

# Enumerating the Fake Projective Planes

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A **fake projective plane** is a smooth compact complex surface, *not* biholomorphic to the complex projective plane  $\mathbb{P}_{\mathbb{C}}^2$ , with Betti numbers 1, 0, 1, 0, 1.

Mumford, 1979:

- gave first example,
- showed number of fpp's is finite.

Ishida and Kato, 1998, gave two examples.

Keum, 2006, gave an example.

Gopal Prasad and Sai-Kee Yeung, 2007, showed

- all fpp's fall into 41 "classes".
- classes defined using unitary groups in either
  - division algebras, or
  - matrix algebras.
- 28 classes of division algebra type. All are non-empty.
- 13 classes of matrix algebra type. They conjectured these empty.

Classes involve: fields  $k$  and  $\ell$ , with  $[\ell : k] = 2$ , and extra data.

Either  $k = \mathbb{Q}$  or  $\dim_{\mathbb{Q}}(k) = 2$ .

Tim Steger and I (2010):

(a) found **all** fpp's in each class.

(b) showed matrix algebra classes are empty.

Altogether, there are **100** fpp's (up to biholomorphism).

There are only 50 fpp's up to homeomorphism. We give presentations for each of the 50 fundamental groups.

Set

$$F_0 := \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & -1 \end{pmatrix},$$

$$U(2, 1) := \{g \in M_{3 \times 3}(\mathbb{C}) : g^* F_0 g = F_0\},$$

$$PU(2, 1) = U(2, 1)/Z, \quad \text{where } Z = \{tI : |t| = 1\}.$$

$PU(2, 1)$  acts on  $B(\mathbb{C}^2) = \{(z_1, z_2) : |z_1|^2 + |z_2|^2 < 1\}$ .

**Theorem (Klingler, Yeung).** The fundamental group  $\Pi$  of an fpp is a torsion-free cocompact arithmetic subgroup of  $PU(2, 1)$ .

So an fpp is a ball quotient  $B(\mathbb{C}^2)/\Pi$  for such a  $\Pi$ .

Explaining “arithmetic” .

**central simple algebra:** a finite dimensional algebra  $\mathcal{A}$  over a field  $\ell$  such that

- Centre of  $\mathcal{A}$  is  $\{t1 : t \in \ell\}$ ,
- no non-trivial proper two sided ideals.

Examples:

- $M_{n \times n}(\ell)$ ,
- division algebras.

**Proposition.**  $\mathcal{A}$  central simple algebra  $\Rightarrow$

$$\mathcal{A} \cong M_{n \times n}(\mathcal{D})$$

for some division algebra  $\mathcal{D}$  over  $\ell$ .

**Corollary.**  $\mathcal{A}$  central simple algebra and  $\dim_{\ell} \mathcal{A} = 9 \Rightarrow$

$$\mathcal{A} \cong M_{3 \times 3}(\ell) \quad \text{or} \quad \mathcal{A} \text{ is a division algebra.}$$

When  $\ell$  is a totally complex quadratic extension  $k(s)$  of a totally real field  $k$ , an **involution of the second kind** on  $\mathcal{A}$  is a map  $\iota : \mathcal{A} \rightarrow \mathcal{A}$  such that

- $\iota(\iota(\xi)) = \xi$ ,
- $\iota(\xi\eta) = \iota(\eta)\iota(\xi)$
- $\iota(\xi + \eta) = \iota(\eta) + \iota(\xi)$ , and
- $\iota(t\xi) = \bar{t}\iota(\xi)$ ,

for all  $\xi, \eta \in \mathcal{A}$  and  $t \in \ell$ . Here  $\bar{t} = a - bs$  if  $t = a + bs \in \ell$ .



**Example:**  $\mathcal{A} = M_{3 \times 3}(\ell)$  and  $\iota(x) = x^*$ .

**Example:**  $\mathcal{A} = M_{3 \times 3}(\ell)$ , and

$$\iota(x) = F^{-1}x^*F,$$

where  $F \in GL(3, \ell)$  and  $F^* = F$ .

Fact: Any involution of the second kind on  $M_{3 \times 3}(\ell)$  has this form.

For this  $\iota$ :

$$\iota(x)x = 1 \quad \Leftrightarrow \quad x^*Fx = F.$$

If  $\mathcal{A}$  is a central simple algebra, there is a map  $\text{Nrd} : \mathcal{A} \rightarrow \ell$  which generalizes the determinant map  $\det : M_{n \times n}(\ell) \rightarrow \ell$ .

**Proposition.** For any field  $L$  containing  $\ell$ :

(a)  $\mathcal{A} \otimes_{\ell} L$  is central simple algebra over  $L$ ,

(b) we can choose  $L$  and isomorphism  $f : \mathcal{A} \otimes_{\ell} L \cong M_{n \times n}(L)$ .

(c) for  $L, f$  as in (b), define

$$\text{Nrd}(x) = \det f(x) \quad \text{for } x \in \mathcal{A},$$

This does not depend on the particular  $L$  and  $f$  we choose.

