

Small Knudsen rate of convergence to rarefaction wave for the Landau equation

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$$Q(G, F)(v) = \nabla_v \cdot \int_{\mathbb{R}^3} \phi(v - v_*) \{G(v_*) \nabla_v F(v) - \nabla_{v_*} G(v_*) F(v)\} dv_*,$$

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- $\gamma = -3$ corresponds to the original (Fokker-Planck)-Landau collision operator for Coulomb potentials. To the end, let $-3 \leq \gamma < -2$.

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with “=” iff $F = M$ where

$$M = M_{[\rho, u, \theta](t, x)}(v) = \frac{\rho(t, x)}{(2\pi R\theta(t, x))^{3/2}} \exp\left(-\frac{|v - u(t, x)|^2}{2R\theta(t, x)}\right).$$

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A fundamental question is to determine the global solution as well as its **large time behavior** for the Cauchy problem of the Landau equation with initial data

$$F(0, x, v) = F_0(x, v) \rightarrow M_{\pm} \text{ as } x \rightarrow \pm\infty,$$

with $M_- \neq M_+$. The similar happens in the context of the Boltzmann equation.

The formal Hilbert expansion

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with the fluid quantities determined by 1D compressible Euler system

$$\begin{cases} \rho_t + (\rho u_1)_x = 0, \\ (\rho u_1)_t + (\rho u_1^2)_x + p_x = 0, \\ (\rho u_i)_t + (\rho u_1 u_i)_x = 0, \quad i = 2, 3, \\ \left\{ \rho \left(e + \frac{|u|^2}{2} \right) \right\}_t + \left\{ \rho u_1 \left(e + \frac{|u|^2}{2} \right) + p u_1 \right\}_x = 0, \end{cases}$$

where

$$\begin{cases} \rho(t, x) = \int_{\mathbb{R}^3} \psi_0(v) F dv, \\ \rho u_i(t, x) = \int_{\mathbb{R}^3} \psi_i(v) F dv, \quad \text{for } i = 1, 2, 3, \\ \rho \left(e + \frac{1}{2} |u|^2 \right) (t, x) = \int_{\mathbb{R}^3} \psi_4(v) F dv. \end{cases}$$

Remark: There exist global entropic solutions for initial data with small total variation due to pioneering works by Glimm, Bressan-Colombo, Liu,...

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$$\sup_{t \geq l} \left\| \frac{F(t, x, v) - M_{[\rho^R, u^R, \theta^R]}(x/t)(v)}{\sqrt{\mu}} \right\|_{L_x^\infty L_v^2} \leq C_l \epsilon^{\frac{3}{5} - \frac{2}{5}a} |\ln \epsilon|,$$

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Remark: Applicable for $\gamma = -3$ Coulomb potentials.

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For sure!

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$$\hat{A}_j(v) = \frac{|v|^2 - 5}{2} v_j, \quad \hat{B}_{ij}(v) = v_i v_j - \frac{1}{3} \delta_{ij} |v|^2 \quad \text{for } i, j = 1, 2, 3,$$

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with $\hat{A}_j M, \hat{B}_{ij} M \in (\ker L_M)^\perp$, and define

$$A_j\left(\frac{v-u}{\sqrt{R\theta}}\right) = L_M^{-1}[\hat{A}_j\left(\frac{v-u}{\sqrt{R\theta}}\right)M], \quad B_{ij}\left(\frac{v-u}{\sqrt{R\theta}}\right) = L_M^{-1}[\hat{B}_{ij}\left(\frac{v-u}{\sqrt{R\theta}}\right)M].$$

