

1. Introduction
 2. Properties of the Landau Equation
 3. A local existence result
 4. Gelfand-Shilov and Gevrey smoothing effects
 5. Global existence of the solution
 6. Concluding Remarks
- Reminder on special functions

Gelfand-Shilov smoothing effect for the Landau equation

NICOLAS LERNER (Sorbonne Université)

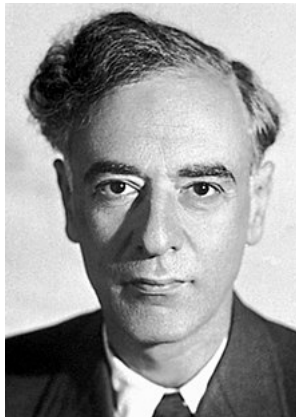
after a joint work with

K. Pravda-Starov, Y. Morimoto & C.-J. Xu

Non Standard Diffusions in Fluids, Kinetic Equations and Probability

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 2. Properties of the Landau Equation
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 5. Global existence of the solution
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- Reminder on special functions



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- 1. Introduction
- 2. Properties of the Landau Equation
 - 3. A local existence result
- 4. Gelfand-Shilov and Gevrey smoothing effects
 - 5. Global existence of the solution
 - 6. Concluding Remarks
- Reminder on special functions

Landau Equation and Hypocoellipticity

Our scales of regularity and the result

1. Introduction

Landau Equation and Hypocoellipticity

- 2. Properties of the Landau Equation
- 3. A local existence result
- 4. Gelfand-Shilov and Gevrey smoothing effects
- 5. Global existence of the solution
- 6. Concluding Remarks
- Reminder on special functions

1. Introduction

Landau Equation and Hypocoellipticity

The Landau kinetic equation, derived in 1936 by the Russian theoretical physicist Lev Davidovitch Landau reads

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where $f = f(t, x, v) \geq 0$ stands for the density distribution of particles at time t , having position $x \in \mathbb{R}^3$ and velocity $v \in \mathbb{R}^3$. The term $Q_L(f, f)$ corresponds to the Landau collision operator associated to the bilinear operator

$$Q_L(g, f) = \nabla_v \cdot \left(\int_{\mathbb{R}^3} a(v - v_*) \{ g(v_*) (\nabla_v f)(v) - (\nabla_{v_*} g)(v_*) f(v) \} dv_* \right),$$

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$$a(v) = |v|^{\gamma+2} \left(\text{Id} - \frac{v \otimes v}{|v|^2} \right) = |v|^{\gamma+2} \Pi_{v^\perp} \in \mathcal{M}_3(\mathbb{R}), \quad -3 < \gamma < +\infty.$$

1. Introduction
 2. Properties of the Landau Equation
 3. A local existence result
 4. Gelfand-Shilov and Gevrey smoothing effects
 5. Global existence of the solution
 6. Concluding Remarks
- Reminder on special functions

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More specifically, we study the Landau equation with **Maxwellian molecules** in a **close to equilibrium** framework.

1. Introduction
 2. Properties of the Landau Equation
 3. A local existence result
 4. Gelfand-Shilov and Gevrey smoothing effects
 5. Global existence of the solution
 6. Concluding Remarks
- Reminder on special functions

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around the Maxwellian equilibrium distribution

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This distribution is a stationary solution for the Landau equation since it depends only on v and we have $Q_L(\mu, \mu) = 0$. We consider the linearized Landau operator around this equilibrium distribution given by

$$\mathcal{L}g = -\mu^{-1/2}Q_L(\mu, \mu^{1/2}g) - \mu^{-1/2}Q_L(\mu^{1/2}g, \mu). \quad (3)$$

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The original Landau equation (1) is then reduced to the Cauchy problem for the fluctuation,

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$$\Gamma_L(g, f) = \mu^{-1/2}Q_L(\sqrt{\mu}g, \sqrt{\mu}f). \quad (5)$$

1. Introduction

2. Properties of the Landau Equation

3. A local existence result

4. Gelfand-Shilov and Gevrey smoothing effects

5. Global existence of the solution

6. Concluding Remarks

Reminder on special functions

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The regularity issues for solutions of the Landau Equation were already extensively studied.

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1. Introduction
 2. Properties of the Landau Equation
 3. A local existence result
 4. Gelfand-Shilov and Gevrey smoothing effects
 5. Global existence of the solution
 6. Concluding Remarks
- Reminder on special functions

Landau Equation and Hypocoellipticity

Our scales of regularity and the result

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1. Introduction
 2. Properties of the Landau Equation
 3. A local existence result
 4. Gelfand-Shilov and Gevrey smoothing effects
 5. Global existence of the solution
 6. Concluding Remarks
- Reminder on special functions

Landau Equation and Hypocoellipticity

Our scales of regularity and the result

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Use some explicit expression in terms of special functions

1. Introduction
 2. Properties of the Landau Equation
 3. A local existence result
 4. Gelfand-Shilov and Gevrey smoothing effects
 5. Global existence of the solution
 6. Concluding Remarks
- Reminder on special functions

Landau Equation and Hypocoellipticity

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Use some explicit expression in terms of special functions to calculate nonetheless the linearized part but also the quadratic part

1. Introduction
 2. Properties of the Landau Equation
 3. A local existence result
 4. Gelfand-Shilov and Gevrey smoothing effects
 5. Global existence of the solution
 6. Concluding Remarks
- Reminder on special functions

Landau Equation and Hypocoellipticity

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Our goal:

Use some explicit expression in terms of special functions to calculate nonetheless the linearized part but also the quadratic part and show the existence of very regular solutions also with fast decay in the v variable.

