# A statistical physics approach to the $Sine_{\beta}$ process

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• One-dimensional log-gases and the  $Sine_{\beta}$  process



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- Dobrushin-Lanford-Ruelle (DLR) equations for the Sine<sub>β</sub> process

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Applications of the DLR equations

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- Applications of the DLR equations
- Perspectives

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The energy of the configuration is

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We denote by  $\mathbb{P}^n_{V,\beta}$  the Gibbs measure on  $\mathbb{R}^n$  or  $\mathbb{U}^n$  associated to this energy :

$$\mathrm{d}\mathbb{P}^n_{V,\beta}(x_1,\ldots,x_n) = \frac{1}{Z^n_{V,\beta}} \mathrm{e}^{-\frac{\beta}{2}H_n(x_1,\ldots,x_n)} \mathrm{d}x_1 \ldots \mathrm{d}x_n$$

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(On  $\mathbb{U}$ , if V = 0, we recover the C $\beta$ E (pentadiagonal model)).

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- The proofs based on tridiagonal/pentadiagonal matricial model
- The description of the process goes through "a coupled family of stochastic differential equations driven by a two-dimensional Brownian motion" (Brownian carousel) :

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- Universality with respect to V obtained (Bourgade-Erdös-Yau-Lin/Bekerman-Figalli-Guionnet) 4

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This is false! We have to use DLR formalism for Gibbs measures.



#### Theorem (Dereudre-Hardy-Leblé-M.)

Given a compact set  $\Lambda$  and a configuration  $\gamma$ , the law of the configuration  $\eta$  in  $\Lambda$  knowing  $\gamma$  is given by a Gibbs measure with density

 $\mathrm{dSine}_{\beta}(\eta|\gamma_{\mathsf{A}^{\mathsf{c}}},|\gamma_{\mathsf{A}}|) \propto \exp(-\beta(\mathcal{H}(\eta)+\mathcal{M}(\eta,\gamma_{\mathsf{A}^{\mathsf{c}}}))\mathrm{d}\mathbf{B}_{|\gamma_{\mathsf{A}}|}(\eta),$ 

where  $\mathcal{H}(\eta)$  represents the interaction of  $\eta$  with itself and  $\mathcal{M}(\eta, \gamma_{\Lambda^c})$  the interaction of  $\eta$  with the exterior configuration and **B** is the Bernoulli process (with a fixed number of points).

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This has been shown by Bufetov for  $\beta = 2$  (see also Kuijlaars-Miña-Diaz)

For any bounded measurable function f on the set of configurations,

$$\mathbb{E}_{\mathrm{Sine}_{\beta}}(f) = \int \left[ \int f(\{x_1, \ldots, x_{|\gamma_{\Lambda}|}\} \cup \gamma_{\Lambda^c}) \rho_{\Lambda^c}(x_1, \ldots, x_{|\gamma_{\Lambda}|}) \prod_{i=1}^{|\gamma_{\Lambda}|} \mathrm{d}x_i \right] \mathrm{Sine}_{\beta}(\mathrm{d}\gamma),$$

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where

$$\rho_{\Lambda^c}(x_1,\ldots,x_{|\gamma_{\Lambda}|}) := \frac{1}{Z(\Lambda,\gamma_{\Lambda^c})} \prod_{j < k}^{|\gamma_{\Lambda}|} |x_j - x_k|^{\beta} \prod_{i=1}^{|\gamma_{\Lambda}|} \omega_{\beta}(x_i,\gamma_{\Lambda^c}).$$

#### **Existence of the Move functions**

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$$\mathcal{M}(\eta,\gamma_{\Lambda^c}):=2\iint_{x
eq y}-\log|x-y|\mathrm{d}\eta(x)\mathrm{d}\gamma_{\Lambda^c}(y)$$
$$\mathcal{M}(\eta,\gamma_{\Lambda^c}) := 2 \iint_{x \neq y} - \log |x-y| \mathrm{d}\eta(x) \mathrm{d}\gamma_{\Lambda^c}(y) = 2 \int \psi(y) \mathrm{d}\gamma_{\Lambda^c}(y)$$

with

$$\psi(y) := \int_{x \neq y} -\log |x - y| \mathrm{d}\eta(x) \propto -\log |y| \, \mathrm{as} \, |y| o \infty.$$

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Better option : fix a reference configuration  $|\eta_0|$  in  $\Lambda$  with  $|\eta_0| = |\eta|$  and let

