

# Minkowski question-mark function: fixed points and the derivative

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# The Minkowski question-mark function: definition

The Minkowski question-mark function is defined as follows. For an arbitrary  $x \in [0, 1]$  consider its continued fraction expansion

$$x = [0; a_1, a_2, \dots, a_n, \dots] = \frac{1}{a_1 + \frac{1}{a_2 + \dots}}$$

If  $x$  is irrational, we say that

$$?(x) := \sum_{k=1}^{\infty} \frac{(-1)^{k+1}}{2^{a_1 + \dots + a_k - 1}} \quad (1)$$

If  $x$  is a rational number, then we replace (1) by a finite sum. One can easily see that if  $x$  is a rational number or a quadratic irrationality, then  $?(x) \in \mathbb{Q}$ . In fact, the inverse statement is also true.

# Question-mark function and Stern-Brocot tree

Denote by  $F_n$  the  $n$ -th level of Stern-Brocot tree i.e.

$$F_n : \{\xi = [0; a_1, \dots, a_k] : a_1 + \dots + a_k = n + 1\}$$

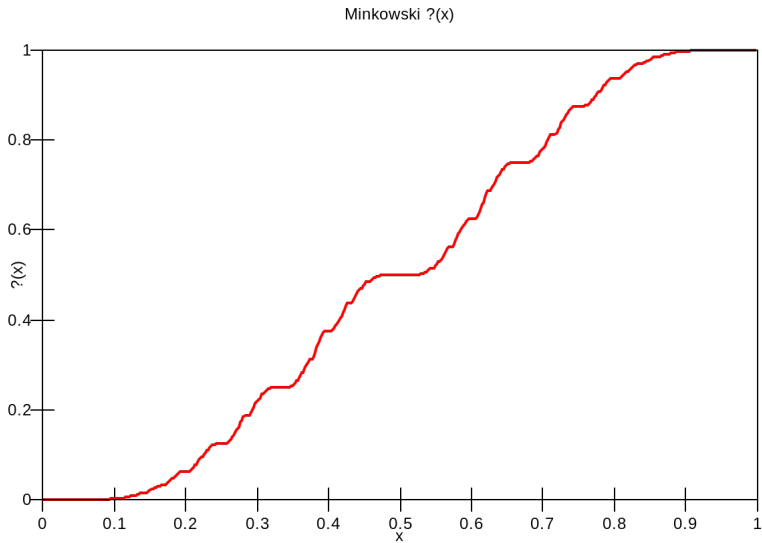
One can equivalently define the Minkowski function as the limit distribution function of sets  $F_n$

$$?(x) = \lim_{n \rightarrow \infty} \frac{\#\{\xi \in F_n : \xi \leq x\}}{2^n + 1}.$$

# Properties of $\theta(x)$

- $\theta(x)$  is a continuous strictly increasing function, it satisfies Lipschitz condition, but is not absolutely continuous function.
- Symmetry:  $\theta(x) = 1 - \theta(1 - x)$ ,  $\theta\left(\frac{x}{x+1}\right) = \frac{\theta(x)}{2}$
- The derivative of  $\theta(x)$  can take only two values: 0 and  $+\infty$ . Almost everywhere we have  $\theta'(x) = 0$ .
- $\theta'(x) = 0$  for all  $x \in \mathbb{Q}$ .
- If  $\frac{p_1}{q_1}$  and  $\frac{p_2}{q_2}$  are two rationals such that  $\left| \frac{p_1}{q_1} - \frac{p_2}{q_2} \right| = \frac{1}{q_1 q_2}$ , then
$$\theta\left(\frac{p_1 + p_2}{q_1 + q_2}\right) = \frac{\theta\left(\frac{p_1}{q_1}\right) + \theta\left(\frac{p_2}{q_2}\right)}{2}$$
- $\lim_{n \rightarrow \infty} \int_0^1 e^{2\pi i n x} d\theta(x) = 0$  (Conjectured by Salem in 1943, proved by Jordan and Sahlsten in 2013)

# Plot of $\varphi(x)$



# Fixed points of Minkowski function.

One can easily see that  $\varphi(0) = 0$ ,  $\varphi(\frac{1}{2}) = \frac{1}{2}$ ,  $\varphi(1) = 1$ . As  $\varphi'(x) = 0$  at rational points, the Minkowski function has at least two more fixed points. We will call such fixed points *non-trivial*. As  $\varphi(x) = 1 - \varphi(1 - x)$ , all fixed points are symmetric with respect to  $\frac{1}{2}$  point. A folklore conjecture states that:

## Conjecture

*The equation  $\varphi(x) = x$  has exactly five solutions.*

However, we do not even know if the number of solutions of the equation finite.

# Properties of fixed points.

The computation shows that the fixed point(s) between 0 and  $\frac{1}{2}$  equals  $\approx 0.42037233942$ . If there are more than one fixed points on the interval  $(0, \frac{1}{2})$ , their first 4000 partial quotients in continued fraction expansion coincide.

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It is easy to show that all non-trivial fixed points are irrational. However, we establish an equivalent conjecture, which deals with rational numbers only.

## Conjecture

*For any rational number  $\frac{p}{q}$ ,  $q > 2$  one has  $|\varphi(\frac{p}{q}) - \frac{p}{q}| > \frac{1}{2q^2}$ .*

So, if one shows that  $\frac{p}{q}$  cannot be convergent fraction to  $\varphi(\frac{p}{q})$ , he will prove that there are only 2 non-trivial roots.