1. Introduction
 2. Properties of the Landau Equation
 3. A local existence result
 4. Gelfand-Shilov and Gevrey smoothing effects
 5. Global existence of the solution
 6. Concluding Remarks
- Reminder on special functions

Landau Equation and Hypocoellipticity

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We must study the hypoellipticity properties of the operator

$$\mathcal{P} = \partial_t + v \cdot \nabla_x + \mathcal{L}_L.$$

1. Introduction

2. Properties of the Landau Equation

3. A local existence result

4. Gelfand-Shilov and Gevrey smoothing effects

5. Global existence of the solution

6. Concluding Remarks

Reminder on special functions

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That operator has a very particular structure, closely related to the Kolmogorov operator

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For \mathcal{K} as well as for \mathcal{P} , **some ellipticity properties are easy to get in the velocity variables v** and the main question is related to the understanding of the mechanism leading to regularity in the space variable x . In the model-case of the Kolmogorov operator, we notice that the bracket identities

$$[\partial_{v_j}, \partial_t + v \cdot \nabla_x] = \partial_{x_j},$$

show that the missing derivatives ∇_x are in fact obtained as brackets of the transport part

$$X_0 = \partial_t + v \cdot \nabla_x,$$

with vector fields $(X_j)_{1 \leq j \leq N}$ such that $-\Delta_v = \sum_{1 \leq j \leq N} X_j^* X_j$, here $X_j = \partial_{v_j}$.

1. Introduction
 2. Properties of the Landau Equation
 3. A local existence result
 4. Gelfand-Shilov and Gevrey smoothing effects
 5. Global existence of the solution
 6. Concluding Remarks
- Reminder on special functions

Our scales of regularity and the result

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The Gevrey space $G^\mu(\mathbb{R}^d)$ is defined as the space of $f \in C^\infty(\mathbb{R}^d)$ such that $\exists C > 0, \varepsilon > 0$,

$$|\widehat{f}(\xi)| \leq Ce^{-\varepsilon|\xi|^{1/\mu}}, \quad |\xi| \geq 1.$$

G^1 : analytic functions $\subset G^s$ for $s > 1$ which contains some C_c^∞ functions $\subset C^\infty$ functions.

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The Gelfand-Shilov space $S_\nu^\mu(\mathbb{R}^d)$ is defined as the space of Schwartz functions $f \in \mathcal{S}(\mathbb{R}^d)$ such that $\exists C > 0, \varepsilon > 0$,

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1. Introduction
2. Properties of the Landau Equation
3. A local existence result
4. Gelfand-Shilov and Gevrey smoothing effects
5. Global existence of the solution
6. Concluding Remarks
- Reminder on special functions

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In particular, Hermite functions (cf. (32)) belong to the symmetric Gelfand-Shilov space $S_{1/2}^{1/2}(\mathbb{R}^d)$. The symmetric Gelfand-Shilov spaces $S_\mu^\mu(\mathbb{R}^d)$, with $\mu \geq 1/2$, can be characterized through the decomposition into the Hermite basis $(\Psi_\alpha)_{\alpha \in \mathbb{N}^d}$:

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$$f \in S_\mu^\mu(\mathbb{R}^d) \Leftrightarrow \exists \varepsilon_0 > 0, \|e^{\varepsilon_0 \mathcal{H}^{1/2\mu}} f\|_{L^2} < +\infty$$

where $\mathcal{H} = -\Delta_v + \frac{|v|^2}{4}$ is the d -dimensional Harmonic Oscillator.

Our main result shows that the Cauchy problem

$$\begin{cases} \partial_t g + v \cdot \nabla_x g + \mathcal{L}_L g = \Gamma_L(g, g), \\ g|_{t=0} = g_0, \end{cases} \quad (7)$$

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$H^{(r,0)}(\mathbb{R}_{x,v}^6)$ with $r > 3/2$, where for $r_1, r_2 \geq 0$,

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We expect also some **Gelfand-Shilov regularity in the velocity variable v** , that is Gevrey regularity in v and exponential decay in v and **Gevrey regularity in x** .

Theorem

Let $r > 3/2$ be given. There exists $\varepsilon_0 > 0$ such that for all $g_0 \in H^{(r,0)}(\mathbb{R}_{x,v}^6)$ satisfying

$$\|g_0\|_{H^{(r,0)}(\mathbb{R}_{x,v}^6)} \leq \varepsilon_0,$$

the Cauchy problem (7) admits a global solution which satisfies

$$g \in L^\infty([0, +\infty[, H^{(r,0)}(\mathbb{R}_{x,v}^6)), \quad \mathcal{L}_L g \in L^2([0, +\infty[, H^{(r,0)}(\mathbb{R}_{x,v}^6))).$$

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Furthermore, the solution enjoys the following Gelfand-Shilov regularity in v and Gevrey regularity x for all positive t : there exists $C > 1$ such that for any $t > 0$ and any $\alpha, \beta, \gamma \in \mathbb{N}^3$, we have

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$$\| \underbrace{v^\alpha \partial_v^\beta}_{v} \underbrace{\partial_x^\gamma}_{x} g(t) \|_{L^\infty(\mathbb{R}_{x,v}^6)} \leq \max \left(\frac{1}{t^{\frac{3}{2}(|\alpha|+|\beta|+|\gamma|)+13}}, 1 \right) C^{|\alpha|+|\beta|+|\gamma|+1} \\ \times \underbrace{(\alpha!)^{\frac{3}{2}} (\beta!)^{\frac{3}{2}}}_{\substack{\text{Gelfand-Shilov} \\ S_{3/2}^{\text{in } v}}} \underbrace{(\gamma!)^{\frac{3}{2}}}_{\substack{\text{Gevrey } 3/2 \\ \text{in } x}} \|g_0\|_{H^{(r,0)}(\mathbb{R}_{x,v}^6)}.$$

Let us note that this result implies that the Cauchy problem (7) enjoys, locally in time, the same regularizing effect as the following evolution equation

$$\begin{cases} \partial_t g + (\sqrt{\mathcal{H}_v} + \langle D_x \rangle)^{\frac{2}{3}} g = 0, \\ g|_{t=0} = g_0 \in L^2(\mathbb{R}_{x,v}^6), \end{cases} \quad (8)$$

where $\mathcal{H}_v = -\Delta_v + \frac{|v|^2}{4}$ is the Harmonic Oscillator (cf. (32)) in the velocity variables.

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$$g(t) = e^{-t(\sqrt{\mathcal{H}_v} + \langle D_x \rangle)^{2/3}} g_0 \in G^{3/2}(\mathbb{R}_x^3; S_{3/2}^{3/2}(\mathbb{R}_v^3)), \text{ for all } t > 0,$$

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where $G^{3/2}$ is the Gevrey function space and $S_{3/2}^{3/2}$ is the Gelfand-Shilov space.