Now when we say that the fundamental group  $\Pi$  of a fake projective plane is arithmetic, we mean that

there are fields  $k$  and  $\ell$ , with  $k$  totally real and  $\ell$  a totally complex quadratic extension of  $k$ , and there is a central simple algebra  $\mathcal{A}$  of dimension 9 over  $\ell$ , and there is an involution  $\iota$  of the second kind on  $\mathcal{A}$ , so that in the algebraic group  $G$  defined over  $k$  so that

$$G(k) = \{\xi \in \mathcal{A} : \iota(\xi)\xi = 1 \text{ and } \text{Nrd}(\xi) = 1\},$$

there is principal arithmetic subgroup  $\Lambda$  of  $G(k)$  which is commensurable with  $\Pi$ .

The term “principal arithmetic subgroup” will be explained later. It involves the groups  $G(k_v)$  for the places  $v$  of  $k$ .

Explaining “commensurable”:

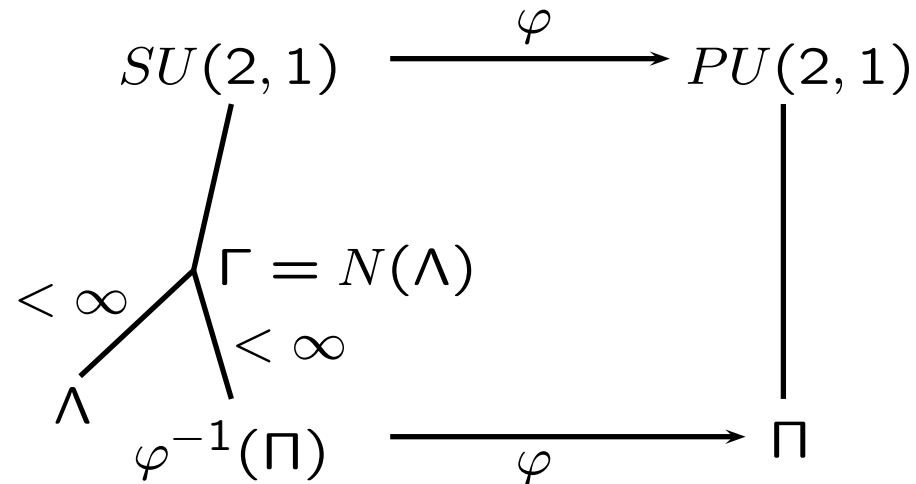
$\varphi : SU(2, 1) \rightarrow PU(2, 1)$  : the canonical map  $g \mapsto gZ$ .

$$\begin{array}{ccc} SU(2, 1) & \xrightarrow{\varphi} & PU(2, 1) \\ | & & | \\ \varphi^{-1}(\Pi) & \xrightarrow{\varphi} & \Pi \end{array}$$

We have a principal arithmetic subgroup  $\Lambda \subset G(k)$ , and for one archimedean place  $v$  of  $k$  we have an embedding

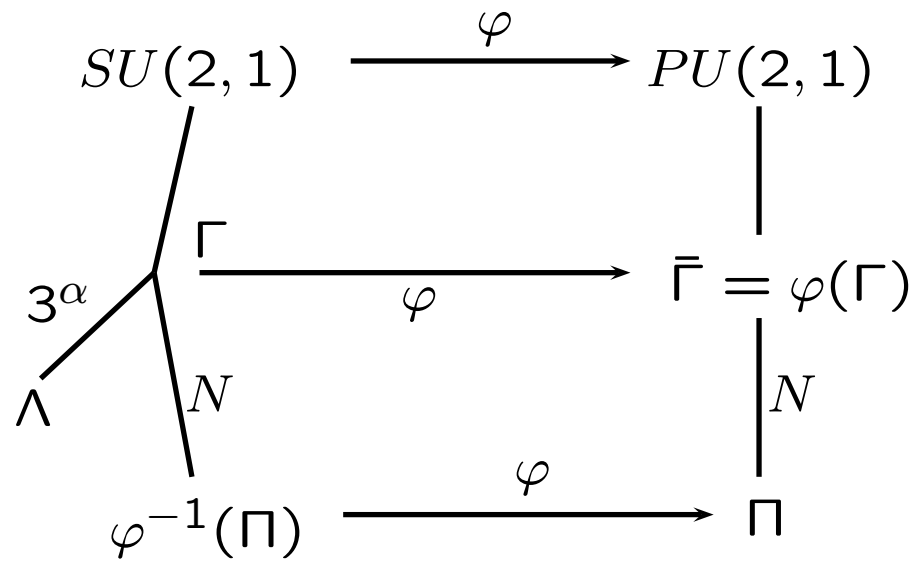
$$\Lambda \subset G(k) \hookrightarrow G(k_v) \cong G(\mathbb{R}) \cong SU(2, 1).$$

Let  $\Gamma$  be the normalizer in  $SU(2, 1)$  of  $\Lambda$ .



For any other archimedean place  $v$  of  $k$ , we require

$$G(k_v) \cong SU(3).$$



Prasad and Yeung showed that  $[\Gamma : \Lambda]$  is a power of 3.

