

# DISCREPANCY ESTIMATES FOR GENERALIZED POLYNOMIALS

Olivier Ramaré

September 28, 2018

# Please Publish in **ACADEMIC** Journals!

*like*

NWEJM

North Western European  
Journal of Mathematics

Walter Craig, Sinnou David, Jean-Pierre Demailly, Florian  
Luca, Kaisa Matomäki, OR, Jie Wu, . . .

FUNCTIONES ET  
APPROXIMATIO

Little brother  
of Acta Arithmetica  
Euclid Project

Jerzy Kaczorowski, Julian Musielak, Leszek Skrzypczak, . . .

*Several years of existence, Referenced in Mathscinet and Zentralblatt  
HRI Lecture Notes Series, IMSc (Chennai) Lecture Notes in Mathematics*



## New information about $\mu(n)$ , but what is it?

### Project: Using Generalized Polynomials

- ▶ Chennai 2011: Working group with **Anirban Mukhopadhyay**



We want precise and flexible informations

*With  $\{\alpha n\}$ , we have Fourier analysis and localisation. We seek similar geometrical understanding.*

- ▶ Linz 2015: **Roswitha Hofer** interested in subsequences.



- ▶ 2016: **G. Kasi Viswanadham** joined in.

# Equidistribution

## Theorem (Folklore)

$n \mapsto \{\beta[\alpha n]\}$  is equidistributed modulo 1  
iff  $1, \alpha, \alpha\beta$  are linearly independent /  $\mathbb{Q}$ .

## Theorem ((Veech, 1971), (Håland, 1993))

$$P(x) = x^d + a_1 x^{d-1} + \dots + a_d, \alpha\beta \neq 0$$

- ▶ When  $a_1, \dots, a_d$  rational,  $\{\beta[\alpha P(n)]\}$  equidistributed iff  $1, \alpha, \alpha\beta$  lin. indep. /  $\mathbb{Q}$ .
- ▶ Else  $\{\beta[\alpha P(n)]\}$  equidistributed.

# Diophantine Background

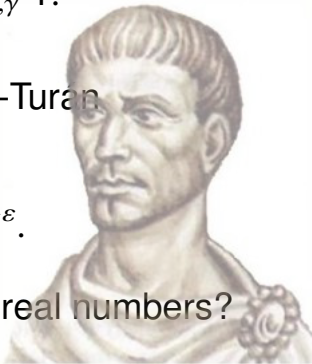
$(t + 1)$  is an irrationality measure of  $\gamma \in \mathbb{R}$  when

$$\min_{m \in \mathbb{Z} \setminus \{0\}} |m|^{t+\varepsilon} \|m\gamma\| \gg_{\varepsilon, \gamma} 1.$$

In which case, we have (via Erdős-Turan inequality):

$$D_N(n\alpha) \ll_{\alpha, \varepsilon} N^{-1/t+\varepsilon}.$$

Corresponding notion for a pair of real numbers?



We follow (*Niederreiter, 1973*):

$(\gamma, \delta) \in \mathbb{R}^2$  is of *finite type*  $t$  when

$$\min_{(m,n) \in \mathbb{Z}^2 \setminus \{(0,0)\}} \left( (1 + |m|)(1 + |n|) \right)^{t+\varepsilon} \|m\gamma + n\delta\| \gg_{\varepsilon} 1.$$

1. Let  $\gamma, \delta$  real algebraic numbers,  $1, \gamma, \delta$  are lin. indep./ $\mathbb{Q}$ . (*Schmidt, 1967*):  $(\gamma, \delta)$  is of finite type 1.
2. For almost all  $(\gamma, \delta) \in \mathbb{R}^2$ , the pair  $(\gamma, \delta)$  is of finite type 1.

