

# A matroid extension result

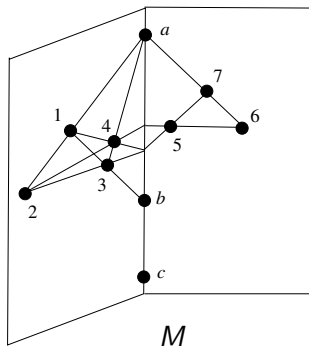
James Oxley

Louisiana State University

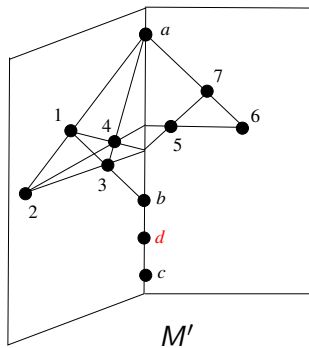
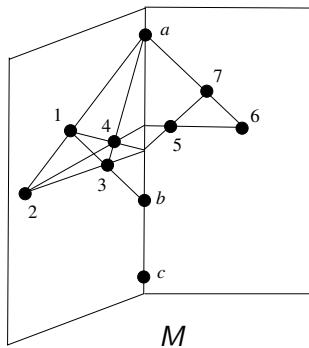
Combinatorial Geometries, Marseille-Luminy, September, 2018

# Extensions

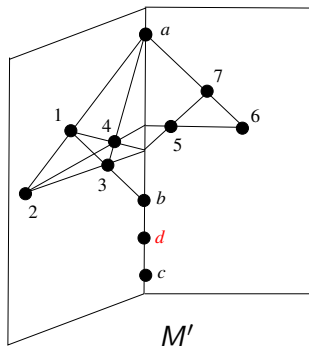
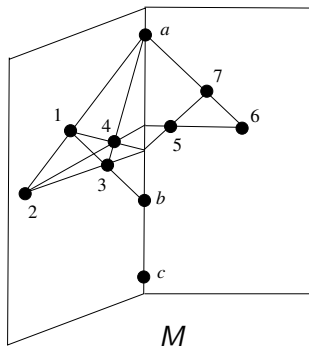
# Extensions



# Extensions

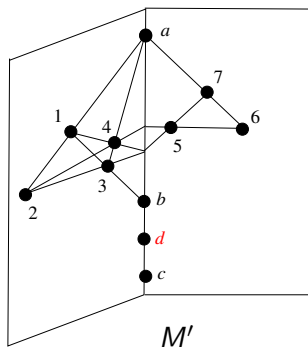
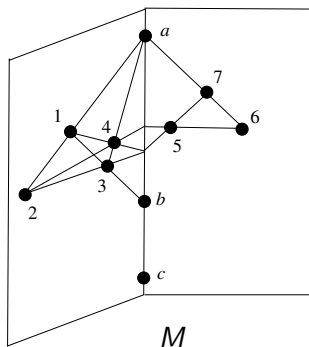


# Extensions



$$M' \setminus d = M$$

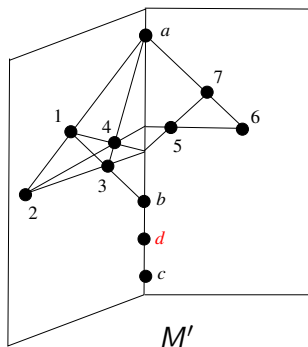
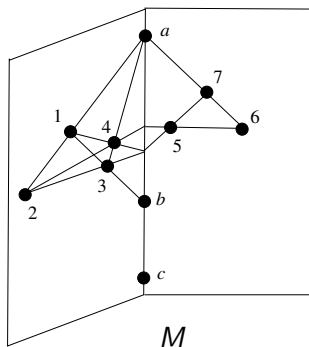
# Extensions



$$M' \setminus d = M$$

A matroid  $M'$  is an **extension** of the matroid  $M$  by an element  $d$  if  $M' \setminus d = M$ .

# Extensions



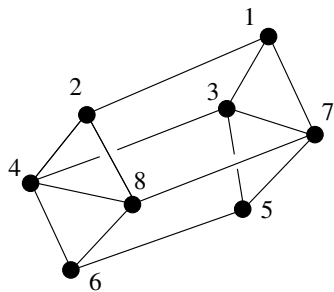
$$M' \setminus d = M$$

A matroid  $M'$  is an **extension** of the matroid  $M$  by an element  $d$  if  $M' \setminus d = M$ .

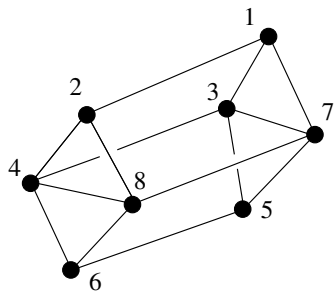
# Extending the Vámos matroid



## Extending the Vámos matroid

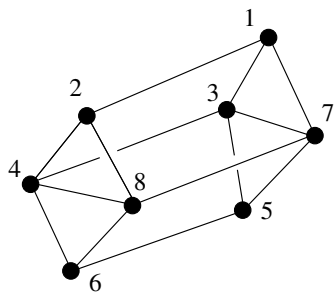


# Extending the Vámos matroid



$V_8$  rank = 4

# Extending the Vámos matroid

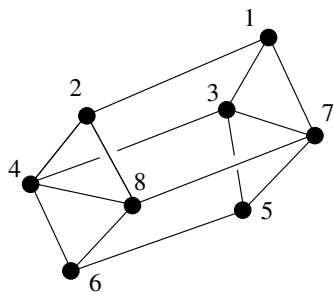


$V_8$  rank = 4

Non-spanning circuits

$\{1, 2, 3, 4\}$ ,  $\{3, 4, 5, 6\}$ ,  $\{5, 6, 7, 8\}$ ,  $\{7, 8, 1, 2\}$ ,  $\{3, 4, 7, 8\}$