2. Properties of the Landau Equation

On the linearized Landau operator, a special functions approach

With $\mu = (2\pi)^{-\frac{3}{2}} e^{-\frac{|v|^2}{2}}$ standing for the Maxwellian solution, we have

$$\mathcal{L}_L g = -\mu^{-1/2} Q_L(\mu, \mu^{1/2} g) - \mu^{-1/2} Q_L(\mu^{1/2} g, \mu).$$

This is an unbounded symmetric non-negative operator on $L^2(\mathbb{R}_v^3)$, with kernel

$$\mathcal{N} = \text{Span} \left\{ \underbrace{\Psi_0}_{\mu^{1/2}}, \Psi_{e_1}, \Psi_{e_2}, \Psi_{e_3}, \sum_{j=1}^3 \Psi_{2e_j} \right\}, \quad (\Psi_\alpha) \text{ are the Hermite functions}$$

In the case of Maxwellian molecules, the linearized Landau operator may be computed explicitly and we have

$$\begin{aligned} \mathcal{L}_L = & 2\left(-\Delta_v + \frac{|v|^2}{4} - \frac{3}{2}\right) - \Delta_{\mathbb{S}^2} + \left[\Delta_{\mathbb{S}^2} - 2\left(-\Delta_v + \frac{|v|^2}{4} - \frac{3}{2}\right)\right] \mathbb{P}_1 \\ & + \left[-\Delta_{\mathbb{S}^2} - 2\left(-\Delta_v + \frac{|v|^2}{4} - \frac{3}{2}\right)\right] \mathbb{P}_2, \end{aligned}$$

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where $\mathbb{P}_k = \sum_{|\alpha|=k} \mathbb{P}_\alpha$ denote the orthogonal projection onto the Hermite basis, whereas $\Delta_{\mathbb{S}^2}$ stands for the Laplace-Beltrami operator on the unit sphere \mathbb{S}^2 .

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Note (cf. (33)) that

$$\Delta_{\mathbb{S}^2} = \frac{1}{2} \sum_{1 \leq j, k \leq 3} (v_j \partial_{v_k} - v_k \partial_{v_j})^2.$$

We set for any $n, l \geq 0$, $-l \leq m \leq l$,

$$\varphi_{n,l,m}(v) = 2^{-3/4} \left(\frac{2n!}{\Gamma(n+l+\frac{3}{2})} \right)^{1/2} \left(\frac{|v|}{\sqrt{2}} \right)^l \underbrace{L_n^{l+\frac{1}{2}} \left(\frac{|v|^2}{2} \right)}_{\substack{\text{Laguerre} \\ \text{polynomials} \\ \text{(cf. (35))}}} e^{-\frac{|v|^2}{4}} \underbrace{Y_l^m \left(\frac{v}{|v|} \right)}_{\substack{\text{spherical} \\ \text{harmonics}}},$$

1. Introduction
2. Properties of the Landau Equation
 3. A local existence result
4. Gelfand-Shilov and Gevrey smoothing effects
 5. Global existence of the solution
 6. Concluding Remarks
- Reminder on special functions

On the linearized Landau operator, special functions
Trilinear estimates for the collision term

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The family $(\varphi_{n,l,m})_{n,l \geq 0, |m| \leq l}$ is an orthonormal basis of $L^2(\mathbb{R}^3)$ composed by eigenvectors of the harmonic oscillator and the Laplace-Beltrami operator on the unit sphere \mathbb{S}^2 ,

$$\left(-\Delta_v + \frac{|v|^2}{4} - \frac{3}{2} \right) \varphi_{n,l,m} = (2n+l) \varphi_{n,l,m}, \quad -\Delta_{\mathbb{S}^2} \varphi_{n,l,m} = l(l+1) \varphi_{n,l,m}.$$

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The linearized Landau operator \mathcal{L}_L is diagonal in that basis and satisfies

$$\mathcal{L}_L \varphi_{n,l,m} = \lambda_L(n, l, m) \varphi_{n,l,m}. \quad n, l \geq 0, \quad -l \leq m \leq l,$$

1. Introduction
2. Properties of the Landau Equation
 3. A local existence result
 4. Gelfand-Shilov and Gevrey smoothing effects
 5. Global existence of the solution
 6. Concluding Remarks
- Reminder on special functions

On the linearized Landau operator, special functions
Trilinear estimates for the collision term

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The linearized Landau operator \mathcal{L}_L is diagonal in that basis and satisfies

$$\mathcal{L}_L \varphi_{n,l,m} = \lambda_L(n, l, m) \varphi_{n,l,m}, \quad n, l \geq 0, \quad -l \leq m \leq l,$$

where $\lambda_L(0, 0, 0) = \lambda_L(0, 1, 0) = \lambda_L(0, 1, \pm 1) = \lambda_L(1, 0, 0) = 0$, $\lambda_L(0, 2, m) = 12$,

$$\lambda_L(n, l, m) = 2(2n+l) + l(l+1), \quad 2n+l > 2.$$

We shall use the following coercive estimates, where \mathbf{P} is the projection on the kernel \mathcal{N} ,

$$(\mathcal{L}f, f)_{L^2(\mathbb{R}_v^3)} \approx \|\mathcal{H}^{\frac{1}{2}}(1 - \mathbf{P})f\|_{L^2(\mathbb{R}_v^3)}^2 + \|(-\Delta_{\mathbb{S}^2})^{\frac{1}{2}}(1 - \mathbf{P})f\|_{L^2(\mathbb{R}_v^3)}^2,$$

where the square root of the Laplace-Beltrami operator is defined by Functional Calculus,

$$(-\Delta_{\mathbb{S}^2})^{\frac{1}{2}}f = \sum_{n,l \geq 0, |m| \leq l} \sqrt{l(l+1)} (f, \varphi_{n,l,m})_{L^2(\mathbb{R}_v^3)} \varphi_{n,l,m}.$$

1. Introduction
 2. Properties of the Landau Equation
 3. A local existence result
 4. Gelfand-Shilov and Gevrey smoothing effects
 5. Global existence of the solution
 6. Concluding Remarks
- Reminder on special functions

On the linearized Landau operator, special functions
Trilinear estimates for the collision term

Trilinear estimates for the collision term

Proposition 1.