The Euler-Poincaré characteristic of an fpp is

$$1 - 0 + 1 - 0 + 1 = 3.$$

Hirzebruch Proportionality Theorem:

$$\chi(B(\mathbb{C}^2)/\Pi) = 3\text{vol}(\mathcal{F}_\Pi)$$

where  $\text{vol}$  is appropriately normalized hyperbolic volume on  $B(\mathbb{C}^2)$ , and  $\mathcal{F}_\Pi$  is a fundamental domain for the action of  $\Pi$  on  $B(\mathbb{C}^2)$ . So

$$1 = \text{vol}(\mathcal{F}_\Pi) = m(PU(2, 1)/\Pi).$$

For discrete subgroups  $\Gamma$  of  $G = PU(2, 1)$ , Haar measure  $\mu_G$  on  $G$  induces a  $G$ -invariant measure  $m_{G/\Gamma}$  on  $G/\Gamma$ , so that

$$\int_G f d\mu_G = \int_{G/\Gamma} f^\Gamma dm_{G/\Gamma},$$

where

$$f^\Gamma(g\Gamma) = \sum_{\gamma \in \Gamma} f(g\gamma).$$

If  $\Gamma_1 \subset \Gamma_2$ , then

$$m(G/\Gamma_1) = [\Gamma_2 : \Gamma_1] m(G/\Gamma_2).$$

Relation of  $m_{G/\Gamma}$  on  $G/\Gamma$  to hyperbolic volume  $\text{vol}$  on  $B(\mathbb{C}^2)$ :

$$m(G/\Gamma) = \text{vol}(\mathcal{F}_\Gamma)$$

where  $\mathcal{F}_\Gamma \subset B(\mathbb{C}^2)$  is a fundamental domain for the action of  $\Gamma$ .



Prasad & Yeung mostly work with  $SU(2, 1)$ , not  $PU(2, 1)$ . Invariant measures are set up so that

$$m(SU(2, 1)/\varphi^{-1}(\Pi)) = \frac{1}{3}m(PU(2, 1)/\Pi),$$

( $\varphi : SU(2, 1) \rightarrow PU(2, 1)$  canonical map).

So if  $\Pi \subset PU(2, 1)$  is the fundamental group of an fpp, then

$$m(SU(2, 1)/\varphi^{-1}(\Pi)) = \frac{1}{3}.$$

Prasad has formulas for the numbers  $m(SU(2, 1)/\Lambda)$ , where  $\Lambda$  is a principal arithmetic subgroup of the group  $G(k)$ .

Use the two inclusions  $\Lambda \subset \Gamma$  and  $\varphi^{-1}(\Pi) \subset \Gamma$ :

$$m(SU(2, 1)/\Lambda) = [\Gamma : \Lambda] m(SU(2, 1)/\Gamma) = 3^\alpha m(SU(2, 1)/\Gamma)$$

and

$$\frac{1}{3} = m(SU(2, 1)/\varphi^{-1}(\Pi)) = [\Gamma : \varphi^{-1}(\Pi)] m(SU(2, 1)/\Gamma).$$

Use  $[\Gamma : \varphi^{-1}(\Pi)] = [\bar{\Gamma} : \Pi]$  to get

$$3^{\alpha-1} = [\bar{\Gamma} : \Pi] m(SU(2, 1)/\Lambda).$$

$V_f :=$  set of non-archimedean places of the field  $k$ . Then  $V_f \leftrightarrow$

- set of non-trivial non-archimedean valuations on  $k$ , or
- set of prime ideals  $\mathfrak{p}$  in the ring  $\mathfrak{o}_k$  of algebraic integers in  $k$ .

For  $v \in V_f$ ,  $k_v :=$  corresponding completion of  $k$ .

Let  $(P_v)_{v \in V_f}$  be a “coherent” family of “parahoric subgroups”  $P_v \subset G(k_v)$ .

A **principal arithmetic subgroup** of  $G(k)$  has the form

$$\Lambda = \prod_{v \in V_f} P_v = \{g \in G(k) : g_v \in P_v \text{ for each } v \in V_f\}.$$

Here  $g_v$  is image in  $G(k_v)$  of  $g \in G(k)$ .

Prasad's formula:

$$m(SU(2, 1)/\Lambda) = \mu_{k,\ell} \prod_{v \in \mathcal{T}} e'(P_v),$$

where  $\mu_{k,\ell}$  is a **rational** number depending only on  $k$  and  $\ell$ , where the  $e'(P_v)$ 's are certain explicit integers, and where  $\mathcal{T} \subset V_f$  is finite.

So if  $\Pi$  is the fundamental group of an fpp, then

$$3^{\alpha-1} = [\bar{\Gamma} : \Pi] \mu_{k,\ell} \prod_{v \in \mathcal{T}} e'(P_v).$$

**Corollary.** The numerator of  $\mu_{k,\ell}$  is a power of 3.

We next explain the bounds Prasad and Yeung found for  $\alpha$ .

If  $v$  splits in  $\ell$ , then either

(a) :  $G(k_v) \cong SL(3, k_v)$ , OR (b) :  $G(k_v)$  is compact.

(b) only occurs if  $G$  comes from a division algebra  $\mathcal{D}$ , and  $\mathcal{D} \otimes_{\ell} k_v$  is still a division algebra. This occurs for a finite **nonzero** number of  $v \in V_f$ .

$\mathcal{T}_0 :=$  set of  $v$ 's for which (b) holds.

If  $v \in \mathcal{T}_0$ , then  $v \in \mathcal{T}$ ,  $P_v = G(k_v)$  and  $e'(P_v) = (q_v - 1)^2(q_v + 1)$ .

Here  $q_v :=$  size of residual field of  $k_v$ .

If  $v$  splits in  $\ell$  and  $G(k_v) \cong SL(3, k_v)$ , then  $G(k_v)$  acts on a building  $X_v$  which is “of type  $\tilde{A}_2$ ” — it is a simplicial complex made up of vertices, edges and triangles.

