

## The profinite completions of knot groups and twisted Alexander polynomials

Jun Ueki, The University of Tokyo  
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**Theorem 1.** [U. arXiv:1702.03819]

Let  $J, K \subset S^3$  be knots. If there is an isomorphism  $\widehat{\pi}_J \cong \widehat{\pi}_K$  on the profinite completions of their knot groups, then the equality  $\Delta_J(t) \doteq \Delta_K(t)$  of their Alexander polynomials holds (up to multiplication by units of  $\mathbb{Z}[t^{\pm 1}]$ ).

**Question.**

Let  $F/\mathbb{Q}$  be a finite extension,  $S$  a finite set of prime ideals of the integer ring of  $F$ . Let  $O = O_{F,S}$  denote the ring of  $S$ -integers of  $F$  and suppose that  $O$  is a UFD. Consider the set of knot group representations  $\rho : \pi_K \rightarrow \mathrm{GL}_n(O)$ . Does the map  $\{(\pi_K, \rho)\} / \cong \rightarrow \{\Delta_{K,\rho}(t) \in O[t^{\pm 1}]\} / \doteq$  factor through the set  $\{(\widehat{\pi}_K, \widehat{\rho})\} / \cong$  ?

## Background 1. Alexander polynomials

$K$ : knot (the image of  $S^1 \hookrightarrow S^3$ )

$X := S^3 - K$      $\pi := \pi_1(X)$

$X_\infty \rightarrow X$  ( $\mathbb{Z}$ -cover)

$1 \rightarrow [\pi, \pi] \rightarrow \pi \rightarrow \pi^{\text{ab}} \rightarrow 1$  exact  
 $\quad \quad \quad =: \pi' \quad \quad \quad \cong t^{\mathbb{Z}}$  ( $t$ : meridian)

$t \curvearrowright \pi'^{\text{ab}} \cong H_1(\pi') \underset{\text{Hur.}}{\cong} H_1(X_\infty)$  : fin.gen.  $\Lambda = \mathbb{Z}[t^{\mathbb{Z}}]$ -module

$\text{Fitt}_\Lambda H_1(X_\infty) = (\exists \Delta_K(t)) \subset \Lambda$

Such  $\Delta_K(t)$  is called the Alexander polynomial of  $K$ .

$X_n \rightarrow X$  ( $\mathbb{Z}/n\mathbb{Z}$ -cover)

$\rightsquigarrow M_n \rightarrow S^3$  (branched  $\mathbb{Z}/n\mathbb{Z}$ -cover) by the Fox completion.

By the Crowell exact sequence,  $H_1(M_n) \cong H_1(X_\infty)/(t^n - 1)H_1(X_\infty)$

Fox' formula:  $|H_1(M_n)| = \left| \prod_{\zeta^n=1} \Delta_K(\zeta) \right| = |\text{Res}(\Delta_K(t), t^n - 1)|$

Here, if  $G$  is a finite group, then  $|G|$  denotes the order of  $G$ .

If  $G$  is an infinite group, then we put  $|G| = 0$ .

The resultant of  $f(t), g(t) \in O[t^{\mathbb{Z}}]$  is defined by

$\text{Res}(f(t), g(t)) := \det \text{Syl}(f(t), g(t)) \in O$ , where  $\text{Syl}(f(t), g(t)) \in M_{m+n}(O)$ .

## Background 2. Profinite completions

Let  $\pi$  be a knot group (or a 3-manifold group).

The profinite completion of  $\pi$  is a topological group given by  $\widehat{\pi} := \varprojlim_{\text{finite quotient}} \pi/N$ .

$\pi$  is a residually finite group (i.e.,  $\exists$  natural injection  $\pi \hookrightarrow \widehat{\pi}$ )  
by [Hempel1987] + [Perelman2002-03].

[Grothendieck1970]'s question: Is finite type residually finite  $\pi$  determined by  $\widehat{\pi}$ ?  
 $\rightsquigarrow$  **No.**  $\exists$  example satisfying  $\pi \not\cong \Gamma$  and  $\widehat{\pi} \cong \widehat{\Gamma}$  by [BridsonGrunewald2004].