Macro (fluid) equations —

$$\left\{ \begin{array}{l} \rho_t + (\rho u_1)_x = 0, \\ (\rho u_1)_t + (\rho u_1^2)_x + p_x = \frac{4}{3}\epsilon(\mu(\theta)u_{1x})_x - \left(\int_{\mathbb{R}^3} v_1^2 L_M^{-1}\Theta dv\right)_x, \\ (\rho u_i)_t + (\rho u_1 u_i)_x = \epsilon(\mu(\theta)u_{ix})_x - \left(\int_{\mathbb{R}^3} v_1 v_i L_M^{-1}\Theta dv\right)_x, \quad i = 2, 3, \\ \left\{\rho\left(\theta + \frac{|u|^2}{2}\right)\right\}_t + \left\{\rho u_1\left(\theta + \frac{|u|^2}{2}\right) + p u_1\right\}_x = \epsilon(\kappa(\theta)\theta_x)_x + \frac{4}{3}\epsilon(\mu(\theta)u_1 u_{1x})_x \\ \quad + \epsilon(\mu(\theta)u_2 u_{2x})_x + \epsilon(\mu(\theta)u_3 u_{3x})_x - \frac{1}{2}\left(\int_{\mathbb{R}^3} v_1 |v|^2 L_M^{-1}\Theta dv\right)_x. \end{array} \right.$$

$$\Theta := \epsilon \partial_t G + \epsilon P_1(v_1 \partial_x G) - Q(G, G).$$

$\kappa(\theta) > 0$: viscosity coefficient, $\mu(\theta) > 0$: heat-conductivity,

$$\mu(\theta) = -R\theta \int_{\mathbb{R}^3} \hat{B}_{ij}\left(\frac{v-u}{\sqrt{R\theta}}\right) B_{ij}\left(\frac{v-u}{\sqrt{R\theta}}\right) dv > 0, \quad i \neq j,$$

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To treat the integral terms, change $v_i v_j$ and $|v|^2 v_i$ to Burnett functions $m = m(v) \in \ker^\perp$, then

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Set

$$\begin{cases} \tilde{\rho} = \rho(t, x) - \bar{\rho}(t, x), \\ \tilde{u} = u(t, x) - \bar{u}(t, x), \\ \tilde{\theta} = \theta(t, x) - \bar{\theta}(t, x), \\ \tilde{G} = G(t, x, v) - \bar{G}(t, x, v), \quad \tilde{G} = \sqrt{\mu} f(\tau, y, v) \text{ (purely micro)}. \end{cases}$$

$$\bar{G} = \epsilon^{1-a} L_M^{-1} P_1 v_1 M \left\{ \frac{|v - u|^2 \bar{\theta}_y}{2R\theta^2} + \frac{(v - u) \cdot \bar{u}_y}{R\theta} \right\}.$$

$\mu = M_{[1, 0, \frac{3}{2}]}(v)$ is the reference global Maxwellian such that

$$\begin{cases} \eta_0 := \sup_{t \geq 0, x \in \mathbb{R}} \{ |\bar{\rho}(t, x) - 1| + |\bar{u}(t, x)| + |\bar{\theta}(t, x) - \frac{3}{2}| \} \text{ is small,} \\ \frac{1}{2} \sup_{t \geq 0, x \in \mathbb{R}} \bar{\theta}(t, x) < \frac{3}{2} < \inf_{t \geq 0, x \in \mathbb{R}} \bar{\theta}(t, x). \end{cases}$$

Remark: A technical point for the construction of smooth RW —

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where $\delta > 0$ is a small constant depending on the Knudsen number ϵ . In fact, we will choose

$$\delta = \frac{1}{k} \epsilon^{\frac{3}{5} - \frac{2}{5}a}$$

for a suitably small constant $k > 0$ independent of ϵ . □

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$$\begin{aligned} & \|(\rho, u, \theta)(t, x) - (\rho^R, u^R, \theta^R)\left(\frac{x}{t}\right)\|_{L^\infty} \\ & \leq \|(\tilde{\rho}, \tilde{u}, \tilde{\theta})(\tau, y)\|_{L^\infty} + \|(\bar{\rho}, \bar{u}, \bar{\theta})(t, x) - (\rho^R, u^R, \theta^R)\left(\frac{x}{t}\right)\|_{L^\infty} \\ & \lesssim C \epsilon^{\frac{q}{2}} + Ct^{-1} \delta (\ln(1+t) + |\ln \delta|), \end{aligned}$$

which can be optimal by taking $\delta = O(1) \epsilon^{\frac{q}{2}}$. And, in the zero-order energy estimate, we have to treat

$$\begin{aligned} \epsilon^{1-a} \int_0^\infty \|\tilde{\theta}\|_{\frac{2}{3}}^2 \|\bar{\theta}_{yy}\|_{L^1}^{\frac{4}{3}} d\tau & \leq C \epsilon^{1-a} \int_0^\infty \epsilon^{\frac{q}{3}} \cdot \epsilon^{\frac{4}{3}a} (\delta + \epsilon^a \tau)^{-\frac{4}{3}} d\tau \\ & \leq C \epsilon^{1-a + \frac{1}{3}q + \frac{1}{3}a} \delta^{-\frac{1}{3}} = O(1) \epsilon^{1 - \frac{2}{3}a + \frac{1}{6}q}. \end{aligned}$$