A parahoric subgroup is the stabilizer in  $G(k_v)$  of a simplex.

If  $P_v$  is the stabilizer of a vertex, then  $v \notin \mathcal{T}$ .

- If  $P_v$  is the stabilizer of an edge, then  $e'(P_v) = q_v^2 + q_v + 1$ ,
- If  $P_v$  is the stabilizer of a triangle, then  $e'(P_v) = (q_v^2 + q_v + 1)(q_v + 1)$ ,

and in both these cases,  $v$  is in  $\mathcal{T}$ .

If  $v \in V_f$  does not split in  $\ell = k(s)$ , then

$$G(k_v) \cong \{g \in SL(3, k_v(s)) : \det(g) = 1 \text{ and } g^* F_v g = F_v\}$$

for an Hermitian  $F_v \in GL(3, k_v(s))$ . Now  $G(k_v)$  acts on a building  $X_v$  which is a tree — a simplicial complex made up of vertices and edges. The vertices have two “types”, edges having one vertex of each type.

If  $v$  ramifies in  $\ell$ , tree is homogeneous, each vertex has  $q_v + 1$  neighbours.

If  $v$  does not ramify in  $\ell$ , then

Each type 1 vertex has  $q_v^3 + 1$  neighbours, each of type 2.

Each type 2 vertex has  $q_v + 1$  neighbours, each of type 1.

The group  $P_v$  is the stabilizer of a vertex or of an edge.

A non-split  $v$  belongs to  $\mathcal{T}$  when

- $P_v$  is the stabilizer of a type 2 vertex and  $v$  does not ramify. Then  $e'(P_v) = (q_v^3 + 1)/(q_v + 1) = q_v^2 - q_v + 1$ .
- $P_v$  is the stabilizer of an edge. Then
  - (i)  $e'(P_v) = q_v^3 + 1$  if  $v$  does not ramify, and
  - (ii)  $e'(P_v) = q_v + 1$  if  $v$  ramifies in  $\ell$ .

If  $v$  ramifies in  $\ell$  and  $P_v$  is stabilizer of a vertex,  $v \notin \mathcal{T}$ . **N.B.:** stabilizers of type 1 vertices are **not conjugate** to stabilizers of type 2 vertices.



Let

$$\beta = \#\{v \in V_f : v \text{ splits in } \ell \text{ and } P_v \text{ stabilizes a triangle}\}.$$

Prasad and Yeung (equation (0) in their §2.3) give the bound

$$3^\alpha \leq h_{\ell,3} 3^{1+\#\mathcal{T}_0+\beta},$$

where  $h_{\ell,3}$  is the order of the subgroup of the class group of  $\ell$  consisting of elements of order dividing 3.

$v \in \mathcal{T} \Rightarrow e'(P_v) \geq 3$ , so

$$\prod_{v \in \mathcal{T}} e'(P_v) \geq 3^{\#\mathcal{T}_0+\beta}$$

and

$$\mu_{k,\ell}[\bar{\Gamma} : \Pi] 3^{\#\mathcal{T}_0+\beta} \leq \mu_{k,\ell}[\bar{\Gamma} : \Pi] \prod_{v \in \mathcal{T}} e'(P_v) = 3^{\alpha-1} \leq h_{\ell,3} 3^{\#\mathcal{T}_0+\beta}.$$

So

$$\mu_{k,\ell}[\bar{\Gamma} : \Pi] \leq h_{\ell,3}.$$

In particular,

$$\mu_{k,\ell} \leq h_{\ell,3}.$$

The rational number  $\mu_{k,\ell}$  equals

$$\frac{D_\ell^{5/2} \zeta_k(2) L_{\ell|k}(3)}{(16\pi^5)^n D_k},$$

involving the absolute values  $D_k$  and  $D_\ell$  of the discriminants of  $k$  and  $\ell$ , and  $n = \dim_{\mathbb{Q}}(k)$ . Prasad and Yeung use  $\mu_{k,\ell} \leq h_{\ell,3}$  to obtain strong bounds on  $n$ ,  $D_k$  and  $D_\ell$ .

This allowed them to show that either

(a)  $k = \mathbb{Q}$  and  $\ell = \mathbb{Q}(\sqrt{-a})$  for some  $a \in \{1, 2, 3, 5, 6, 7, 11, 15, 19, 23, 31\}$ ,  
or

(b)  $(k, \ell)$  is one of a list of 40 pairs  $\mathcal{C}_1, \dots, \mathcal{C}_{40}$ ,  
for all of which  $2 \leq \dim_{\mathbb{Q}}(k) \leq 4$ .

The numerator of  $\mu_{k,\ell}$  is power of 3  $\Rightarrow$  several of the above excluded. In particular, 25 of the 40  $\mathcal{C}_i$ 's were eliminated.

In the cases not eliminated, the numerator of  $\mu_{k,\ell}$  is equal to 1. Writing  $\mu_{k,\ell} = 1/d_{k,\ell}$ ,

$$3^{\alpha-1} d_{k,\ell} = [\bar{\Gamma} : \Pi] \prod_{v \in \mathcal{T}} e'(P_v). \quad (*)$$

When  $k = \mathbb{Q}$ ,  $G$  must come from a division algebra  $\mathcal{D}$  (see §4.1 of Prasad & Yeung), and so the non-empty set  $\mathcal{T}_0$  is in  $\mathcal{T}$ .

