

Packing arborescences with matroid constraints via matroid intersection

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Combinatorial Geometries: Matroids, Oriented Matroids and
Applications
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Outline

- 1 Summary of classical tree and arborescence packing results and the matroid structure behind them

packing

= edge-disjoint subgraphs

- 2 Some basics of rigidity theory
- 3 Summary of recent tree and arborescence packing result
- 4 New result: matroid structure behind the above packing results

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Theorem (Tutte, Nash-Williams)

In a graph $G = (V, E)$, there exists a packing of k **spanning** trees iff

$$e_G(\mathcal{P}) \geq k(|\mathcal{P}| - 1)$$

holds for every partition \mathcal{P} of V , where $e_G(\mathcal{P})$ denotes the number of edges that are not induced by any set of the partition.

Matroid structure behind

Union of k spanning trees = bases of the k -sum of the graphic matroid.

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s -arborescence = directed tree s.t. each node is reachable from its root on a one-way path

q = the in-degree

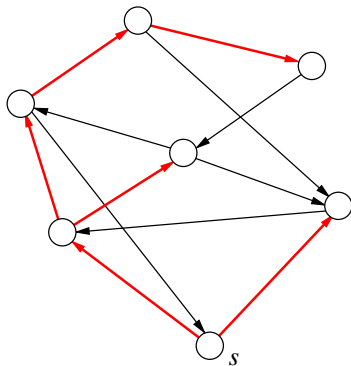


Figure: An s -arborescence

Theorem (Edmonds)

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Theorem (Edmonds)

*In a rooted digraph $D = (V + s, A)$, there exists a packing of k **spanning** s -arborescences iff*

$$\varrho(X) \geq k$$

holds for every $\emptyset \neq X \subseteq V$.

Remark

The Tutte–Nash–Williams-theorem follows from Edmonds' theorem by using Frank's orientation theorems.

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Matroid structure behind

Let the independent sets of \mathcal{M}_0 be the arc sets of D with maximum in-degree k on each $v \in V$ = direct sum of the uniform matroids of rank k on the incoming arc sets of all vertices in V .

Union of k spanning arborescences = **common bases** of the k -sum of the graphic matroid and \mathcal{M}_0 . \Rightarrow Efficient **algorithm for the weighted** problem through the weighted matroid intersection algorithm.

Kamiyama, Katoh, Takizawa: If there are no spanning arborescences... When is it possible to pack edge-disjoint “maximal” arborescences?

Reachability s -arborescence in D : an s -arborescence that spans each vertex which is reachable from s on a one-way path of D .

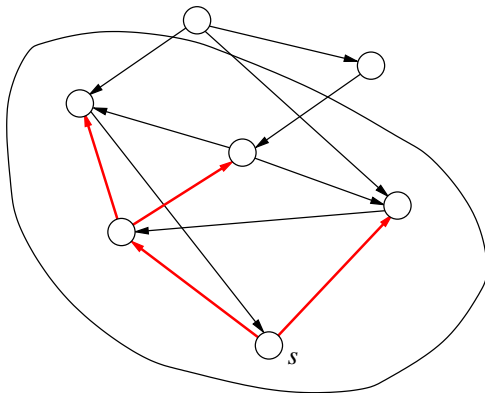


Figure: A reachability s -arborescence

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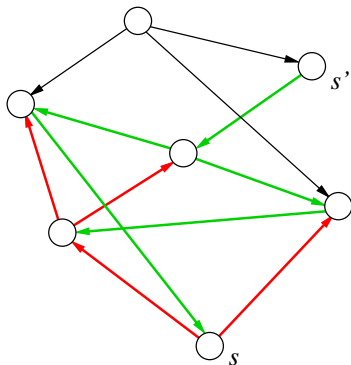


Figure: A packing of reachability s and s' -arborescences

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Theorem (Kamiyama, Katoh and Takizawa)

*In a digraph $D = (V, A)$, let $R := \{s_1, \dots, s_k\}$ be a multiset of vertices in V . There exists a packing of **reachability** s_i -arborescences in D ($i = 1, \dots, k$) iff*

$$\varrho(X) \geq p'_R(X)$$

holds for every $X \subseteq V$ where $p'_R(X)$ denotes the number of s_i 's for which X is reachable from s_i and $s_i \notin X$.

bar-joint framework: A graph $G = (V, E)$ and a map $p : V \rightarrow \mathbb{R}^d$ called a **realization** of $G \rightarrow$ vertices correspond to flexible joints that connect rigid bars.

infinitesimal motion of a bar-joint framework $(G, p) : m : V \rightarrow \mathbb{R}^d$ s.t. $\langle m(u) - m(v), p(u) - p(v) \rangle = 0$ for every $uv \in E$.