To close the a priori assumption,

$$\epsilon^{1 - \frac{2}{3}a + \frac{1}{6}q} \leq \epsilon^q, \quad \text{that is } q \leq \frac{6}{5} - \frac{4}{5}a.$$

Some key points in energy estimate:

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1st key point —

The zero-order energy estimate on fluid part is based on the entropy and entropy flux, but the estimate related to source terms includes

$$\begin{aligned}\int_{\mathbb{R}^3} H_3 dy &= \int_{\mathbb{R}^3} \left\{ \frac{3}{2} \left(\frac{\tilde{\theta}}{\theta} \right)_y \int_{\mathbb{R}^3} \left(\frac{1}{2} v_1 |v|^2 - \sum_{i=1}^3 u_i v_1 v_i \right) L_M^{-1} \Theta dv \right\} dy \\ &= \int_{\mathbb{R}^3} \left\{ \frac{3}{2} \left(\frac{\tilde{\theta}}{\theta} \right)_y (R\theta)^{\frac{3}{2}} \int_{\mathbb{R}^3} A_1 \left(\frac{v-u}{\sqrt{R\theta}} \right) \frac{\Theta}{M} dv \right\} dy.\end{aligned}$$

Recall

$$\Theta = \epsilon^{1-a} \partial_\tau G + \epsilon^{1-a} P_1(v_1 \partial_y G) - Q(G, G),$$

and

$$G = \bar{G} + \sqrt{\mu} f.$$

Notice that for any multi-index β and $m \geq 0$, we can prove the **fast decay property** that

$$\int_{\mathbb{R}^3} \frac{|\langle v \rangle^m \sqrt{\mu} \partial_\beta A_1 \left(\frac{v-u}{\sqrt{R\theta}} \right)|^2}{M^2} dv \leq C.$$

2nd key point —

Micro dissipation estimate has to be based on the entropy inequality. We turn to the **formulation around global Maxwellians** by Guo:

$$\begin{aligned}
 & \partial_\tau f + v_1 \partial_y f - \boxed{\epsilon^{a-1} \mathcal{L} f} \\
 &= \epsilon^{a-1} \Gamma\left(f, \frac{M - \mu}{\sqrt{\mu}}\right) + \epsilon^{a-1} \Gamma\left(\frac{M - \mu}{\sqrt{\mu}}, f\right) + \epsilon^{a-1} \Gamma\left(\frac{G}{\sqrt{\mu}}, \frac{G}{\sqrt{\mu}}\right) \\
 &+ \frac{P_0(v_1 \sqrt{\mu} \partial_y f)}{\sqrt{\mu}} - \frac{1}{\sqrt{\mu}} P_1 v_1 M \left\{ \frac{|v - u|^2 \tilde{\theta}_y}{2R\theta^2} + \frac{(v - u) \cdot \tilde{u}_y}{R\theta} \right\} \\
 &- \frac{P_1(v_1 \partial_y \bar{G})}{\sqrt{\mu}} - \frac{\partial_\tau \bar{G}}{\sqrt{\mu}},
 \end{aligned}$$

where

$$\Gamma(h, g) = \frac{1}{\sqrt{\mu}} Q(\sqrt{\mu} h, \sqrt{\mu} g), \quad \mathcal{L} h = \Gamma(h, \sqrt{\mu}) + \Gamma(\sqrt{\mu}, h).$$

Note: $\int (1, v, |v|^2) \sqrt{\mu} f = 0$, so $P_\mu f = 0$, i.e., f is purely kinetic (micro).

