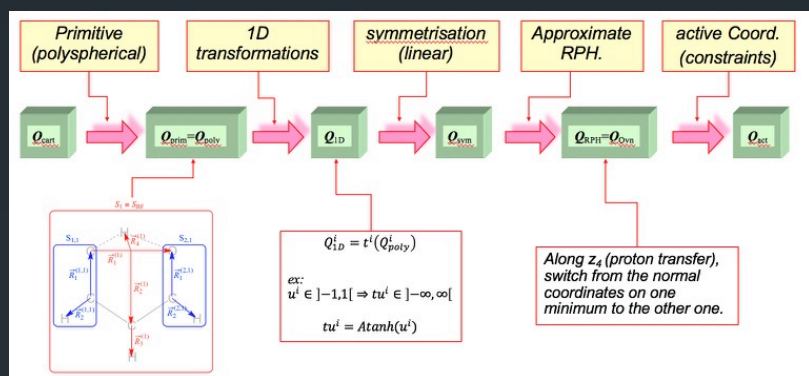
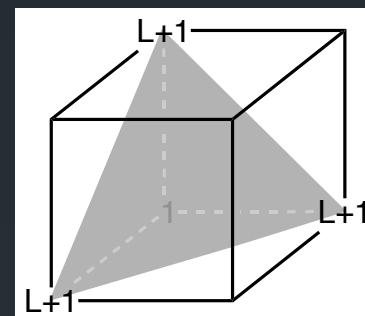


Quantum Dynamics: curvilinear coordinates and Smolyak sparse scheme.

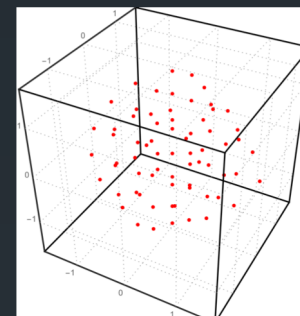


Coordinates



$$NB = \frac{(n+L)!}{n! \cdot L!}$$

Smolyak Scheme



$$NQ \ll (L+1)^n$$

A. Nauts



Y. Scribano



D. Benoit



Z. Bacic



P. Felker



R. Vuilleumier



Thanks to Daniel Borgis

A. Chen (post-doc)



Schrödinger Equation for N-particles

N particles => 3N coordinates, $\mathbf{Q}$

Schrödinger Equations

- Time-independent:

$$\hat{H}(\mathbf{Q})\psi(\mathbf{Q}) = E \psi(\mathbf{Q})$$

with $\hat{H}(\mathbf{Q}) = \hat{T}(\mathbf{Q}) + \hat{V}(\mathbf{Q})$

- Time-dependent:

$$i\hbar \frac{\partial \psi(\mathbf{Q}, t)}{\partial t} = \boxed{\hat{H}(\mathbf{Q})} \psi(\mathbf{Q}, t)$$

The
Hamiltonian
can be time-
dependent

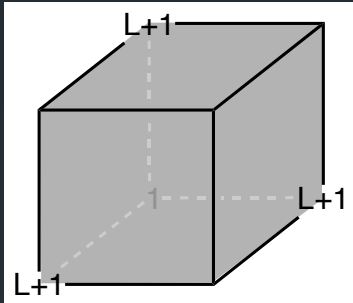
The wave function:

- We need to know, the $\psi(\mathbf{Q})$ or $\psi(\mathbf{Q}, t)$ **over the whole of space !!**
- How to represent ψ ?

It is important
to represent
 ψ in a
compact
form.

Direct-product basis and grid

- Expansion on a basis in nD :



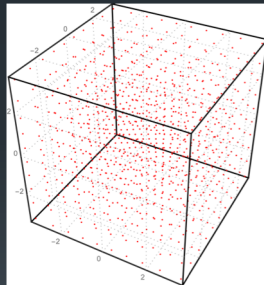
$$B_{DP}^{nD} = b_{\ell_1}^1 \otimes b_{\ell_2}^2 \cdots \otimes b_{\ell_n}^n$$

$$\Psi(Q_1, Q_2) = \sum_{i_2=1}^{nb_2} \sum_{i_1=1}^{nb_1} \Psi_{i_1 i_2}^{bb} b_{i_2}(Q_2) b_{i_1}(Q_1)$$

Number of basis functions

$$NB = nb_1 \times nb_2 \cdots nb_n$$

- Expansion on a grid in nD :



$$G_{DP}^{nD} = G_{\ell_1}^1 \otimes G_{\ell_2}^2 \cdots \otimes G_{\ell_n}^n$$

Number of grid points:

$$NQ = nq_1 \times nq_2 \times \cdots nq_n$$

For the basis, one needs some contraction schemes.

For the grid, one needs another approach than the usual direct-product grid....



in 6D : $NB \sim NQ \sim 10^6$

in 9D : $NB \sim NQ \sim 10^9$

The “curse” of dimensionality

How can we reduce NB and NQ?

Two steps:

1. Electronic motions $\Rightarrow$ PES
2. Atomic motions

1. Chose a good model / approximation :

- The Born-Oppenheimer separation of the electrons and nuclei
- Adiabatic separation between fast and slow motions
- Eckart conditions: good vibration/rotation separation
- Do we need all degrees of freedom?

2. Chose a good set of nuclear (atomic) coordinates:

- Choose coordinates well adapted to the process (rotation, dissociation ...)
- Kinetic energy operator, $\hat{T}$

3. Chose a compact basis set (grid):

- Basis set well adapted to the process
- With direct-products, the basis or the grid sizes grow **exponentially!**



The “**curse**” of dimensionality

Overview:

1. Chose a good model / approximation :

- The Born-Oppenheimer separation of the electrons and nuclei
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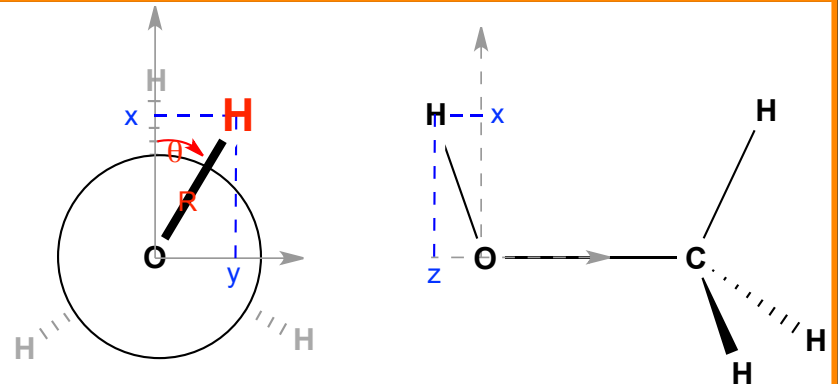
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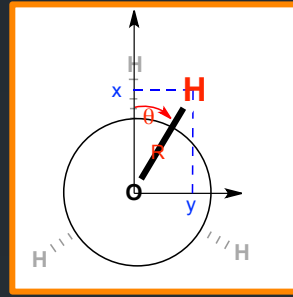
Comparison between two sets of coordinates to describe the OH torsion:

- ## Using a simple but realistic 2D-model

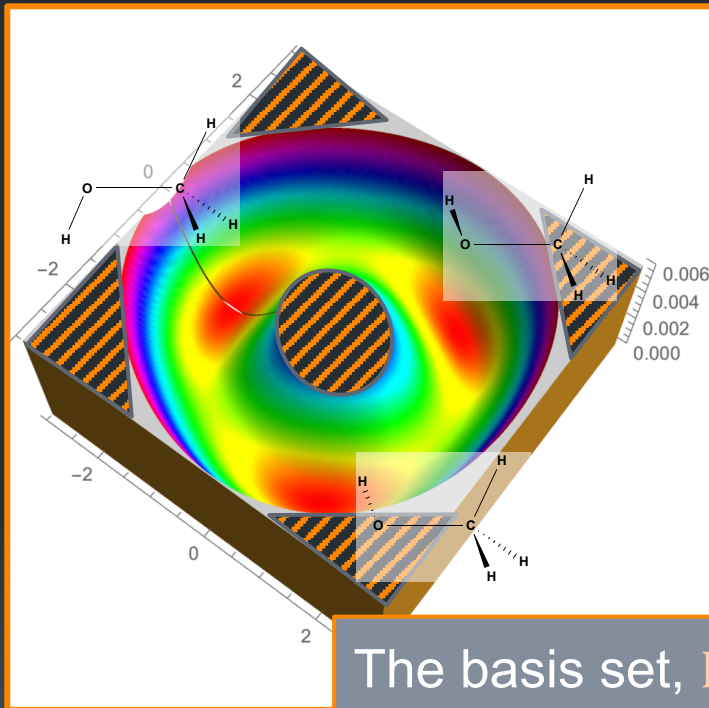


Chose a good set of coordinates

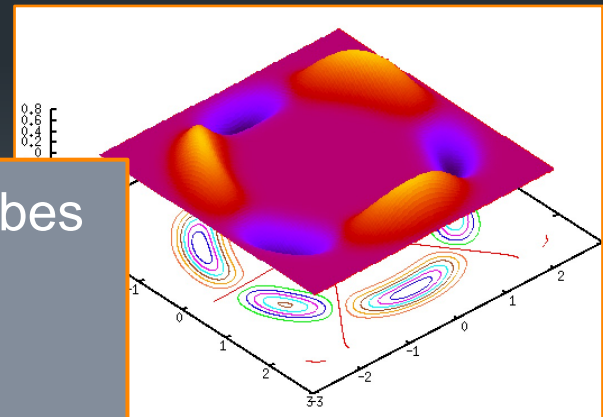
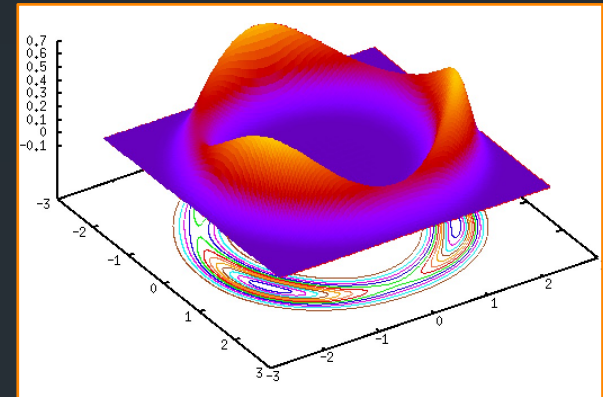
example: Methanol in 2D (x, y)



2D potential



Wave functions

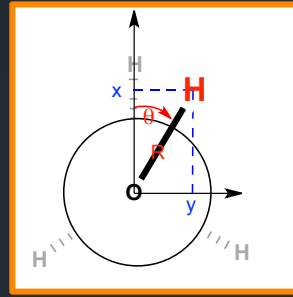


The basis set, $B_x \otimes B_y$, describes "non-accessible" regions.

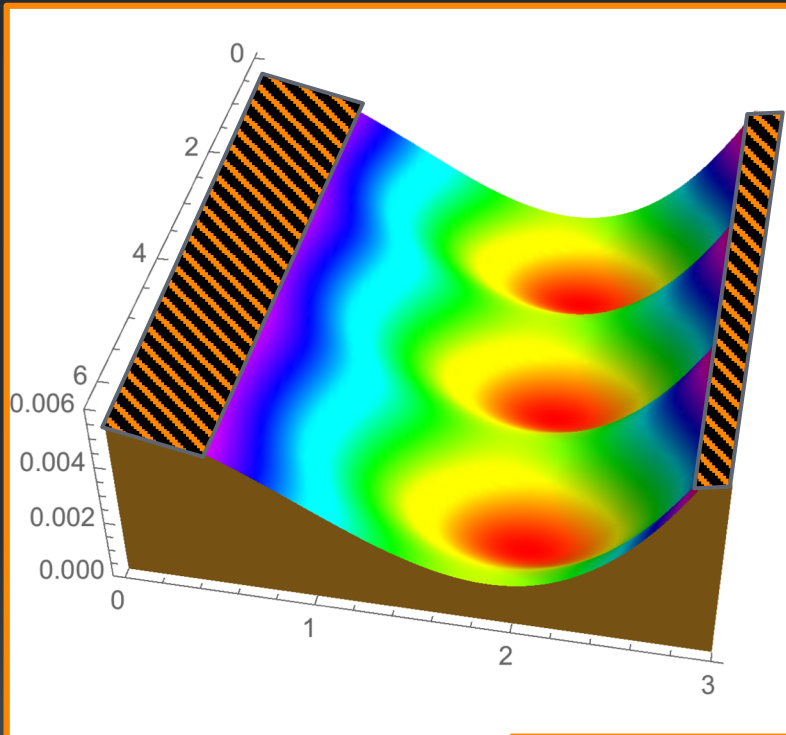
Can we avoid that?