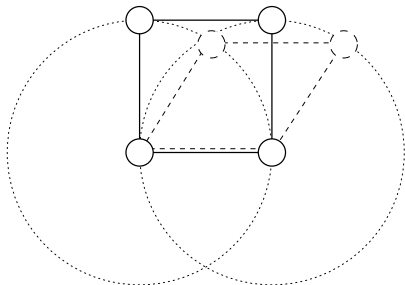
rigidity matrix $R(G, p) \in \mathbb{R}^{d|V| \times |E|}$: each row corresponding to an edge uv is 0 except at the $d + d$ coordinates corresponding to u and v where its values are given by the \mathbb{R}^d vectors $p(u) - p(v)$ and $p(v) - p(u)$, respectively \rightarrow the space of infinitesimal motions is the kernel of $R(G, p)$

infinitesimally rigid bar-joint framework: the rank of $R(G, p)$ is $d|V| - \binom{d+1}{2}$, i.e. maximal as the isometries of \mathbb{R}^d imply $\binom{d+1}{2}$ **trivial** infinitesimal motions

generic framework: the coordinates of p are algebraically independent over $\mathbb{Q} \rightarrow$ when p is generic, rigidity does only depend on G

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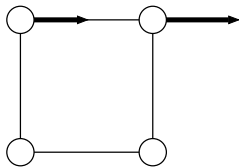


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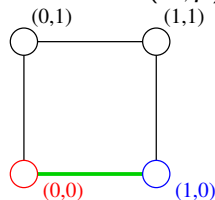
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$$R(G, p) = \begin{pmatrix} -1 & 0 & 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & -1 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 & -1 & 0 \end{pmatrix}$$

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Theorem (Laman, Crapo)

Let $G = (V, E)$ be a loop-colored graph. Then the following are equivalent.

(i) For every/one **generic** realization p of G the corresponding **bar-joint** framework is **minimally (infinitesimally) rigid**.

(ii) (Laman) The following **sparsity** conditions hold:

$$(L1) \quad |E| = 2|V| - 3,$$

$$(L2) \quad |F| \leq 2|V(F)| - 3 \text{ for every } F \subseteq E.$$

(iii) (Crapo) E has a partition $\{F_1, F_2, F_3\}$ where F_i is a **forest** such that each vertex is covered by exactly two of them and no two subtrees span the same vertex set.

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Rank function for rigidity matroid

Theorem (Edmonds, Rota)

Let $h : 2^E \rightarrow \mathbb{Z}_{\geq 0} \cup \{+\infty\}$ a *monotone non-decreasing intersecting submodular set function*. Then

$$\mathcal{I}_h = \{Y \subseteq S : |X| \leq h(X) \text{ for every } X \subseteq Y\}$$

forms the independent set family of a matroid \mathcal{M}_h with rank function

$$r_h(Z) := \min \left\{ \sum_{X \in \mathcal{P}} h(X) + |Z - \bigcup \mathcal{P}| : \mathcal{P} \text{ a subpart. of } Z \right\}.$$

Let $h(F) := 2|V(F)| - 3$ for $F \neq \emptyset$. As $h(e) = 1$ we can consider partitions instead of subpartitions.

Theorem (Lovász, Yemini)

The rank function of the rigidity matroid is the following:

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loop-colored graph: a multigraph $G = (V, E)$ without multiple edges with a coloring $c : L \rightarrow \{c_1, \dots, c_k\}$ on its loop set $L \subseteq E$

bar-joint-slider framework on the plane: a bar-joint framework where a part of the joints are constrained by using line-sliders some of which may be parallel (i.e., the infinitesimal velocity on a joint constrained by a slider in direction x must be parallel to x)

loop=slider; color=direction

rooted-forest colored in one color: a ('loop-rooted') forest where each component contains a loop of the same color

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Let $G = (V, E)$ be a loop-colored graph. Then the following are equivalent.

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~~(i) For every (injective) mapping of the colors $\{c_1, \dots, c_k\}$ to \mathbb{S}^1 and any generic realization p of G the corresponding bar-and-slider framework is minimally rigid.~~

(ii) The following *sparsity* conditions hold:

$$(KT1) \quad |E| = 2|V|$$

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Definition

- 1 **matroid-rooted** graph/digraph $(G = (V + s, E), \mathcal{M}) / (D = (V + s, A), \mathcal{M})$: a matroid \mathcal{M} is given on the set of **root edges/arcs** (leaving s).

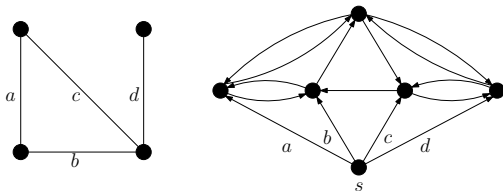


Figure: a matroid-rooted digraph

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- 2 **\mathcal{M} -based** packing of (s, t) -paths: if the root edges/arcs form a base of \mathcal{M} .

