

Universal \aleph_2 -Aronszajn trees

Mirna Džamonja (in joint work with Rahman
Mohammadpour)

IRIF (CNRS), Université de Paris

September 16, 2021

The context

Known results

Known but
unknown

Some work in
progress

Weak embeddings in classes of trees

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Let $\kappa \in \{\aleph_1, \aleph_2\}$.

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※ We consider these classes under (*weak*) *embeddings*, which are functions that preserve the strict order (but are not necessarily 1-1). They are 1-1 on branches.

※ The main question for this talk is the existence of a maximal (i.e. universal) element in these classes.

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* Aronszajn trees exist (Aronszajn 1935).

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* Under $MA + \neg CH$, \mathcal{A}_{\aleph_1} is cofinal in \mathcal{T}_{\aleph_1} (Dž.+ Shelah 2021).

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* From a weakly compact cardinal, one can force a model in which there are no \aleph_2 -Aronszajn trees, and if κ is regular and there are no κ^+ -Aronszajn trees, then κ^+ is weakly compact in \mathbf{L} (Mitchell, Silver 1972).

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* From a weakly compact cardinal, one can force a model in which CH holds and all \aleph_2 -Aronszajn trees are special (Laver and Shelah 1981).

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Question: What about universality ?

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Question: What about universality ? For example, can we generalise the methods from \aleph_1 ?

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A generalised MA (ShFA)

Shelah (1978) proved the consistency from ZFC of a generalised Martin Axiom, now known as Shelah's FA:

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Consistent with any reasonable size of $2^{\aleph_1} > \aleph_2$.

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- ✳ Unfortunately, as noticed by us and confirmed by Justin, the proof has a gap and moreover, the main Lemma (Lemma 18) is provably wrong modulo the previous known facts and arguments of the thesis.
- ✳ So the question of a model in which CH holds and there are no universal \aleph_2 -Aronszajn trees was left open.

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Theorem

For every tree $T \in \mathcal{A}_{\aleph_1}$, there is a ccc forcing which adds a tree T' in \mathcal{A}_{\aleph_1} not weakly embeddable into T .

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Definition

If $T \in \mathcal{A}_{\aleph_1}$, we define a forcing notion $\mathbb{Q} = \mathbb{Q}(T)$ to consist of all $p = (u^p, v^p, \langle \cdot, \cdot \rangle_p, c^p)$ such that:

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- 1 $u^p \subseteq \omega_1 \cup \{\langle \rangle\}$, $v^p \subseteq T$ are finite and $\langle \rangle \in v^p$,
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- 3 $<_p$ is a tree-like partial order on u^p such that $\alpha <_p \beta$ implies $\text{ht}(\alpha) < \text{ht}(\beta)$ and which fixes $\alpha \cap_{<_p} \beta \in u^p$ for every two different elements α, β of u^p and fixes the root $\langle \rangle$ of u^p ,

Definition

If $T \in \mathcal{A}_{\aleph_1}$, we define a forcing notion $\mathbb{Q} = \mathbb{Q}(T)$ to consist of all $p = (u^p, v^p, <_p, c^p)$ such that:

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if $c(x_1, y_1) = c(x_2, y_2)$ and $(x_1, y_1) \neq (x_2, y_2)$, then
 $\alpha(x_1, y_1) \neq \alpha(x_2, y_2)$, $x_1 \perp_{u^p} x_2$, $y_1 \perp_{v^p} y_2$ and

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The order $p \leq q$ on \mathbb{Q} is

$u^p \subseteq u^q$, $v^p \subseteq v^q$, $<_p \subseteq <_q$, $c^p \subseteq c^q$ and if $p \leq q$, then the intersection and the root given by $<_p$ are preserved in $<_q$.

BMR Lemma

We proved that the generic c specialises both T and T' and that the forcing is ccc.

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(in joint work with
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BMR Lemma

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We proved that the generic c specialises both T and T' and that the forcing is ccc. The latter uses :

Theorem (BMR Lemma)

If T is tree of height and cardinality ω_1 with no uncountable branches and W is an uncountable collection of finite pairwise disjoint subsets of T , then there exist $s, s' \in W$ such that any $x \in s$ is incomparable with any $y \in s'$.

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Question: Can we generalise this theorem to \aleph_2 ?

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Ascent paths

The verbatim analogue of the BMR Lemma is not true for \aleph_2 -Aronszajn trees.

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Definition

A *weak ascent path* in a tree T of height ω_2 is a sequence $\langle \bar{x}^\alpha : \alpha < \omega_2 \rangle$ where:

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Theorem (Lücke 2017)

Suppose that T is a tree of size and height ω_2 with a weak ascent path. Then T is not special.

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Theorem (Lücke 2017)

Suppose that T is a tree of size and height ω_2 with a weak ascent path. Then T is not special.

Baumgartner and Shelah-Stanley independently proved:

Theorem

If \square_{\aleph_1} holds, then there is an \aleph_2 -Aronszajn tree with an ascent path.

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Our forcing generalises to the trees with no weak ascent paths.

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Our forcing generalises to the trees with no weak ascent paths. That is, let $Q^2(T)$ be the obvious generalisation of $Q(T)$ where \aleph_1 is replaced by \aleph_2 and finite by countable.