**Question:** What topology of  $K$  does  $\widehat{\pi}_K$  know?

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Let  $J, K \subset S^3$  be knots. If there is an isomorphism  $\widehat{\pi}_J \cong \widehat{\pi}_K$  on the profinite completions of their knot groups, then the equality  $\Delta_J(t) \doteq \Delta_K(t)$  of their Alexander polynomials holds (up to multiplication by units of  $\mathbb{Z}[t^{\pm 1}]$ ).

Former results:

[BoileauFriedl2015] If  $\Delta_K(t)$  does not vanish at any root of unity, then OK.

[BridsonReid2015] The group of figure eight knot can be distinguished from any other 3-manifold group by comparing  $\widehat{\pi}$ 's.

Proof of Thm 1: If  $\Delta_K(t)$  does not vanish at any root of unity ([BF2015])

[Fried1988]'s proposition. (See also [Hillar2005])

Let  $\Delta(t) \in \mathbb{R}[t]$  is a *reciprocal* (symmetric) polynomial with  $\Delta(0) \neq 0$  and suppose that it does not vanish at any root of unity. Then  $\Delta(t)$  is determined by the absolute values of cyclic resultants  $b_n := |\text{Res}(\Delta(t), t^n - 1)|$  ( $= \prod_{\zeta^n=1} |\Delta(\zeta)|$ ).

Idea of proof:  $B(z) := \exp \sum_{n=1}^{\infty} b_n \frac{t^n}{n}$  extends to a rational function on  $\mathbb{C}$  by analytic continuation, and the roots of  $\Delta(t)$  appear there.  
(Such  $B(z)$  is called a dynamical zeta function of [ArtinMazur1965]).

Proof of Thm 1: If  $\Delta_K(t)$  does not vanish on roots of unity, then  $H_1(M_n)$  is finite. Since  $H_1(M_n) \cong \hat{H}_1(M_n) \cong \text{Ker}(\hat{\pi} \rightarrow \mathbb{Z}/n\mathbb{Z})^{\text{ab}} / t^n \hat{\mathbb{Z}}$ ,  $H_1(M_n)$  is determined by  $\hat{\pi}$ . Their order is given by cyclic resultants as  $|H_1(M_n)| = |\text{Res}(\Delta_K(t), t^n - 1)|$ . By [Fried1988]'s proposition, Thm 1 follows. ■

Examples which cannot be distinguished by the above method [Fried1988]+

Let  $\Phi_m = \Phi_m(t)$  denote the  $m$ -th cyclotomic polynomials for each  $m \in \mathbb{N}$  and let  $p, q$  be distinct prime numbers.

- The pair of  $f := \Phi_{pq} \Phi_{p^2q} \Phi_{pq^2}$  and  $g := \Phi_{pq}^2 \Phi_{p^2q^2}$ .
- The pair of  $F := f^2g$  and  $G := fg^2$ .

# Proof of Thm 1: If $\Delta_K(t)$ does vanish at roots of unity [U.]

Consider the completed group ring  $\widehat{\Lambda} := \widehat{\mathbb{Z}}[[t^{\widehat{\mathbb{Z}}}]] = \varprojlim_{m,n} \mathbb{Z}/n\mathbb{Z}[t^{\mathbb{Z}/m\mathbb{Z}}]$ .

$\widehat{\mathbb{Z}} = \varprojlim_n \mathbb{Z}/n\mathbb{Z}$  is not an integral domain,  
 while the  $p$ -adic integer ring  $\mathbb{Z}_p = \varprojlim_n \mathbb{Z}/p^n\mathbb{Z}$  is an integral domain.

The decomposition  $\widehat{\Lambda} \cong \prod_p \mathbb{Z}_p[[t^{\widehat{\mathbb{Z}}}]]$  is useful.