# Extending the Vámos matroid



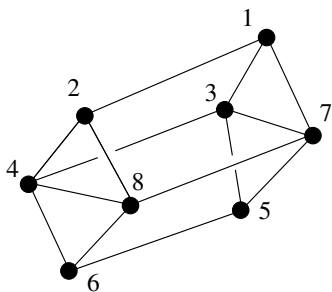
$V_8$  rank = 4

Non-spanning circuits

$\{1, 2, 3, 4\}$ ,  $\{3, 4, 5, 6\}$ ,  $\{5, 6, 7, 8\}$ ,  $\{7, 8, 1, 2\}$ ,  $\{3, 4, 7, 8\}$

Note.  $\{1, 2, 5, 6\}$  is a basis

# Extending the Vámos matroid



$V_8$  rank = 4

Non-spanning circuits

$\{1, 2, 3, 4\}$ ,  $\{3, 4, 5, 6\}$ ,  $\{5, 6, 7, 8\}$ ,  $\{7, 8, 1, 2\}$ ,  $\{3, 4, 7, 8\}$

Note.  $\{1, 2, 5, 6\}$  is a basis

Question

Can  $V_8$  be extended by an element  $p$  that is on the lines spanned by  $\{3, 4\}$  and  $\{7, 8\}$ ?

# Extending the Vámos matroid

## Question

*Can  $V_8$  be extended by an element  $p$  that is on the lines spanned by  $\{3, 4\}$  and  $\{7, 8\}$ ?*

# Extending the Vámos matroid

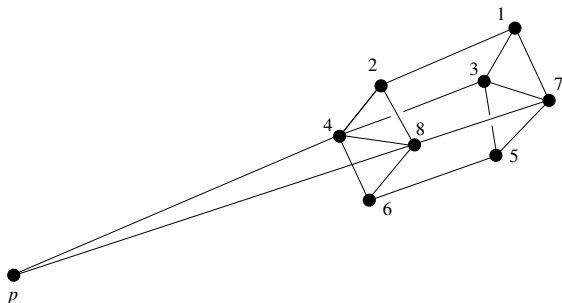
## Question

Can  $V_8$  be extended by an element  $p$  that is on the lines spanned by  $\{3, 4\}$  and  $\{7, 8\}$ ? *Note.*  $\{1, 2, 5, 6\}$  is a *basis*.

# Extending the Vámos matroid

## Question

Can  $V_8$  be extended by an element  $p$  that is on the lines spanned by  $\{3, 4\}$  and  $\{7, 8\}$ ? **Note.**  $\{1, 2, 5, 6\}$  is a **basis**.



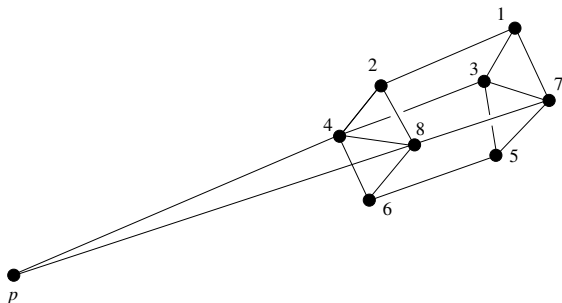
$$r(X) + r(Y) \geq r(X \cup Y) + r(X \cap Y)$$



# Extending the Vámos matroid

## Question

Can  $V_8$  be extended by an element  $p$  that is on the lines spanned by  $\{3, 4\}$  and  $\{7, 8\}$ ? **Note.**  $\{1, 2, 5, 6\}$  is a **basis**.



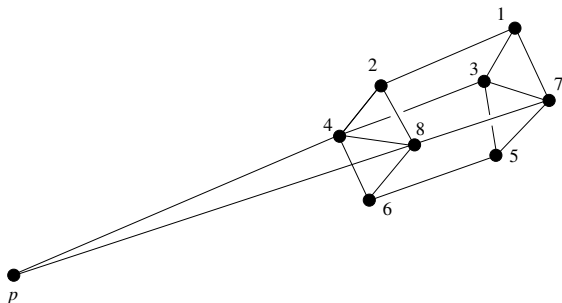
$$r(X) + r(Y) \geq r(X \cup Y) + r(X \cap Y)$$

$$r(\{1, 2, 3, 4, p\}) + r(\{5, 6, 7, 8, p\}) \geq r(\{1, \dots, 8, p\}) + r(\{1, 2, p\})$$

# Extending the Vámos matroid

## Question

Can  $V_8$  be extended by an element  $p$  that is on the lines spanned by  $\{3, 4\}$  and  $\{7, 8\}$ ? **Note.**  $\{1, 2, 5, 6\}$  is a **basis**.



$$r(X) + r(Y) \geq r(X \cup Y) + r(X \cap Y)$$

$$r(\{1, 2, 3, 4, p\}) + r(\{5, 6, 7, 8, p\}) \geq r(\{1, \dots, 8, p\}) + r(\{1, 2, p\})$$

