Nilpotent Endomorphisms of Expansive Group Actions

<u>Ville Salo</u>, Ilkka Törmä

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Questions and assorted facts

Nilpotent Endomorphisms of Expansive Group Actions

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University of Turku, Finland

CIRM, Marseille, France, 2021

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• Let M be a group, or the monoid/semigroup \mathbb{N} .

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- Let M be a group, or the monoid/semigroup \mathbb{N} .
- A pointed (topological) dynamical system (PDS) is (M, X, 0) where X is compact metrizable, 0 ∈ X, and M acts continuously on X from the left with M0 = 0.

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- ▶ When *M* is a group, we think of *M* as acting by "spatial translations",

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- When M = N, we think of its action as "evolution in time"; we then only give the generator f : X → X and write (X, f),

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- We call 0 ∈ X the zero. We often omit it in the notation, when obvious from context.
- ▶ When *M* is a group, we think of *M* as acting by "spatial translations",
- When M = N, we think of its action as "evolution in time"; we then only give the generator f : X → X and write (X, f),
- ▶ When we have both, and the actions commute, we write this as (G, X, f).

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• Write 0 also for the constant-0 function $\forall x \in X : 0(x) = 0.$

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- Write 0 also for the constant-0 function $\forall x \in X : 0(x) = 0.$
- When $M = \mathbb{N}$, the PDS (X, f) is...

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- When $M = \mathbb{N}$, the PDS (X, f) is...
 - *nilpotent* if $\exists n : f^n = 0$,

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- When $M = \mathbb{N}$, the PDS (X, f) is...
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 - asymptotically nilpotent (AN) if $f^n \rightarrow 0$ pointwise, and

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• uniformly AN (UAN) if $f^n \rightarrow 0$ uniformly.

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- Write 0 also for the constant-0 function $\forall x \in X : 0(x) = 0.$
- When $M = \mathbb{N}$, the PDS (X, f) is...
 - *nilpotent* if $\exists n : f^n = 0$,
 - asymptotically nilpotent (AN) if $f^n \rightarrow 0$ pointwise, and
 - uniformly AN (UAN) if $f^n \rightarrow 0$ uniformly.
- We say a family of (N-)PDS F is *nilrigid* if for all (X, f) ∈ F, AN implies UAN. We also say (X, f) is nilrigid if {(X, f)} is.

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The pointed (one-dimensional) full shift is X = A^ℤ, with zero 0 = 0^ℤ for some 0 ∈ A, and the action is by translations, (1 · x)_i = σ(x)_i = x_{i+1}. A is the alphabet.

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- A (pointed) cellular automaton (CA) is a σ-commuting continuous map f : A^ℤ → A^ℤ satisfying f(0) = 0.

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- $A = \mathbb{Z}/2\mathbb{Z}$, $f(x)_i = x_i + x_{i+1}$ gives a XOR CA



(black = 1, gray = 0, *i*th row from the top is $f^{i}(x)$)

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> XOR is not asymptotically nilpotent.

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▶ The zero map 0 is a nilpotent CA.

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- ▶ The zero map 0 is a nilpotent CA.
- ▶ With $A = \{0, 1\}$, $f(x)_i = \min(x_{i-1}, x_i, x_{i+1})$ satisfies $\forall x \neq 1^{\mathbb{Z}} : f^n(x) \to 0^{\mathbb{Z}}$. Not asymptotically nilpotent.

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- Nilpotent example, due to Theyssier



$$|A| = 3$$
, $f(x)_i = F(x_{i-1}, x_i, x_{i+1})$, $f^{18} \neq 0 = f^{26}$

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$$|A| = 3, f(x)_i = F(x_{i-1}, x_i, x_{i+1}), f^{18} \neq 0 = f^{26}$$

► There exist non-AN CA where fⁿ → 0 uniformly in the Besicovitch topology (= spatial density) [Törmä,'14],



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 Nilpotency of cellular automata is undecidable (Σ₁⁰-complete). Proved by [Aanderaan-Lewis,'74] Nilpotent Endomorphisms of Expansive Group Actions

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- Nilpotency of cellular automata is undecidable (Σ₁⁰-complete). Proved by [Aanderaan-Lewis,'74], forgotten, asked again by [Culik-Pachl-Yu,'88], proved again by [Kari,'92].
- Basis of many undecidability results; undecidability of conjugacy of cellular automata was proved by a reduction from nilpotency [Jalonen-Kari, '20].