Chose a good set of coordinates

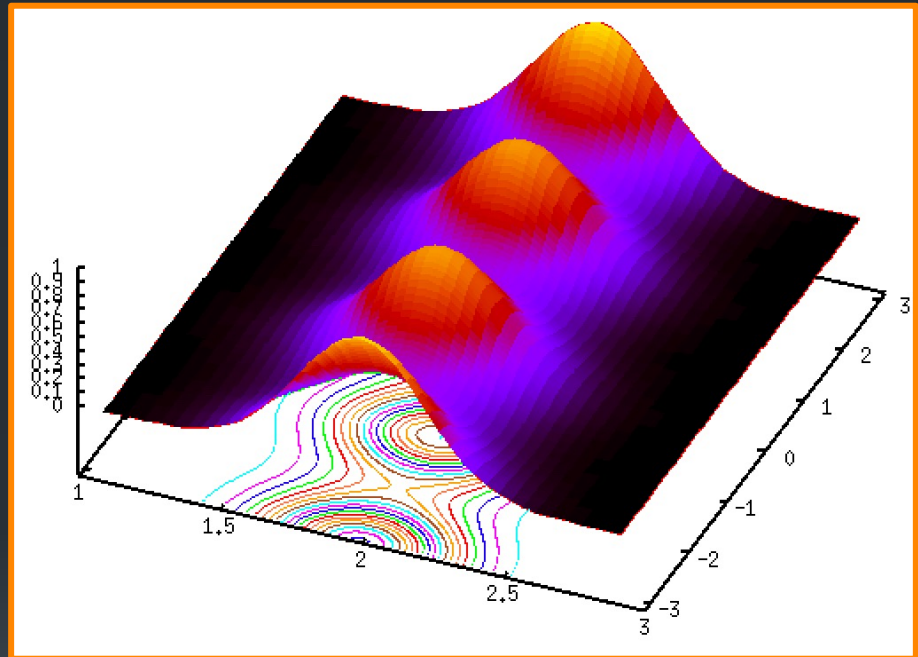
example: Methanol in 2D (R, θ)



2D potential



Wave functions



With those coordinates we can avoid more easily the physically "non-accessible" regions.

Choice of coordinates

Optimal coordinates must give an almost separable Hamiltonian:

$$\hat{H}(Q_1, Q_2 \dots) = \hat{H}_1(Q_1) + \hat{H}_2(Q_2) + \dots$$

They have to be adapted to the process, the energy ...:

- Collisions:

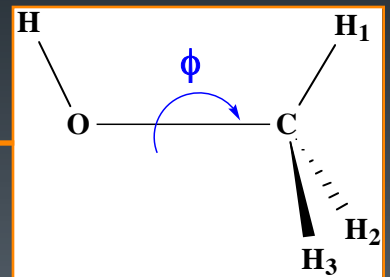
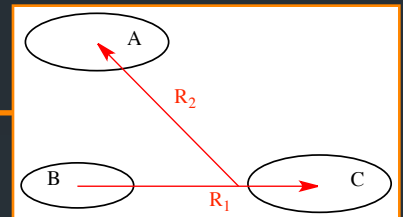
Jacobi, polyspherical

- Reactive scattering:

Hyperspherical

- Spectroscopy:

Normal modes, polyspherical,
Valence, z-matrix



Choice of coordinates

Optimal coordinates must give an almost separable Hamiltonian:

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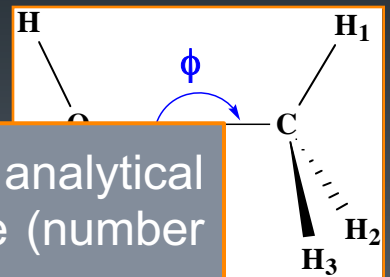
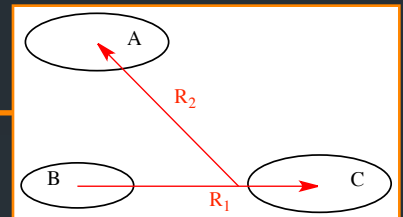
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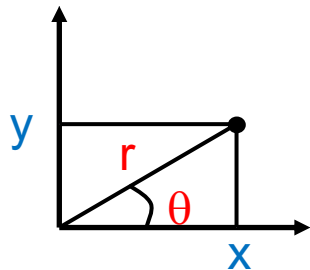
- Spectroscopy:



When curvilinear coordinates are used, the analytical expression of KEO can be extremely intricate (number of terms, complexity of the expression).

Choice of coordinates: difficulties

- The kinetic energy operator (KEO), T , is a Laplacian.
- Example : Polar coordinates



$$\tilde{\Psi} = \sqrt{r} \cdot \Psi$$

$$\Delta = \frac{\partial^2}{\partial r^2} + \frac{1}{r} \frac{\partial}{\partial r} + \frac{1}{r^2} \frac{\partial^2}{\partial \theta^2} \quad d\tau = r dr d\theta$$

$$\Delta = \frac{\partial^2}{\partial r^2} + \frac{1}{r^2} \frac{\partial^2}{\partial \theta^2} + \frac{1}{4r^2} \quad d\tilde{\tau} = dr d\theta$$

When curvilinear coordinates, Q , are used, the analytical expression of T can be extremely intricate (number of terms, complexity of the expression).

It can be obtained analytically (Jacobi, polyspherical, **TANA** ...)

It can be expressed numerically (**TNUM**)

How to get the KEO, $\hat{T}$?

- Using the Jacobian matrices to get the metric tensors^[1,2]:

Contravariant components of metric tensor

$$\hat{T} = -\frac{\hbar^2}{2} \sum_{i,j} \frac{1}{\rho(\mathbf{Q})} \frac{\partial}{\partial Q^i} \rho(\mathbf{Q}) G^{ij}(\mathbf{Q}) \frac{\partial}{\partial Q^j} + V_{extra}(\mathbf{Q})$$

$$d\tau = \rho(\mathbf{Q}) dQ^1 \dots dQ^n$$

Used for the numerical implementation^[3] (*TNUM*^[4]).

non-Euclidean volume element

- Using the conjugate momenta, $\vec{\hat{P}}_j$, associated with the vectors, $\vec{R}_j$ ^[5,6]:

$$\hat{T} = \frac{1}{2} \sum_{i,j} M_{ij} \vec{\hat{P}}_i^\dagger \cdot \vec{\hat{P}}_j$$

Used for the analytical expression / implementation (*TANA*^[7]).

M is diagonal for Jacobi vectors: $M_{ij} = \frac{1}{\mu_i} \delta_{ij}$

[1] B. Podolsky, Phys. Rev. 32, 812 (1928).

[2] A. Nauts and X. Chapuisat, Mol. Phys. 55, 1287 (1985).

[3] J. Laane et al., JMS 1982, 91, p286

[4] D. Lauvergnat et A. Nauts, JCP 116, p8560 (2002).

[5] X. Chapuisat, C. Iung, Phys. Rev. A 45, 6217–6235 (1992).

[6] F. Gatti, C. Iung Phys. Rep. 484 1–69 (2009).

[7] M. Ndong, L. Joubert-Doriol, H.-D. Meyer, A. Nauts, F. Gatti, D. Lauvergnat, JCP 136, p034107, (2012).

Numerical vs Analytical KEO

- Analytical KEO (T_{ANA}):
 - Sum of products of 1D-functions $\Rightarrow$ analytical integrations
 - Restricted to some “kind” of coordinates: polyspherical ones
 - $\Rightarrow$ Some flexibility (vector definitions, subsystems ...)
 - $\Rightarrow$ Few transformations are possible (without losing the sum of product structure)
 - Jacobi, Radau coordinate types
- Numerical KEO (T_{NUM}):
 - No analytical expression: nD-numerical integrations.
 - Numerical but exact $\mathbf{G}(\mathbf{Q})$ values
 - High flexibility: It is very easy to add coordinates transformations
 - $\Rightarrow$ z-matrix, polyspherical
 - $\Rightarrow$ linear combinations, curvilinear normal modes
 - $\Rightarrow$ Reaction Path (RPH)
 - $\Rightarrow$

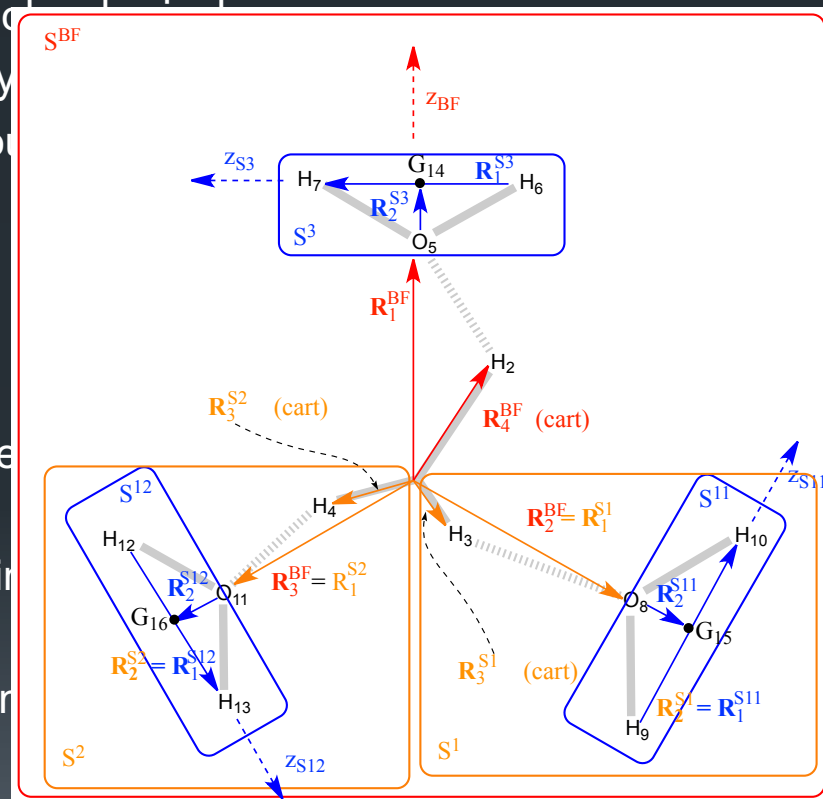
Numerical vs Analytical KEO

■ Analytical KEO (T_{ANA}):

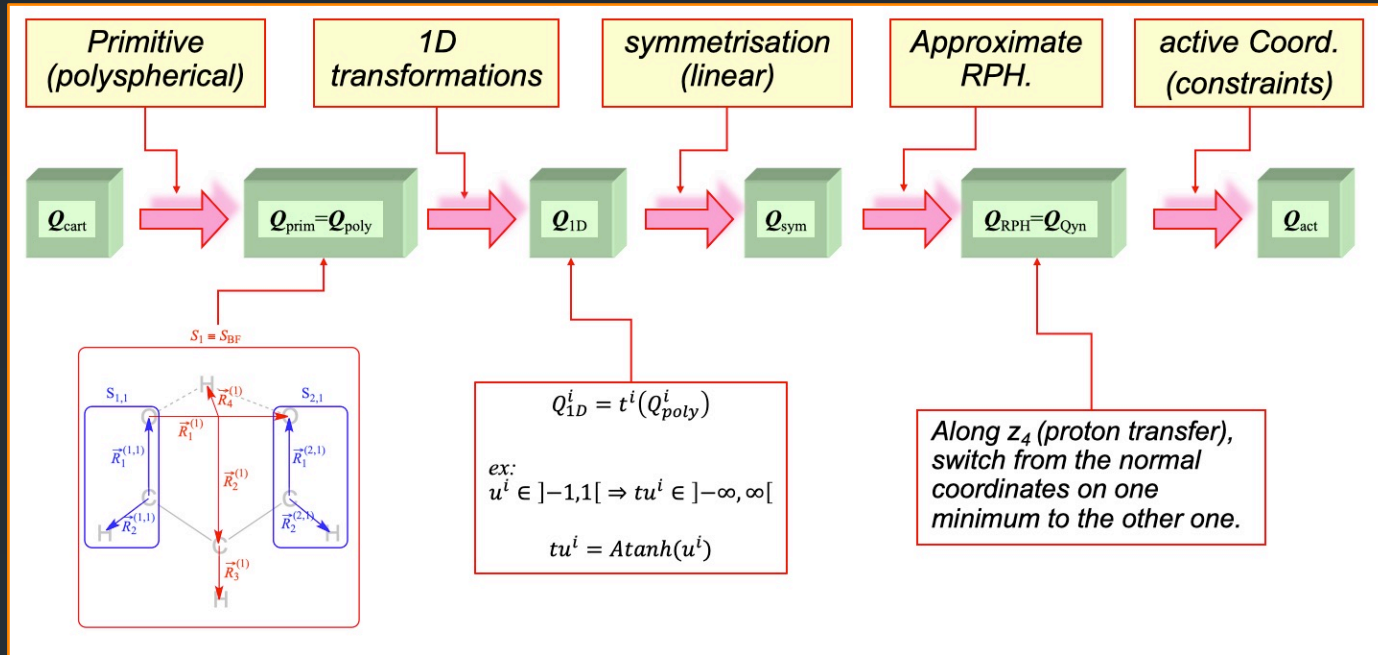
- Sum of products of 1D-functions $\Rightarrow$ analytical integrations
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 - $\Rightarrow$ z-matrix, polyspherical
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 - $\Rightarrow$ Reaction Path (RPH)
 - $\Rightarrow$