To accomodate usage, Niederreiter proposed to us:

$(\gamma, \delta)$  is of *weak cotype*  $t' \geq 1$  when, for any  $\varepsilon > 0$ ,

$$\min_{(m,n) \in \mathbb{Z}^2 \setminus \{(0,0)\}} (|m| + |n|)^{t'+\varepsilon} \|m\gamma + n\delta\| \gg_{\varepsilon} 1.$$

weak cotype  $t' \implies$  finite type  $t' \quad |m| + |n| \leq (1 + |m|)(1 + |n|)$

(Hata, 1992):

$$\min_{(m,n) \in \mathbb{Z}^2 \setminus \{(0,0)\}} (|m| + |n|)^{7.0161} \|m\pi + n \log 2\| \gg 1.$$

*Other examples??*



# Discrepancy, I

## Theorem (R. Hofer & OR (2015))

Let  $\alpha, \beta$  real numbers

- ▶  $1, \alpha, \alpha\beta$  lin. indep./ $\mathbb{Q}$  (automatic).
- ▶  $(\alpha, \alpha\beta)$  and  $(\beta, 1/\alpha)$  of finite type  $t$ .

Then, for every  $\varepsilon > 0$ ,

$$D_N([n\alpha]\beta) \ll_{\alpha, \beta, \varepsilon} N^{-1/(3t-2)+\varepsilon}.$$

That's  $1/N^{1-\varepsilon}$  when  $t = 1!$

Optimal??

## Corollary

*For almost all pairs of real numbers  $(\alpha, \beta)$  in the sense of Lebesgue measure we have for every  $\varepsilon > 0$  that  $D_N([n\alpha]\beta) \ll_{\alpha, \beta, \varepsilon} N^{-1+\varepsilon}$ .*

## Corollary

*Discrepancy of  $([n/\pi] \log 2)$  and  $([n/\log 2]\pi)$  is  $\ll N^{-0.052498}$ .*

*Omega Estimates??*

# Equidistribution, II

(Bergelson & Leibman, 2007)

- ▶ Dynamical understanding of Generalized Polynomials.
- ▶ Description of which measure makes a Generalized Polynomials well-distributed.
- ▶ The strange beasts may be more telling ...



*Ohio State University!*

# Discrepancy, II

Back to India: let us look at  $\{\beta[an^2]\}$  which I thought would be very difficult.

**Theorem** (A. Mukhopadhyay & OR & G. Kasi Viswanadham – 2018)

Let  $(\alpha, \alpha\beta)$  of finite type  $t \geq 1$ . For  $\epsilon > 0$ ,

$$D_N([P(n)\alpha]\beta) \ll_{\epsilon, \alpha, \beta, d} N^{-\frac{2-2^{-d+2}}{2^{d-1}(2t+1)+7t+2} + \epsilon}.$$

$P(n)$  monic degree  $d \geq 2$ .

$$d = 2 \rightarrow \ll N^{-\frac{1}{11t+4}}, \quad d = 3 \rightarrow \ll N^{-\frac{1}{10t+4}}, \quad d = 4 \rightarrow \ll N^{-\frac{7}{92t+40}}.$$

We do not have metric results, though that may change. Or Omega estimate

## Lemma (Vinogradov, 1927)

Let  $\alpha$  of irrationality meas.  $t + 1$ . For any  $\epsilon > 0$ .

$$D_N(P(n)\alpha) \ll_{\epsilon, d, t} N^{-\frac{2d}{(1+t)2^d} + \epsilon} + N^{-\frac{1}{2^{d-1}} + \epsilon}$$

$P(n)$  monic degree  $d \geq 2$ .

Ancestors?  $d = 2$  in (Behnke, 1922)

Successors???

With (Vinogradov, 2004), the exponent becomes  $-E$  where

$$E \gg \frac{1}{(d^2 \log d)(t + d^2 \log d)}.$$

# Elements of proof

For  $\tau \in \mathbb{R}$ , let  $f_\tau(x) = e(\tau\{x\})$ .