1. Introduction
 2. Properties of the Landau Equation
 3. A local existence result
 4. Gelfand-Shilov and Gevrey smoothing effects
 5. Global existence of the solution
 6. Concluding Remarks
- Reminder on special functions

On the linearized Landau operator, special functions
Trilinear estimates for the collision term

Trilinear estimates for the collision term

Proposition 1. *Let $S_2 = \sum_{0 \leq k \leq 2} \mathbb{P}_k$, where \mathbb{P}_k denote the orthogonal projections onto the Hermite basis.*

1. Introduction
2. Properties of the Landau Equation
 3. A local existence result
4. Gelfand-Shilov and Gevrey smoothing effects
 5. Global existence of the solution
 6. Concluding Remarks
- Reminder on special functions

On the linearized Landau operator, special functions
 Trilinear estimates for the collision term

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Proposition 1. *Let $\mathbf{S}_2 = \sum_{0 \leq k \leq 2} \mathbb{P}_k$, where \mathbb{P}_k denote the orthogonal projections onto the Hermite basis. Then, there exists a positive constant $c > 0$ such that for all $f, g, h \in \mathcal{S}(\mathbb{R}_v^3)$,*

$$\begin{aligned} \left| \left(\Gamma_L(g, f), h \right)_{L^2(\mathbb{R}_v^3)} \right| &\leq c \|\mathbf{S}_2 g\|_{L^2(\mathbb{R}_v^3)} \left(\|\mathcal{H}^{\frac{1}{2}} f\|_{L^2(\mathbb{R}_v^3)} + \|(-\Delta_{\mathbb{S}^2})^{\frac{1}{2}} f\|_{L^2(\mathbb{R}_v^3)} \right) \\ &\quad \times \left(\|\mathcal{H}^{\frac{1}{2}} h\|_{L^2(\mathbb{R}_v^3)} + \|(-\Delta_{\mathbb{S}^2})^{\frac{1}{2}} h\|_{L^2(\mathbb{R}_v^3)} \right), \end{aligned}$$

1. Introduction
2. Properties of the Landau Equation
 3. A local existence result
 4. Gelfand-Shilov and Gevrey smoothing effects
 5. Global existence of the solution
 6. Concluding Remarks
- Reminder on special functions

On the linearized Landau operator, special functions
Trilinear estimates for the collision term

Trilinear estimates for the collision term

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1. Introduction
2. Properties of the Landau Equation
 3. A local existence result
 4. Gelfand-Shilov and Gevrey smoothing effects
 5. Global existence of the solution
 6. Concluding Remarks
- Reminder on special functions

On the linearized Landau operator, special functions
Trilinear estimates for the collision term

Trilinear estimates for the collision term

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We omit the proof, which is long and technical (not so unusual),

Trilinear estimates for the collision term

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where $\Gamma_L(g, f) = \mu^{-1/2} Q_L(\sqrt{\mu}g, \sqrt{\mu}f)$.

We omit the proof, which is long and technical (not so unusual), but we want to point out that the calculations use the basis $(\varphi_{n,l,m})$ displayed above to **calculate** explicitly the bilinear term $\Gamma_L(g, f)$.

The full Landau equation (and not only the linearized part) can be expressed explicitly as an infinite-dimensional system in the basis $(\varphi_{n,l,m})$.

Proposition 2. *Let $r > 3/2$ be given; there exists a positive constant $C_r > 0$ such that for all $f, g, h \in \mathcal{S}(\mathbb{R}_{x,v}^6)$, $t \geq 0$, $0 < \delta \leq 1$,*

$$\begin{aligned} & \left| \left(M_\delta(t) \Gamma_L(M_\delta(t)^{-1} g, M_\delta(t)^{-1} f), h \right)_{H(r,0)(\mathbb{R}_{x,v}^6)} \right| \\ & \leq C_r \| \mathbf{S}_2 g \|_{H(r,0)(\mathbb{R}_{x,v}^6)} \left(\| \mathcal{H}^{\frac{1}{2}} f \|_{H(r,0)(\mathbb{R}_{x,v}^6)} + \| (-\Delta_{\mathbb{S}^2})^{\frac{1}{2}} f \|_{H(r,0)(\mathbb{R}_{x,v}^6)} \right) \\ & \quad \times \left(\| \mathcal{H}^{\frac{1}{2}} h \|_{H(r,0)(\mathbb{R}_{x,v}^6)} + \| (-\Delta_{\mathbb{S}^2})^{\frac{1}{2}} h \|_{H(r,0)(\mathbb{R}_{x,v}^6)} \right), \end{aligned}$$

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with the exponential weight

$$M_\delta(t) = \frac{e^{t(\sqrt{\mathcal{H}} + \langle D_x \rangle)^{\frac{2}{3}}}}{1 + \delta e^{t(\sqrt{\mathcal{H}} + \langle D_x \rangle)^{\frac{2}{3}}}} = \sum_{k \geq 0} \frac{e^{t(\sqrt{k + \frac{3}{2}} + \langle D_x \rangle)^{\frac{2}{3}}}}{1 + \delta e^{t(\sqrt{k + \frac{3}{2}} + \langle D_x \rangle)^{\frac{2}{3}}}} \mathbb{P}_k.$$

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Same remark as for Proposition 1: an intricate proof, but based upon explicit computations, thanks to the special functions approach displayed above.