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Lemma

A cellular automaton is nilpotent if and only if it is UAN.

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Lemma

A cellular automaton is nilpotent if and only if it is UAN.

Proof.

After n steps, every point is close to zero. Then the entire spatial orbit of every point is close to zero. By expansivity such a point must be zero.

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Theorem (Guillon-Richard, '08)

Cellular automata (on one-dimensional full shifts) are nilrigid.

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Theorem (Guillon-Richard, '08)

Cellular automata (on one-dimensional full shifts) are nilrigid.

▶ Proof is specific to full shifts (or transitive SFTs) on Z.

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► The full shift is X = A^G under gx_h = x_{hg}. A subshift is a closed G-invariant subset of a full shift.

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- ► The full shift is X = A^G under gx_h = x_{hg}. A subshift is a closed G-invariant subset of a full shift.
- ▶ A subshift of finite type (SFT) is a subshift of the form $\bigcap_{g \in G} gC$ where $C \subset A^G$ is clopen.

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- A subshift of finite type (SFT) is a subshift of the form ∩_{g∈G} gC where C ⊂ A^G is clopen. A subshift is sofic if it is the image of an SFT under a shift-commuting continuous function.

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- A point x ∈ X is homoclinic if gx → 0 as g → ∞ (= g escapes finite sets).

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A point x ∈ X is homoclinic if gx → 0 as g → ∞ (= g escapes finite sets). The support of x ∈ A^G is {g ∈ G | x_g ≠ 0}. The homoclinic points of a subshift are those with finite support.

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- A cellular automaton (CA) f : X → X is a shift-commuting continuous map, by default on a full shift. When there's a zero, it is preserved.

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Subshifts and cellular automata

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- A point x ∈ X is homoclinic if gx → 0 as g → ∞ (= g escapes finite sets). The support of x ∈ A^G is {g ∈ G | x_g ≠ 0}. The homoclinic points of a subshift are those with finite support.
- A cellular automaton (CA) f : X → X is a shift-commuting continuous map, by default on a full shift. When there's a zero, it is preserved.
- X = A^G is an SFT, and its cellular automata are easy to enumerate: if B ∈ G is finite and F : A^B → A arbitrary, then f(x)_g = F(gx|_B) defines a CA.

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Theorem (S.,'12)

If X is a one-dimensional SFT, then CA on X are nilrigid.

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If X is a one-dimensional SFT, then CA on X are nilrigid.

Theorem (S., '12)

If $G = \mathbb{Z}^d$ and $X \subset A^G$ is an SFT with dense homoclinic points, then cellular automata on X are nilrigid.

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Proof idea: Z^d ≅ Z^{d-1} × Z. "Periodization" in the Z^{d-1}-direction drops the dimension to one. This (kind of) reduces the problem to the one for CA on one-dimensional SFTs, which are nilrigid. Nilpotent Endomorphisms of Expansive Group Actions

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- ▶ Proof idea: Z^d ≅ Z^{d-1} × Z. "Periodization" in the Z^{d-1}-direction drops the dimension to one. This (kind of) reduces the problem to the one for CA on one-dimensional SFTs, which are nilrigid.
- ▶ It is easy to conclude the result for all abelian groups *G*.

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Theorem (S., '12)

If $G = \mathbb{Z}^d$ and $X \subset A^G$ is an SFT with dense homoclinic points, then cellular automata on X are nilrigid.

- ▶ Proof idea: Z^d ≅ Z^{d-1} × Z. "Periodization" in the Z^{d-1}-direction drops the dimension to one. This (kind of) reduces the problem to the one for CA on one-dimensional SFTs, which are nilrigid.
- ▶ It is easy to conclude the result for all abelian groups *G*.
- Strong irreducibility implies dense homoclinic points in the pointed setting.

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Question (S., '12)

For which finitely generated (f.g.) groups are cellular automata on all G-full shifts nilrigid? For all groups?