**Step 1.**  $\widehat{\pi}_J \cong \widehat{\pi}_K \rightsquigarrow$  isomorphism  $\widehat{H}_1(X_{J,\infty}) \cong \widehat{H}_1(X_{K,\infty})$  of  $\widehat{\Lambda}$ -modules  
 $\rightsquigarrow$  equality  $(\Delta_J(t^v)) = (\Delta_K(t))$  of ideals of  $\widehat{\Lambda}$

Note that if we write  $\pi_J^{\text{ab}} = s^{\mathbb{Z}}$ , then the induced isomorphism  $s^{\widehat{\mathbb{Z}}} = \widehat{\pi}_J^{\text{ab}} \cong \widehat{\pi}_K^{\text{ab}} = t^{\widehat{\mathbb{Z}}}$   
 sends  $s \mapsto t^v$  for some unit  $v$  of  $\widehat{\mathbb{Z}}$ .

**Step 2.** Let  $\Phi_m(t) \in \mathbb{Z}[t]$  denote the  $m$ -th cyclotomic polynomial.  
 $(\Phi_m(t))$  is the minimal polynomial over  $\mathbb{Q}$  of a primitive  $m$ -th root  $\zeta_m$  of unity, and  
 known to be in  $\mathbb{Z}[t]$ . It can also be defined inductively by  $t^n - 1 = \prod_{m|n} \Phi_m(t)$ .

Lemma.  $\Phi_m(t)$  is not a zero divisor of  $\widehat{\Lambda}$ .

Proof: Let  $M, I$  denote the images of the ideals  $\cup_k \text{Ann}(\Phi_m(t)^k)$  and  $(\Phi_m(t))$   
 in  $A = \mathbb{Z}_p[t^{\mathbb{Z}/n\mathbb{Z}}]$ . Prove  $M = IM$  and apply NAK's lemma.

Lemma. If  $v \in \widehat{\mathbb{Z}}^*$ , then  $\Phi_m(t^v)/\Phi_m(t) \in \widehat{\Lambda}$ .

Proof of Thm 1: By these Lemmas, we can cancel common cyclotomic divisors. ■

# Results on Twisted Alexander polynomials

## Question.

Let  $F/\mathbb{Q}$  be a finite extension,  $S$  a finite set of prime ideals of the integer ring of  $F$ . Let  $O = O_{F,S}$  denote the ring of  $S$ -integers of  $F$  and suppose that  $O$  is a UFD. Consider the set of knot group representations  $\rho : \pi_K \rightarrow \mathrm{GL}_n(O)$ . Does the map  $\{(\pi_K, \rho)\} / \cong \rightarrow \{\Delta_{K,\rho}(t) \in O[t^{\mathbb{Z}}]\} / \doteq$  factor through the set  $\{(\widehat{\pi}_K, \widehat{\rho})\} / \cong$  ?

If the answer is Yes, we say  $(\widehat{\pi}_K, \widehat{\rho})$  determines  $\Delta(t) = \Delta_{K,\rho}(t)$ .

## Theorem 2. [U.]

- ① If  $\Delta(t)$  is reciprocal, then Yes, up to conjugate of  $F/\mathbb{Q}$ .
- ② If  $\mathbb{Q}(\zeta_n)$  is the maximal cyclotomic field contained in the minimal decomposition field of  $\Delta(t)$ , then for almost all prime numbers  $p$  with  $n \mid (p-1)$ , the roots of  $\Delta(t)$  is determined up to multiplication by roots of  $(p-1)$ -th roots of unity.
- ③ If the cyclotomic polynomial  $\Phi_m(t)$  decomposes in  $O[t]$  and its divisors are partially contained in  $\Delta(t)$ , then we cannot distinguish them.

$\Delta(t)$  is not necessarily reciprocal, but the criterion is known (cf. [Hillman2012]).

E.g., if  $\rho$  is an  $\mathrm{SL}_2$  representation then  $\Delta(t)$  is reciprocal.

The proof of Theorem 2 reduces to

“does  $(\Delta(t^v)) \subset \widehat{O}[[t^{\mathbb{Z}}]]$  with unknown unit  $v$  of  $\widehat{\mathbb{Z}}$  determine  $\Delta(t) \in O[t]$ ?”

# Proofs of Theorem 2 on twisted Alexander polynomials

By the universality of profinite completions,  $\rho$  induces  $\hat{\rho}: \hat{\pi}_K \rightarrow \mathrm{GL}_n(\hat{O})$ .