1. Introduction
 2. Properties of the Landau Equation
 3. A local existence result
 4. Gelfand-Shilov and Gevrey smoothing effects
 5. Global existence of the solution
 6. Concluding Remarks
- Reminder on special functions

Local existence for a linearized equation
Convergence of approximate solutions

3. A local existence result

Local existence for a linearized equation

Proposition 3. *Let $r > 3/2$. Then, there exist some positive constants $c_0 > 1$, $\varepsilon_0 > 0$ such that for all $T > 0$, $g_0 \in H^{(r,0)}(\mathbb{R}_{x,v}^6)$, $f \in L^\infty([0, T], H^{(r,0)}(\mathbb{R}_{x,v}^6))$ satisfying $\|S_2 f\|_{L^\infty([0, T], H^{(r,0)}(\mathbb{R}_{x,v}^6))} \leq \varepsilon_0$, the Cauchy problem*

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admits a solution satisfying

$$\begin{aligned} \|g\|_{L^\infty([0, T], H^{(r,0)}(\mathbb{R}_{x,v}^6))} + \|\mathcal{H}^{\frac{1}{2}} g\|_{L^2([0, T], H^{(r,0)}(\mathbb{R}_{x,v}^6))} + \|(-\Delta_{S^2})^{\frac{1}{2}} g\|_{L^2([0, T], H^{(r,0)}(\mathbb{R}_{x,v}^6))} \\ \leq c_0 e^T \|g_0\|_{(r,0)}. \end{aligned}$$

1. Introduction
2. Properties of the Landau Equation
3. A local existence result
4. Gelfand-Shilov and Gevrey smoothing effects
5. Global existence of the solution
6. Concluding Remarks
- Reminder on special functions

Local existence for a linearized equation
Convergence of approximate solutions

3. A local existence result

Local existence for a linearized equation

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A rather straightforward and standard argument: **prove an estimate for the adjoint equation (thanks to Proposition 1)** and use Hahn-Banach theorem for the existence.

1. Introduction
 2. Properties of the Landau Equation
 3. A local existence result
 4. Gelfand-Shilov and Gevrey smoothing effects
 5. Global existence of the solution
 6. Concluding Remarks
- Reminder on special functions

Local existence for a linearized equation
Convergence of approximate solutions

Convergence of approximate solutions

Theorem

Let $r > 3/2$, $T > 0$. Then, there exist some positive constants $c_0 > 1$, $\varepsilon_0 > 0$ such that for all $g_0 \in H^{(r,0)}(\mathbb{R}_{x,v}^6)$ satisfying $\|g_0\|_{(r,0)} \leq \varepsilon_0$, the Cauchy problem associated to the Landau equation

$$\begin{cases} \partial_t g + v \cdot \nabla_x g + \mathcal{L}_L g = \Gamma_L(g, g), \\ g|_{t=0} = g_0, \end{cases} \quad (9)$$

1. Introduction
2. Properties of the Landau Equation
3. A local existence result
4. Gelfand-Shilov and Gevrey smoothing effects
5. Global existence of the solution
6. Concluding Remarks
- Reminder on special functions

Local existence for a linearized equation
 Convergence of approximate solutions

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$$\begin{cases} \partial_t g + v \cdot \nabla_x g + \mathcal{L}g = \Gamma_L(g, g), \\ g|_{t=0} = g_0, \end{cases} \quad (9)$$

admits a solution satisfying

$$\begin{aligned} & \|g\|_{L^\infty([0, T], H^{(r,0)}(\mathbb{R}_{x,v}^6))} + \|\mathcal{H}^{\frac{1}{2}} g\|_{L^2([0, T], H^{(r,0)}(\mathbb{R}_{x,v}^6))} \\ & + \|(-\Delta_{\mathbb{S}^2})^{\frac{1}{2}} g\|_{L^2([0, T], H^{(r,0)}(\mathbb{R}_{x,v}^6))} \leq c_0 \|g_0\|_{(r,0)}. \end{aligned}$$

To prove that theorem, thanks to Proposition 3 (establishing existence for the linearized equation), we can define a sequence of solutions $(g_n)_{n \geq 0}$ belonging to $L^\infty([0, T], H^{(r,0)}(\mathbb{R}_{x,v}^6))$ and satisfying the Cauchy problem

1. Introduction
 2. Properties of the Landau Equation
 3. A local existence result
 4. Gelfand-Shilov and Gevrey smoothing effects
 5. Global existence of the solution
 6. Concluding Remarks
- Reminder on special functions

Local existence for a linearized equation
Convergence of approximate solutions

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$$\begin{cases} \partial_t g_{n+1} + v \cdot \nabla_x g_{n+1} + \mathcal{L}_L g_{n+1} = \Gamma_L(g_n, g_{n+1}), & n \geq 0, \\ g_{n+1}|_{t=0} = g_0. \end{cases}$$

To prove that theorem, thanks to Proposition 3 (establishing existence for the linearized equation), we can define a sequence of solutions $(g_n)_{n \geq 0}$ belonging to $L^\infty([0, T], H^{(r,0)}(\mathbb{R}_{x,v}^6))$ and satisfying the Cauchy problem

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We prove the convergence of these solutions towards a solution of the non-linear equation, using essentially the estimates of Proposition 1.

1. Introduction
 2. Properties of the Landau Equation
 3. A local existence result
 4. Gelfand-Shilov and Gevrey smoothing effects
 5. Global existence of the solution
 6. Concluding Remarks
- Reminder on special functions

Hypoellipticity for the linear inhomogeneous Landau equation
A priori estimates with exponential weights

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Hypoellipticity for the linear inhomogeneous Landau equation

We define $\mathcal{P} = iv \cdot \xi + \mathcal{L}_L$, where ξ is a parameter in \mathbb{R}^3 .

1. Introduction
 2. Properties of the Landau Equation
 3. A local existence result
 4. Gelfand-Shilov and Gevrey smoothing effects
 5. Global existence of the solution
 6. Concluding Remarks
- Reminder on special functions

Hypoellipticity for the linear inhomogeneous Landau equation
A priori estimates with exponential weights

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Proposition 4. *There exists a real-valued symbol $\tilde{g}(v, \eta, \xi)$ belonging to the symbol class $S(1, dv^2 + d\eta^2)$ uniformly with respect to the parameter $\xi \in \mathbb{R}^3$ and some positive constants $0 < \varepsilon_0 \leq 1$, $c_1, c_2 > 0$ such that for all $0 < \varepsilon \leq \varepsilon_0$, $f \in \mathcal{S}(\mathbb{R}_v^3)$, $\xi \in \mathbb{R}^3$,*

$$\begin{aligned} \operatorname{Re}(\mathcal{P}f, (1 - \varepsilon \tilde{g}^w(v, D_v, \xi))f)_{L^2} &\geq c_1 \|\mathcal{H}^{\frac{1}{2}} f\|_{L^2}^2 + c_1 \|(-\Delta_{\mathbb{S}^2})^{\frac{1}{2}} f\|_{L^2}^2 \\ &\quad + c_1 \varepsilon \|\langle \xi \rangle^{\frac{1}{3}} f\|_{L^2}^2 + c_1 \varepsilon \|v \wedge \xi\|_{L^2}^2 - c_2 \|f\|_{L^2}^2, \end{aligned}$$

where $\|\cdot\|_{L^2}$ stands for the $L^2(\mathbb{R}_v^3)$ -norm.