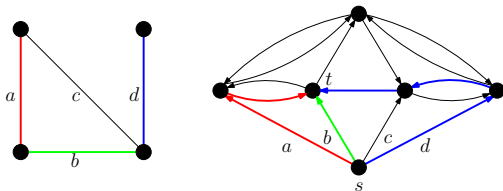
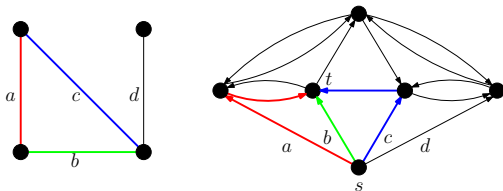


Figure: an \mathcal{M} -based packing of (s, t) -paths

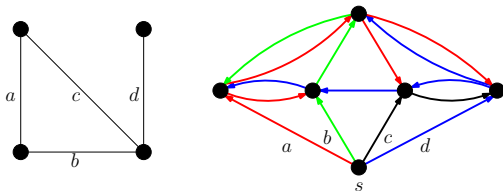
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Figure: Not an \mathcal{M} -based packing of (s, t) -paths

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Figure: an \mathcal{M} -based packing of s -arborescences

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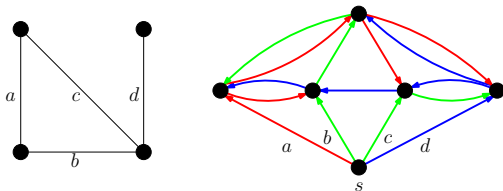


Figure: an \mathcal{M} -based packing of spanning s -arborescences

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- 1 **matroid-rooted** graph/digraph $(G = (V + s, E), \mathcal{M}) / (D = (V + s, A), \mathcal{M})$: a matroid \mathcal{M} is given on the set of **root edges/arcs** (leaving s).
- 2 **\mathcal{M} -based** packing of (s, t) -paths: if the root edges/arcs form a base of \mathcal{M} .
- 3 **\mathcal{M} -based** packing of s -trees/arborescences: if the packing of (s, t) -paths provided by the trees/arborescences is \mathcal{M} -based $\forall t \in V$.

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Menger type characterization: \exists an \mathcal{M} -based packing of (s, t) -paths iff $\rho(X) \geq r(\partial_s(V)) - r(\partial_s(X))$ ($\forall t \in X \subseteq V$).

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Question

Can the above theorems be extended for \mathcal{M} -based packings?

Theorem (Katoh, Tanigawa)

In a matroid-rooted graph $(G = (V + s, E), \mathcal{M})$ there exists an \mathcal{M} -based packing of *spanning* s -trees iff

$$e_G(\mathcal{P}) \geq r(\mathcal{M})|\mathcal{P}| - \sum_{X \in \mathcal{P}} r(\partial_s(X)) \text{ for every partition } \mathcal{P} \text{ of } V.$$

Matroid structure behind

Katoh and Tanigawa also proved that the \mathcal{M} -based packings of s -trees form the bases of the matroid induced by the following non-negative integer valued, monotone and intersecting submodular function:

$$b'(H) := k|V(H) - s| - k + r(H \cap \partial_s(V)) \quad \forall \emptyset \neq H \subseteq A,$$

i.e. the matroid $\mathcal{M}_{b'}$ with independent sets

$$\mathcal{I}_{b'} := \{B \subseteq A : |H| \leq b'(H) \quad \forall \emptyset \neq H \subseteq B\}.$$

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Theorem (Durand de Gevigney, Nguyen and Szigeti)

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$$\rho(X) \geq r(\mathcal{M}) - r(\partial_s(X)) \quad (\forall \emptyset \neq X \subseteq V).$$

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The Katoh–Tanigawa-theorem follows from this theorem by using Frank's orientation theorems.

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Edge sets of \mathcal{M} -based packing of s -arborescences = common bases of $\mathcal{M}_{b'}$ and \mathcal{M}_0 .

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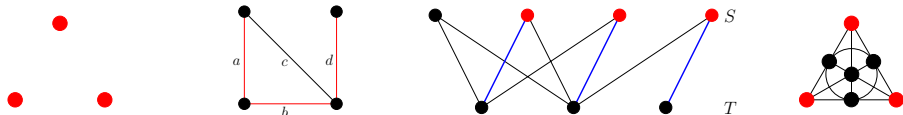
Conjecture (Bérczi, Frank, T. Király, Kobayashi)

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Examples

- 1 **Free** : all subsets of a set,
- 2 **Graphic** : edge-sets of forests of a graph,
- 3 **Transversal**: end-nodes in S of matchings of bipartite graph $(S, T; E)$
- 4 **Fano**: subsets of sets of size 3 not being a line in the Fano plane.