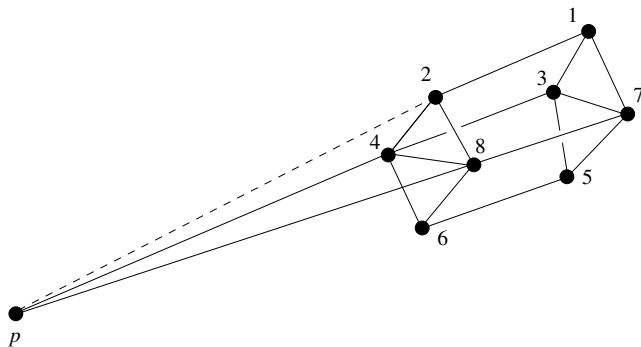
$$r(\{1, 2, p\}) = 2$$

# Extending the Vámos matroid

## Question

Can  $V_8$  be extended by an element  $p$  that is on the lines spanned by  $\{3, 4\}$  and  $\{7, 8\}$ ? **Note.**  $\{1, 2, 5, 6\}$  is a **basis**.

$$r(\{1, 2, p\}) = 2$$

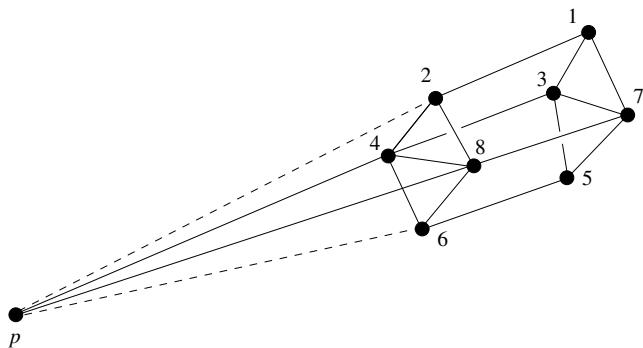


# Extending the Vámos matroid

## Question

Can  $V_8$  be extended by an element  $p$  that is on the lines spanned by  $\{3, 4\}$  and  $\{7, 8\}$ ? **Note.**  $\{1, 2, 5, 6\}$  is a **basis**.

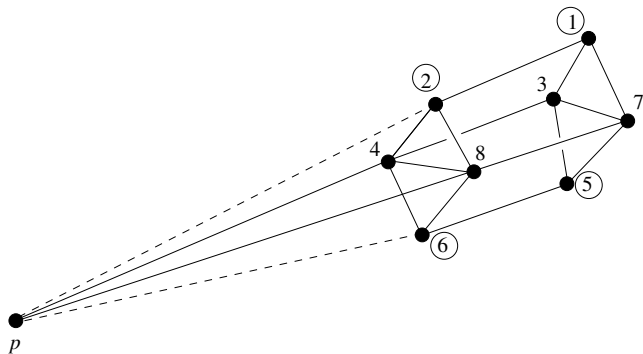
$$r(\{5, 6, p\}) = 2$$



# Extending the Vámos matroid

## Question

Can  $V_8$  be extended by an element  $p$  that is on the lines spanned by  $\{3, 4\}$  and  $\{7, 8\}$ ? **Note.**  $\{1, 2, 5, 6\}$  is a **basis**.

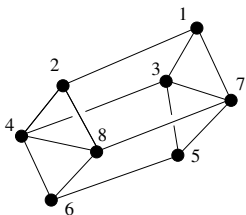


$\{1, 2, 5, 6\}$  is **NOT** a basis.

The extension does not exist.

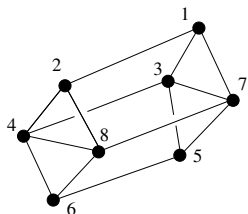
# 3-separations

## 3-separations



$$r(\{1, 2, 3, 4\}) + r(\{5, 6, 7, 8\}) - r(V_8) = 2$$

## 3-separations



$$r(\{1, 2, 3, 4\}) + r(\{5, 6, 7, 8\}) - r(V_8) = 2$$

$(\{1, 2, 3, 4\}, \{5, 6, 7, 8\})$  is a **3-separation** of  $M = V_8$ , that is, a partition  $(A, B)$  of  $E(M)$  with

$$r(A) + r(B) - r(M) = 2 \quad \text{and} \quad |A|, |B| \geq 3$$

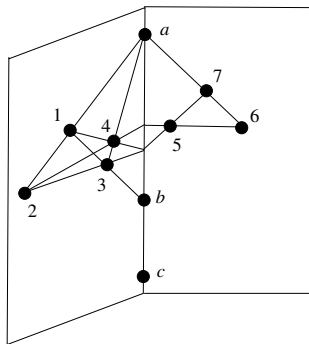


## 3-separations

$$r(A) + r(B) - r(M) = 2 \quad \text{and} \quad |A|, |B| \geq 3$$

## 3-separations

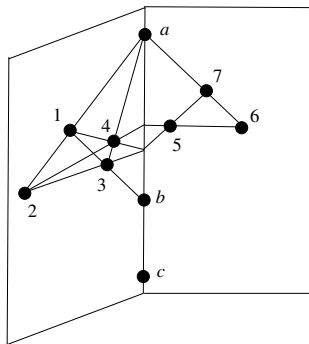
$$r(A) + r(B) - r(M) = 2 \quad \text{and} \quad |A|, |B| \geq 3$$



$(\{1, 2, 3, 4\}, \{5, 6, 7, a, b, c\})$  is a 3-separation of  $M$ .