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1. Introduction
 2. Properties of the Landau Equation
 3. A local existence result
 4. Gelfand-Shilov and Gevrey smoothing effects
 5. Global existence of the solution
 6. Concluding Remarks
- Reminder on special functions

Hypoellipticity for the linear inhomogeneous Landau equation
A priori estimates with exponential weights

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Proof. Hmm, turns somewhat technological: we use a lemma due to F. Hérau & K. Pravda-Starov grounded on Wick quantization as used in Lerner's book on Pseudo-Differential Operators.

A priori estimates with exponential weights

Proposition 5. *Let $r > 3/2$, $T > 0$. Then, there exist some positive constants $c, \delta_0, \varepsilon_1 > 0$ such that for all initial data $\|g_0\|_{(r,0)} \leq \varepsilon_1$, the sequence of approximate solutions $(g_n)_{n \geq 0}$ defined above satisfies for all $0 \leq \delta \leq \delta_0$, $n \geq 1$,*

$$\begin{aligned} & \|M_0(\delta t)g_n\|_{L^\infty([0, T], H^{(r,0)}(\mathbb{R}_{x,v}^6))}^2 + \|\mathcal{H}^{\frac{1}{2}} M_0(\delta t)g_n\|_{L^2([0, T], H^{(r,0)}(\mathbb{R}_{x,v}^6))}^2 \\ & + \|(-\Delta_{\mathbb{S}^2})^{\frac{1}{2}} M_0(\delta t)g_n\|_{L^2([0, T], H^{(r,0)}(\mathbb{R}_{x,v}^6))}^2 + \|\nu \wedge D_x|^{\frac{1}{3}} M_0(\delta t)g_n\|_{L^2([0, T], H^{(r,0)}(\mathbb{R}_{x,v}^6))}^2 \\ & + \|\langle D_x \rangle^{\frac{1}{3}} M_0(\delta t)g_n\|_{L^2([0, T], H^{(r,0)}(\mathbb{R}_{x,v}^6))}^2 \leq ce^{cT} \|g_0\|_{H^{(r,0)}(\mathbb{R}_{x,v}^6)}^2, \end{aligned}$$

1. Introduction
 2. Properties of the Landau Equation
 3. A local existence result
 4. Gelfand-Shilov and Gevrey smoothing effects
 5. Global existence of the solution
 6. Concluding Remarks
- Reminder on special functions

Hypoellipticity for the linear inhomogeneous Landau equation
 A priori estimates with exponential weights

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where $M_0(t) = e^{t(\sqrt{\mathcal{H}} + \langle D_x \rangle)^{\frac{2}{3}}}$.

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Essentially a consequence of Proposition 4. Provides as well the following result.

1. Introduction
 2. Properties of the Landau Equation
 3. A local existence result
 4. Gelfand-Shilov and Gevrey smoothing effects
 5. Global existence of the solution
 6. Concluding Remarks
- Reminder on special functions

Hypoellipticity for the linear inhomogeneous Landau equation
A priori estimates with exponential weights

Theorem

Let $r > 3/2$, $T > 0$. Then, there exist some positive constants $\varepsilon_0 > 0$, $c_0 > 1$, $\delta > 0$ such that for all $g_0 \in H^{(r,0)}(\mathbb{R}_{x,v}^6)$ satisfying

$$\|g_0\|_{(r,0)} \leq \varepsilon_0,$$

the Cauchy problem associated to the Landau equation with Maxwellian molecules (4) admits a solution on $[0, T]$ satisfying

$$\left\| \exp(\delta t(\sqrt{\mathcal{H}} + \langle D_x \rangle)^{\frac{2}{3}}) g \right\|_{L^\infty([0, T], H^{(r,0)}(\mathbb{R}_{x,v}^6))} \leq c_0 \|g_0\|_{(r,0)}.$$

1. Introduction
 2. Properties of the Landau Equation
 3. A local existence result
 4. Gelfand-Shilov and Gevrey smoothing effects
 - 5. Global existence of the solution**
 6. Concluding Remarks
- Reminder on special functions

Micro-macro energy estimate
Global existence

5. Global existence of the solution

Micro-macro energy estimate

We want to establish the global existence of the solution to the Cauchy problem

$$\begin{cases} \partial_t g + v \cdot \nabla_x g + \mathcal{L}_L g = \Gamma_L(g, g), \\ g|_{t=0} = g_0, \end{cases}$$

for a sufficiently small initial data $g_0 \in H^{(r,0)}(\mathbb{R}_{x,v}^6)$, with $r > 3/2$.

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Micro-macro energy estimate

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for a sufficiently small initial data $g_0 \in H^{(r,0)}(\mathbb{R}_{x,v}^6)$, with $r > 3/2$. To that end, we first consider the case when $r = 2$ and use the standard micro-macro decomposition

$$g = \mathbf{P}g + (\text{Id} - \mathbf{P})g = g_1 + g_2, \quad (10)$$

where \mathbf{P} stands for the $L^2(\mathbb{R}_v^3)$ -orthogonal projection onto the space of collisional invariants

$$\mathcal{N} = \text{Span}\{\mu^{1/2}, v_1 \mu^{1/2}, v_2 \mu^{1/2}, v_3 \mu^{1/2}, |v|^2 \mu^{1/2}\}.$$

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We first derive an energy estimate for both the macroscopic part of the solution g_1 and its microscopic part g_2 . Thanks to this energy estimate, we prove a result of global existence for sufficiently small initial data $g_0 \in H^{(r,0)}(\mathbb{R}_{x,v}^6)$, with $r = 2$. By using next the smoothing effect, we establish the result of global existence in the general case when $r > 3/2$.