# Stable and unstable fixed points of $f(x)$

As  $f(x)$  is a monotonic function, then for any  $x \in [0, 1]$  the sequence of iterations of Minkowski function

$$f^n(x) = \underbrace{f(f \dots f(x) \dots)}_{n \text{ times}}$$

is also monotonic and tends to some fixed point. We will call an isolated fixed point  $x_0$  stable if there exists  $\delta > 0$  such that  $\forall x \in (x_0 - \delta, x_0 + \delta)$  one has

$$\lim_{n \rightarrow \infty} f^n(x) = x_0.$$

We will call  $x_0$  unstable otherwise. If  $X$  is the set of non-trivial fixed points from  $(0, \frac{1}{2})$  interval, then the smallest and the biggest points of  $X$  are unstable.

# Main result

$$\text{Denote } \kappa_1 = \frac{\log \frac{\sqrt{5}+1}{2}}{\log \sqrt{2}} \approx 1.388,$$

$$\kappa_2 = \frac{4 \log \frac{5+\sqrt{29}}{2} - 5 \log (2+\sqrt{5})}{\log \frac{5+\sqrt{29}}{2} - 5 \log (2+\sqrt{5}) - \log \sqrt{2}} \approx 4.401$$

## Theorem (DG,N. Shulga, 2018)

Let  $x = [0; a_1, \dots, a_n, \dots]$  be unstable fixed point of Minkowski question mark function on the interval  $(0, \frac{1}{2})$ . Then there exists  $N$  such that  $\forall n > N$

$$a_{n+1} < (\kappa_1 - 1) \sum_{i=1}^n a_i. \quad (2)$$

On the other side,

$$\sum_{i=1}^n a_i < \kappa_2 n \quad (3)$$

## Corollary: irrationality measure

From the previous theorem we deduce a result on irrationality measure of unstable fixed points of  $\varphi(x)$ :

### Corollary

*Let  $x = [0; a_1, \dots, a_n, \dots]$  be unstable fixed point of Minkowski question mark function on the interval  $(0, \frac{1}{2})$ . Then  $x$  has irrationality measure 2.*

*Moreover, there exists an absolute constant  $c > 0$  such that*

$$\left| x - \frac{p}{q} \right| > \frac{c}{q^2 \log q}$$

*for any  $p, q \in \mathbb{Z}$ .*

# Minkowski question-mark function - the derivative

We recall that  $?'(x)$ , if exists, can take only two values - 0 and  $+\infty$ . Consider irrational  $x = [0; a_1, \dots, a_n, \dots]$ . Denote  $S_t(x) = a_1 + \dots + a_t$ .

Theorem (A.Dushistova, N.Moshchevitin, I.Kan, 2009)

(i) Let for irrational  $x \in (0, 1)$  one has

$$\limsup_{t \rightarrow \infty} \frac{S_x(t)}{t} < \kappa_1$$

Then  $?'(x)$  exists and  $?'(x) = +\infty$ .

(ii) Let for irrational  $x \in (0, 1)$  one has

$$\liminf_{t \rightarrow \infty} \frac{S_x(t)}{t} > \kappa_2$$

Then  $?'(x)$  exists and  $?'(x) = 0$ .

Both constants are optimal and the theorem is non-improvable.

## ?'(x) with bounded partial quotients - I

We consider same problem for  $x$  having uniformly bounded partial quotients. Denote by  $E_n$  the set of all  $x = [0; a_1, \dots, a_n, \dots]$  such that  $\forall i a_i \leq n$ . There exist constants  $\kappa_1^n, \kappa_2^n$  such that

**Theorem (A.Dushistova, N.Moshchevitin, I.Kan, 2009)**

(i) Let for irrational  $x \in (0, 1) \in E_n, n \geq 5$  one has

$$\limsup_{t \rightarrow \infty} \frac{S_x(t)}{t} < \kappa_1^n$$

Then ?'(x) exists and ?'(x) =  $+\infty$ .

(ii) Let for irrational  $x \in (0, 1)$  one has

$$\liminf_{t \rightarrow \infty} \frac{S_x(t)}{t} > \kappa_2^n$$

Then ?'(x) exists and ?'(x) = 0.

# ?'(x) with bounded partial quotients -II

Both constants are also optimal and

$$\lim_{n \rightarrow \infty} \kappa_1^n = \kappa_1, \quad \lim_{n \rightarrow \infty} \kappa_1^n = \kappa_1,$$

Theorem (DG, I.Kan, 2018)

(i) Suppose that  $x \in E_n$ ,  $?'(x)$  exists and equals 0. Then for  $t$  and  $n$  big enough one has

$$\max_{u \leq t} (S_x(u) - \kappa_1^n u) > \sqrt{t}$$

(ii) For any  $n \geq 5$  there exists  $x \in E_n$  such that  $?'(x) = 0$  and for all  $t$  big enough one has

$$S_x(t) - \kappa_1^n t \leq (2^{\frac{2}{3}} n^{\frac{2}{3}} + 21 n^{\frac{2}{3}}) \sqrt{t}$$

# Main lemma and optimization problem

Denote by  $q_n$  the denominator of a convergent continued fraction  $[0; a_1, \dots, a_n]$ .

## Lemma (N. Moshchevitin)

*Let for irrational  $x \in (0, 1)$  and arbitrary  $\delta$  there exists a natural  $t = t(x, \delta)$  such that*

$$\frac{?(x + \delta) - ?(x)}{\delta} \geq \frac{q_t q_{t-1}}{2^{S_x(t)+4}}$$
$$\frac{?(x + \delta) - ?(x)}{\delta} \leq \frac{q_t^2}{2^{S_x(t)-2}}$$

Thank you for your attention!