Using this, they could eliminate more of the above possibilities.

For example, if  $k = \mathbb{Q}$  and  $\ell = \mathbb{Q}(\sqrt{-a})$  for  $a = 3$ , then  $\mu_{k,\ell} = 1/216 = 1/(2^3 \times 3^3)$ . So

$$3^{\alpha+2} \times 2^3 = [\bar{\Gamma} : \Pi] \prod_{v \in \mathcal{T}} e'(P_v)$$

is divisible by  $e'(P_v) = (q_v - 1)^2(q_v + 1)$  for a  $v \in \mathcal{T}_0$ . If  $q_v = 2n + 1$  is odd, then  $e'(P_v) = 8n^2(n + 1)$  is divisible by 16. So  $q_v$  must be even. So  $v$  is the 2-adic valuation on  $k$ . This is inert in  $\ell$  because  $-3$  has no square root in  $\mathbb{Q}_2$ . But the  $v$ 's in  $\mathcal{T}_0$  have to split in  $\ell$ .

So the case  $k = \mathbb{Q}$ ,  $\ell = \mathbb{Q}(\sqrt{-3})$  is eliminated.

**Lemma.** In each of the cases coming from a division algebra, the set  $\mathcal{T}_0$  has just one element, and we can identify this element explicitly.

Proof. This is done case by case.

1. If  $d_{k,\ell}$  is not divisible by 16 and if  $v \in \mathcal{T}_0$ , then  $q_v$  has to be even, and so  $v$  is a 2-adic valuation. If there is only one 2-adic valuation on  $k$ , we are done. Only in the case  $\mathcal{C}_{21}$  (for which  $d_{k,\ell} = 12$ ) are there two 2-adic valuations, but neither of them splits.

2. No  $d_{k,\ell}$  is divisible by  $16^2$ , and so there is at most one  $v \in \mathcal{T}_0$  with  $q_v$  odd. For example, in the  $\mathcal{C}_3$  case,  $d_{k,\ell} = 32$ . There is just one 2-adic valuation on  $k$  in this case, but it ramifies in  $\ell$ , and so is not in  $\mathcal{T}_0$ .

**Identifying the  $v$  in  $\mathcal{T}_0$ :** E.g. in  $\mathcal{C}_3$  case, we already know  $\mathcal{T}_0$  consists of a single  $p$ -adic valuation  $v$  for  $p$  odd.

Fact:  $q \geq 3$  odd &  $(q - 1)^2(q + 1)$  divides  $3^{\alpha-1} \times 32 \Rightarrow q = 3, 5$  or  $7$ .

In  $\mathcal{C}_3$  case, the primes 3 and 7 are inert in  $k$ , so  $q_v = 9$  and 49 for the 3-adic and 7-adic valuations, respectively.

Conclusion:  $v$  has to be the 5-adic valuation on  $k$ .

Prasad and Yeung could eliminate several cases (of those coming from a division algebra) because there is no  $v \in V_f$  which splits in  $\ell$  such that  $(q_v - 1)^2(q_v + 1)$  divides  $3^{\alpha-1}d_{k,\ell}$ .

**Lemma.** No  $v \in V_f \setminus \mathcal{T}_0$  which splits in  $\ell$  can be in  $\mathcal{T}$ . In particular, the number  $\beta$  of  $v \in V_f$  which split in  $\ell$  and for which  $P_v$  stabilizes a triangle is zero.

Proof. A prime  $p$  divides LHS  $3^{\alpha-1}d_{k,\ell}$  of  $(*) \Rightarrow p = 2, 3, 5$  or  $7$ .

If  $q_v^2 + q_v + 1$  divides some  $3^{\alpha-1}d_{k,\ell}$ , then  $q_v \in \{2, 4\}$ .

If  $v \in \mathcal{T} \setminus \mathcal{T}_0$  splits in  $\ell$ , then  $q_v^2 + q_v + 1$  divides  $e'(P_v)$ , and so RHS of  $(*)$ . So  $q_v = 2$  or  $4$ . So  $v$  is 2-adic and  $q_v^2 + q_v + 1 = 7$  or  $21$ . Only in the cases  $(k, \ell) = (\mathbb{Q}, \mathbb{Q}(\sqrt{-7}))$ ,  $\mathcal{C}_{20}$  and  $\mathcal{C}_{31}$  does  $7$  divide  $d_{k,\ell}$ . In each of these cases, there is just one 2-adic valuation on  $k$ , and it is in  $\mathcal{T}_0$ .

**Corollary.** The number  $\alpha$  appearing in (\*) satisfies

$$\alpha = \begin{cases} 1 & \text{in the matrix algebra case,} \\ 2 & \text{in the division algebra case.} \end{cases}$$

Partial Proof. We have the bound  $3^\alpha \leq h_{\ell,3} 3^{1+\#\mathcal{T}_0+\beta}$ , and we now know that  $\beta = 0$ , and that  $\#\mathcal{T}_0 = 1$  in the division algebra case. By definition,  $\#\mathcal{T}_0 = 0$  in the matrix algebra cases. Now  $h_\ell = 1$  in all our cases except  $\mathcal{C}_{26}$  (when  $h_\ell = 2$  and so  $h_{\ell,3} = 1$ ) and  $k = \mathbb{Q}$ ,  $\ell = \mathbb{Q}(\sqrt{-23})$  (when  $h_\ell = 3 = h_{\ell,3}$ ). This shows that  $\alpha \leq 1 + \#\mathcal{T}_0$  holds except when  $k = \mathbb{Q}$  and  $\ell = \mathbb{Q}(\sqrt{-23})$ . The proof that  $\alpha = 1 + \#\mathcal{T}_0 = 2$  also holds in that special case, and that  $\alpha \geq 1 + \#\mathcal{T}_0$  in all our cases, is given in §5.4 of Prasad-Yeung's paper.