$$g_1 = \mathbf{P}g = (a + b \cdot v + c|v|^2)\mu^{1/2}, \quad g_2 = (\text{Id} - \mathbf{P})g$$
$$\begin{cases} \partial_t g + v \cdot \nabla_x g + \mathcal{L}_L g = \Gamma_L(g, g), \\ g|_{t=0} = g_0, \end{cases} \quad (12)$$

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Proposition 6. Let $r = 2$. There exist some positive constants $\varepsilon_0 > 0$, $C > 0$ such that if $g \in L^\infty([0, T], H^{(2,0)}(\mathbb{R}_{x,v}^6))$ is a solution to the Cauchy problem (12) on $[0, T]$ satisfying $\sup_{0 \leq t \leq T} \mathcal{E}(t) \leq \varepsilon_0$, then the solution enjoys the estimate

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$$\forall 0 \leq t \leq T, \quad \mathcal{E}(t) + \int_0^t \mathcal{D}(\tau) d\tau \leq C\mathcal{E}(0),$$

with the instant energy functional

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$$\forall 0 \leq t \leq T, \quad \mathcal{E}(t) + \int_0^t \mathcal{D}(\tau) d\tau \leq C\mathcal{E}(0),$$

with the instant energy functional

$$\mathcal{E}(t) = \|g(t)\|_{(2,0)}^2 \sim \|(a, b, c)(t)\|_{H^2(\mathbb{R}^3)}^2 + \|g_2(t)\|_{(2,0)}^2$$

and the total dissipation rate

$$\mathcal{D}(t) = \|\nabla_x(a, b, c)(t)\|_{H^1(\mathbb{R}^3)}^2 + \|\mathcal{H}^{\frac{1}{2}} g_2(t)\|_{(2,0)}^2 + \|(-\Delta_{\mathbb{S}^2})^{\frac{1}{2}} g_2(t)\|_{(2,0)}^2.$$

Global existence

Proposition 7. Let $r = 2$. Then, there exist some positive constants $c_1 > 1$, $\varepsilon_1 > 0$ such that for all $g_0 \in H^{(2,0)}(\mathbb{R}_{x,v}^6)$ satisfying

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This can be extended to $r > 3/2$.

1. Introduction
 2. Properties of the Landau Equation
 3. A local existence result
 4. Gelfand-Shilov and Gevrey smoothing effects
 5. Global existence of the solution
 - 6. Concluding Remarks**
- Reminder on special functions

6. Concluding Remarks

We can write Landau Equation (and also some other kinetic equations) as

1. Introduction
 2. Properties of the Landau Equation
 3. A local existence result
 4. Gelfand-Shilov and Gevrey smoothing effects
 5. Global existence of the solution
 6. Concluding Remarks
- Reminder on special functions

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1. Introduction
 2. Properties of the Landau Equation
 3. A local existence result
 4. Gelfand-Shilov and Gevrey smoothing effects
 5. Global existence of the solution
 6. Concluding Remarks
- Reminder on special functions

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- 1. Introduction
- 2. Properties of the Landau Equation
- 3. A local existence result
- 4. Gelfand-Shilov and Gevrey smoothing effects
- 5. Global existence of the solution
- 6. Concluding Remarks
- Reminder on special functions

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- The commutator $[S, \Lambda]$ is not zero and the iterated commutators of S with Λ produce estimates for the components of u in the kernel of Λ . That non-commutation property is fundamental to the hypoellipticity properties of this equation.

1. Introduction
 2. Properties of the Landau Equation
 3. A local existence result
 4. Gelfand-Shilov and Gevrey smoothing effects
 5. Global existence of the solution
 6. Concluding Remarks
- Reminder on special functions

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- The matrix Λ is diagonal in a suitably chosen Hilbertian basis and the expression of the **quadratic term $T(u, u)$ is explicit** in that basis. The calculations are indeed intricate, but the special functions involved are rather classical.

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- The commutator $[S, \Lambda]$ is not zero and the iterated commutators of S with Λ produce estimates for the components of u in the kernel of Λ . That non-commutation property is fundamental to the hypoellipticity properties of this equation.
- The matrix Λ is diagonal in a suitably chosen Hilbertian basis and the expression of the quadratic term $T(u, u)$ is explicit in that basis. The calculations are indeed intricate, but the special functions involved are rather classical.

It would be interesting to check some numerical simulations using the above expression, maybe starting with the simpler Kac equation.

A slight variation would be to consider that the density f is singular when it vanishes. Setting $f = e^{-\phi}$, we get

$$\partial_t \phi + v \cdot \partial_x \phi + e^\phi Q(e^{-\phi}, e^{-\phi}) = 0.$$

An upside of that version is that non-negativity of the density is guaranteed, even in a perturbation mode with $\phi = \phi_0 + \varepsilon\psi$, yielding for $e^{-\phi_0} = \mu$,

$$\partial_t \psi + v \cdot \partial_x \psi + \mu^{-1} e^{\varepsilon\psi} \varepsilon^{-1} Q(\mu e^{-\varepsilon\psi}, \mu e^{-\varepsilon\psi}) = 0.$$

The H theorem has a simpler expression and proof in that framework with

$$\frac{d}{dt} \iint \phi e^{-\phi} dx dv \geq 0,$$

since with $S = \iint \phi e^{-\phi} dx dv$ we have

$$\begin{aligned} \dot{S} &= \iint (\dot{\phi} - \phi \dot{\phi}) e^{-\phi} dx dv = \iint e^{-\phi} (\phi - 1) (v \cdot \partial_x \phi + e^\phi Q(e^{-\phi}, e^{-\phi})) dx dv \\ &= \iint (\phi - 1) Q(e^{-\phi}, e^{-\phi}) dx dv = \iint \phi Q(e^{-\phi}, e^{-\phi}) dx dv \geq 0. \end{aligned}$$

1. Introduction
 2. Properties of the Landau Equation
 3. A local existence result
 4. Gelfand-Shilov and Gevrey smoothing effects
 5. Global existence of the solution
 - 6. Concluding Remarks**
- Reminder on special functions

Thank you for your attention

- 1. Introduction
- 2. Properties of the Landau Equation
- 3. A local existence result
- 4. Gelfand-Shilov and Gevrey smoothing effects
- 5. Global existence of the solution
- 6. Concluding Remarks
- Reminder on special functions