Notations and norms by Guo can be carried over to here:

To treat the degeneracy of dissipation in large velocity (no spectral gap), introduce

$$w = w(v) \equiv \langle v \rangle^{\gamma+2}, \quad \langle v \rangle = \sqrt{1 + |v|^2}.$$

The Landau collision frequency is

$$\sigma^{ij}(v) = \phi^{ij} * \mu = \int_{\mathbb{R}^3} \phi^{ij}(v - v_*) \mu(v_*) dv_*, \quad 1 \leq i, j \leq 3, \quad \gamma + 2 < 0.$$

Define the weighted dissipation norms as

$$|g|_{\sigma, \ell}^2 \equiv \sum_{i, j=1}^3 \int_{\mathbb{R}^3} w^{2\ell} \left\{ \sigma^{ij} \partial_i g \partial_j g + \sigma^{ij} \frac{v_i}{2} \frac{v_j}{2} |g|^2 \right\} dv, \quad \|g\|_{\sigma, \ell}^2 \equiv \int_{\mathbb{R}} |g|_{\sigma, \ell}^2 dy.$$

Guo showed that

$$|g|_{\sigma} \approx \left| \langle v \rangle^{\frac{\gamma+2}{2}} g \right|_2 + \left| \langle v \rangle^{\frac{\gamma}{2}} \nabla_v g \cdot \frac{v}{|v|} \right|_2 + \left| \langle v \rangle^{\frac{\gamma+2}{2}} \nabla_v g \times \frac{v}{|v|} \right|_2,$$

and $-\langle \mathcal{L}g, g \rangle \geq \sigma_1 |g|_{\sigma}^2$. Moreover,

Guo showed:

Lemma

For any $\eta > 0$, there exists $C_\eta > 0$ such that

$$-\langle \partial_\beta \mathcal{L}g, w^{2|\beta|} \partial_\beta g \rangle \geq |\partial_\beta g|_{\sigma, |\beta|}^2 - \eta \sum_{|\beta_1| \leq |\beta|} |\partial_{\beta_1} g|_{\sigma, |\beta_1|}^2 - C_\eta |g|_\sigma^2.$$

Lemma

Let $\ell \geq 0$, then for any arbitrarily large constant $b > 0$,

$$|\langle \partial^\alpha \Gamma(g_1, g_2), \partial^\alpha g_3 \rangle| \lesssim \sum_{|\alpha_1| \leq |\alpha|} |\langle v \rangle^{-b} \partial^{\alpha_1} g_1|_2 |\partial^{\alpha - \alpha_1} g_2|_\sigma |\partial^\alpha g_3|_\sigma,$$

and

$$\begin{aligned} & |\langle \partial_\beta^\alpha \Gamma(g_1, g_2), w^{2\ell} \partial_\beta^\alpha g_3 \rangle| \\ & \lesssim \sum_{|\alpha_1| \leq |\alpha|} \sum_{|\beta'| \leq |\beta_1| \leq |\beta|} |\langle v \rangle^{-b} \partial_{\beta'}^{\alpha_1} g_1|_2 |\partial_{\beta - \beta'}^{\alpha - \alpha_1} g_2|_{\sigma, \ell} |\partial_\beta^\alpha g_3|_{\sigma, \ell}. \end{aligned}$$

Moreover, the transport is well controlled: For $|\alpha| + |\beta| \leq N$ with $|\beta| \geq 1$,

$$\iint dv dy \partial_\beta^\alpha (v_1 \partial_y f) \cdot \partial_\beta^\alpha f \langle v \rangle^{2(\gamma+2)|\beta|}$$

Moreover, the transport is well controlled: For $|\alpha| + |\beta| \leq N$ with $|\beta| \geq 1$,

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 &= \iint dv dy \chi_{\beta_1 \geq 1} \partial_y \partial_{\beta - e_1}^\alpha f \langle v \rangle^{(\gamma+2)|\beta - e_1|} \cdot \partial_\beta^\alpha f \langle v \rangle^{(\gamma+2)|\beta|} \cdot \langle v \rangle^{\gamma+2} \\
 &\leq \eta \|\partial_\beta^\alpha f\|_{\sigma, |\beta|}^2 + \frac{C}{\eta} \|\partial_{\beta - e_1}^{\alpha + e_1} f\|_{\sigma, |\beta - e_1|}^2,
 \end{aligned}$$