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Our forcing generalises to the trees with no weak ascent paths. That is, let $Q^2(T)$ be the obvious generalisation of $Q(T)$ where \aleph_1 is replaced by \aleph_2 and finite by countable. Then:

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Theorem

Assume CH. Suppose that $T \in \mathcal{A}_{\aleph_2}$ has no weak ascent paths. Then

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Assume CH. Suppose that $T \in \mathcal{A}_{\aleph_2}$ has no weak ascent paths. Then $\mathbb{Q} = \mathbb{Q}^2(T)$ has the following properties:

- 1 \mathbb{Q} is \aleph_2 -cc,
- 2 \mathbb{Q} is countably closed,
- 3 \mathbb{Q} adds a tree T^* in \mathcal{A}_{\aleph_2} which is special and not weakly embeddable into T and

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- 1 \mathbb{Q} is \aleph_2 -cc,
- 2 \mathbb{Q} is countably closed,
- 3 \mathbb{Q} adds a tree T^* in \mathcal{A}_{\aleph_2} which is special and not weakly embeddable into T and
- 4 \mathbb{Q} specialises T .

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We note that the forcing $\mathbb{Q}^2(T)$ does not have the strong-cc,

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We note that the forcing $\mathbb{Q}^2(T)$ does not have the strong-cc, and is not well met. So it is not a forcing where we can use ShFA.

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There is another, earlier, result which we also do not know how to iterate

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Theorem (Mohammadpour)

Assume PFA.

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We note that the forcing $\mathbb{Q}^2(T)$ does not have the strong-cc, and is not well met. So it is not a forcing where we can use ShFA.

There is another, earlier, result which we also do not know how to iterate (as it requires \aleph_2 -dense sets), due to Mohammadpour.

Theorem (Mohammadpour)

Assume PFA. Then every tree of height and size ω_2 without cofinal branches is specialisable via a proper and \aleph_2 -preserving forcing with finite conditions and models on the side.

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So how to iterate ?

An iteration result was developed by Laver and Shelah
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So how to iterate ?

An iteration result was developed by Laver and Shelah (1981) in which starting from a weakly compact cardinal which is collapsed to become \aleph_2 ,

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An iteration result was developed by Laver and Shelah (1981) in which starting from a weakly compact cardinal which is collapsed to become \aleph_2 , they obtain a model in which there are no \aleph_2 -Souslin trees

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So how to iterate ?

An iteration result was developed by Laver and Shelah (1981) in which starting from a weakly compact cardinal which is collapsed to become \aleph_2 , they obtain a model in which there are no \aleph_2 -Souslin trees (forcing: countable antichains)

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So how to iterate ?

An iteration result was developed by Laver and Shelah (1981) in which starting from a weakly compact cardinal which is collapsed to become \aleph_2 , they obtain a model in which there are no \aleph_2 -Souslin trees (forcing: countable antichains) or a model in which every \aleph_2 -Aronszajn tree is special

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An iteration result was developed by Laver and Shelah (1981) in which starting from a weakly compact cardinal which is collapsed to become \aleph_2 , they obtain a model in which there are no \aleph_2 -Souslin trees (forcing: countable antichains) or a model in which every \aleph_2 -Aronszajn tree is special (forcing: countable specialising functions).

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Replacing these forcings by $\mathbb{Q}^2(T)$ gives the following theorem in our work in preparation:

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Replacing these forcings by $\mathbb{Q}^2(T)$ gives the following theorem in our work in preparation:

Theorem

From the consistency of the weakly compact cardinal,

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An iteration result was developed by Laver and Shelah (1981) in which starting from a weakly compact cardinal which is collapsed to become \aleph_2 , they obtain a model in which there are no \aleph_2 -Souslin trees (forcing: countable antichains) or a model in which every \aleph_2 -Aronszajn tree is special (forcing: countable specialising functions).

Replacing these forcings by $\mathbb{Q}^2(T)$ gives the following theorem in our work in preparation:

Theorem

From the consistency of the weakly compact cardinal, there follows the consistency of the non-existence of a universal \aleph_2 -Aronszajn tree.

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Replacing these forcings by $\mathbb{Q}^2(T)$ gives the following theorem in our work in preparation:

Theorem

From the consistency of the weakly compact cardinal, there follows the consistency of the non-existence of a universal \aleph_2 -Aronszajn tree.

In fact, the same can be said about wide \aleph_2 -Aronszajn trees.

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Positive universality results

Until now, no model has been known in which is a universal Aronszajn or wide Aronszajn tree

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Positive universality results

Until now, no model has been known in which is a universal Aronszajn or wide Aronszajn tree either on \aleph_1 or on \aleph_2 .

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Theorem: From the consistency of the weakly compact cardinal, there follows the consistency of the existence of a (strongly) universal wide \aleph_2 -Aronszajn tree. Similarly for the wide Aronszajn trees.

Universal
 \aleph_2 -Aronszajn
trees

Mirna Džamonja
(in joint work with
Rahman
Mohammadpour)

The context

Known results

Known but
unknown

Some work in
progress

Positive universality results

Universal
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A still open Question: Is there a model with a universal Aronszajn tree ?

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Weak embeddings with strong requirements

Universal
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Let \leq^* stand for weak embeddings that preserve the splitting level and $\mathcal{T}_\lambda^\kappa$ for trees of size κ with no branches of length λ^+ .

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Let \leq^* stand for weak embeddings that preserve the splitting level and $\mathcal{T}_\lambda^\kappa$ for trees of size κ with no branches of length λ^+ . Then the universality number of $(\mathcal{T}_\lambda^\kappa, \leq^*)$ is at least 2^λ .

The context

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unknown

Some work in
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