KEO (vibration, rotation): 4741 terms in 23 seconds
Largest difference on $\mathbf{G}(\mathbf{Q})$ is 10^{-15} au ($\sim 10^{-10}$ cm $^{-1}$)



f product

■ Numerical KEO (TNUM):

- No analytical expression: nD-numerical integrations.
- Numerical but exact $\mathbf{G}(\mathbf{Q})$ values
- High flexibility: It is very easy to add coordinates transformations
 - ⇒ z-matrix, polyspherical
 - ⇒ linear combinations, curvilinear normal modes
 - ⇒ Reaction Path (RPH)
 - ⇒

Coordinates: summary

Good coordinates help to reduce the coupling between modes

1. It enables to choose a good "primitive" basis along each coordinate
2. Even with good coordinates, the direct-product basis and grid sizes grow **exponentially!!**
3. The optimal choice is not obvious: 😞
 - good for V, but bad for the KEO (or the reverse)
 - good at low energy and bad at high E
 - Try a set of coordinates, run a calculation ... **try again**ⁿ ... 😞

Cartesian coordinates can be good, when a compact basis set can be found:

- The atomic basis sets are used in **Cartesian coordinates!!**

Overview:

1. Chose a good model / approximation :

- The Born-Oppenheimer separation of the electrons and nuclei
- Adiabatic separation between fast and slow motions
- Eckart conditions: good vibration/rotation separation
- Do we need all degrees of freedom?

2. Chose a good set of nuclear (atomic) coordinates :

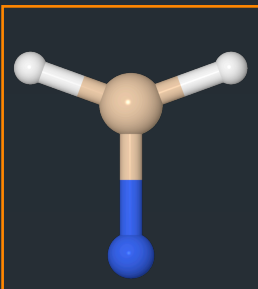
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- With direct-products, the basis or the grid sizes grow **exponentially!**

Do we need the direct-product form?

- In 2D: for the 2 Si-H stretches of H_2SiN :

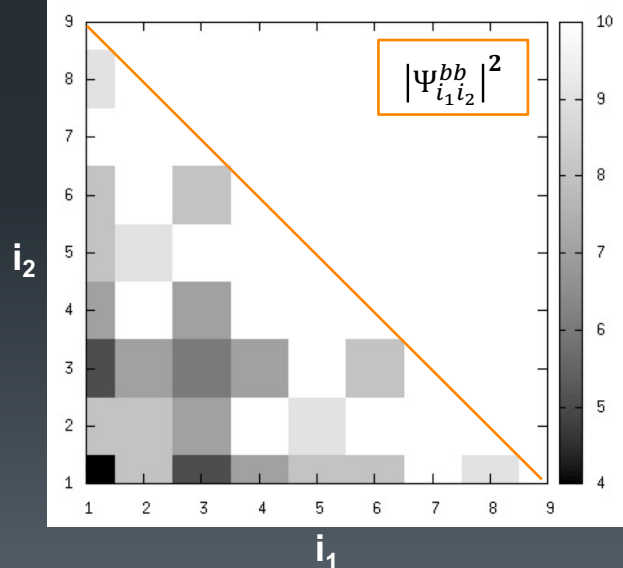


$$\Psi_I(Q_1, Q_2) = \sum_{i_1, i_2} \Psi_{i_1 i_2}^{bb} \cdot b_{i_1}^1(Q_1) \cdot b_{i_2}^2(Q_2)$$

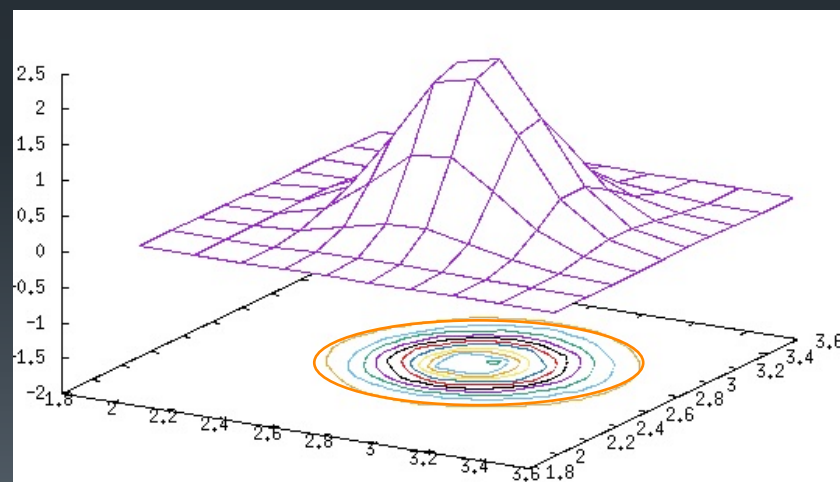
$$\text{ZPE} = 2261.4 \text{ cm}^{-1}$$

$$E_1, E_2 = 2202.0, 2226.8 \text{ cm}^{-1}$$

To converge these 3 levels, we need **9** basis functions (HO) and **9** grid points for each coordinate.
=> **81** 2D-basis functions and **81** grid points



Vibrational ground state



1st Smolyak scheme in 1D: useless!!

	B_0^1	B_1^1	B_2^1	...	B_ℓ^1
Basis, B_ℓ^1	$\{b_1^1\}$	$\{b_1^1, b_2^1\}$	$\{b_1^1, b_2^1, b_3^1\}$	...	$\{b_1^1, b_2^1, \dots, b_{\ell+1}^1\}$

$$\Psi^L = B_L^1 \cdot \Psi = \sum_{i_1=1}^{L+1} \Psi_{i_1}^b \cdot b_{i_1}^1$$

The relation between ℓ and the number of basis functions can be any increasing sequence.

$$\Psi^L = B_0^1 \cdot \Psi + (B_1^1 - B_0^1) \cdot \Psi + (B_2^1 - B_1^1) \cdot \Psi + \dots + (B_L^1 - B_{L-1}^1) \cdot \Psi$$

$$\Psi^L = \Delta B_0^1 \cdot \Psi + \Delta B_1^1 \cdot \Psi + \Delta B_2^1 \cdot \Psi + \dots + \Delta B_L^1 \cdot \Psi$$

$$\Psi^L = \sum_{\ell=0}^L \Delta B_\ell^1 \cdot \Psi$$

Can have more than one elements

	$\Delta B_0^1 = B_0^1$	ΔB_1^1	ΔB_2^1	...	ΔB_ℓ^1
Basis, ΔB_ℓ^1	$\{b_1^1\}$	$\{b_2^1\}$	$\{b_3^1\}$	...	$\{b_{\ell+1}^1\}$

1st Smolyak scheme in 2D

ΔB_2^2 $\ell_2 = 2$	$\{b_1^1\} \otimes \{b_3^2\}$	$\{b_2^1\} \otimes \{b_3^2\}$	$\{b_3^1\} \otimes \{b_2^2\}$
ΔB_1^2 $\ell_2 = 1$	$\{b_1^1\} \otimes \{b_2^2\}$	$\{b_2^1\} \otimes \{b_2^2\}$	$\{b_3^1\} \otimes \{b_2^2\}$
ΔB_0^2 $\ell_2 = 0$	$\{b_1^1\} \otimes \{b_1^2\}$	$\{b_2^1\} \otimes \{b_1^2\}$	$\{b_3^1\} \otimes \{b_1^2\}$
$\otimes$	$\Delta B_0^1 = \{b_1^1\}$ $\ell_1 = 0$	$\Delta B_1^1 = \{b_2^1\}$ $\ell_1 = 1$	$\Delta B_2^1 = \{b_3^1\}$ $\ell_1 = 2$

- Without constraint, we obtain a direct-product with 9 (3x3) 2D-basis functions.
- With a constraint: $\ell_1 + \ell_2 \leq 2$

1st Smolyak schemes in 2D

ΔB_2^2 $\ell_2 = 2$	$\{b_1^1\} \otimes \{b_3^2\}$		
ΔB_1^2 $\ell_2 = 1$	$\{b_1^1\} \otimes \{b_2^2\}$	$\{b_2^1\} \otimes \{b_2^2\}$	
ΔB_0^2 $\ell_2 = 0$	$\{b_1^1\} \otimes \{b_1^2\}$	$\{b_2^1\} \otimes \{b_1^2\}$	$\{b_3^1\} \otimes \{b_1^2\}$
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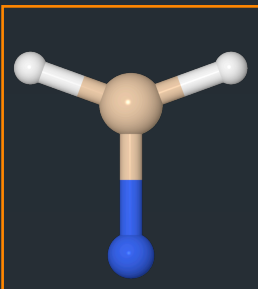
- Without constraint, we obtain a direct product with 3x3 2D-basis functions.
- With a constraint: $\ell_1 + \ell_2 \leq 2$, we obtain a basis set with 6 2D-basis functions.

Smolyak Scheme: 1st summary:

- This is equivalent to a selection (in terms of excitations) of the basis functions.
- The Smolyak scheme, with this selection ($|\ell| = \sum \ell_k \leq L$) and with a simple sequence ($nb_{\ell_k}^k = 1 + \ell_k$), the number of basis functions is $NB = \frac{(n+L)!}{n! \cdot L!}$.
 Polynomial in n
- The Smolyak scheme can be use **also** for the grid $\Rightarrow$ **NB** and **NQ** do not grow exponentially with n .
- One can use on L for the basis (L_B) and another one (larger) for the grid (L_G).

Do we need the direct-product form? NO

- In 2D: for the 2 Si-H stretches of H_2SiN :

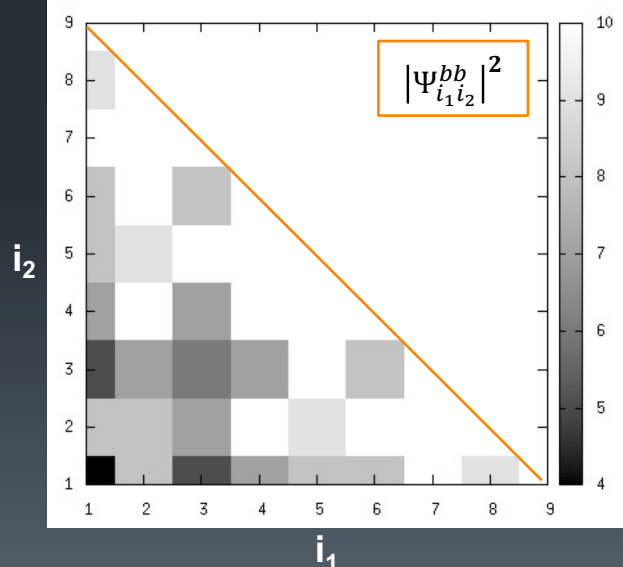


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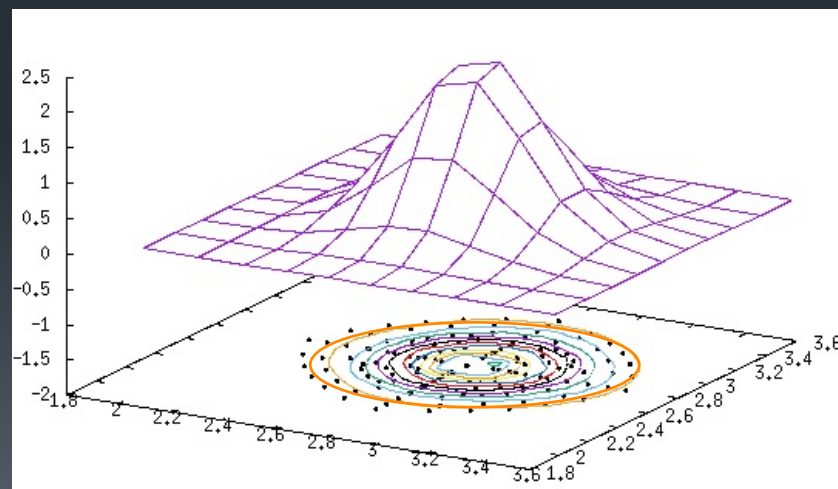
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To converge these 3 levels, we need 9 basis functions (HO) and 9 grid points for each coordinate.
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Vibrational ground state



Back to the origin: 2 Smolyak schemes

$$|\ell| = \sum \ell_k$$

1st Scheme:

In term of "difference"

$$S_L^{1\dots n} = \sum_{0 \leq |\ell| \leq L} \Delta S_{\ell_1}^1 \otimes \dots \otimes \Delta S_{\ell_n}^n$$

expansion

2^d Scheme:

$$S_L^{1\dots n} = \sum_{L-n+1 \leq |\ell| \leq L} D_{\ell} \cdot S_{\ell_1}^1 \otimes \dots \otimes S_{\ell_n}^n$$

С. А. СМОЛЯК

МАТЕМАТИКА

КВАДРАТУРНЫЕ И ИНТЕРПОЛЯЦИОННЫЕ ФОРМУЛЫ НА ТЕНЗОРНЫХ ПРОИЗВЕДЕНИЯХ НЕКОТОРЫХ КЛАССОВ ФУНКЦИЙ

(Представлено академиком А. Н. Колмогоровым 17 VIII 1962)

$$\|I \otimes \dots \otimes I - \sum_{v_1 + \dots + v_s \leq q} \theta_{v_1} \otimes \dots \otimes \theta_{v_s}\| \leq C(A, B, s, \alpha) \frac{q^{s-1}}{2^{\alpha q}}.$$

The scheme can be used on a grid ($S_{\ell_i}^i = \mathbf{g}_{\ell_i}^i$)
or on the basis set ($S_{\ell_i}^i = \mathbf{B}_{\ell_i}^i$).