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Theorem (S.-Törmä, '18/'20)

Let G be locally (residually finite and poly-(locally virtually abelian)). Let (G, X, 0) be an expansive zero-gluing pointed dynamical system with dense homoclinic points. Then endomorphisms of (G, X, 0) are nilrigid.

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Corollary

Let G be a residually finite solvable group. Let (G, X, 0) be an expansive pointed dynamical system with the shadowing property and dense homoclinic points. Then endomorphisms of (G, X, 0) are nilrigid. Nilpotent Endomorphisms of Expansive Group Actions

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 In the zero-dimensional case, expansive + shadowing characterizes SFTs. Nilpotent Endomorphisms of Expansive Group Actions

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Theorem

Let G be locally (residually finite and poly-(locally virtually abelian)). Let (G, X, 0) be an **expansive** zero-gluing pointed dynamical system with dense homoclinic points. Then **endomorphisms** of (G, X, 0) are nilrigid.

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► (G, X, 0) is expansive if there exists e > 0 such that

 $(\forall g: d(gx, gy) < \epsilon) \implies x = y.$

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an endomorphism is a continuous map f : X → X s.t.
 ∀g ∈ G, x ∈ X : gf(x) = f(gx). Endomorphisms of subshifts are cellular automata.

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"zero-gluing = shadowing when close to zero"

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- "zero-gluing = shadowing when close to zero"
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- "zero-gluing = shadowing when close to zero"
- Let (G, X, 0) be a dynamical system, G a group acting from the left. Let E ∈ G, A ⊂ G and δ > 0. Write ∂_E(A) = {g ∈ A | Eg ⊄ A}.

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- An ε-realization of a designation (A_i, x_i)_{i∈I} is a point x ∈ X such that for all g ∈ A_i, d(gx, gx_i) < ε.</p>

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- An *ϵ*-realization of a designation (A_i, x_i)_{i∈I} is a point x ∈ X such that for all g ∈ A_i, d(gx, gx_i) < *ϵ*.
- We say (G, X, 0) is zero-gluing if for all ε > 0 there exist δ > 0 and E ∈ G such that every (δ, E)-designation has an ε-realization.

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- We say (G, X, 0) is zero-gluing if for all ε > 0 there exist δ > 0 and E ∈ G such that every (δ, E)-designation has an ε-realization.
- Shadowing/POTP implies zero-gluing, but not vice versa.

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► A f.g. group *G* is *residually finite* if one of the following equivalent conditions holds:

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 - for each finite F ∈ G, there exists a finite quotient φ : G → H such that φ|_F : F → H is injective,

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 - full shifts A^G have dense periodic points (= points with finite index stabilizer are dense).

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 - ▶ full shifts A^G have dense periodic points (= points with finite index stabilizer are dense).
 - expansive zero-gluing PDS with dense homoclinic points have dense periodic points.

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If C is a class/property of groups, we say G is *locally* C if every f.g. subgroup H ≤ G is in C.

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If C is a class/property of groups, we say G is *locally* C if every f.g. subgroup H ≤ G is in C. For example, (Q, +) is locally free.

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- *G* is *K*-by-*H* if there is a surjective homomorphism $\phi : G \to H$ with ker $\phi \cong K$. We say *G* is a group extension.

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- If C is a class of groups, *poly*-C is the class of groups G admitting a subnormal series 1 ⊲ G₀ ⊲ · · · ⊲ G_n = G such that each quotient G_{i+1}/G_i is in C.

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- A group is *polycyclic* if it is poly-cyclic. It is *solvable* if it is poly-abelian.

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- If C is a class/property of groups, we say G is *locally* C if every f.g. subgroup H ≤ G is in C. For example, (Q, +) is locally free.
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- A group is *polycyclic* if it is poly-cyclic. It is *solvable* if it is poly-abelian.
- ► *G* is *virtually C* if it has a finite index subgroup in *C*.

