribute' over the residuals and that for any time warps $f,g, \label{eq:general}$

 $f \setminus g = f^{\mathsf{r}}g \vee (\top f)^{\mathsf{r}} \vee g^{\mathsf{o}}$ and $g/f = gf^{\ell} \vee (f^{\ell})^{\mathsf{o}}$

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Theorem

There is an effective procedure that given any time warp term t, produces positive integers m, n_1, \ldots, n_m and a set of basic time warp terms $\{t_{i,j} \mid 1 \le i \le m; 1 \le j \le n_i\}$ satisfying $\mathbf{W} \models t \approx \bigwedge_{i=1}^m \bigvee_{j=1}^{n_i} t_{i,j}$.

In universal algebra terms the normal form theorem states that the time warp algebra is term equivalent to the algebra $\langle \mathcal{W}, \wedge, \vee, \circ, \mathsf{r}, \ell, \circ, id, \bot \rangle$, so as a direct consequence we get:

Corollary

The equational theory of \mathbf{W} is decidable if, and only if, there exists an effective procedure that decides for any finite non-empty set of basic time warp terms $\{t_1, \ldots, t_n\}$ if $\mathbf{W} \models id \leq t_1 \lor \cdots \lor t_n$.

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Accordingly in the following we will consider joins of basic terms. We extend a valuation $\theta: \text{Var} \to \mathscr{W}$ inductively to basic terms

 $\llbracket x \rrbracket_{\theta} := \theta(x), \quad \llbracket id \rrbracket_{\theta} := id, \quad \llbracket \bot \rrbracket_{\theta} := \bot,$

 $\llbracket tu \rrbracket_{\theta} := \llbracket t \rrbracket_{\theta} \llbracket u \rrbracket_{\theta}, \quad \llbracket t^{\star} \rrbracket_{\theta} := \llbracket t \rrbracket_{\theta}^{\star} \text{ for } \star \in \{\mathsf{o}, \ell, \mathsf{r}\}.$

Step 2. Samples

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Goal: Find for $id \leq t$ a finitary way to describe the relevant information of a counterexample θ : Var $\rightarrow \mathcal{W}$, $p \in \omega$, such that $[t]_{\theta}(p) < p$.

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The idea is to define syntactic objects which we want to associate with points in $\omega^+.$

We fix a countably infinite set \mathscr{I}_V of **time variables** which we denote by κ, κ' , etc. and we define a **sample** to be an object belonging to the following grammar (where t is any basic term)

 $\mathscr{I} \ni \alpha \mathrel{\mathop:}= \kappa \mid t[\alpha] \mid \mathsf{s}(\alpha) \mid \mathsf{p}(\alpha) \mid \mathsf{last}(t).$

Samples are purely syntactic, but the notation already suggests the intended meaning.

Step 2. Saturated Sample Sets

We say that a sample set Δ is **saturated** if whenever $\alpha \in \Delta$ and $\alpha \rightsquigarrow \beta$, then $\beta \in \Delta$, where \rightsquigarrow is the relation between samples defined by

$t[lpha] \rightsquigarrow lpha$	$t^{\mathbf{o}}[lpha] \rightsquigarrow t[lpha]$
$s(\alpha) \rightsquigarrow \alpha$	$t^{r}[\alpha] \rightsquigarrow t[t^{r}[\alpha]], t[s(t^{r}[\alpha])]$
$p(\alpha) \rightsquigarrow \alpha$	$t^{\ell}[\alpha] \rightsquigarrow t[t^{\ell}[\alpha]], t[p(t^{\ell}[\alpha])]$
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$tu[\alpha] \leadsto t[u[\alpha]]$	$t[\alpha] \rightsquigarrow t[last(t)].$

The saturation of a sample set Δ is defined as

 $\Delta^{\leadsto} \coloneqq \{\beta \mid \exists \alpha \in \Delta, \alpha \leadsto^* \beta\},$

where \rightsquigarrow^* denotes the reflexive transitive closure of \rightsquigarrow .