## 3-separations

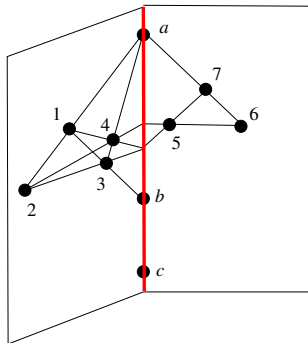
$$r(A) + r(B) - r(M) = 2 \quad \text{and} \quad |A|, |B| \geq 3$$



$(\{1, 2, 3, 4\}, \{5, 6, 7, a, b, c\})$  is a 3-separation of  $M$ .

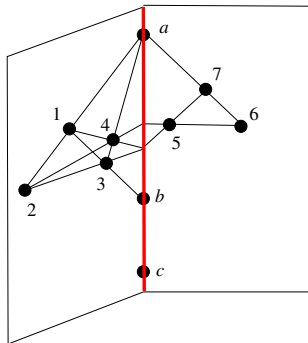
$(\{1, 2, 3, 4, a, b\}, \{5, 6, 7, c\})$  is a 3-separation of  $M$ .

# 3-separations



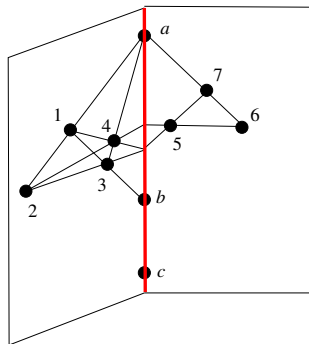
- $\{a, b, c\}$  is the **guts line** of the 3-separation.

## 3-separations



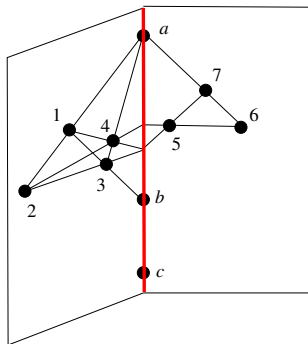
- $\{a, b, c\}$  is the **guts line** of the 3-separation.
- $a$  and  $b$  are **fixed**.

## 3-separations



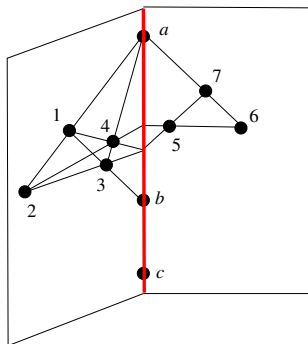
- $\{a, b, c\}$  is the **guts line** of the 3-separation.
- $a$  and  $b$  are **fixed**.
- $c$  is not fixed.

## 3-separations



- $\{a, b, c\}$  is the **guts line** of the 3-separation.
- $a$  and  $b$  are **fixed**.
- $c$  is not fixed. It is **freely placed** on the guts line.

## Fixed elements

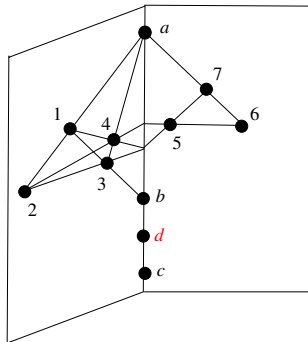


- $c$  is the only non-fixed element.



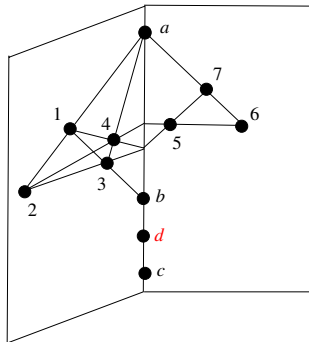
## Fixed elements

- $c$  is the only non-fixed element.



## Fixed elements

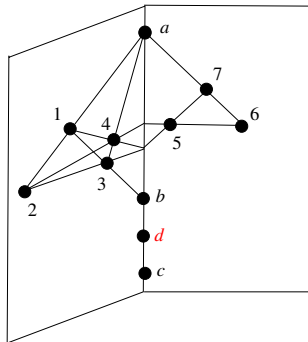
- $c$  is the only non-fixed element.



- $c$  and  $d$  are independent clones.

## Fixed elements

- $c$  is the only non-fixed element.



- $c$  and  $d$  are independent clones.

The only way to add a clone of  $b$  is to add it parallel to  $b$ .

# Freely adding elements to the guts line of a 3-separation

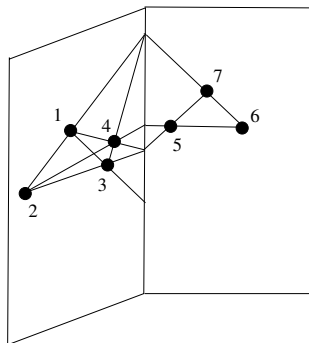
Theorem (Geelen, Gerards, Whittle; 2006)

*Given a 3-separation  $(A, B)$  in a matroid  $M$ , there is a unique extension  $N$  of  $M$  by independent clones  $x$  and  $y$  so that both are freely placed on the guts line of  $(A, B)$ .*

# Freely adding elements to the guts line of a 3-separation

Theorem (Geelen, Gerards, Whittle; 2006)