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► the class G of locally (residually finite and poly-(locally virtually abelian)) groups includes

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 - every linear group not containing a free group, (Tits)

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- ► the class G of locally (residually finite and poly-(locally virtually abelian)) groups includes
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 - every virtually nilpotent group (= group of polynomial growth by Gromov)

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 - every virtually nilpotent group (= group of polynomial growth by Gromov)
 - every (abelian-by-polycyclic)-by-finite group (Jategaonkar/Roseblade)
 - ► every wreath product A \ P where A is abelian and P is polycyclic (e.g. lamplighter group Z₂ \ Z),

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 - every virtually nilpotent group (= group of polynomial growth by Gromov)
 - every (abelian-by-polycyclic)-by-finite group (Jategaonkar/Roseblade)
 - ► every wreath product A \ P where A is abelian and P is polycyclic (e.g. lamplighter group Z₂ \ Z),
 - several (classes of) groups which are not virtually solvable.

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Full shifts on groups in \mathcal{G} .

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- Full shifts on groups in \mathcal{G} .
- If G = ⟨S⟩ ∈ G, the golden mean shift
 {x ∈ {0,1}^G | x_g = 1 ⇒ ∀s ∈ S : x_{sg} ≠ 1} is an SFT
 with dense homoclinic points.

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- Full shifts on groups in \mathcal{G} .
- If G = ⟨S⟩ ∈ G, the golden mean shift {x ∈ {0,1}^G | x_g = 1 ⇒ ∀s ∈ S : x_{sg} ≠ 1} is an SFT with dense homoclinic points.
- The subshift

$$\{x \in \{0,1\}^{\mathbb{Z}^2} \mid x|_{\{(m,n),(m+1,n),(m,n+1)\}} \equiv 1 \implies x_{(m+1,n+1)} = 1\} \subset \{0,1\}^{\mathbb{Z}^2}$$

is SFT with dense homoclinics (not strongly irreducible).

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Let G ∈ G and X ⊂ A^G be a proper sofic shift with SFT cover φ : Y → X, such that |φ⁻¹(0^G)| = 1 (X is 0-to-0 sofic). Then X is zero-gluing but not shadowing. If homoclinics are dense, our result applies.

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The Z-action by the hyperbolic toral automorphism (¹₁ ¹₀) is expansive, shadowing and has dense homoclinic points. Ditto for the x2 map on the 2-solenoid limS¹. Nilpotent Endomorphisms of Expansive Group Actions

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Our paper uses the periodization idea from [S.,'12], but $\mathbb{Z}^{d-1} \times \mathbb{Z}$ is replaced by a group extension *K*-by-*H*. The induction step requires proving nilrigidity in higher generality.

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1. Define *tiered dynamical systems* and their *evolutions*, and generalize notions of zero-gluing, homoclinic points, AN and UAN.

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- This specializes to our main theorem for single tier systems.

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Definition

A tiered (topological) dynamical system, or TTDS for short, is defined as a triple $\mathcal{X} = (X, (G_t, X_t)_{t \in \mathcal{D}}, (\phi_t^{t'})_{t \leq t' \in \mathcal{D}})$,

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➤ X is a compact metric space, called the *ambient space*, containing a special point 0 ∈ X,

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$$t \le t'$$
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We denote $G_{t_0} = G_0$, and call it the *base group* of \mathcal{X} .

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Evolutions of tiered dynamical systems

Definition

An evolution map, or simply evolution, of a TTDS \mathcal{X} is a continuous function $f: X \to X$ such that for all $t \leq t' \in \mathcal{D}$ and $x \in X_t$, the condition $f(x) \in X_{t'}$ implies $f(g \cdot x) = g \cdot f(x)$ for all $g \in G_{t'}$.

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Definition

We say that a tier $t \in D$ is *f*-stabilizing, if there exists $s(t) \ge t$ such that $f^k(X_t) \subseteq X_{s(t)}$ for all $k \in \mathbb{N}$. The TTDS \mathcal{X} is *f*-stabilizing if each of its tiers is. The quadruple $(X, (G_t, X_t)_{t \in D}, (\phi_t^{t'})_{t \le t'}, f)$, which we may denote by (\mathcal{X}, f) , is called an *evolution of a tiered (topological)* dynamical system, or ETTDS for short.

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► Clearly f is AN also on (H, Y_p). Therefore fⁿ(Y_p) = {0} for some n!