Back to the origin: 2 Smolyak schemes

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In term of "difference"

$$S_L^{1\dots n} = \sum_{0 \leq |\ell| \leq L} \Delta S_{\ell_1}^1 \otimes \dots \otimes \Delta S_{\ell_n}^n$$

$$\Delta S_{\ell_i}^i = \begin{cases} S_0^i & \text{for } \ell_i = 0 \\ S_{\ell_i}^i - S_{\ell_i-1}^i & \text{for } \ell_i > 0 \end{cases}$$

$$|\Psi\rangle = \sum_{0 \leq |\ell| \leq L} |\Psi^{\Delta S_{\ell_1}^1 \otimes \dots \otimes \Delta S_{\ell_n}^n}\rangle$$

2^d Scheme:

$$S_L^{1\dots n} = \sum_{L-n+1 \leq |\ell| \leq L} D_{\ell} \cdot S_{\ell_1}^1 \otimes \dots \otimes S_{\ell_n}^n$$

$$D_{\ell} = (-1)^{L-|\ell|} C_{n-1}^{L-|\ell|}$$

$$|\Psi\rangle = \sum_{L-n+1 \leq |\ell| \leq L} D_{\ell} \cdot |\Psi^{S_{\ell_1}^1 \otimes \dots \otimes S_{\ell_n}^n}\rangle$$

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2^d Scheme:

$$s_L^{1\dots n} = \sum_{L-n+1 \leq |\ell| \leq L} D_\ell \cdot s_{\ell_1}^1 \otimes \dots \otimes s_{\ell_n}^n$$

$$D_\ell = (-1)^{L-|\ell|} C_{n-1}^{L-|\ell|}$$

$$|\Psi\rangle = \sum_{L-n+1 \leq |\ell| \leq L} D_\ell \cdot |\Psi^{s_{\ell_1}^1 \otimes \dots \otimes s_{\ell_n}^n}\rangle$$

Instead of **one large** direct-product,
a **(large) sum** of **small** direct-products.

2^d Smolyak scheme in 2D

- Basis set: $nb_{\ell_i}^i = 1 + \ell_i$

constraints: $1 \leq \ell_1 + \ell_2 \leq 2$

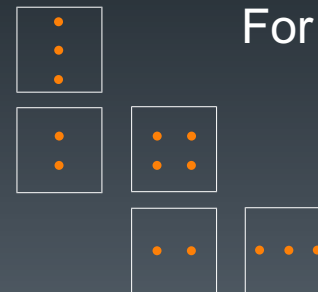
S_2^2 $\ell_2 = 2$	$\{b_1^1\} \otimes \{b_1^2, b_2^2, b_3^2\}$ 1	X	
S_1^2 $\ell_2 = 1$	$\{b_1^1\} \otimes \{b_1^2, b_2^2\}$ -1		
S_0^2 $\ell_2 = 0$	$\{b_1^1\} \otimes \{b_1^2\}$ 0	$\{b_1^1, b_2^1\} \otimes \{b_1^2\}$ -1	$\{b_1^1, b_2^1, b_3^1\} \otimes \{b_1^2\}$ 1
$\otimes$	$S_0^1 = \{b_1^1\}$ $\ell_1 = 0$	$S_1^1 = \{b_1^1, b_2^1\}$ $\ell_1 = 1$	$S_2^1 = \{b_1^1, b_2^1, b_3^1\}$ $\ell_1 = 2$

2D-basis functions:

$$\begin{array}{ll}
 b_1^1 \cdot b_1^2 & \\
 b_1^1 \cdot b_2^2 & b_1^1 \cdot b_3^2 \\
 b_2^1 \cdot b_1^2 & b_3^1 \cdot b_1^2 \\
 b_2^1 \cdot b_2^2 & \\
 \end{array}$$

~~$b_2^1 \cdot b_2^2$
 $b_1^1 \cdot b_3^2$
 $b_3^1 \cdot b_1^2$~~

For the grid



Smolyak Scheme in 14D

Example in 14D: $L_B=L_G=9$ ($nq_{\ell_k}^k = nb_{\ell_k}^k = 1 + \ell_k$):

	NB	NQ
Direct product	10^{14}	10^{14}
Smolyak	817 190	$\sim 124 \cdot 10^6$



about $8 \cdot 10^5$
times smaller

2^d Smolyak scheme: computer representation

■ Ψ on the basis functions:

$$\begin{bmatrix} b_1^x \cdot b_1^y \\ b_1^x \cdot b_2^y \\ b_2^x \cdot b_1^y \\ b_1^x \cdot b_3^y \\ b_2^x \cdot b_2^y \\ b_3^x \cdot b_1^y \end{bmatrix} \Rightarrow \Psi = \begin{bmatrix} 1 \\ 0 \\ 0 \\ 0 \\ 0.5 \\ 0 \end{bmatrix}$$

We need a mapping



WF are stored on the basis set
No memory problem (almost)

• Ψ on the Smolyak basis rep.:

ℓ_x, ℓ_y	00	01	10	02	11	20
basis functions	$[b_1^x \cdot b_1^y]$	$[b_1^x \cdot b_1^y]$ $[b_1^x \cdot b_2^y]$	$[b_1^x \cdot b_1^y]$ $[b_2^x \cdot b_1^y]$	$[b_1^x \cdot b_1^y]$ $[b_1^x \cdot b_2^y]$ $[b_1^x \cdot b_3^y]$	$[b_1^x \cdot b_1^y]$ $[b_2^x \cdot b_1^y]$ $[b_1^x \cdot b_2^y]$ $[b_2^x \cdot b_2^y]$	$[b_1^x \cdot b_1^y]$ $[b_2^x \cdot b_1^y]$ $[b_2^x \cdot b_1^y]$
Ψ^{ℓ_x, ℓ_y}	$[1]$	$[1]$ $[0]$	$[1]$ $[0]$	$[1]$ $[0]$ $[0]$	$[1]$ $[0]$ $[0]$ $[0.5]$	$[1]$ $[0]$ $[0]$
D_{ℓ_x, ℓ_y}	0	-1	-1	1	1	1



With 2^d Smolyak scheme, the computer size (basis or grid) of a WF can be very large.
⇒ A WF is never stored on the full representation (only one term), even for operation on a WF.

2^d Smolyak scheme: operations on $|\Psi\rangle$

$$|\Psi\rangle = \sum_{L-n+1 \leq |\ell| \leq L} D_{\ell} \cdot \left| \Psi^{s_{\ell_1}^1 \otimes \dots \otimes s_{\ell_n}^n} \right\rangle$$

Wave function
(mapping)

$$\Psi^B \Leftrightarrow \Psi^G \quad \forall \ell \Rightarrow \Psi^{B_{\ell_1}^1 \otimes \dots \otimes B_{\ell_n}^n} \Leftrightarrow \Psi^{g_{\ell_1}^1 \otimes \dots \otimes g_{\ell_n}^n}$$

Basis $\Leftrightarrow$ Grid

$$\langle \Phi | \Psi \rangle = \sum_{L-n+1 \leq |\ell| \leq L} D_{\ell} \cdot \left\langle \Phi^{s_{\ell_1}^1 \otimes \dots \otimes s_{\ell_n}^n} \left| \Psi^{s_{\ell_1}^1 \otimes \dots \otimes s_{\ell_n}^n} \right\rangle\right.$$

Scalar product

$$\hat{O}|\Psi\rangle = \sum_{L-n+1 \leq |\ell| \leq L} D_{\ell} \cdot \hat{O} \left| \Psi^{s_{\ell_1}^1 \otimes \dots \otimes s_{\ell_n}^n} \right\rangle$$

Operator action:
standard action on
small direct-
products

On the Smolyak sum, all operations are almost **independent** !!
 $\Rightarrow$ parallelization: openmp, MPI (Ahai Chen)

Almost no communication except:

- transformation from the Smolyak representation to the basis one (mapping)

Smolyak Scheme: applications

THE JOURNAL OF CHEMICAL PHYSICS **131**, 174103 (2009)

6D

Nonproduct quadrature grids for solving the vibrational Schrödinger equation

Gustavo Avila^{a)} and Tucker Carrington, Jr.^{b)}

Stretches of SF₆
"rigid" system

Torsional energy levels of nitric acid in reduced and full dimensionality with ELVIBROT and TNUM

David Lauvergnat^a and André Nauts^{ab}

Phys. Chem. Chem. Phys., 2010, **12**, 8405–8412

9D

HO-NO₂
"floppy" system

THE JOURNAL OF CHEMICAL PHYSICS **134**, 054126 (2011)

Using nonproduct quadrature grids to solve the vibrational Schrödinger equation in 12D

Gustavo Avila^{a)} and Tucker Carrington Jr.^{b)}

CH₃CN
"rigid" system

Quantum dynamics with sparse grids: A combination of Smolyak scheme and cubature. Application to methanol in full dimensionality

David Lauvergnat^{a,*}, André Nauts

Spectrochimica Acta Part A: Molecular and Biomolecular Spectroscopy 119 (2014) 18–25

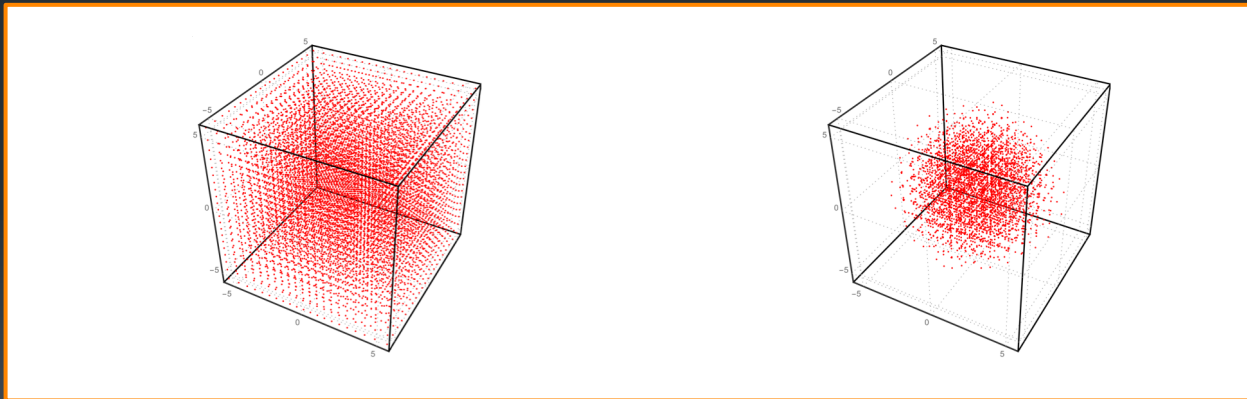
12D

CH₃-OH
"floppy" system

+ other papers

Smolyak Scheme: Summary

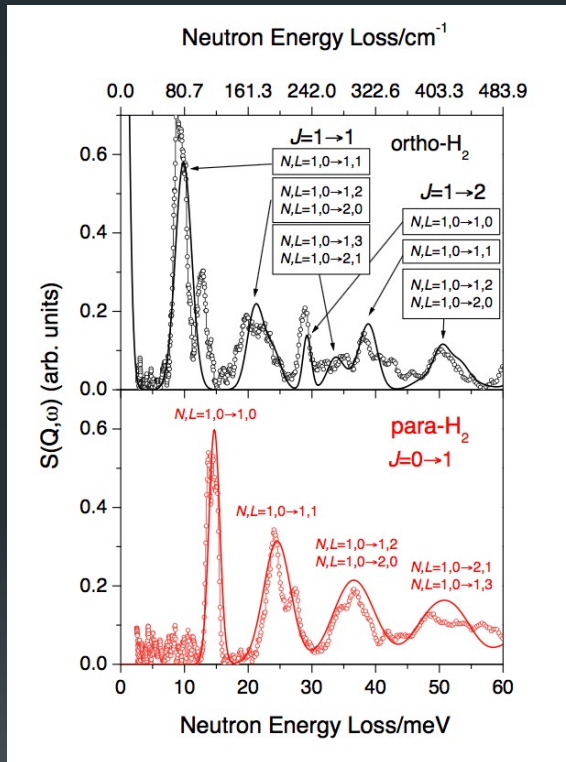
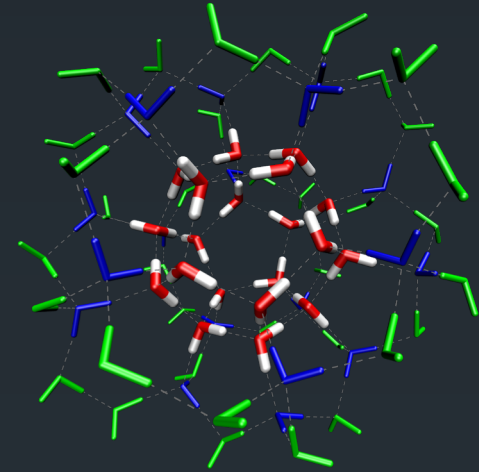
- The $G_{\ell_i}^i$ and the corresponding basis, $B_{\ell_i}^i$, are not necessary in 1D:
 - Spherical harmonics can be used
 - Other DP basis/Grid
- With those sparse grids (HO basis set), the edge or the corner of a cube (hyper) can be avoided.
 - Example 3D, with avec $L_G=5$ et $B=4$