For  $\delta > 0$ ,

$$g_{\tau,\delta}(x) = \frac{1}{(2\delta)^r} \mathbf{1}_{[-\delta,\delta]} * \cdots * \mathbf{1}_{[-\delta,\delta]} * f_\tau(x),$$

**Lemma** ( $g_{\tau,\delta}$  approximates  $f_\tau$ )

For any  $\{u_n\}_{n \geq 1}$ , and any  $N$  we have

$$\sum_{n \leq N} |f_\tau(u_n) - g_{\tau,\delta}(u_n)| \ll Nr\delta + Nr^2\delta|\tau| + ND_N(u_n).$$

First key

$$\hat{g}_{\tau,\delta}(k) = \left( \frac{\sin 2\pi k\delta}{2\pi k\delta} \right)^r \frac{e(\tau + k) - 1}{2\pi i(\tau + k)}$$



## Lemma

Let  $\tau, \delta > 0$ ,  $p > 1$ , then  $\sum_{k \in \mathbb{Z}} |\hat{g}_{\tau,\delta}(k)|^p \ll_p 1$ .

**Uniform in**  $|\tau| \leq 1/(2\delta)$

+ more usual lemmas on exponential sums with polynomial phase.

# Skeleton

- ▶  $\beta[\alpha P(n)] = \beta\alpha P(n) - \beta\{\alpha P(n)\}.$
- ▶ Erdős-Turán inequality.
- ▶  $ND_N([P(n)\alpha]\beta) \ll$

$$\sum_{h=1}^H \frac{1}{h} \left| \sum_{k \in \mathbb{Z}} \hat{g}_{-h\beta, \delta(h)}(k) \sum_{n=0}^{N-1} e(P(n)\alpha(h\beta - k)) \right| + \varepsilon$$

EXPLICIT dep. in  $h$  and  $k$  !

- ▶ *We use only process A. But we have exponential sums with parameters!*



- Behnke, H. 1922.  
[Über die Verteilung von Irrationalitäten mod. 1.](#)  
[Abh. Math. Sem. Univ. Hamburg](#), **1**(1), 251–266.
- Bergelson, Vitaly, & Leibman, Alexander. 2007.  
Distribution of values of bounded generalized polynomials.  
[Acta Math.](#), **198**(2), 155–230.
- Green, B., & Tao, T. 2012.  
The Möbius function is strongly orthogonal to nilsequences.  
[Ann. of Math. \(2\)](#), **175**(2), 541–566.
- Håland, Inger Johanne. 1993.  
Uniform distribution of generalized polynomials.  
[J. Number Theory](#), **45**(3), 327–366.
- Hata, Masayoshi. 1992.  
Improvement in the irrationality measures of  $\pi$  and  $\pi^2$ .  
[Proc. Japan Acad. Ser. A Math. Sci.](#), **68**(9), 283–286.
- Hofer, R., & Ramaré, O. 2015.  
Discrepancy estimates for some linear generalized monomials.  
[Acta Arith.](#), **173**(2), 183–196.
- Koksma, J. F. 1974.  
[Diophantische Approximationen.](#)  
Springer-Verlag, Berlin-New York.  
Reprint.
- Mukhopadhyay, A., Ramaré, O., & Viswanadham, G. Kasi. 2017.  
Discrepancy estimates for generalized polynomials.  
[Monatshefte für Mathematik](#), 1–14.
- Niederreiter, H. 1973.  
Application of Diophantine approximations to numerical integration.  
129–199.

Niederreiter, Harald. 2009.

On the discrepancy of some hybrid sequences.

[Acta Arith.](#), **138**(4), 373–398.

Schmidt, Wolfgang M. 1967.

On simultaneous approximations of two algebraic numbers by rationals.

[Acta Math.](#), **119**, 27–50.

Veech, William A. 1971.

Well distributed sequences of integers.

[Trans. Amer. Math. Soc.](#), **161**, 63–70.

Vinogradov, I. M. 1927.

Analytischer Beweis des Satzes über die Verteilung der Bruchteile eines Polynoms.

[Leningrad, Bull. Ac. Sc. \(6\)](#), **21**, 567–578.

Vinogradov, I.M. 2004.

The method of trigonometrical sums in the theory of numbers.

Mineola, NY: Dover Publications Inc.

Translated from the Russian, revised and annotated by K. F. Roth and Anne Davenport, Reprint of the 1954 translation.