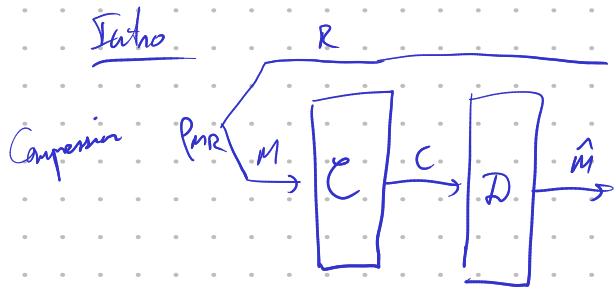
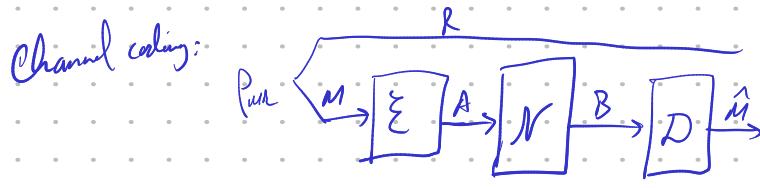


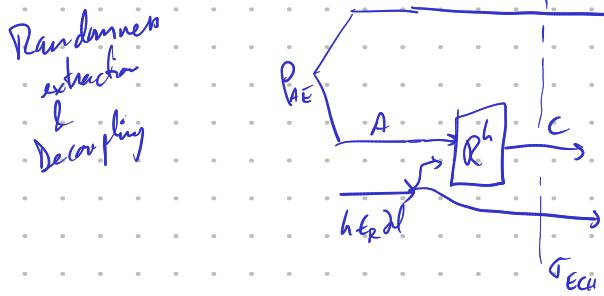
# Mini-Course: Quantum Information Theory



- Want ① Low error rate  
②  $\log |C|$  as small as possible.



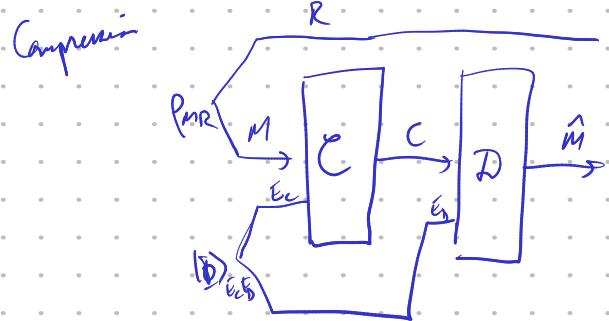
- Want: ① Low error rate  
②  $\log \|N\|$  as big as possible.



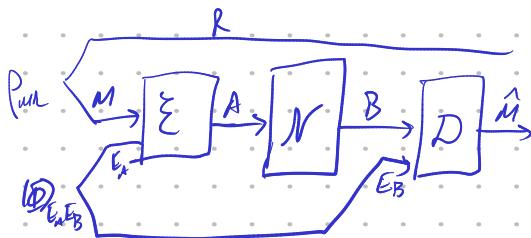
- Want: ①  $t_{dec} \approx \frac{\pi_c}{\|C\|} \otimes \sigma_c \otimes \frac{\pi_d}{\|H\|}$ , uniform on C

- ②  $\log |C|$  as big as possible.

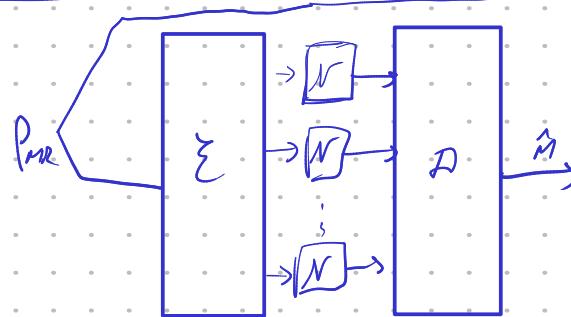
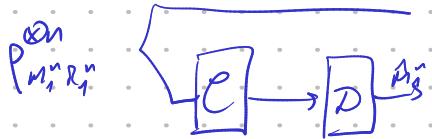
## Entanglement assistance