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and absorb the shift in the partition function. Now

$$\psi_0(y) := \int_{x \neq y} -\log|x - y| \mathrm{d}(\eta - \eta_0)(x) \propto -\frac{1}{y} \text{ as } |y| \to \infty.$$

$$\lim_{R\to\infty}\int_{[-R,R]\setminus\Lambda}\frac{1}{y}\mathrm{d}y \text{ converges.}$$

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We need to compare  $\gamma_{\Lambda^c}$  with the Lebesgue measure : discrepancy estimates :

$$\operatorname{Discr}_{[0,R]}(\gamma) = |\gamma_{[0,R]}| - R$$

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Leblé and Serfaty have shown that

 $\mathbb{E}_{\mathrm{Sine}_{\beta}}(\mathrm{Discr}_{[0,R]}(\gamma)^2) \leq CR.$ 

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Putting every thing together, we get that  $\mathcal{M}(\eta, \gamma_{\Lambda^c})$  is well defined.

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$$-\log\left|\sin\left(\frac{x-y}{2\pi N}\right)\right|$$

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Showing DLR is easy for this model and we then use the convergence to  $\operatorname{Sine}_{\beta}$  due to Killip-Stoiciu + Nakano. We obtain Canonical DLR equations (when both the outside configuration and the number of points in  $\Lambda$  are fixed).

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Let  $\mathbb{P}$  be a point process, we say that it is number-rigid, if for any compact set  $\Lambda$ , there exists a measurable function  $f_{\Lambda}$  such that  $\mathbb{P}$ -almost surely,  $|\gamma_{\Lambda}| = f_{\Lambda}(\gamma_{\Lambda^c})$ .

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The Poisson process is not number-rigid.

A few examples of (D)PP are known to be rigid. In particular Sine is rigid (Bufetov) and  $\operatorname{Sine}_{\beta}$  also (Chhaibi-Najnudel).

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All proofs of rigidity that we know rely on the following result (Ghosh-Peres) : Assume that for any  $\Lambda$  and  $\varepsilon > 0$ , there exists a compactly supported function  $f_{\lambda,\varepsilon}$  such that on  $\Lambda$ ,  $f_{\lambda,\varepsilon} = 1$  and  $Var_{\mathbb{P}}(\sum_{x \in \gamma} f_{\lambda,\varepsilon}(x)) \leq \varepsilon$ , then  $\mathbb{P}$  is rigid.



**Theorem** (Dereudre-Hardy-Leblé-M.) Any process  $\mathbb{P}$  satisfying the canonical DLR equation

 $\mathrm{d}\mathbb{P}(\eta|\gamma_{\mathsf{A}^{\mathsf{c}}},|\gamma_{\lambda}|) \propto \exp(-\beta(\mathcal{H}(\eta)+\mathcal{M}(\eta,\gamma_{\mathsf{A}^{\mathsf{c}}})))\mathrm{d}\mathbf{B}_{|\gamma_{\mathsf{A}}|}(\eta)$ 

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From there, we get full (grand canonical) DLR equations by getting rid of the conditioning on the number of points in  $\Lambda$ .

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We show that if P satisfies canonical DLR, there exists Q such that

$$\mathrm{d}\mathcal{C}^{1}_{\mathcal{P}}(x,\gamma) = \mathrm{e}^{-\beta h(x,\gamma)} \mathrm{Leb}(x) \otimes \mathcal{Q}(\mathrm{d}\gamma),$$

with

$$h(x,\gamma) = \lim_{R \to \infty} \sum_{y \in \gamma_{[-R,R]}} (\log(|y|) - \log|x-y|)$$

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(GNZ equations)

By writing  $C_P^2$  in two different ways, one can check the compatibility relation :

$$\psi(\gamma \cup y) = \psi(\gamma \cup x) + \log |x| - \log |y|.$$

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On one side, the quantity

$$a_k := C_P^2(\mathbf{1}_{[0,1]}(x)\mathbf{1}_{[k,k+1]}(y)) = E_P(|\gamma_{[0,1]}||\gamma_{[k,k+1]}|) \le M$$

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By ergodicity, we get that  $\frac{1}{n} \sum_{k=n}^{2n} a_k$  converges to infinity, which leads to a contradiction.

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Application of DLR to get CLT (Leblé)



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# Thanks for your attention !

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