thus, iteratively one need to obtain the dissipation

$$\sum_{1 \leq |\alpha| \leq N} \|\partial^\alpha f\|_{\sigma, 0}^2.$$

These motivate the energy functional

$$\begin{aligned} \mathcal{E}_2(\tau) &= \sum_{|\alpha| \leq 1} \|\partial^\alpha(\tilde{\rho}, \tilde{u}, \tilde{\theta})(\tau)\|^2 + \sum_{|\alpha| \leq 1} \|\partial^\alpha f(\tau)\|^2 \\ &+ \epsilon^{2-2a} \sum_{|\alpha|=2} \{\|\partial^\alpha(\tilde{\rho}, \tilde{u}, \tilde{\theta})(\tau)\|^2 + \|\partial^\alpha f(\tau)\|^2\} \\ &+ \sum_{|\alpha|+|\beta| \leq 2, |\beta| \geq 1} \|\partial_\beta^\alpha f(\tau)\|_{2,|\beta|}^2. \end{aligned}$$

and the corresponding dissipation functional

$$\begin{aligned} \mathcal{D}_2(\tau) &= \epsilon^{1-a} \sum_{1 \leq |\alpha| \leq 2} \|\partial^\alpha(\tilde{\rho}, \tilde{u}, \tilde{\theta})(\tau)\|^2 + \epsilon^{1-a} \sum_{|\alpha|=2} \|\partial^\alpha f(\tau)\|_\sigma^2 \\ &+ \epsilon^{a-1} \sum_{|\alpha| \leq 1} \|\partial^\alpha f(\tau)\|_\sigma^2 + \epsilon^{a-1} \sum_{|\alpha|+|\beta| \leq 2, |\beta| \geq 1} \|\partial_\beta^\alpha f(\tau)\|_{\sigma,|\beta|}^2. \end{aligned}$$

Note: $f = \frac{1}{\sqrt{\mu}}(F - M - \bar{G})$ is purely micro.

Summary of energy estimates:

- ▶ macro part: Based on the fluid equations, use the Burnett functions.
- ▶ micro part: ∂_β^α , $|\alpha| + |\beta| \leq 2$,

- ▶ $|\beta| = 0$, $|\alpha| \leq 1$: apply ∂^α to

$$\partial_\tau f + v_1 \partial_y f - \epsilon^{a-1} \mathcal{L}f = \dots$$

test it by $\partial^\alpha f$.

- ▶ $|\beta| = 0$, $|\alpha| = 2$: apply ∂^α to

$$\partial_\tau \left(\frac{F}{\sqrt{\mu}} \right) + v_1 \partial_y \left(\frac{F}{\sqrt{\mu}} \right) - \epsilon^{a-1} \mathcal{L}f = \dots$$

test it by $\frac{\partial^\alpha F}{\sqrt{\mu}}$.

- ▶ $|\beta| \geq 1$: Guo's velocity-derivative iteration technique... □

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- ▶ Exploring a new weight function (more robust than $\exp\{q\langle v \rangle^2/(1+t)^\theta\}$ in D.-Yang-Zhao; explicit time-decay is not necessarily needed), we are able to prove the dynamical stability of **viscous contact wave** (cf. [Huang-Xin-Yang for Boltzmann equation in cutoff hard potentials](#)) for the Landau equation with Coulomb potentials; see D.-Yang-Yu (submitted), energy estimates can be closed without turning to time-decay of solutions.

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- ▶ Exploring a new weight function (more robust than $\exp\{q\langle v \rangle^2 / (1 + t)^\theta\}$ in D.-Yang-Zhao; explicit time-decay is not necessarily needed), we are able to prove the dynamical stability of **viscous contact wave** (cf. **Huang-Xin-Yang for Boltzmann equation in cutoff hard potentials**) for the Landau equation with Coulomb potentials; see D.-Yang-Yu (submitted), energy estimates can be closed without turning to time-decay of solutions.
- ▶ Rate of convergence in ϵ can be obtained for the **VPL system of ions** flow where the electric potential is non-trivial in large time and can also have the **high-oscillation stronger than grazing collisions** (cf.: a recent work "*Long Wave Asymptotics for the Vlasov-Poisson-Landau Kinetic Equation*" by Bobylev-Potapenko); see D.-Yang-Yu (in progress).

Thank you!