## Channel coding



iid (independent & identically distributed)



## Mathematical Background

Density operators (aka "quantum probability distribution")

- We have state  $|\psi_i\rangle \in \mathcal{H}$  with probability  $p_i$ .  $\{(p_i, |\psi_i\rangle) : i \in I\}$ .
- Measure in basis  $\{|\phi_j\rangle : j \in J\}$ .

$$\begin{aligned} P_n[\text{measure } j] &= \sum_i p_i |\langle \phi_j | \psi_i \rangle|^2 \\ &= \sum_i p_i \underbrace{\langle \phi_j | \psi_i \rangle}_{\text{inner product}} \underbrace{\langle \psi_i | \phi_j \rangle}_{\text{inner product}} \\ &= \langle \phi_j | \underbrace{\left( \sum_i p_i |\psi_i\rangle \langle \psi_i| \right)}_{\rho \in L(\mathcal{H}, \mathcal{H}) : \text{density operator}} | \phi_j \rangle. \end{aligned}$$

$\rho \in L(\mathcal{H}, \mathcal{H})$ : density operator

$$\rho \in \text{Pos}(\mathcal{H}) = \{A : \forall |\psi\rangle, \langle \psi | A | \psi \rangle \geq 0\}$$

- Ex: Uniform distribution over basis  $\{|\psi_i\rangle\} \rightarrow \rho = \sum \frac{1}{d} |\psi_i\rangle \langle \psi_i| = \frac{1}{d} \underbrace{\sum_i |\psi_i\rangle \langle \psi_i|}_{\mathbb{I} \text{ (identity)}}$
- $|\alpha\rangle \langle \alpha| + |\beta\rangle \langle \beta| = \mathbb{I}$
- $|\psi\rangle \langle \psi| + (-|\psi\rangle \langle \psi|) = \mathbb{I}$ .
- Ex: Pure state  $|\psi\rangle$ ,  $\rho = |\psi\rangle \langle \psi| \rightarrow$  rank one.

### Trace

- Given an  $n \times n$  matrix  $M$ ,  $\text{Tr}[M] = \sum_{ij} M_{ij}$
- Basis independent  $\Rightarrow$  works for any  $M \in L(\mathcal{H}, \mathcal{H})$  w/o specifying a basis.
- Cyclic:  $\text{Tr}[AB] = \text{Tr}[BA]$  ( $\forall$  density operator  $\rho$ ,  $\text{Tr}[\rho] = \text{Tr}\left[\sum_i p_i |\psi_i\rangle \langle \psi_i| \rho\right] = \sum_{ij} p_i \text{Tr}\left[|\psi_i\rangle \langle \psi_i| \underbrace{\rho}_{\in \text{Pos}(\mathcal{H})}\right] = \sum_{ij} p_i \underbrace{\text{Tr}\left[|\psi_i\rangle \langle \psi_i| \mathbb{I}\right]}_{= 1} = 1$ )

$$\text{Hence: } P_n[\text{measure } j] = \langle \phi_j | \rho | \phi_j \rangle = \text{Tr}\left[\underbrace{\langle \phi_j |}_{A} \underbrace{\rho}_{B} \underbrace{| \phi_j \rangle}_{A^*}\right] = \text{Tr}\left[\underbrace{|\phi_j\rangle \langle \phi_j|}_{\mathbb{I}} \underbrace{\rho}_{\in \text{Pos}(\mathcal{H})}\right] = \sum_{ij} p_i \underbrace{\text{Tr}\left[|\psi_i\rangle \langle \psi_i| \mathbb{I}\right]}_{= 1} = 1.$$

More generally: Can view any set  $\{M_j : M_j \in \text{Pos}(\mathcal{H})\}$  w/  $\sum_j M_j = \mathbb{I}$  as a measurement.