Hermite functions

The standard one-dimensional Hermite functions are given by,

$$\phi_n(x) = \frac{(-1)^n}{\sqrt{2^n n! \sqrt{\pi}}} e^{\frac{x^2}{2}} \frac{d^n}{dx^n} (e^{-x^2}) = \frac{1}{\sqrt{2^n n! \sqrt{\pi}}} \left(x - \frac{d}{dx}\right)^n (e^{-\frac{x^2}{2}}), \quad x \in \mathbb{R}, \quad n \geq 0,$$

and define an orthonormal basis of $L^2(\mathbb{R})$. Setting for $n \geq 0$, $\alpha = (\alpha_j)_{1 \leq j \leq 3} \in \mathbb{N}^3$, $x \in \mathbb{R}$, $v = (v_1, v_2, v_3) \in \mathbb{R}^3$,

$$\psi_n(x) = 2^{-1/4} \phi_n(2^{-1/2}x), \quad \psi_n = \frac{1}{\sqrt{n!}} \left(\frac{x}{2} - \frac{d}{dx}\right)^n \psi_0, \quad \Psi_\alpha(v) = \prod_{j=1}^3 \psi_{\alpha_j}(v_j), \quad (13)$$

we get that the family $(\Psi_\alpha)_{\alpha \in \mathbb{N}^3}$ is an orthonormal basis of $L^2(\mathbb{R}^3)$ composed by the eigenfunctions of the Harmonic Oscillator

$$\mathcal{H} = -\Delta_v + \frac{|v|^2}{4} = \sum_{k \geq 0} \left(k + \frac{3}{2}\right) \mathbb{P}_k, \quad \text{Id} = \sum_{k \geq 0} \mathbb{P}_k, \quad (14)$$

where \mathbb{P}_k denotes the orthogonal projection onto $\mathcal{E}_k = \text{Span}\{\Psi_\alpha\}_{\alpha \in \mathbb{N}^3, |\alpha|=k}$:

1. Introduction
 2. Properties of the Landau Equation
 3. A local existence result
 4. Gelfand-Shilov and Gevrey smoothing effects
 5. Global existence of the solution
 6. Concluding Remarks
- Reminder on special functions

Laplace-Beltrami operator on \mathbb{S}^2

Using spherical coordinates

$$\begin{cases} x = r \cos \beta \sin \alpha, \\ y = r \sin \beta \sin \alpha, \\ z = r \cos \alpha, \end{cases} \quad \begin{cases} r = \sqrt{x^2 + y^2 + z^2}, \\ \alpha = \operatorname{Im} \operatorname{Log}(z + i\sqrt{x^2 + y^2}) \in (0, \pi) \text{ is the zenithal angle,} \\ \beta = \operatorname{Im} \operatorname{Log}(x + iy) \in (-\pi, \pi) \text{ is the azimuth,} \end{cases}$$

we get that

$$r^2 \Delta_{\mathbb{R}^3} = (r \partial_r)^2 + (r \partial_r) + \Delta_{\mathbb{S}^2}, \quad \Delta_{\mathbb{S}^2} = \partial_\alpha^2 + \frac{1}{\sin^2 \alpha} \partial_\beta^2 + \frac{1}{\tan \alpha} \partial_\alpha.$$

The space of spherical harmonics \mathcal{Y}_l with degree l in three dimensions is defined as the space of homogeneous harmonic polynomials with degree l in three variables, $\dim \mathcal{Y}_l = 2l + 1$,

$$-\Delta_{\mathbb{S}^2} Y = l(l+1)Y, \quad \text{for } Y \in \mathcal{Y}_l.$$

The real spherical harmonics $Y_l^m(\sigma)$ with $l \in \mathbb{N}$, $-l \leq m \leq l$, are defined as $Y_0^0(\sigma) = (4\pi)^{-1/2}$ and for any $l \geq 1$,

$$Y_l^m(\sigma) = \begin{cases} \left(\frac{2l+1}{4\pi}\right)^{1/2} P_l(\cos \alpha), & \text{if } m = 0 \\ \left(\frac{2l+1}{2\pi} \frac{(l-m)!}{(l+m)!}\right)^{1/2} P_l^m(\cos \alpha) \cos m\beta & \text{if } m = 1, \dots, l \\ \left(\frac{2l+1}{2\pi} \frac{(l+m)!}{(l-m)!}\right)^{1/2} P_l^{-m}(\cos \alpha) \sin m\beta & \text{if } m = -l, \dots, -1, \end{cases}$$

where P_l stands for the l -th Legendre polynomial and P_l^m the associated Legendre functions of the first kind of order l and degree m . We recall that

$$P_l(t) = \frac{(-1)^l}{2^l l!} \left(\frac{d}{dt}\right)^l (1-t^2)^l,$$
$$P_l^m(t) = (-1)^m (1-t^2)^{m/2} \left(\frac{d}{dt}\right)^m P_l(t).$$

Laguerre Polynomials

The generalized Laguerre polynomials are given by

$$L_n^\gamma(t) = \frac{t^{-\gamma} e^t}{n!} \left(\frac{d}{dt} \right)^n (e^{-t} t^{n+\gamma}).$$

We set for any $n, l \geq 0$, $-l \leq m \leq l$,

$$\varphi_{n,l,m}(v) = 2^{-3/4} \left(\frac{2n!}{\Gamma(n+l+\frac{3}{2})} \right)^{1/2} \left(\frac{|v|}{\sqrt{2}} \right)^l L_n^{l+\frac{1}{2}} \left(\frac{|v|^2}{2} \right) e^{-\frac{|v|^2}{4}} Y_l^m \left(\frac{v}{|v|} \right),$$

The family $(\varphi_{n,l,m})_{n,l \geq 0, |m| \leq l}$ is an orthonormal basis of $L^2(\mathbb{R}^3)$ composed by eigenvectors of the harmonic oscillator and the Laplace-Beltrami operator on the unit sphere \mathbb{S}^2 ,

$$\left(-\Delta_v + \frac{|v|^2}{4} - \frac{3}{2} \right) \varphi_{n,l,m} = (2n+l) \varphi_{n,l,m}, \quad -\Delta_{\mathbb{S}^2} \varphi_{n,l,m} = l(l+1) \varphi_{n,l,m}.$$