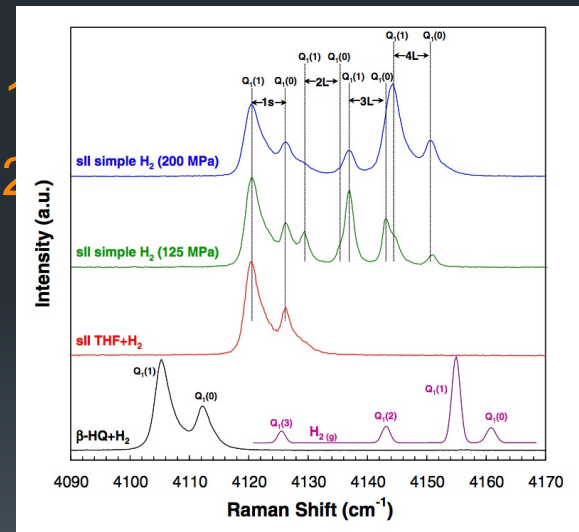
- Polynomial Scaling in n of degree L ← with $nb_{\ell_i}^i = nq_{\ell_i}^i = 1 + \ell_i$
- System / bath separation $\Rightarrow$ Several L (L_{sys} and L_{bath})

$H_2@(\text{H}_2\text{O})_n$:

- Experimental transitions (cm^{-1}):



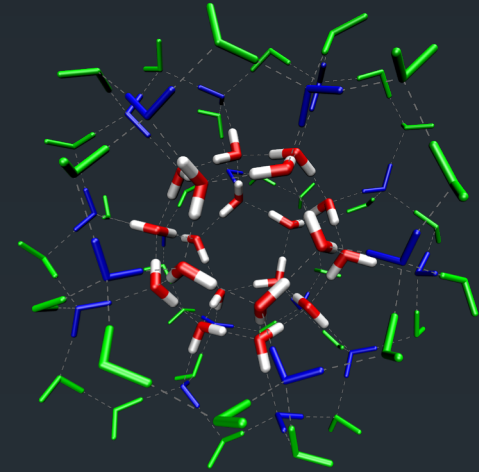
$J=1, 3 \times$
 $6.6 \ 122$
 56.2
 $161.2)$



- [1] L. Ulivi, M. Celli, A. Gianiassi, A. J. Ramirez-Cuesta, D. J. Bull, and M. Zoppi, Phys. Rev. B **76**, 161401 2007
- [2] T.A. Strobel et al. Chemical Physics Letters 478 (2009) 97–109

$\text{H}_2@(\text{H}_2\text{O})_n$:

- Experimental transitions (cm^{-1}):
 - Translations [1]
71,0 80,2 101.1
 - Rotations [1] (gas: J=1, 3x 118.4. J=2, 5x 354.2)
J=1, ortho: 110.0 116.6 122.1
J=2, para: 343.2 – 356.2
 - Vibration [2] (gas: 4161.2)
 $\nu = 4126$



Models (Crystal geometry: 1st shell + other shells)

■ Hamiltonian:

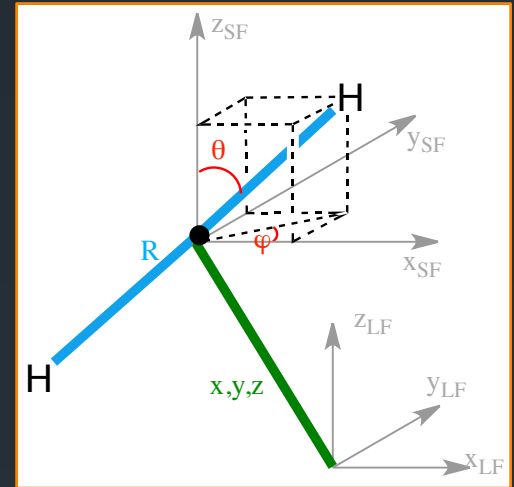
$$\hat{H}_{6D} = \frac{1}{2 m_{H_2}} (\hat{P}_x^2 + \hat{P}_y^2 + \hat{P}_z^2) + \frac{1}{m_H R^2} \hat{L}^2 + \frac{1}{2 \mu_{H_2}} \hat{P}_R^2 + V(x, y, z, \theta, \varphi, R)$$

■ Potentials (frozen cage):

- SPC/E, 5D: translation + rotation

Enables to check our numerical implementation

- Valiron 5D (+ adiabatic separation => vibrational shift) or 6D
Ab initio (CCSD(T)) with 2 body terms



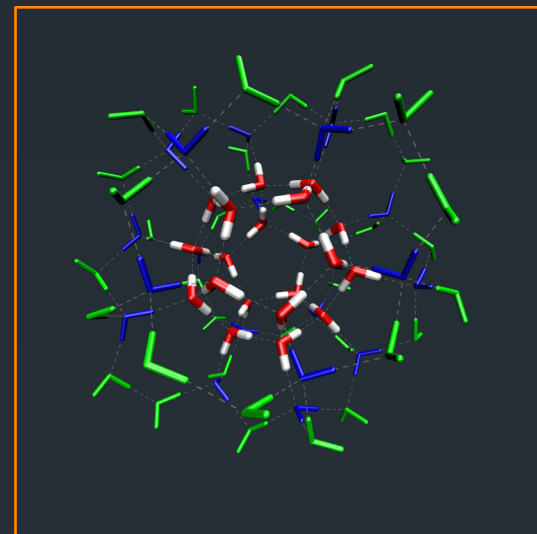
■ Base / grids with Smolyak scheme (L_B , L_G) :

- Translation: $HO_{ix}(x), HO_{iy}(y), HO_{iz}(z)$
- Rotation: $Y_\ell^m(\theta, \varphi)$
- Vibration H_2 : $HO_{iR}(R)$

2D-basis set and
2D-Grid (Lebedev)

Rigid cage: 6D + cluster size

cm ⁻¹	Exp.	6D H ₂ @(H ₂ O) ₂₀	6D H ₂ @(H ₂ O) ₄₀	6D H ₂ @(H ₂ O) ₇₆
Trans.	71.0	66.8	66.5	66.2
	80.2	76.1	75.5	75.3
	101.1	93.3	92.5	92.3
Rotation ortho, L=1	110.0	85.4	93.5	97.7
	116.6	121.2	121.2	118.4
	122.1	147.6	140.2	137.7
v		4120.9	4119.4	4119.2
Δv	-34/-37	-40.2	-41.7	-41.9



Smolyak parameters : $L_B=6$ and $L_G=7$

NB=8246 and NQ=460 000.

3h30 on 12 cores (3h for the PES evaluation on the grid).

Remarks, with a single direct product:

NB~ $2.0 \cdot 10^6$ and NQ~ $6.4 \cdot 10^6$

DL et al. JCP 150, 154303 (2019)
Potential: P. Valiron et al., JCP 129, 134306 (2008)

Translation: $nb^T(\ell_T) = 1 + 2\ell_T$

Rotation: $j_{max}(\ell_R) = \ell_R$

Vibration: $nb^V(\ell_V) = 1 + 3\ell_V$

Models with flexible cage

■ Potentials:

- SPC/E ($\text{H}_2\text{-H}_2\text{O}$ potential) + SPC/Fw ($\text{H}_2\text{O-H}_2\text{O}$ potential)

The full potential is used (no harmonic approximation)

■ Cage:

- Only the first shell (20 water molecules) is flexible.

⇒ Separation between the vibrations and the translation-rotation modes of the waters.
⇒ The KEO is simple

■ Cage coordinates (normal modes):

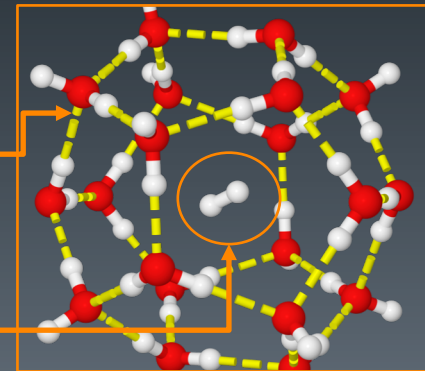
- Full normal modes: to reduce the coupling between all cage modes (no quadratic couplings)

■ Base / grids :

- Cage basis sets: $HO_k(Q_i)$
- System (H_2) / Bath (H_2O) separation

Bath: water molecules with $L_{\text{Bath}} \ll L_B$

System: H_2 motions with L_B/L_G



Flexible cage: 5+48D

cm ⁻¹	Exp.	5+0D H ₂ @(H ₂ O) ₂₀₊₂₀ L _B =3	5+48D H ₂ @(H ₂ O) ₂₀₊₂₀ L _B =3, B=2	5+48D H ₂ @(H ₂ O) ₂₀₊₂₀ L _B =3, B=3
Trans.	71.0	83.7	85.7	85.9
	80.2	88.6	90.6	90.6
	101.1	100.0	103.5	103.0
Rotation ortho, L=1	110.0	109.4	107.0	107.1
	116.6	125.1	122.6	122.6
	122.1	131.6	129.0	129.0
NB			9.7 10 ⁴	2.1 10 ⁵
NQ			54 10 ⁶	121 10 ⁶

With one large
direct-product:
NB~5 10⁵⁸

Smolyak parameters : L_B=3 (L_G=L_B+1), L_{BBath}=2 and L_{BBath}=3

Translation: $nb^T(\ell_T) = 1 + 3\ell_T$
 Rotation: $j_{max}(\ell_R) = 2\ell_R$
 Cage (bath): $nb^B(\ell_B) = 1 + B\ell_B$ (B=2 or 3)

17 h with
12MPI threads

Perspectives

■ Applications

■ Clathrates:

- Several H₂ in large cages
- H₂ tunneling between cages
- CH₄ or CO₂ in a cage

■ Application on reactive scattering

- with L. Dupuy and Y. Scribano
- ANR coord. Y. Scribano

Smolyak scheme:

- MPI/OpenMP parallelization
- Propagation scheme
 - => Time-Dependent basis
- Spectroscopy: difficulties with large density of states

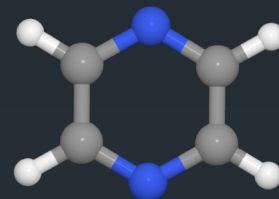
Some tunneling effect!!