(POVM: Positive operator valued measurement)

$$P_n[\text{outcome } M_j] = \text{Tr}[M_j \rho]$$

$$\sum_j P_n[\text{outcome } M_j] = \sum_j \text{Tr}[M_j \rho] = \text{Tr}\left[\left(\sum_j M_j\right) \rho\right] = \text{Tr}[\mathbb{I}] = 1,$$

$|4\rangle_i$

$|4\rangle_j$

$$M_i = \frac{1}{2} |4_i\rangle\langle 4_i|$$

$$M_{j+I_{max}} = \frac{1}{2} |4_j\rangle\langle 4_j|$$

$$\left\{ \frac{1}{2} |4_1\rangle\langle 4_1|, \dots, \frac{1}{2} |4_{I_{max}}\rangle\langle 4_{I_{max}}| \right\}$$

$$\left\{ \frac{1}{2} |4_1\rangle\langle 4_1|, \dots, \frac{1}{2} |4_{I_{max}}\rangle\langle 4_{I_{max}}| \right\}$$

### Partial Trace

- So we have  $|4\rangle_A \in \mathcal{H}_A \otimes \mathcal{H}_E$ .

So we have a measurement on A:  $\{M_j : M_j \in \text{Pos}(\mathcal{H}_A)\}$

$$\sum M_j = \mathbb{1}$$

$$\sum_j M_j \otimes \mathbb{1}_E = \left( \sum M_j \right) \otimes \mathbb{1}_E = \mathbb{1}_A \otimes \mathbb{1}_E = \mathbb{1}_{AE}$$

$$\text{Tr}_{\text{outcomes}}[j] = \text{Tr}\left[(M_j \otimes \mathbb{1}_E) |4\rangle\langle 4|\right] = \sum_{a,e} (a| \otimes |e\rangle \langle (M_j \otimes \mathbb{1}_E) |4\rangle\langle 4| ) (a\rangle \otimes |e\rangle)$$

$$= \sum_a (a| \underbrace{\left( M_j \sum_e (|a\rangle\langle e|) |4\rangle\langle 4| (|a\rangle\langle e|) \right)}_{\text{Tr}_E[|4\rangle\langle 4|] \in L(\mathcal{H}_A, \mathcal{H}_A)} |a\rangle \quad (\text{Tr}[m] = \sum_i |i\rangle\langle m|i)$$

$$= \text{Tr}_E[M_j \underbrace{\text{Tr}_E[|4\rangle\langle 4|]}_{\text{density operator on } A}].$$

density operator on A,

### Quantum Channel

"Everything is unitary"

$$|4\rangle_A \in \mathcal{H}_A$$

$$U_{A \rightarrow BE}(|4\rangle_A \otimes |0\rangle_B) \in \mathcal{H}_B \otimes \mathcal{H}_E$$

$$\mathcal{H}_B \otimes \mathcal{H}_E \cong \mathcal{H}_B \otimes \mathcal{H}_E$$

$$\begin{aligned} p_a &= \sum p_i |4_i\rangle\langle 4_i| \mapsto \sum p_i U(|4_i\rangle\langle 4_i|) (|4_i\rangle\langle 0\rangle_B) U^* \\ &= U \left( \sum p_i |4_i\rangle\langle 4_i| \otimes |0\rangle\langle 0| \right) U^*. \end{aligned}$$

$$\xrightarrow{\text{trace out } E} \text{Tr}_E \left[ U \left( \sum p_i |4_i\rangle\langle 4_i| \otimes |0\rangle\langle 0| \right) U^* \right] = \sum_e \underbrace{(\mathbb{1}_B \otimes |e\rangle)}_{L(\mathcal{H}_A, \mathcal{H}_B \otimes \mathcal{H}_E)} \underbrace{U(\mathbb{1}_A \otimes |0\rangle)}_{L(\mathcal{H}_A, \mathcal{H}_B \otimes \mathcal{H}_E)} p_a \underbrace{(|0\rangle\langle 0|)}_{N_e^+} \underbrace{U^*(\mathbb{1}_B \otimes |e\rangle)}_{L(\mathcal{H}_B, \mathcal{H}_B \otimes \mathcal{H}_E)} N_e^+$$
$$N_e \in L(\mathcal{H}_A, \mathcal{H}_B)$$
$$= \sum_e N_e p_a N_e^+.$$