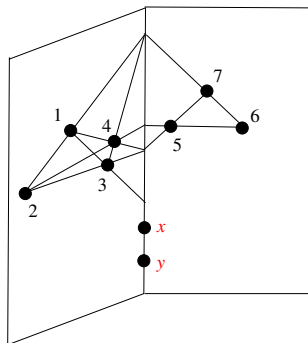
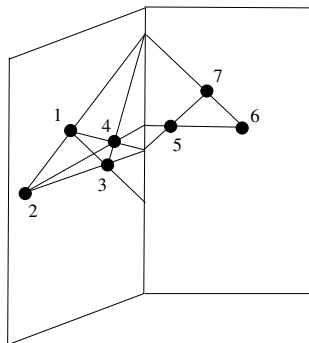
*Given a 3-separation  $(A, B)$  in a matroid  $M$ , there is a unique extension  $N$  of  $M$  by independent clones  $x$  and  $y$  so that both are freely placed on the guts line of  $(A, B)$ .*



# Freely adding elements to the guts line of a 3-separation

Theorem (Geelen, Gerards, Whittle; 2006)

*Given a 3-separation  $(A, B)$  in a matroid  $M$ , there is a unique extension  $N$  of  $M$  by independent clones  $x$  and  $y$  so that both are freely placed on the guts line of  $(A, B)$ .*



## Local connectivity

For sets  $X$  and  $Y$  in  $M$ , the **local connectivity** is

$$\sqcap(X, Y) = r(X) + r(Y) - r(X \cup Y).$$

## Local connectivity

For sets  $X$  and  $Y$  in  $M$ , the **local connectivity** is

$$\sqcap(X, Y) = r(X) + r(Y) - r(X \cup Y).$$

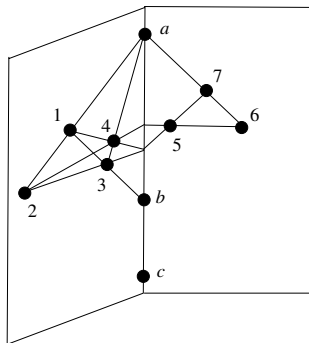
When  $M$  is a subset of a vector space,

$$\sqcap(X, Y) = \dim(\langle X \rangle \cap \langle Y \rangle).$$



## Local connectivity

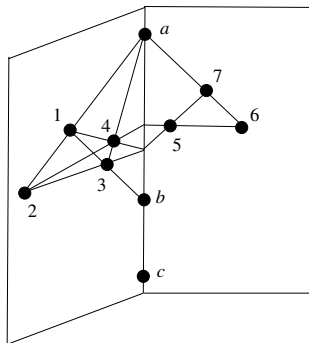
$$\square(X, Y) = r(X) + r(Y) - r(X \cup Y).$$



$(A, B) = (\{1, 2, 3, 4\}, \{5, 6, 7, a, b, c\})$  is a 3-separation.

## Local connectivity

$$\square(X, Y) = r(X) + r(Y) - r(X \cup Y).$$

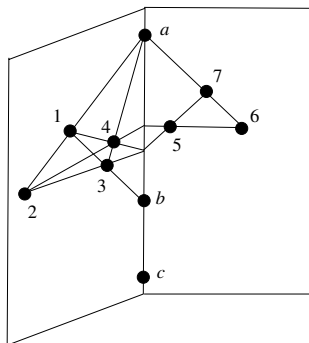


$(A, B) = (\{1, 2, 3, 4\}, \{5, 6, 7, a, b, c\})$  is a 3-separation.

- $\{1, 2\}$ ,  $\{1, 4\}$  and  $\{2, 3\}$  are **A-strands**,

## Local connectivity

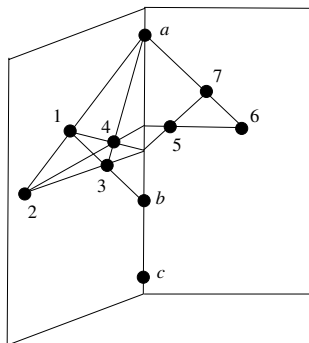
$$\sqcap(X, Y) = r(X) + r(Y) - r(X \cup Y).$$



- $\{1, 2\}$ ,  $\{1, 4\}$  and  $\{2, 3\}$  are **A-strands**, that is, minimal subsets  $X$  of  $A$  such that  $\sqcap(X, B) = 1$ .

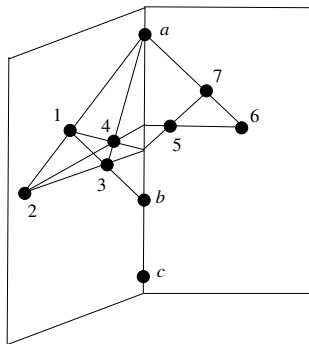
## Local connectivity

$$\square(X, Y) = r(X) + r(Y) - r(X \cup Y).$$



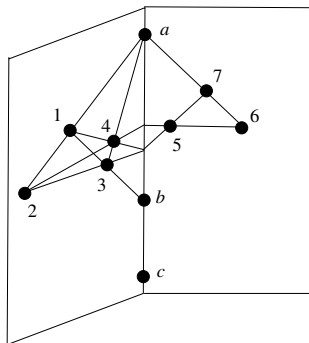
- $\{1, 2\}$ ,  $\{1, 4\}$  and  $\{2, 3\}$  are **A-strands**, that is, minimal subsets  $X$  of  $A$  such that  $\square(X, B) = 1$ .
- $\{5, 7\}$  and  $\{a\}$  are examples of **B-strands**.

# Strands



- $\square(\{1, 4\}, \{5, 7\}) = 1$ .