The other method used by Prasad and Yeung to eliminate cases was based on the following:

**Lemma.** Suppose that  $\Pi$  is a torsion-free subgroup of finite index in a group  $\bar{\Gamma}$ . Let  $K$  be a finite subgroup of  $\bar{\Gamma}$ . Then  $|K|$  divides  $[\bar{\Gamma} : \Pi]$ .

Proof. There is an action  $g\Pi \mapsto kg\Pi$  of  $K$  on the set  $\bar{\Gamma}/\Pi$  of cosets. No  $k \in K \setminus \{1\}$  can fix any  $g\Pi$ . For  $kg\Pi = g\Pi$  implies that  $g^{-1}kg \in \Pi$ , contradicting the torsion-free hypothesis. So if  $\bar{\Gamma}/\Pi$  is the union of  $s$   $K$ -orbits, then  $[\bar{\Gamma} : \Pi] = s|K|$ .

For example, in the case  $\mathcal{C}_{31}$ ,  $d_{k,\ell}$  equals 147, and so the left hand side of (\*) equals  $3^{\alpha-1} \times 147 = 3^{\alpha} \times 7^2$ . So the primes dividing  $[\bar{\Gamma} : \Pi]$  can only be 3 and 7. Prasad and Yeung produce an element  $g$  of  $\bar{\Gamma}$  of order 2. Applying the lemma to  $K = \langle g \rangle$ , we get a contradiction.

Using the above methods, Prasad and Yeung were able to reduce the possibilities for  $(k, \ell)$  to the following:

**Division algebra cases:**

a)  $k = \mathbb{Q}$ ,  $\ell = \mathbb{Q}(\sqrt{-a})$  for  $a \in \{1, 2, 7, 15, 23\}$ .

b)  $\mathcal{C}_2$ ,  $\mathcal{C}_{10}$ ,  $\mathcal{C}_{18}$  and  $\mathcal{C}_{20}$ .

**Matrix algebra cases:**

(c)  $\mathcal{C}_1$ ,  $\mathcal{C}_3$ ,  $\mathcal{C}_8$ ,  $\mathcal{C}_{11}$ ,  $\mathcal{C}_{18}$  and  $\mathcal{C}_{21}$ .

They conjectured that there are no fake projective planes arising from the matrix algebra cases. Tim and I confirmed this conjecture.

Division algebra cases.  $\mathcal{T}_0 = \{v_0\}$  for the  $p$ -adic  $v_0 \in V_f$ , for  $p$  below:

name	$k$	$\ell$	$p$	$d_{k,\ell}$
$(a = 1, p = 5)$	$\mathbb{Q}$	$\mathbb{Q}(\sqrt{-1})$	5	96
$(a = 2, p = 3)$	$\mathbb{Q}$	$\mathbb{Q}(\sqrt{-2})$	3	16
$(a = 7, p = 2)$	$\mathbb{Q}$	$\mathbb{Q}(\sqrt{-7})$	2	21
$(a = 15, p = 2)$	$\mathbb{Q}$	$\mathbb{Q}(\sqrt{-15})$	2	3
$(a = 23, p = 2)$	$\mathbb{Q}$	$\mathbb{Q}(\sqrt{-23})$	2	1
$\mathcal{C}_2$	$\mathbb{Q}(\sqrt{5})$	$k(\sqrt{-3})$	2	135
$\mathcal{C}_{10}$	$\mathbb{Q}(\sqrt{2})$	$k(\sqrt{-5 + 2\sqrt{2}})$	2	3
$\mathcal{C}_{18}$	$\mathbb{Q}(\sqrt{6})$	$k(\sqrt{-3})$	3	48
$\mathcal{C}_{20}$	$\mathbb{Q}(\sqrt{7})$	$k(\sqrt{-1})$	2	21

Matrix algebra cases:  $\mathcal{T}_0 = \emptyset$ .

name	$k$	$\ell$	$d_{k,\ell}$
$\mathcal{C}_1$	$\mathbb{Q}(\sqrt{5})$	$\mathbb{Q}(\zeta_5)$	600
$\mathcal{C}_3$	$\mathbb{Q}(\sqrt{5})$	$\mathbb{Q}(\sqrt{5}, i)$	32
$\mathcal{C}_8$	$\mathbb{Q}(\sqrt{2})$	$\mathbb{Q}(\sqrt{2}, i)$	128
$\mathcal{C}_{11}$	$\mathbb{Q}(\sqrt{3})$	$\mathbb{Q}(\sqrt{3}, i)$	864
$\mathcal{C}_{18}$	$\mathbb{Q}(\sqrt{6})$	$\mathbb{Q}(\sqrt{6}, \zeta_3)$	48
$\mathcal{C}_{21}$	$\mathbb{Q}(\sqrt{33})$	$\mathbb{Q}(\sqrt{33}, \zeta_3)$	12

Here  $\zeta_n$  is a primitive  $n$ -th root of 1.