$$\begin{aligned} \text{Note that: } \sum_e N_e^+ N_e &= \sum_e (\mathbb{1}_A \otimes |0\rangle) U^* (\mathbb{1}_B \otimes |e\rangle) (\mathbb{1}_B \otimes |e\rangle) U (\mathbb{1}_A \otimes |0\rangle) \\ &= (\mathbb{1}_A \otimes |0\rangle) U^* \left( \mathbb{1}_B \otimes \sum_e (|e\rangle\langle e|) \right) U (\mathbb{1}_A \otimes |0\rangle) \\ &= \mathbb{1}_A. \end{aligned}$$

$\rightarrow$  Quantum channel:  $N(\rho) = \sum_j N_j \rho N_j^\dagger$  s.t.  $\sum N_j^\dagger N_j = \mathbb{I}$ .

$\xrightarrow{\text{"CPTP map"}}$

completely positive  $\rightarrow X^{\text{Pos}(\mathcal{L}_A \otimes \mathcal{L}_B)} (\mathbb{I} \otimes \mathbb{I}_R) X \in \text{Pos}(\mathcal{L}_B \otimes \mathcal{L}_B)$

& trace preserving  $\rightarrow \text{Tr}(N(X)) = \text{Tr}(X),$   
 $X \in L(\mathcal{L}_A)$   $N: L(\mathcal{L}_A) \rightarrow L(\mathcal{L}_B)$

Ex:

- Erasure channel:  $N(\rho) = (1-\varepsilon)\rho + \varepsilon |1\rangle\langle 1|$   
 $= (\sqrt{1-\varepsilon}\mathbb{I})\rho(\sqrt{\varepsilon}\mathbb{I}) + \sum_i (\sqrt{\varepsilon}|1\rangle\langle i|)\rho(|i\rangle\langle 1|\sqrt{\varepsilon})$ .

- Depolarizing channel:  $N(\rho) = (1-\varepsilon)\rho + \varepsilon \frac{\mathbb{I}}{d}$   
 $= (\sqrt{1-\varepsilon}\mathbb{I})\rho(\sqrt{\varepsilon}\mathbb{I}) + \frac{\varepsilon}{4}(\mathbb{I}\rho\mathbb{I} + X\rho X + Y\rho Y + Z\rho Z)$

### Purification

- So we have  $\rho_A : \exists$  a purification  $|\Psi\rangle_{AR}$  s.t.  $\text{Tr}_R[|\Psi\rangle\langle\Psi|] = \rho_A$ .

$$|\Phi\rangle_{AR} := \sum \frac{1}{\sqrt{d_A}} |i\rangle_A \otimes |i\rangle_R. \rightarrow \text{Tr}_R[|\Phi\rangle\langle\Phi|] = \frac{\mathbb{I}_A}{d_A}.$$

Then:  $X_A \in L(\mathcal{L}_A) \quad (X_A \otimes \mathbb{I}_R) |\Phi\rangle_{AR} = (\mathbb{I}_A \otimes X_R^\dagger) |\Phi\rangle_{AR}.$

Given  $\rho_A$ ,  $|\Psi\rangle_{AR} = \sqrt{d_A} (\sqrt{\rho_A} \otimes \mathbb{I}_R) |\Phi\rangle_{AR}.$   
 $\hookrightarrow \rho_A = \sum p_i |\Psi_i\rangle\langle\Psi_i| \rightarrow f(\rho_A) = \sum f(p_i) |\Psi_i\rangle\langle\Psi_i|.$

$$\begin{aligned} \text{Tr}_R[|\Psi\rangle\langle\Psi|] &= \text{Tr}_R[\sqrt{d_A} (\sqrt{\rho_A} \otimes \mathbb{I}_R) |\Phi\rangle\langle\Phi| (\sqrt{\rho_A} \otimes \mathbb{I}_R) \sqrt{d_A}] \\ &= d_A \sqrt{\rho_A} \text{Tr}_R[|\Phi\rangle\langle\Phi|] \sqrt{\rho_A} \\ &= d_A \sqrt{\rho_A} \frac{\mathbb{I}_A}{d_A} \sqrt{\rho_A} = \rho_A. \end{aligned}$$

