On Morse index estimates for minimal surfaces

Davi Maximo University of Pennsylvania

> CIRM May 2019

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It is also equivalent to the Gauss map

$$N:\Sigma \to \mathbb{S}^2$$

being anti-holomorphic.

Embedded Examples-I

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Embedded Examples-I

1. The flat two-plane

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- 2. The catenoid (Euler 1744)



$$x_1^2 + x_2^2 = \cosh^2 x_3$$

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Embedded Examples-II

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Embedded Examples-II

Helicoid (Euler 1774, Meusnier 1776)



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Helicoid (Euler 1774, Meusnier 1776)





$(x_1, x_2, x_3) = (t \cos s, t \sin s, s)$

19th Century

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19th Century



Scherk 1835

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19th Century





Scherk 1835

Schwarz-Neovius 1880

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Costa 1982

Costa-Hoffman-Meeks 1983

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More recent examples

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More recent examples



Weber-Wolf 2002

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More recent examples





Weber-Wolf 2002

Hoffman-Weber-Wolf 2004, Hoffman-White 2006, Hoffman-Traizet-White 2015

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Let $F: (-\epsilon, \epsilon) \times \Sigma \to \mathbb{R}^3$ be a smooth variation with $F(0, \cdot) = id|_{\Sigma}$ and $X = \frac{\partial F}{\partial t}(0, \cdot)$ its initial velocity.

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The first variation of area says that

$$|\Sigma_t|'(0) = -\int_{\Sigma} \langle \vec{H}, X \rangle + \int_{\partial \Sigma} \langle \nu, X \rangle$$

where $\Sigma_t = F_t(\Sigma)$, $\vec{H} = H\vec{N}$ is the mean curvature vector and ν is the outward unit conormal vector of $\partial \Sigma$.

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Assuming Σ minimal and X = φN, where φ ∈ C₀[∞](Σ), we compute the second variation:

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The Morse index of Σ is the number of negative eigenvalues of L. Thus, a minimal surface of index k minimizes area up to second order in all directions orthogonal to a k-dimensional space.

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- Detecting the topology from N is easier:

Thm(Jorge-Meeks 1982) If Σ has finite total curvature, genus g, and r ends, then

$$\deg(N) = -\frac{1}{4\pi} \int_{\Sigma} K = g + r - 1.$$

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• Therefore, the second variation of Σ is a conformal invariant of the induced metric on $\langle \cdot, \cdot \rangle$ on Σ . Hence, we may calculate the index with respect to the metric $N^*(ds^2)$, where ds^2 is the standard metric of S^2 . Issue: branch points!

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Thm(Chodosh-M 14) For Σ minimal surface in ℝ³ with genus g and r ends:

$$-\frac{1}{3}+\frac{2}{3}\left(-\frac{1}{4\pi}\int_{\Sigma}K\right)\leq \operatorname{ind}(\Sigma)\leq (7.7)\left(-\frac{1}{4\pi}\int_{\Sigma}K\right).$$

What is known so far:

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• $ind(\Sigma) = 1$

What is known so far:

► $ind(\Sigma) = 0$ (stable) $\Rightarrow \Sigma$ is a flat plane (doCarmo-Peng, Fisher-Colbrie-Schoen, Pogorelov circa 1980).

• $ind(\Sigma) = 1 \Rightarrow \Sigma$ is a multiple of the catenoid (Lopez-Ros 1989).

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• $ind(\Sigma) = 2$

What is known so far:

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- $ind(\Sigma) = 1 \Rightarrow \Sigma$ is a multiple of the catenoid (Lopez-Ros 1989).

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Classification with minimal surfaces with low index

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More recently, we showed:

 Thm(Chodosh-M 18) There exists no embedded minimal surface of index 3.

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Thm(Chodosh-M 18) Suppose Σ has genus g and r ends E₁, E₂,..., E_r, with multiplicities respectively d₁, d₂,..., d_r. Then

$$\operatorname{ind}(\Sigma) \geq rac{1}{3}\left(2g+2\sum_{j=1}^r (d_j+1)-5
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the above index estimate proves the conjecture.

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Thm(Chodosh-M 18) Let Σ be a one-sided immersed minimal surface in ℝ³ of finite total curvature. Let Σ̂ be the orientable double cover of Σ and suppose Σ̂ has genus g and s = 2r ends E₁, E₂,..., E_r, τ(E₁), τ(E₂), ..., τ(E_r), where τ : Σ̂ → Σ̂ is the deck transformation. Then:

$$\operatorname{ind}(\Sigma) \geq rac{1}{3} \left(g + 2 \sum_{j=1}^{r} (d_j + 1) - 4
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Thm(Chodosh-M 18) Let Σ be a one-sided immersed minimal surface in ℝ³ of finite total curvature. Let Σ̂ be the orientable double cover of Σ and suppose Σ̂ has genus g and s = 2r ends E₁, E₂,..., E_r, τ(E₁), τ(E₂), ..., τ(E_r), where τ : Σ̂ → Σ̂ is the deck transformation. Then:

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