The continuous homology  $\hat{H}_1(\hat{\pi}_K, \hat{\rho})$  is a finitely generated  $\hat{\Lambda}_O := \hat{O}[[t^{\hat{\mathbb{Z}}}]$ -module, and we obtain  $\mathrm{Fitt}_{\hat{\Lambda}_O} = (\Delta_{K, \rho}(t^v))$  for some unit  $v$  of  $\hat{\mathbb{Z}}$ .

Thus The proof reduces to “does  $(\Delta(t^v)) \subset \hat{O}[[t^{\hat{\mathbb{Z}}}]$  determine  $\Delta(t) \in O[t]?$ ”

Assrsion ① follows from Theorem 1 by the norm map  $\mathrm{Nr}_{F/\mathbb{Q}}$  on  $O[t]$ .

(Recall that Fried’s proposition needs  $\Delta(t) \in \mathbb{R}[t]$ .)  $\square$

Fix  $\overline{\mathbb{Q}} \hookrightarrow \mathbb{C}_p = \widehat{\mathbb{Q}}_p$  for each prime number  $p$ .

The following Lemmas prove Assersion ②.

**Lemma.** If  $0 \neq \alpha \in \overline{\mathbb{Q}}$ , then  $|\alpha|_p = 1$  for almost all  $p$ . If  $|\alpha|_p = 1$ , then there exists a unique root of unity  $\zeta$  with  $|\alpha - \zeta|_p < 1$ .

Let  $\mathbb{Q}(\zeta_n)$  be the maximal cyclotomic field contained in the normal closure of  $\mathbb{Q}(\alpha)$ , then for almost all  $p$  with  $n|(p-1)$ ,  $\alpha \in \mathbb{Q}_p$ ,  $|\alpha|_p = 1$  and  $|\alpha^{p-1} - 1| < 1$ .

**Lemma.** If an ideal of  $\hat{O}[[t^{\hat{\mathbb{Z}}}]$  is generated by unknown  $f(t) \in O[[t^{\hat{\mathbb{Z}}}]$ , then for each  $m \in \mathbb{N}$ , we can detect all the  $\alpha^m$ ’s satisfying  $|\alpha^m - 1|_p < 1$  with multiplicity for roots  $\alpha \in \overline{\mathbb{Q}}$  of  $f(t)$ .

**Idea:** To consider the completed Alexander module for  $\mathbb{Z}/m\mathbb{Z} \times \mathbb{Z}_p$ -cover.

We have  $\mathbb{Z}_p[[t^{\hat{\mathbb{Z}}}] \rightarrow \mathbb{Z}_p[[t^{\mathbb{Z}/m\mathbb{Z}} \times \mathbb{Z}_p]]$ . If we put  $g(t') = g(t^m) = \prod_{\zeta^{m-1}} f(t\zeta)$ , then

we have a correspondence of principal ideals of  $\mathbb{Z}_p[[t^{\mathbb{Z}/m\mathbb{Z}} \times \mathbb{Z}_p]]$  and  $\mathbb{Z}_p[[t'^{\mathbb{Z}_p}]]$  by  $(f(t)) \mapsto (g(t'))$ . Recall the Iwasawa isomorphism  $\mathbb{Z}_p[[t'^{\mathbb{Z}_p}]] \cong \mathbb{Z}_p[[T]]$ ;  $t' \mapsto 1 + T$ .

Since  $\mathbb{Z}_p[[T]]$  has convergence radius 1, we obtain  $\alpha^m$ ’s with  $|\alpha^m - 1|_p \leq 1$ .  $\blacksquare$

# Results and question

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- ③ If the cyclotomic polynomial  $\Phi_m(t)$  decomposes in  $O[t]$  and its divisors are partially contained in  $\Delta(t)$ , then we cannot distinguish them.

## Questions

- ① Does  $\rho: \widehat{\pi}_K \rightarrow \mathrm{GL}_n(\widehat{O})$  appear in any natural topological setting?
- ② Can we find a representation with any topological meaning in the set  $\{\widehat{\rho}: \widehat{\pi}_K \rightarrow \mathrm{GL}_n(\widehat{O})\}$ ? (E.g. the  $\widehat{\rho}$  of a holonomy representation?)