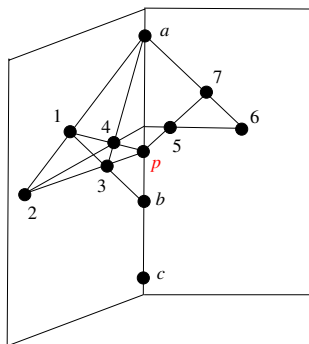
# Strands



- $\square(\{1, 4\}, \{5, 7\}) = 1$ .
- $M$  can be extended by  $p$  so that both  $\{1, 4, p\}$  and  $\{5, 7, p\}$  are circuits.

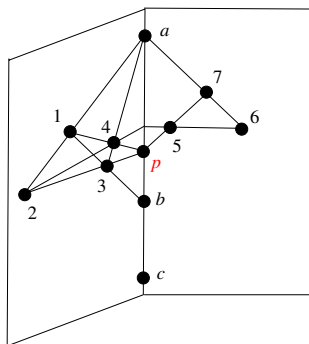
# Strands

- $\sqcap(\{1, 4\}, \{5, 7\}) = 1$ .
- $M$  can be extended by  $p$  so that both  $\{1, 4, p\}$  and  $\{5, 7, p\}$  are circuits.



# Strands

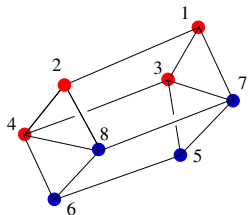
- $\sqcap(\{1, 4\}, \{5, 7\}) = 1$ .
- $M$  can be extended by  $p$  so that both  $\{1, 4, p\}$  and  $\{5, 7, p\}$  are circuits.



This forces  $\{2, 3, p\}$  to be a circuit.

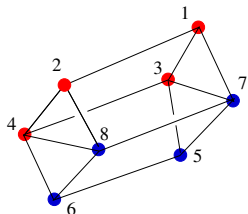


## Back to the Vámos



$(A, B) = (\{1, 2, 3, 4\}, \{5, 6, 7, 8\})$  is a 3-separation.

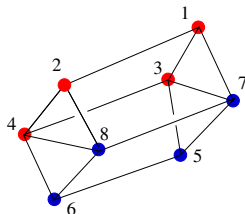
## Back to the Vámos



$(A, B) = (\{1, 2, 3, 4\}, \{5, 6, 7, 8\})$  is a 3-separation.

- $\{1, 2\}$  and  $\{3, 4\}$  are  $A$ -strands.

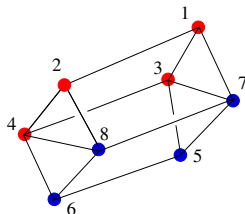
## Back to the Vámos



$(A, B) = (\{1, 2, 3, 4\}, \{5, 6, 7, 8\})$  is a 3-separation.

- $\{1, 2\}$  and  $\{3, 4\}$  are  $A$ -strands.
- $\{5, 6\}$  and  $\{7, 8\}$  are  $B$ -strands.

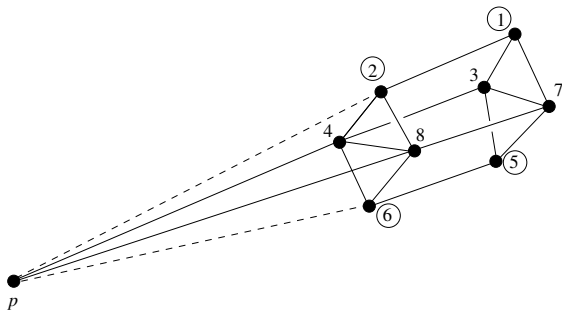
## Back to the Vámos



$(A, B) = (\{1, 2, 3, 4\}, \{5, 6, 7, 8\})$  is a 3-separation.

- $\{1, 2\}$  and  $\{3, 4\}$  are  $A$ -strands.
- $\{5, 6\}$  and  $\{7, 8\}$  are  $B$ -strands.
- $\square(\{3, 4\}, \{7, 8\}) = 1$ .

## Back to the Vámos

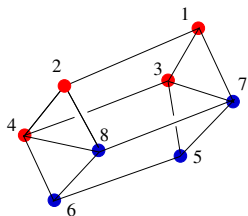


$(A, B) = (\{1, 2, 3, 4\}, \{5, 6, 7, 8\})$  is a 3-separation.

- $\{1, 2\}$  and  $\{3, 4\}$  are  $A$ -strands.
- $\{5, 6\}$  and  $\{7, 8\}$  are  $B$ -strands.
- $\square(\{3, 4\}, \{7, 8\}) = 1$ .

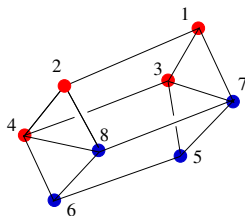
We **CANNOT** add  $p$  so that  $\{3, 4, p\}$  and  $\{7, 8, p\}$  are circuits.

## Back to the Vámos



$(A, B) = (\{1, 2, 3, 4\}, \{5, 6, 7, 8\})$  is a 3-separation.

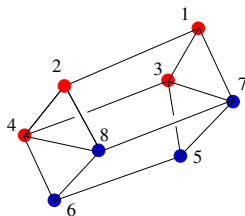
## Back to the Vámos



$(A, B) = (\{1, 2, 3, 4\}, \{5, 6, 7, 8\})$  is a 3-separation.

- $\{1, 2\}$  and  $\{3, 4\}$  are  $A$ -strands.
- $\{5, 6\}$  and  $\{7, 8\}$  are  $B$ -strands.
- $\square(\{3, 4\}, \{7, 8\}) = 1$ .