Step 2. Saturated Sample Sets

We say that a sample set Δ is **saturated** if whenever $\alpha \in \Delta$ and $\alpha \rightsquigarrow \beta$, then $\beta \in \Delta$, where \rightsquigarrow is the relation between samples defined by

$t[lpha] \rightsquigarrow lpha$	$t^{\mathbf{o}}[lpha] \rightsquigarrow t[lpha]$
$s(\alpha) \rightsquigarrow \alpha$	$t^{r}[\alpha] \rightsquigarrow t[t^{r}[\alpha]], t[s(t^{r}[\alpha])]$
$p(\alpha) \rightsquigarrow \alpha$	$t^{\ell}[\alpha] \rightsquigarrow t[t^{\ell}[\alpha]], t[\mathbf{p}(t^{\ell}[\alpha])]$
$tu[\alpha] \leadsto t[u[\alpha]]$	$t[\alpha] \rightsquigarrow t[last(t)].$

The saturation of a sample set Δ is defined as

 $\Delta^{\leadsto} \coloneqq \{\beta \mid \exists \alpha \in \Delta, \alpha \rightsquigarrow^* \beta \},$

where \rightsquigarrow^* denotes the reflexive transitive closure of \rightsquigarrow .

Lemma If Δ is a finite sample set, then its saturation $\Delta^{\sim \rightarrow}$ is also finite. Simon Santschi (University of Bern) Time Warps, from Algebra to Algorithms November 3, 2021 11/21

Let us fix a saturated sample set Δ . A Δ -prediagram is a map $\delta \colon \Delta \to \omega^+$.

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We call a $\Delta\text{-prediagram}$ a $\Delta\text{-diagram}$ if it satisfies a number of conditions. The first four conditions are

$$\begin{aligned} \forall t[\alpha], t[\beta] \in \Delta, \ \delta(\alpha) \leq \delta(\beta) \Rightarrow \delta(t[\alpha]) \leq \delta(t[\beta]) \tag{1} \\ \forall t[\alpha] \in \Delta, \ \delta(\alpha) = 0 \Rightarrow \delta(t[\alpha]) = 0 \tag{2} \\ \forall p(\alpha) \in \Delta, \ \delta(p(\alpha)) = \delta(\alpha) \ominus 1 \tag{3} \\ \forall s(\alpha) \in \Delta, \ \delta(s(\alpha)) = \delta(\alpha) \oplus 1 \tag{4} \end{aligned}$$

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$$p \ominus 1 \coloneqq \begin{cases} p-1 & \text{if } p \in \omega \setminus \{0\} \\ p & \text{if } p \in \{0, \omega\} \end{cases}, \qquad p \oplus 1 \coloneqq \begin{cases} p+1 & \text{if } p \in \omega \\ p & \text{if } p = \omega \end{cases}$$

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There are 19 more conditions which capture how the three constants, the product, and the three residuals behave. For example condition (16) is

 $\forall t^{\mathsf{r}}[\alpha] \in \Delta, \ (0 < \delta(\alpha) < \omega \ \text{and} \ \delta(t^{\mathsf{r}}[\alpha]) < \omega) \ \Rightarrow \ \delta(\alpha) < \delta(t[\mathsf{s}(t^{\mathsf{r}}[\alpha])])$

Step 2. From Valuations to Diagrams

Proposition

Let T be a set of basic terms, κ a time variable, and Δ the saturation of the sample set $\{t[\kappa] \mid t \in T\}$. Then for any valuation θ and $p \in \omega^+$, there exists a Δ -diagram δ such that $\delta(\kappa) = p$ and $\delta(t[\kappa]) = [t]_{\theta}(p)$ for all $t \in T$.

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Define the map $\delta\colon \Delta\to\omega^+$ by structural induction on the samples in Δ as follows

$$\begin{split} \delta(\kappa) &\coloneqq p \\ \forall \mathsf{last}(t) \in \Delta, \quad \delta(\mathsf{last}(t)) &\coloneqq \bigwedge \{p \in \omega^+ \mid \llbracket t \rrbracket_{\theta}(p) = \llbracket t \rrbracket_{\theta}(\omega) \} \\ \forall t[\alpha] \in \Delta, \quad \delta(t[\alpha]) &\coloneqq \llbracket t \rrbracket_{\theta}(\delta(\alpha)) \\ \forall \mathsf{p}(\alpha) \in \Delta, \quad \delta(\mathsf{p}(\alpha)) &\coloneqq \delta(\alpha) \ominus 1 \\ \forall \mathsf{s}(\alpha) \in \Delta, \quad \delta(\mathsf{s}(\alpha)) &\coloneqq \delta(\alpha) \oplus 1. \end{split}$$

To go from diagrams to valuations we define for a $\Delta\text{-diagram}\ \delta$ and basic term t

 $\lfloor t \rfloor_{\delta} \coloneqq \{ (\delta(\alpha), \delta(t[\alpha])) \mid t[\alpha] \in \Delta \}.$

Note that $|t|_{\delta}$ is a partial map from ω^+ to ω^+ .