Fact: Given two purification  $|\Psi\rangle_{AR}, |\Psi'\rangle_{AR}$  of  $\rho_A$ ,  $\exists U_R$  s.t.  $|\Psi'\rangle_{AR} = (\mathbb{I}_A \otimes U_R) |\Psi\rangle_{AR}.$

### Stinespring dilation; Purifying channels

- So we have a channel  $N_{A \rightarrow B}$   $\rho_A \mapsto \sum_i N_i \rho N_i^\dagger$ ,  $\exists V_{A \rightarrow BE}$  s.t.  $N(\rho) = \text{Tr}_E[V \rho V^\dagger]$

Let  $V = \sum_i N_i \otimes |i\rangle_E$

$$\begin{aligned} \text{Tr}_E[V_p V_p^\dagger] &= \text{Tr}_E\left[\underbrace{\left(\sum_i N_i \otimes |i\rangle\langle i|\right)}_{= \sum_k \langle k|_E \langle k|_E} p \left(\sum_j N_j^f \otimes |j\rangle\langle j|\right)\right] \\ &= \sum_k N_k p N_k^f. \end{aligned}$$

Distance measures

- Given two  $p_A$  &  $\sigma_A$ , how close are they?

Trace distance:  $\|p_A - \sigma_A\|_1 := \text{Tr}[|p_A - \sigma_A|]$ .

Fact: Best prob. of guessing =  $\frac{1}{2} + \frac{1}{2}\|p_A - \sigma_A\|_1$ .

Information Measures

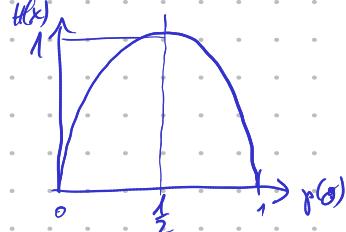
- Surprise of an event  $E$ .

- Def:  $S(E) := -\log_2 P_E[E]$ .

- Def [Entropy]:  $H(X) := \underset{x}{\mathbb{E}}[S(X=x)]$        $p_x$ : prob. distribution over  $X$ .

$$= -\sum_x p_x(x) \log p_x(x).$$

$$0 \leq H(X) \leq \log |\mathcal{X}|.$$



- Conditional entropy:  $H(X|Y) = \underset{y}{\mathbb{E}}[H(X|Y=y)] = -\sum_{x,y} p(x,y) \log p(x|y)$ .       $H(X|Y) \geq 0$

Fact:  $H(X|Y) = H(XY) - H(Y)$ ,

(Cover & Thomas)

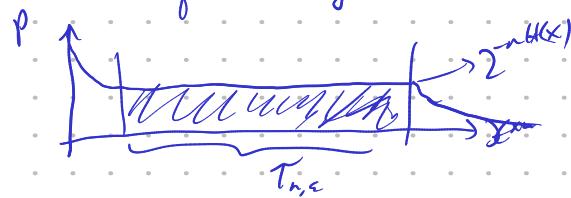
- Mutual information:  $I(X;Y) = H(X) - H(X|Y) = H(Y) - H(Y|X)$

- Asymptotic equipartition property (AEP)

$X_1^n$  iid.  $\exists$  a typical set  $T_{n,\varepsilon} = \{x_n^n : 2^{-n(H(X)+\varepsilon)} \leq p(x_n^n) \leq 2^{-n(H(X)-\varepsilon)}\}$ .

①  $P_n[X_n^n \in T_{n,\varepsilon}] \rightarrow 1$  as  $n \rightarrow \infty$ .