Thanks



Test on Pyrazine vibronic model



Several simulations with MCTDH from 4D to 24D¹

- Vibronic model between the S_1 and S_2 states¹:

$$H = \begin{pmatrix} -\Delta & 0 \\ 0 & \Delta \end{pmatrix} + \mathbf{I} \sum_{i=1}^n \frac{\omega_i}{2} (\hat{P}_i^2 + Q_i^2) + \begin{pmatrix} 0 & \lambda Q_1 \\ \lambda Q_1 & 0 \end{pmatrix} + \sum_{i=2}^n \begin{pmatrix} k_i^1 & 0 \\ 0 & k_i^2 \end{pmatrix} Q_i$$

- Primitive basis sets and grids:
Harmonic oscillator (HO) + gauss Hermite quadrature
- Initial WP: gaussian WP (first nD-basis function) on S_2

- Smolyak parameters ($L_B = L_G$ up to 7):

$$\left. \begin{aligned} nb_{\ell_i}^i &= nq_{\ell_i}^i = 1 + 4\ell_i & i=1,2 \\ nb_{\ell_i}^i &= nq_{\ell_i}^i = 1 + 3\ell_i & i=3,4 \\ nb_{\ell_i}^i &= nq_{\ell_i}^i = 1 + 2\ell_i & i>4 \text{ (bath modes)} \end{aligned} \right\}$$

Parameters optimized
for the 4D model

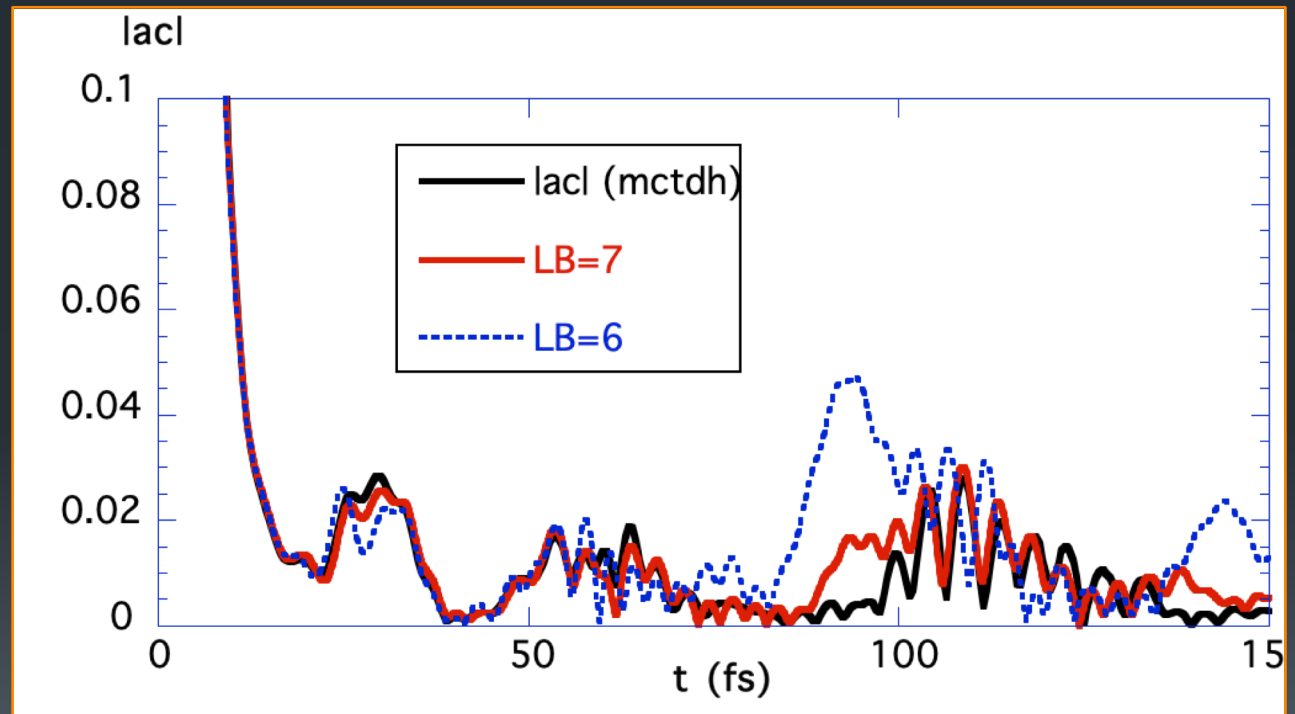
1) G. Worth, H.-D. Meyer, and L.S. Cederbaum. JCP 109 (1998), 3518

Pyrazine in 4+8D: autocorrelation function

Propagation with Chebyshev scheme, with 10 fs time step.
Autocorrelation function every 0.1 fs.

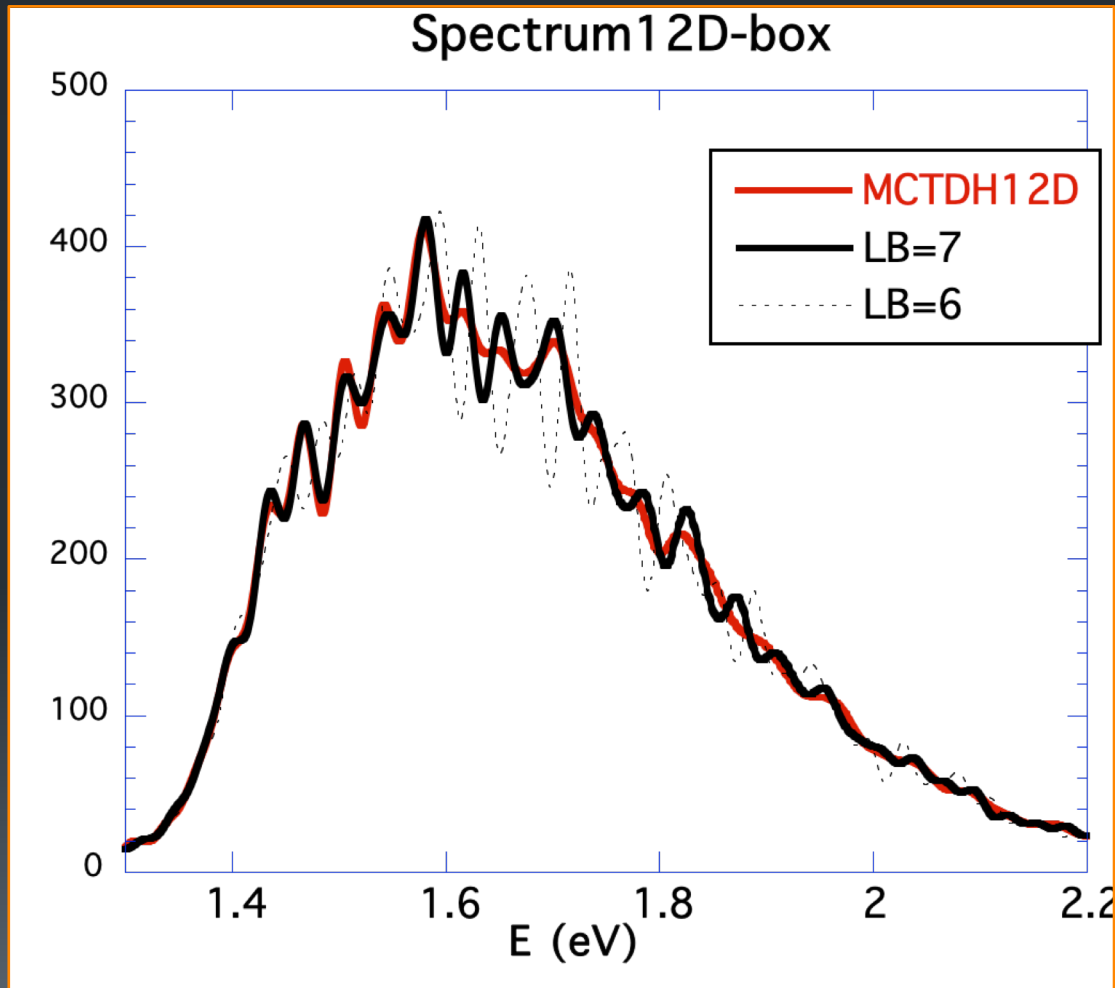
For LB=7
 $NB=4 \cdot 10^6$
 $NQ=53 \cdot 10^6$
HPsi>: 178s (3 cores)
cheby: 147
Total Time: 116h

Direct product:
 $NB=10^{15}$



Comparison with MCTDH is not perfect, but ...

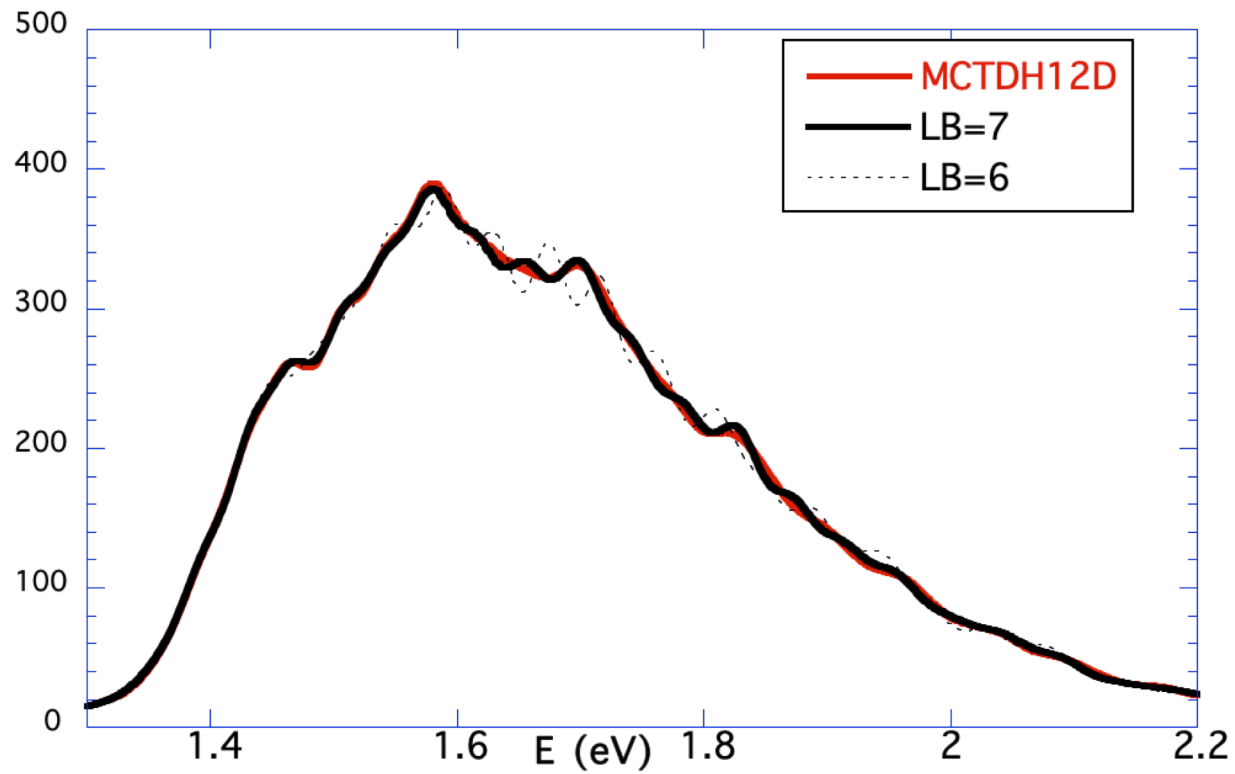
Pyrazine in 4+8D: spectrum



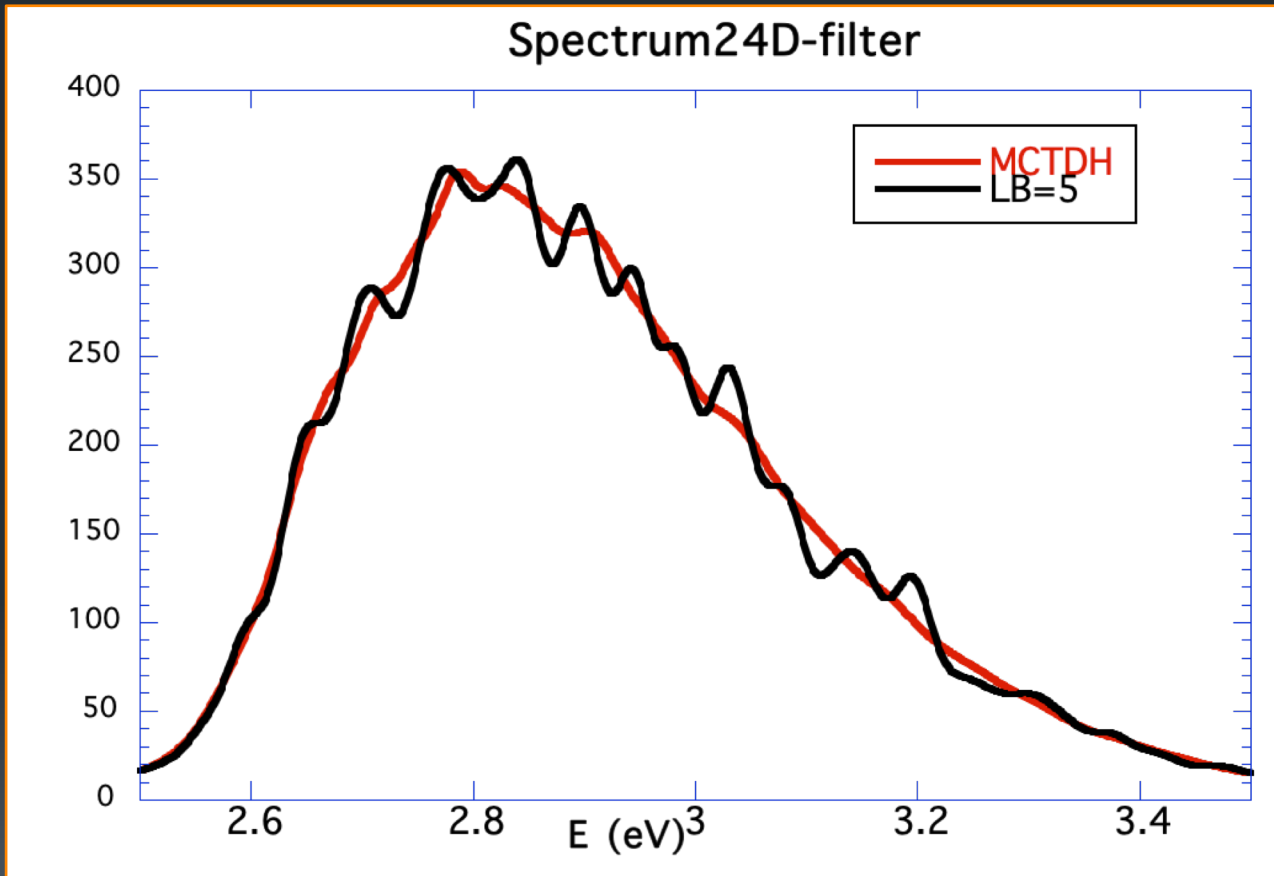
Comparison
with MCTDH is
not perfect, but
...