In the division algebra cases, we can divide both sides of the equation (\*) by  $e'(P_{v_0}) = (q_{v_0} - 1)^2(q_{v_0} + 1)$  for the  $v_0 \in \mathcal{T}_0$ , obtaining

$$3d_{k,\ell}/e'(P_{v_0}) = [\bar{\Gamma} : \Pi] \prod_{v \in \mathcal{T} \setminus \mathcal{T}_0} e'(P_v). \quad (\dagger)$$

We've seen  $v \in \mathcal{T} \setminus \mathcal{T}_0 \Rightarrow$ ,  $v$  doesn't split in  $\ell$ . So  $v$  inert in  $\ell$  or  $v$  ramifies in  $\ell$ . In inert case:

- $e'(P_v) = q_v^2 - q_v + 1$  if  $P_v$  stabilizes a type 2 vertex, and
- $e'(P_v) = q_v^3 + 1 = (q_v^2 - q_v + 1)(q_v + 1)$  if  $P_v$  stabilizes an edge.

Fact: If  $q \notin \{1, 2, 3, 5\}$ , then  $q^2 - q + 1$  is divisible by a prime  $p \notin \{2, 3, 5, 7\}$ . For  $q = 2, 3$  and  $5$ ,  $q^2 - q + 1$  equals 3, 7 and 21, respectively.

Division algebra cases. Possibilities for inert  $v \in \mathcal{T} \setminus \mathcal{T}_0$ :

name	$q_{v_0}$	$3d_{k,\ell}/e'(P_{v_0})$	$v$
$(a = 1, p = 5)$	5	3	–
$(a = 2, p = 3)$	3	3	–
$(a = 7, p = 2)$	2	21	3, 5
$(a = 15, p = 2)$	2	3	–
$(a = 23, p = 2)$	2	1	–
$\mathcal{C}_2$	4	9	–
$\mathcal{C}_{10}$	2	3	–
$\mathcal{C}_{18}$	3	9	2
$\mathcal{C}_{20}$	2	21	3+, 3–

We also list the  $v \in V_f$  which ramify in  $\ell$ :

name	$q_{v_0}$	$3d_{k,\ell}/e'(P_{v_0})$	$v$
$(a = 1, p = 5)$	5	3	2
$(a = 2, p = 3)$	3	3	2
$(a = 7, p = 2)$	2	21	7
$(a = 15, p = 2)$	2	3	3, 5
$(a = 23, p = 2)$	2	1	23
$\mathcal{C}_2$	4	9	3
$\mathcal{C}_{10}$	2	3	17-
$\mathcal{C}_{18}$	3	9	-
$\mathcal{C}_{20}$	2	21	-

**Example.** In the  $\mathcal{C}_{18}$  case,  $k = \mathbb{Q}(r)$  with  $r^2 = 6$ , and  $d_{k,\ell} = 48$ . In the division algebra case,  $\alpha = 2$ , and so equation (\*) tells us that

$$3^2 \times 2^4 = [\bar{\Gamma} : \Pi] \prod_{v \in \mathcal{T}} e'(P_v).$$

The only prime powers  $q$  for which  $(q - 1)^2(q + 1)$  divides  $3^2 \times 2^4$  are  $q = 2$  and  $q = 3$ . The primes 2 and 3 ramify in  $k$ , so there is only one 2-adic valuation on  $k$ , and only one 3-adic valuation. The 2-adic valuation is inert in  $\ell$ , and so cannot be in  $\mathcal{T}_0$ . So  $\mathcal{T}_0 = \{v_0\}$  for the one 3-adic valuation  $v_0$  on  $k$ . Then  $(q_{v_0} - 1)^2(q_{v_0} + 1) = 2^4$ , and (†) reads

$$9 = [\bar{\Gamma} : \Pi] \prod_{v \in \mathcal{T} \setminus \mathcal{T}_0} e'(P_v).$$

The only possibility for a  $v \in \mathcal{T} \setminus \mathcal{T}_0$  such that  $e'(P_v)$  divides 9 is the one 2-adic valuation.



For the one 2-adic valuation  $v$ , for which  $q_v = 2$ ,  $P_v$  could stabilize an edge, and  $e'(P_v) = q_v^3 + 1 = 9$ . Equation (†) then tells us that

$$\mathcal{T} = \{2, 3\} \quad \text{and} \quad [\bar{\Gamma} : \Pi] = 1.$$

If  $v$  is the 2-adic valuation, and  $P_v$  merely stabilizes a type 2 vertex, then  $e'(P_v) = q_v^2 - q_v + 1 = 3$ . Equation (†) now tells us that

$$\mathcal{T} = \{2, 3\} \quad \text{and} \quad [\bar{\Gamma} : \Pi] = 3.$$

If  $v$  is the 2-adic valuation, and  $P_v$  stabilizes a type 1 vertex, then  $v \notin \mathcal{T}$ , and Equation (†) tells us that

$$\mathcal{T} = \{3\} \quad \text{and} \quad [\bar{\Gamma} : \Pi] = 9.$$

There are two other cases  $(k, \ell)$  in which  $P_v$  can be the stabilizer of an edge. When  $v$  ramifies in  $\ell$  and  $P_v$  is the stabilizer of an edge, then  $e'(P_v) = q_v + 1$ . In the cases

$$(a = 1, p = 5) \quad \text{and} \quad (a = 2, p = 3),$$

the 2-adic valuation on  $k = \mathbb{Q}$  ramifies in  $\ell$ , and  $q_v + 1 = 3$  divides  $3d_{k,\ell}/e'(P_{v_0}) = 3$ .