②  $2^{+n(H(X)-\varepsilon)} \leq |T_{n,\varepsilon}| \leq 2^{n(H(X)+\varepsilon)}$ . for  $n$  large..



## Quantum Case

$$\rho_x = \sum p(a) |a\rangle\langle a|.$$

$$\begin{aligned} H(A)_p &= \text{entropy of eigenvalues} \\ &= -\sum p(a) \log p(a) \\ &= -\text{Tr}[\rho_x \log \rho_x]. \end{aligned}$$

$$\text{Conditional entropy: } \rho_{AB} \quad H(A|B)_p := H(AB)_p - H(B)_p$$

Can be negative!  $\rho_{AB} = |\mathcal{E}_{X|B}|_{AB}$

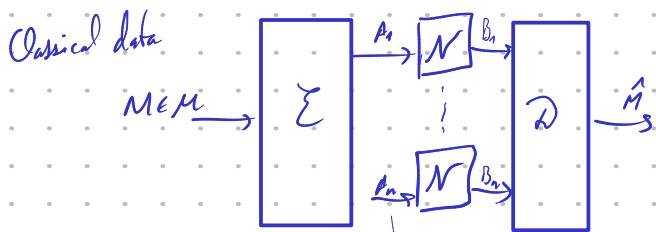
$$\begin{aligned} H(A|B)_p &= H(AB) - H(B) \\ &= 0 - 1 = -1. \end{aligned}$$

$$\text{Mutual information: } I(A;B)_p := H(A) - H(A|B) \geq 0$$

$$\boxed{H(A|B) \leq H(A)}$$

Back to info theory problems

## Channel coding



- Want:  $\forall n \in \mathbb{N}$ ,
- $P_n(\hat{A} \neq A) \leq \text{small.} \ (\rightarrow 0 \text{ as } n \rightarrow \infty)$
- Rate:  $R = \frac{\log |M|}{n}$
- Achievable rate:  $\exists E_n, D_n$  st.  $P_n(\hat{A} \neq A) \rightarrow 0 \text{ as } n \rightarrow \infty$   
 $R_n \rightarrow R \text{ as } n \rightarrow \infty$ .

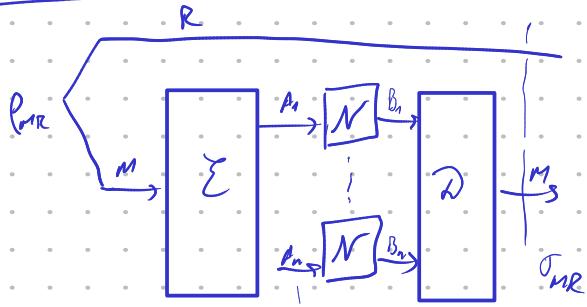
Theorem [HSW]:  $C_{\text{HSW}}(N) = \max_{p_{X|0}} I(X; B)$  is achievable.

$$\hookrightarrow P_{X|0} = \sum p(x) |x\rangle\langle x|_x \otimes N(\sigma_{0x}). \quad \xrightarrow{\text{O(x)}} |N\rangle$$

"Additivity conjecture": Is this the capacity?

Answer: No! [Hastings '08]:  $\exists$  channel  $N$  st.  $C_{\text{HSW}}(N^{\otimes 2}) > 2C_{\text{HSW}}(N)$ .  
 ↳ existence proof only!

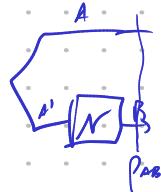
## Quantum data



Want:  $\frac{H_{\text{pure}}}{P_{\text{MR}}}$ ,  
 $\|T_{\text{MR}} - P_{\text{MR}}\|_1 \leq \text{small}$ ,

Theorem (LSD): The rate

$$C_{\text{LSD}}(N) = \max_P (-H(A|B)) \quad \text{is achievable.}$$



$C_{\text{LSD}}(N) \neq \text{capacity}$

$N_1, N_2$

- Mark Wilde, "From Classical to Quantum Shannon Theory"

- Marco Tomamichel, "Quantum info. processing w/ finite resources: mathematical foundations"