Pyrazine in 4+8D: spectrum with a filter



Pyrazine in 4+20D: spectrum with a filter



NB=4M
NQ=26M
HPsi>: 185s (3
cores)
cheby: 113
Total Time: 93h

Comparison with MCTDH is not perfect, but the principal features are reproduced

Modèles 5D/6D avec ELVIBROT :

■ Base (non-produit direct) :

- Translation : $HO_{ix}(x), HO_{iy}(y), HO_{iz}(z)$
et $ix \leq 1 + B \cdot \ell_x$ (id y, z)
- Rotation : $Y_{\ell}^m(\theta, \varphi)$ et $\ell \leq B_{rot} \cdot \ell_{rot}$
- Vibration : $HO_{iR}(R)$ et $iR \leq 1 + B_R \cdot \ell_R$

Sélection : $\ell_x + \ell_y + \ell_z + \ell_{rot} (+\ell_R) \leq L_B$
plus une sélection similaire pour les grilles (paramètre L_G)

■ Exemple 3D (x, y, z) avec : $L_B = 2$ et $B = 1$

Nb fonctions :

$$N_B = 10$$

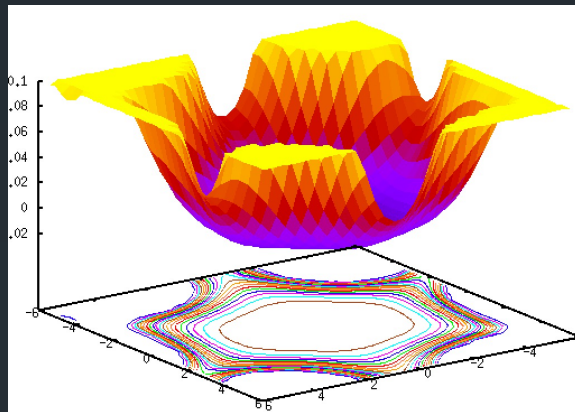
$$N_B = (1 + 2)^3 \text{ (produit direct)}$$

■ En général nD et B=1:

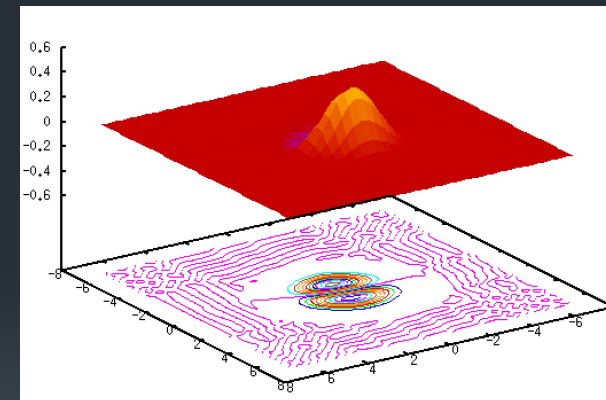
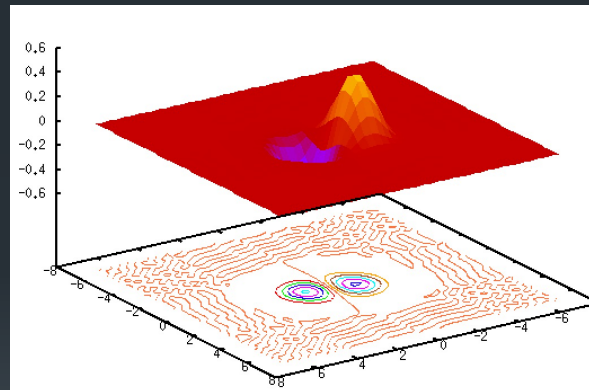
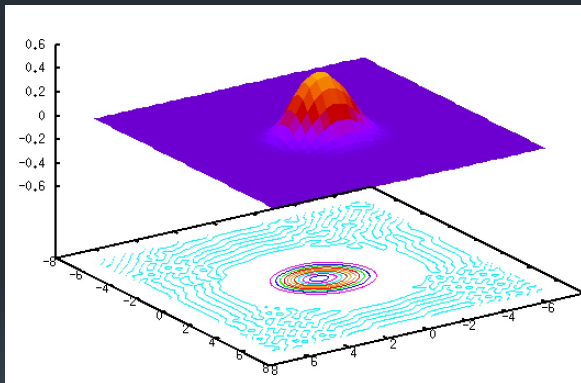
$$N_B = \frac{(n + L_B)!}{n! \cdot L_B!}$$

L =	$\ell_x + \ell_y + \ell_z$	i_x, i_y, i_z
0	0 + 0 + 0	1 , 1 , 1
1	1 + 0 + 0	2 , 1 , 1
	0 + 1 + 0	1 , 2 , 1
	0 + 0 + 1	1 , 1 , 2
2	2 + 0 + 0	3 , 1 , 1
	0 + 2 + 0	1 , 3 , 1
	0 + 0 + 2	1 , 1 , 3
	0 + 1 + 1	1 , 2 , 2
	1 + 0 + 1	2 , 1 , 2
	1 + 1 + 0	2 , 2 , 1

Potentiel + états 2D (x,y)



Potentiel ($z=0$)



Fonctions d'ondes

Résultats théoriques (5D) :

cm ⁻¹	Exp.	DVR+contrac. Bačić ¹	MCTDH ²	ELViBROT conv: 10 ⁻³
ZPE*	/	-447.84	-447.07	-448.20
Trans.	71.0	74.45	74.25	74.15
	80.2	74.67	74.37	74.38
	101.1	97.54	97.21	97.18
Rotation ortho, L=1	110.0	109.00	110.08	108.98
	116.6	121.70	119.23	119.39
	122.1	128.10	127.12	128.12

• NonDP :

$L_B=4, L_G=5$

$nb_x^{max} = 17$ (HO)

$\rho^{max} = 8$

$N_B = 4\,065$

$N_Q = 90\,588$

• DP :

$N_B = 397\,953$

$N_Q \approx 10^6$

• DVR:

$nb_x^{max} = 20$ (sin)

$\rho^{max} = 5$

$N_B = 14\,855$

après contraction

Base trop
petite ?

1) M. Xu, F. Sebastianelli and Z. Bačić, JCP 2008, 128, 244715

2) Valdés PCCP V13 p2935 2011

Résultats théoriques, 6D :

Pot. Bowman (basé sur du CCSD(T)-F12)

cm ⁻¹	Exp.	ELVIBROT 3 corps cluster	ELVIBROT 3 corps cristal	ELVIBROT 2 corps cristal
Trans.	71.0 80.2 101.1	92.25 98.20 125.59	89.90 98.90 114.63	62.59 75.37 90.34
Rotation ortho, L=1	110.0 116.6 122.1	72.63 132.34 168.82	97.55 117.04 137.49	96.24 119.98 137.10

• NonDP :
 $L_B=5$, $L_G=6$

$B_t=4$, $B_{rot}=2$,
 $B_R=3$

$N_B= 28\ 076$
 $N_Q= 891\ 060$



• Effet de la géométrie de la cage important.

Minimum du cluster $H_2@ (H_2O)_{20}$ différent dans le cristal !

Prise en compte d'autres molécules d'eau (vers le cristal) : en projet
Prise en compte de la flexibilité de la cage : faible ($< 5\text{ cm}^{-1}$)

Primitive basis set

Basis sets are adapted to the coordinates and orthonormal.

$$\int b_u(q)b_v(q)d\tau = \delta_{uv}$$

- Periodic coordinate (dihedral angle) :
Fourier basis
- Coordinate associated to a localized motion (distance, angles...) :
sine basis (particle-in-a-box)
Harmonic Oscillator basis (Hermite polynomials)
- Coordinate associated to a dissociation (distance) :
plane wave basis
- Overall rotation :
Spherical Harmonics (linear conformation)
Wigner basis

How to represent Ψ in nD?

1. Expansion on a basis in 1D:

$$\Psi(Q_1) = \sum_{i_1=1}^{nb_1} \Psi_{i_1}^b b_{i_1}(Q_1)$$

2. Expansion on a basis in 2D:

$$\Psi(Q_1, Q_2) = \sum_{i_2=1}^{nb_2} \sum_{i_1=1}^{nb_1} \Psi_{i_1 i_2}^{bb} b_{i_2}(Q_2) b_{i_1}(Q_1)$$

Double sum:
 $nb_1 \times nb_2$ terms

3. Expansion on a basis in nD:

$$\Psi(\mathbf{Q}) = \sum_{I=1}^{NB} \Psi_I^{bb\dots b} B_I(\mathbf{Q})$$

I is a collective index:
 $\{i_1, i_2 \dots i_n\}$

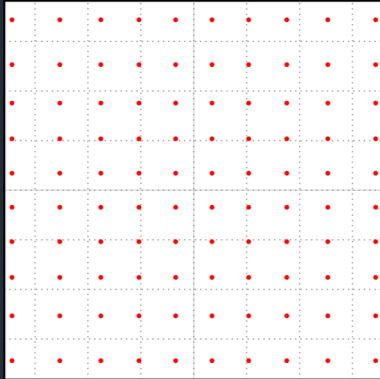
$$NB = nb_1 \times nb_2 \dots nb_n$$



in 6D : $NB \sim 10^6$
in 9D : $NB \sim 10^9$
The “curse” of
dimensionality

How to represent Ψ in nD?

1. Expansion on a grid in 2D:

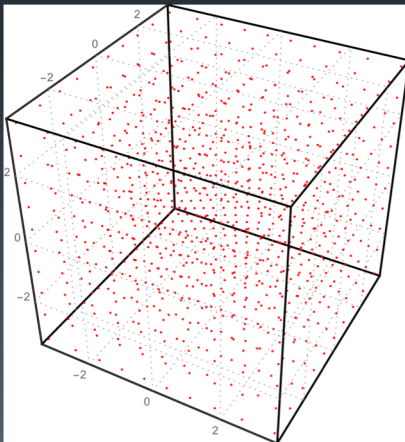


$$G_{DP}^{2D} = G_{\ell_1}^1 \otimes G_{\ell_2}^2$$

Number of grid points:

$$NQ = nq_1 \times nq_2$$

2. Expansion on a grid in 3D:



$$G_{DP}^{3D} = G_{\ell_1}^1 \otimes G_{\ell_2}^2 \otimes G_{\ell_3}^3$$

Number of grid points:

$$NQ = nq_1 \times nq_2 \times nq_3$$



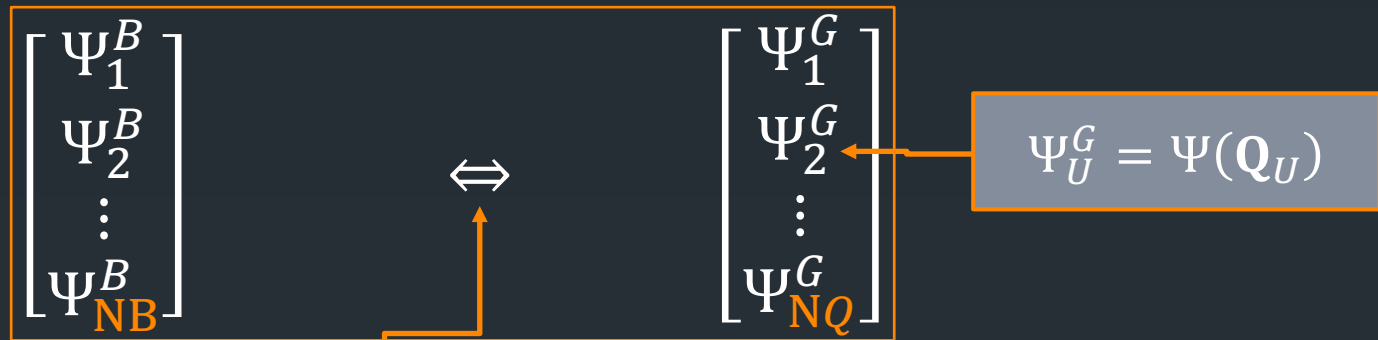
in 6D : $NQ \sim 10^6$

in 9D : $NQ \sim 10^9$

The “curse” of
dimensionality

How to represent Ψ in nD?