## Back to the Vámos

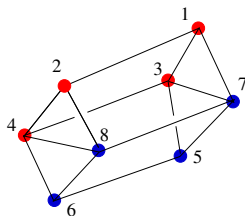


$(A, B) = (\{1, 2, 3, 4\}, \{5, 6, 7, 8\})$  is a 3-separation.

- $\{1, 2\}$  and  $\{3, 4\}$  are  $A$ -strands.
- $\{5, 6\}$  and  $\{7, 8\}$  are  $B$ -strands.
- $\square(\{3, 4\}, \{7, 8\}) = 1$ .
- $\square(\{3, 4\}, \{5, 6\}) = 1 = \square(\{1, 2\}, \{7, 8\})$ .



## Back to the Vámos



$(A, B) = (\{1, 2, 3, 4\}, \{5, 6, 7, 8\})$  is a 3-separation.

- $\{1, 2\}$  and  $\{3, 4\}$  are  $A$ -strands.
- $\{5, 6\}$  and  $\{7, 8\}$  are  $B$ -strands.
- $\sqcap(\{3, 4\}, \{7, 8\}) = 1$ .
- $\sqcap(\{3, 4\}, \{5, 6\}) = 1 = \sqcap(\{1, 2\}, \{7, 8\})$ .
- $\sqcap(\{1, 2\}, \{5, 6\}) = 0$

# Main theorem

## Theorem

Suppose  $M$  has a 3-separation  $(A, B)$ , an  $A$ -strand  $A_0$  and a  $B$ -strand  $B_0$  with

$$\cap(A_0, B_0) = 1.$$

# Main theorem

## Theorem

Suppose  $M$  has a 3-separation  $(A, B)$ , an  $A$ -strand  $A_0$  and a  $B$ -strand  $B_0$  with

$$\square(A_0, B_0) = 1.$$

Then  $M$  has an extension by  $p$  such that  $A_0 \cup p$  and  $B_0 \cup p$  are circuits

# Main theorem

## Theorem

Suppose  $M$  has a 3-separation  $(A, B)$ , an  $A$ -strand  $A_0$  and a  $B$ -strand  $B_0$  with

$$\square(A_0, B_0) = 1.$$

Then  $M$  has an extension by  $p$  such that  $A_0 \cup p$  and  $B_0 \cup p$  are circuits iff  $M$  has no  $A$ -strand  $A_1$  ( $\neq A_0$ ) and  $B$ -strand  $B_1$  ( $\neq B_0$ ) with

# Main theorem

## Theorem

Suppose  $M$  has a 3-separation  $(A, B)$ , an  $A$ -strand  $A_0$  and a  $B$ -strand  $B_0$  with

$$\sqcap(A_0, B_0) = 1.$$

Then  $M$  has an extension by  $p$  such that  $A_0 \cup p$  and  $B_0 \cup p$  are circuits iff  $M$  has no  $A$ -strand  $A_1$  ( $\neq A_0$ ) and  $B$ -strand  $B_1$  ( $\neq B_0$ ) with *exactly two* of  $\sqcap(A_0, B_1)$ ,  $\sqcap(A_1, B_1)$ , and  $\sqcap(A_1, B_0)$  *being one*.

# Main theorem

## Theorem

Suppose  $M$  has a 3-separation  $(A, B)$ , an  $A$ -strand  $A_0$  and a  $B$ -strand  $B_0$  with

$$\sqcap(A_0, B_0) = 1.$$

Then  $M$  has an extension by  $p$  such that  $A_0 \cup p$  and  $B_0 \cup p$  are circuits iff  $M$  has no  $A$ -strand  $A_1$  ( $\neq A_0$ ) and  $B$ -strand  $B_1$  ( $\neq B_0$ ) with *exactly two* of  $\sqcap(A_0, B_1)$ ,  $\sqcap(A_1, B_1)$ , and  $\sqcap(A_1, B_0)$  *being one*. When  $M$  has an extension by  $p$  such that  $A_0 \cup p$  and  $B_0 \cup p$  are circuits, it is *unique*.

# Main theorem

## Theorem

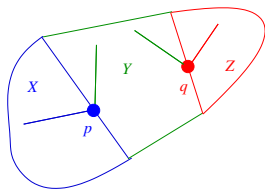
Suppose  $M$  has a 3-separation  $(A, B)$ , an  $A$ -strand  $A_0$  and a  $B$ -strand  $B_0$  with

$$\sqcap(A_0, B_0) = 1.$$

Then  $M$  has an extension by  $p$  such that  $A_0 \cup p$  and  $B_0 \cup p$  are circuits iff  $M$  has no  $A$ -strand  $A_1$  ( $\neq A_0$ ) and  $B$ -strand  $B_1$  ( $\neq B_0$ ) with *exactly two* of  $\sqcap(A_0, B_1)$ ,  $\sqcap(A_1, B_1)$ , and  $\sqcap(A_1, B_0)$  *being one*. When  $M$  has an extension by  $p$  such that  $A_0 \cup p$  and  $B_0 \cup p$  are circuits, it is *unique*.

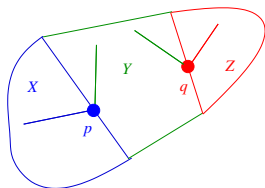
The Vámos matroid encapsulates the *core obstruction* to this extension.