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After the previous observation, the proof splits into two cases: if K ⊲ G is a normal subgroup and f : A^G → A^G a CA, say *information cannot spread arbitrarily far from* K if

$$\exists F \Subset G : \forall x \in A^G, n \in \mathbb{N} : \operatorname{supp}(f^n(x)) \subset FK \operatorname{supp}(x).$$

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When G = Z², if information cannot spread arbitrarily far from K₁ = ⟨(0,1)⟩ or ⟨(1,0)⟩, then 0^{Z^d} is a (Lyapunov) stable point, and we get UAN by an easy argument. Nilpotent Endomorphisms of Expansive Group Actions

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- If information can spread arbitrarily far from one of these groups, repeatedly apply the argument from the previous slide to contradict AN: "shoot and kill by periodization".

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 Proof idea: Suppose a large family of dynamical systems has nilrigid endomorphisms on H and K. Nilpotent Endomorphisms of Expansive Group Actions

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- Proof idea: Suppose a large family of dynamical systems has nilrigid endomorphisms on H and K.
- Let G be K-by-H, try to prove a similar result for G.
- If information cannot spread far from the subgroup K ⊲ G, there is no "other direction"! There is no natural K-system stabilized by f, but there is a natural stack of them...

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- If information does spread far from K, periodize in direction K. The "repeatedly shoot and kill by periodization" argument works, but H is not actually a subgroup of G...

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- These are just the Fix and Fin-constructions, respectively.

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- The Heisenberg group is
 - $H_3 = \langle a, b, c \mid c = [a, b], [a, c], [b, c] \rangle.$

Theorem

Cellular automata on the Heisenberg group are nilrigid.

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Theorem

Cellular automata on the Heisenberg group are nilrigid.

▶ Proof idea: Suppose $f : A^{H_3} \to A^{H_3}$ is AN.

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 - $H_3 = \langle a, b, c \mid c = [a, b], [a, c], [b, c] \rangle.$

Theorem

Cellular automata on the Heisenberg group are nilrigid.

- Proof idea: Suppose $f : A^{H_3} \to A^{H_3}$ is AN.
- Define X_t = {x ∈ A^{H₃} | d(g, ⟨c⟩) > t ⇒ x_g = 0}, the configurations with support in concentric tubes. (This is the Fin-construction)

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- Let D = (N, <), let G_t = Z for all t acting by c-translation on X_t. Then (X, (G_t, X_t)_{t∈D}, (id_Z)_{t≤t'∈D}) is a tiered dynamical system.

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- If information cannot spread arbitrarily far from ⟨c⟩, then ∀t : ∃t' : ∀n : fⁿ(X_t) ⊂ X_{t'}, i.e. we have f-stabilization.

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- If information cannot spread arbitrarily far from ⟨c⟩, then ∀t : ∃t' : ∀n : fⁿ(X_t) ⊂ X_{t'}, i.e. we have f-stabilization.
- ► Then f is a stabilizing ETTDS, apply nilrigidity of Z-ETTDS to obtain the result.

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- Suppose then the previous system is not *f*-stabilizing, i.e. information can spread arbitrarily far from ⟨*c*⟩.
- For each $t \in \mathbb{Z}_+$, $K_t = \langle c^t \rangle$ is normal.

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- F-stabilization is trivial: fⁿ(X_t) ⊂ X_t by shift-commutation, and f is an AN evolution.

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- F-stabilization is trivial: fⁿ(X_t) ⊂ X_t by shift-commutation, and f is an AN evolution.
- Mimic argument from the abelian case using nilrigidity of Z²-ETTDS. This contradicts AN for f!

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Question

Are CA nilrigid on full shifts on all groups? On SFTs with dense homoclinics (e.g. strongly irreducible SFTs)?

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• The question is open for $F_2 = \langle a, b \rangle$!

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RF EAG are also not covered... I think.

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Are there expansive actions in positive dimension where our theorem says something non-trivial, i.e. expansive actions in pos. dimension where endomorphisms can be very wild? Nilpotent Endomorphisms of Expansive Group Actions

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Are cellular automata nilrigid on all \mathbb{Z}^2 -SFTs?

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Limitations and partial results

Theorem (S.,'12)

Cellular automata on \mathbb{Z} -sofics are not nilrigid.

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Theorem (S.,'19)

Let T be the infinite k-regular tree and G its automorphism group. Then endomorphisms of (G, A^T) are nilrigid.

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Thank you for listening!

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