On a basis, $|B_I\rangle$ and / or on a grid :



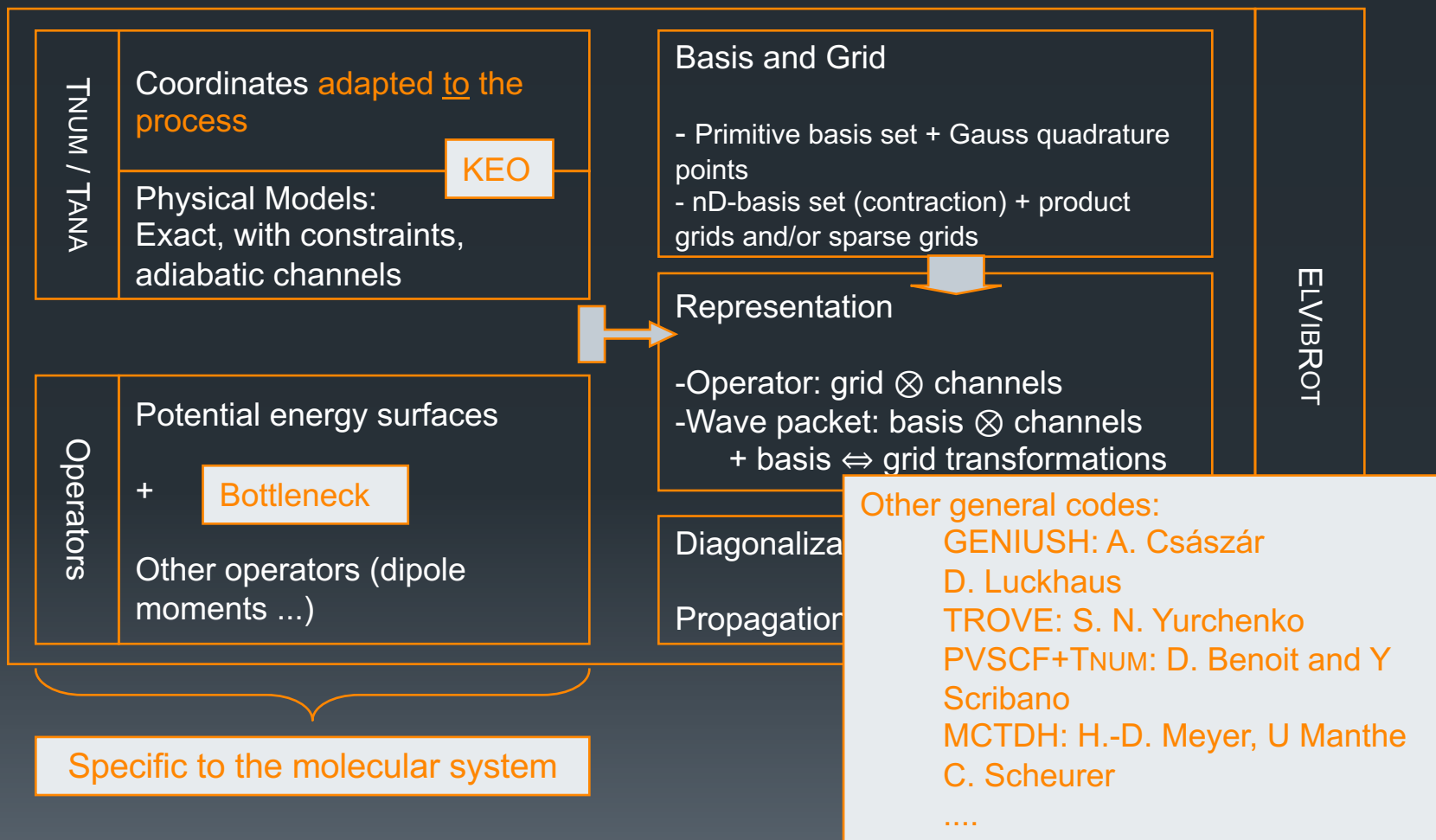
How can we
reduce
NB and **NQ**?

$$\Psi_I^B = \langle B_I | \Psi \rangle$$

$$\Psi(\mathbf{Q}) = \sum_{I=1}^{NB} \Psi_I^B B_I(\mathbf{Q})$$

Multidimensional
integrals

Overview: Quantum dynamics



1st Smolyak schemes in 1D!!!

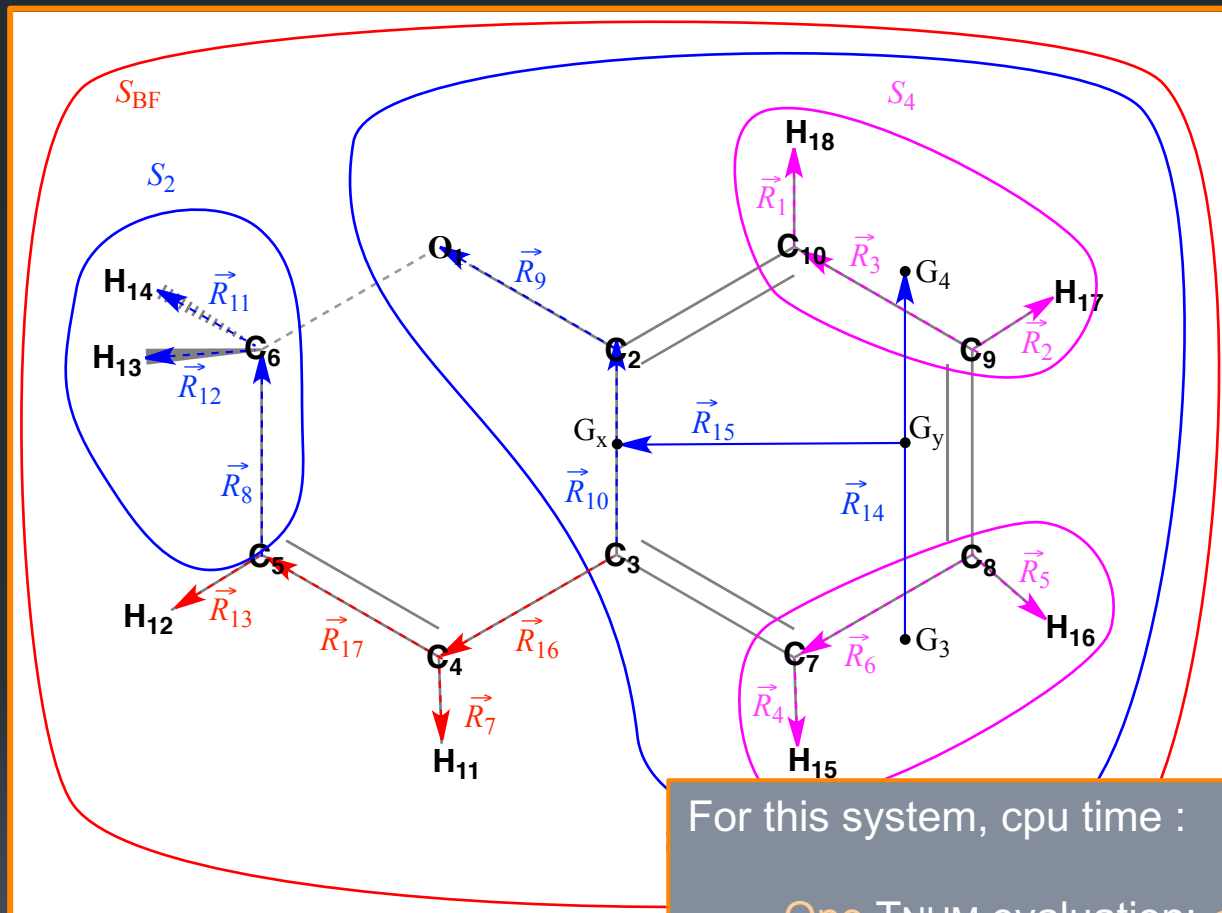
- Basis set/grid: $nb_\ell^1 = 1 + \ell$

	S_0^1	S_1^1	S_2^1
Basis, $S_\ell^1 = B_\ell^1$	$\{b_1^1\}$	$\{b_1^1, b_2^1\}$	$\{b_1^1, b_2^1, b_3^1\}$
Grid, $S_\ell^1 = G_\ell^1$	$\{Q_{1,0}^1\}$	$\{Q_{1,1}^1, Q_{2,1}^1\}$	$\{Q_{1,2}^1, Q_{2,2}^1, Q_{3,2}^1\}$

$$\Delta S_{\ell_i}^i = \begin{cases} S_0^i & \text{for } \ell_i = 0 \\ S_{\ell_i}^i - S_{\ell_i-1}^i & \text{for } \ell_i > 0 \end{cases}$$

	$\Delta S_0^1 = S_0^1$	ΔS_1^1	ΔS_2^1
Basis, ΔB_ℓ^i	$\{b_1^1\}$	$\{b_2^1\}$	$\{b_3^1\}$
Basis, ΔG_ℓ^i	$\{Q_{1,0}^1\}$	$\{Q_{1,1}^1, Q_{2,1}^1\} - \{Q_{1,0}^1\}$	$\{Q_{1,2}^1, Q_{2,2}^1, Q_{3,2}^1\} - \{Q_{1,1}^1, Q_{2,1}^1\}$

Comparison: numerical / analytical KEO



We can get the analytical expression of KEO of the benzopyran (48D) using polyspherical coordinates with TANA.

For a given geometry the metric tensor (G) obtained analytically and numerically are identical. The largest difference is 10^{-18} au ($\sim 10^{-12}$ cm⁻¹)

For this system, cpu time :

One TNUM evaluation: $\ll 1$ s

One TANA calculation: 32 s

For this system, number of terms (MCTDH format): 5809

Example: Benzopyrane

```
2.2857496219414603d-005 |1  q^-1 |3  qs*dq |7  q^-1*dq*q |8  qs |9  cos
-2.2857496219414603d-005 |1  q^-1 |3  qs*dq |7  q^-1 |8  dq*q*qs |9  cos
-2.2857496219414603d-005 |1  q^-1 |3  qs*dq |7  q^-1 |8  q^2*qs^-1 |9  dq*sin
-2.2857496219414603d-005 |1  q^-1 |3  qs*dq |7  q^-1 |8  qs |9  dq*sin
2.2857496219414603d-005 |1  q^-1 |5  qs*dq |6  cos |7  q^-1*dq*q |8  qs |9  cos
-2.2857496219414603d-005 |1  q^-1 |5  qs*dq |6  cos |7  q^-1 |8  dq*q*qs |9  cos
-2.2857496219414603d-005 |1  q^-1 |5  qs*dq |6  cos |7  q^-1 |8  q^2*qs^-1 |9  dq*sin
-2.2857496219414603d-005 |1  q^-1 |5  qs*dq |6  cos |7  q^-1 |8  qs |9  dq*sin
2.2857496219414603d-005 |1  q^-1 |5  q*qs^-1 |6  sin*dq |7  q^-1*dq*q |8  qs |9  cos
-2.2857496219414603d-005 |1  q^-1 |5  q*qs^-1 |6  sin*dq |7  q^-1 |8  dq*q*qs |9  cos
-2.2857496219414603d-005 |1  q^-1 |5  q*qs^-1 |6  sin*dq |7  q^-1 |8  q^2*qs^-1 |9  dq*sin
-2.2857496219414603d-005 |1  q^-1 |5  q*qs^-1 |6  sin*dq |7  q^-1 |8  qs |9  dq*sin
-4.5714992438829206d-005 |1  q^-1 |7  q^-1 |8  q^3*qs^-2 |9  dq^2
-4.5714992438829206d-005 |1  q^-1 |7  q^-1 |8  q |9  dq^2
```

... and many more lines

Tana
example