# Multiple extensions





# Multiple extensions

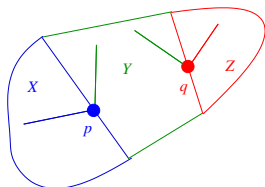


## Theorem

Let  $(X, Y, Z)$  be a partition of a matroid where  $Y$  may be empty. Let  $(X, Y \cup Z)$  and  $(X \cup Y, Z)$  be 3-separations.

- Assume  $X_0$  and  $Y_0$  are an  $X$ -strand and a  $(Y \cup Z)$ -strand with  $\Pi(X_0, Y_0) = 1$  such that  $M$  has an extension by  $p$  so that  $X_0 \cup p$  and  $Y_0 \cup p$  are circuits.

# Multiple extensions

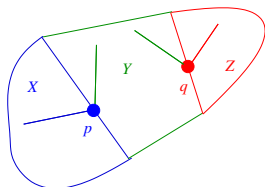


## Theorem

Let  $(X, Y, Z)$  be a partition of a matroid where  $Y$  may be empty. Let  $(X, Y \cup Z)$  and  $(X \cup Y, Z)$  be 3-separations.

- Assume  $X_0$  and  $Y_0$  are an  $X$ -strand and a  $(Y \cup Z)$ -strand with  $\Pi(X_0, Y_0) = 1$  such that  $M$  has an extension by  $p$  so that  $X_0 \cup p$  and  $Y_0 \cup p$  are circuits.
- Assume  $Y_1$  and  $Z_1$  are an  $(X \cup Y)$ -strand and a  $Z$ -strand with  $\Pi(Y_1, Z_1) = 1$  such that  $M$  has an extension by  $q$  so that  $Y_1 \cup q$  and  $Z_1 \cup q$  are circuits.

## Multiple extensions



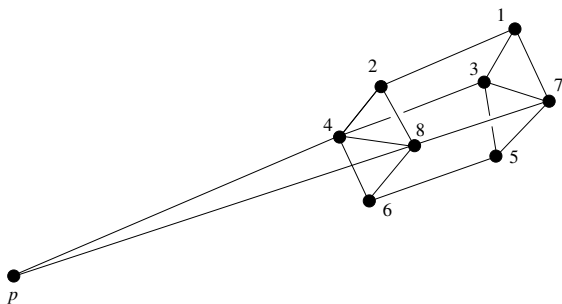
### Theorem

Let  $(X, Y, Z)$  be a partition of a matroid where  $Y$  may be empty. Let  $(X, Y \cup Z)$  and  $(X \cup Y, Z)$  be 3-separations.

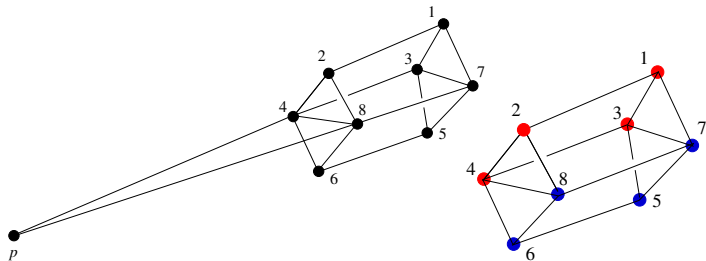
- Assume  $X_0$  and  $Y_0$  are an  $X$ -strand and a  $(Y \cup Z)$ -strand with  $\Pi(X_0, Y_0) = 1$  such that  $M$  has an extension by  $p$  so that  $X_0 \cup p$  and  $Y_0 \cup p$  are circuits.
- Assume  $Y_1$  and  $Z_1$  are an  $(X \cup Y)$ -strand and a  $Z$ -strand with  $\Pi(Y_1, Z_1) = 1$  such that  $M$  has an extension by  $q$  so that  $Y_1 \cup q$  and  $Z_1 \cup q$  are circuits.

Then  $M$  has a unique extension by  $p$  and  $q$  such that all of  $X_0 \cup p$ ,  $Y_0 \cup p$ ,  $Y_1 \cup q$ , and  $Z_1 \cup q$  are circuits.

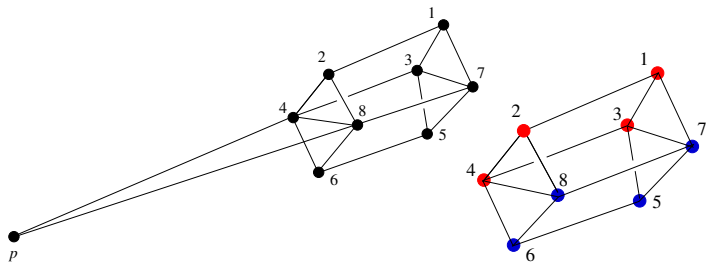
# The Vámos matroid is the key obstruction



# The Vámos matroid is the key obstruction



# The Vámos matroid is the key obstruction



## Theorem

Suppose  $M$  has a 3-separation  $(A, B)$ , an  $A$ -strand  $A_0$  and a  $B$ -strand  $B_0$  with

$$\cap(A_0, B_0) = 1.$$

Then  $M$  has an extension by  $p$  such that  $A_0 \cup p$  and  $B_0 \cup p$  are circuits iff  $M$  has no  $A$ -strand  $A_1$  ( $\neq A_0$ ) and  $B$ -strand  $B_1$  ( $\neq B_0$ ) with *exactly two* of  $\cap(A_0, B_1)$ ,  $\cap(A_1, B_1)$ , and  $\cap(A_1, B_0)$  being one.