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Note that $\lfloor t \rfloor_{\delta}$ is a partial map from ω^+ to ω^+ .

Proposition

There is an effective procedure that produces for any finite Δ -diagram δ , an algorithmic description of a valuation θ satisfying $\llbracket t \rrbracket_{\theta}(\delta(\alpha)) = \lfloor t \rfloor_{\delta}(\delta(\alpha))$ for all $t[\alpha] \in \Delta$.

Summarizing the two propositions we get

Theorem (Diagram Theorem)

Let t_1, \ldots, t_n be basic terms, κ a time variable, and Δ the saturation of the sample set $\{t_1[\kappa], \ldots, t_n[\kappa]\}$. Then $\mathbf{W} \not\models id \leq t_1 \lor \cdots \lor t_n$ if, and only if, there exists a Δ -diagram δ such that $\delta(\kappa) > \delta(t_i[\kappa])$ for all $i \in \{1, \ldots, n\}$.

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So what remains to do is to describe an algorithm to decide whether a suitable diagram exists or not.

Fix $\Delta = \{t_1[\kappa], \dots, t_n[\kappa]\}^{\sim}$. We consider the first-order (relational) signature $\tau = \{ \preceq, S, O, I \}$ of type (2, 2, 1, 1) and ω^+ as a τ structure by defining

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If we consider the elements of Δ as variables, a Δ -prediagram $\delta \colon \Delta \to \omega^+$ is just a τ -valuation into ω^+ . Moreover, we can translate the diagram conditions into a finite set Γ_{Δ} of quantifier-free first-order formulas.

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For example, the condition

 $\forall t[\alpha], t[\beta] \in \Delta, \delta(\alpha) \le \delta(\beta) \Rightarrow \delta(t[\alpha]) \le \delta(t[\beta]) \tag{1}$

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yields the set $\{\alpha \leq \beta \Rightarrow t[\alpha] \leq t[\beta] \mid t[\alpha], t[\beta] \in \Delta\}.$

If we set fail := $\{t_i[\kappa] \prec \kappa \mid 1 \leq i \leq n\}$ and take the conjunction over $\Gamma_{\Delta} \cup$ fail, we get a quantifier-free formula ψ_{Δ} .

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Proposition

Let $\delta: \Delta \to \omega^+$ be a Δ -prediagram. Then $\omega^+, \delta \models \psi_\Delta$ if, and only if, δ is a Δ -diagram such that $\delta(t_i[\kappa]) < \delta(\kappa)$ for each $i \in \{1, \ldots, n\}$.

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Together with the Diagram Theorem we get that ψ_{Δ} is satisfiable in ω^+ if and only if $\mathbf{W} \not\models id \leq t_1 \vee \ldots \vee t_n$. From this the decidability follows, by a classical decidability result about ordinals (Läuchli and Leonard 1966).

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A structure which is more commonly available in satisfiability solvers (for example in the Z3 theorem prover) is the structure $\langle \mathbb{N}, \leq^{\mathbb{N}}, 0^{\mathbb{N}}, \mathcal{S}^{\mathbb{N}} \rangle$, where $\leq^{\mathbb{N}}$ is the natural order, $0^{\mathbb{N}} = 0$, and $\mathcal{S}^{\mathbb{N}}$ is the successor relation.

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We can explicitly translate the τ -formula ψ_{Δ} into a quantifier-free $\{\leq, 0, \mathcal{S}\}$ -formula ϕ_{Δ} such that ψ_{Δ} is satisfiable in ω^+ if and only if ϕ_{Δ} is satisfiable in \mathbb{N} .

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Theorem

The time warp equation $id \leq t_1 \vee \cdots \vee t_n$ is valid in \mathbf{W} if, and only if, the quantifier-free formula ϕ_{Δ} is unsatisfiable in \mathbb{N} . Moreover, any valuation $w: \Delta \to \mathbb{N}$ such that $\mathbb{N}, w \models \phi_{\Delta}$ effectively yields a valuation θ of the time warp variables occurring in $t_1 \vee \cdots \vee t_n$ such that $\mathbf{W}, \theta \models id \nleq t_1 \vee \cdots \vee t_n$.

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- Finding a more suitable way to encode the decidability procedure.
- Finding a good equational basis for the equational theory to establish which variety of residuated lattices is generated by **W**.
- Generalizing the decidability proof to other residuated lattices of sup-preserving endomaps.

Thank you!

Simon Santschi (University of Bern) Time Warps, from Algebra to Algorithms November 3, 2021 20/21

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