We may assume that each  $P_v$  is the stabilizer of a vertex.

Recall that  $\Lambda$  had the property that  $\varphi^{-1}(\Pi) \subset N_{SU(2,1)}(\Lambda)$ . Suppose that  $\Lambda = \prod_{v \in V_f} P_v$ , and that all the  $P_v$ 's are maximal except for  $v = v_1$ . Replace  $P_{v_1}$  by a maximal  $P'_{v_1} \supset P_{v_1}$ . Leaving all the other  $P_v$ 's unchanged, we get a principal arithmetic group  $\Lambda'$  such that  $\Lambda \subset \Lambda'$ .

Then  $\varphi^{-1}(\Pi) \subset N_{SU(2,1)}(\Lambda')$  (see the end of §2.2 in [PY]).

**So we may assume that each  $P_v$  is maximal for each  $v \in V_f$ .**

These maximal  $P_v$ 's are the maximal compact subgroups of  $G(k_v)$ .

When  $v \in V_f \setminus \mathcal{T}_0$  splits in  $\ell$ , there are 3 conjugacy classes of maximal compact subgroups in  $G(k_v) \cong SL(3, k_v)$ , but only one conjugacy class if you allow conjugation by elements of  $\bar{G}(k_v) \cong PGL(3, k_v)$ .

If  $v \in V_f \setminus \mathcal{T}_0$  does not split in  $\ell$ , there are 2 conjugacy classes of maximal compact subgroups in  $G(k_v)$ , and still two conjugacy classes even if you allow conjugation by elements of  $\bar{G}(k_v)$ .

If  $P_v$  is the stabilizer of a vertex  $x_v$  and if  $P'_v$  is the stabilizer of another vertex  $x'_v$  in the building  $X_v$ , then  $P_v$  can only be conjugated into  $P'_v$  by an element of  $G(k_v)$  if  $x_v$  and  $x'_v$  have the same type.

In this case,  $v$  is in  $\mathcal{T}$  only when that type is 2.

We use the following set in describing the classification of the fpps:

**Definition.**  $\mathcal{T}_1 :=$  the set of  $v \in V_f \setminus \mathcal{T}_0$  which do not split in  $\ell$  for which  $P_v$  is the stabilizer of a type 2 vertex.

If  $v \in \mathcal{T}_1$ , then either  $v \in \mathcal{T} \setminus \mathcal{T}_0$  or  $v$  ramifies in  $\ell$ .

**Proposition.** (Proposition 5.3 on [PY]). If  $\Lambda = \prod_{v \in V_f} P_v$  and  $\Lambda' = \prod_{v \in V_f} P'_v$  are two principal arithmetic subgroups of  $G(k)$ , with each  $P_v$  and  $P'_v$  maximal, and if the set  $\mathcal{T}_1$  is the same for both, then  $\Lambda'$  and  $\Lambda$  are conjugate by an element of  $\bar{G}(k)$ .

For each  $v \in V_f$  such that  $v$  splits in  $\ell$ , pick a vertex  $x_v \in X_v$ . For each  $v \in V_f$  which does not split, pick a type 1 vertex  $x_v$  and a type 2 vertex  $x'_v$ . Let

$$\Lambda_{\mathcal{T}_1} = \{g \in G(k) : g \in P_v \text{ for all } v \in V_f\},$$

where

$$P_v = \begin{cases} \text{the stabilizer of } x'_v \text{ if } v \in \mathcal{T}_1, \\ \text{the stabilizer of } x_v \text{ if } v \in \mathcal{T} \setminus \mathcal{T}_1. \end{cases}$$

One has to assume that the choices made give a coherent family of parahoric subgroups.

So up to conjugation by an element of  $\bar{G}(k)$ ,  $\Lambda_{\mathcal{T}_1}$  is independent of the choices made of the  $x_v$ 's and  $x'_v$ 's.

For example, if  $(k, \ell)$  is  $(a = 15, p = 2)$ , then  $d_{k, \ell} = 3$ . Equation (\*) reads

$$9 = [\bar{\Gamma} : \Pi] \prod_{v \in \mathcal{T}} e'(P_v).$$

The only prime power  $q$  such that  $(q - 1)^2(q + 1)$  divides 9 is  $q = 2$ . So  $\mathcal{T}_0 = \{2\}$ , and

$$3 = [\bar{\Gamma} : \Pi] \prod_{v \in \mathcal{T}, v \neq 2} e'(P_v).$$

So  $\mathcal{T} = \mathcal{T}_0 = \{2\}$ . However, the primes 3 and 5 ramify in  $\ell$ , and so there are 4 possibilities for  $\mathcal{T}_1$ :

$$\mathcal{T}_1 = \emptyset, \{3\}, \{5\}, \text{ or } \{3, 5\}.$$

If  $\Pi$  is the fundamental group of an fpp coming from this pair  $(k, \ell)$ , then a conjugate of  $\varphi^{-1}(\Pi)$  is commensurable with  $\Lambda_{\mathcal{T}_1}$  for one of these four  $\mathcal{T}_1$ 's. So we have four “classes” of fpps coming from  $(k, \ell)$ .