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Theorem (Fortier, K., Szigeti, Tanigawa)

The conjecture is **true** when the matroid \mathcal{M}

- has rank at most 2 or
- is graphic or
- is transversal.

The conjecture is **false**!

The corresponding decision problem is **NP-hard**.

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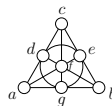
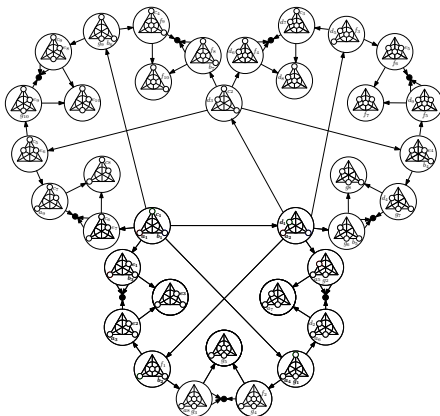
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Counterexample

Digraph : acyclic, in-degree 3 for all $v \in V$, 46 nodes and 135 arcs,

Matroid : parallel extension of Fano with 64 elements,

Remark : matroid-based packing of (s, t) -paths exists for all t .



$P(X) = \{v \in V : \exists \text{ a one-way path from } v \text{ to } X\}$. ($X \subseteq P(X)$)

\mathcal{M} -reachability-based packing of (s, t) -paths: if the root arcs form a base of $\mathcal{M}|_{\partial_s(P(t))}$.

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Biset $X = (X_O, X_I)$: $X_I \subseteq X_O \subseteq V$

\mathcal{P}_2 = all bisets on V

$X \cap Y = (X_O \cap Y_O, X_I \cap Y_I)$

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Matroids from submodular bi-set functions

Theorem (Edmonds, Rota)

Let $h : 2^E \rightarrow \mathbb{Z}_{\geq 0} \cup \{+\infty\}$ a *monotone non-decreasing intersecting submodular set function*. Then

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Matroid structure behind reachability-based packings

For simplicity we assume the following:

Assumption

(A1) $\partial_s(v)$ is independent in \mathcal{M} for every $v \in V$.

This can be assumed by adding an extra vertex in the middle of each.

We define matroid \mathcal{M}'_0 (similarly to \mathcal{M}_0): for each $v \in V$ we take a matroid \mathcal{M}'_v on the arcs entering v to be the direct sum of the free matroid on $\partial_s(v)$ and the uniform matroid of rank $m(v) - |\partial_s(v)|$ on $\partial_V(v)$ where $m(v) := r(\partial_s(P(v)))$. $\mathcal{M}'_0 := \bigoplus_{v \in V} \mathcal{M}'_v$.

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In a matroid-rooted digraph $(D = (V + s, A), \mathcal{M})$ there exists an \mathcal{M} -reachability-based packing of s -arborescences iff

$$\varrho(X) = |\partial^A(X)| \geq r(\partial_s^A(P(X))) - r(\partial_s^A(X)) \quad (\forall X \subseteq V).$$

Lemma

b is an *intersecting submodular bi-set function*.

$$\mathcal{I}^* := \{B \subseteq A : i_B(X) \leq b(X) \forall X \in \mathcal{P}_2\}$$

forms the family of independent sets of a matroid \mathcal{M}^* on A .

Theorem

Let $(D = (V + s, A), \mathcal{M})$ be a matroid-rooted digraph. Suppose that (A1) is satisfied. Then $B \subseteq A$ is the arc set of an \mathcal{M} -reachability-based packing of s -arborescences if and only if B is a common independent set of \mathcal{M}'_0 and \mathcal{M}^* of size $\tilde{m}(V)$.

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Theorem

Let $(D = (V + s, A), \mathcal{M})$ be a matroid-rooted digraph. There exists a **polynomial algorithm** to find an **\mathcal{M} -reachability-based** packing of s -arborescences in D of **minimum weight** if D has at least one such packing.

Theorem

Let $(D = (V + s, A), \mathcal{M}_1)$ be a matroid-rooted digraph with another matroid $\mathcal{M}_2 = \bigoplus_{v \in V} \mathcal{M}_v$ on A . There **exists** an **\mathcal{M}_1 -reachability-based** **\mathcal{M}_2 -restricted** packing of s -arborescences in D if and only if

$$r(F) + r_2(\partial(X) - F) \geq r_1(\partial_s(P(X))) \text{ for all } X \subseteq V \text{ and } F \subseteq \partial_s(X).$$

Theorem

Let $(D = (V + s, A), \mathcal{M})$ be a matroid-rooted digraph. There exists a *polynomial algorithm* to find an *\mathcal{M} -reachability-based* packing of s -arborescences in D of *minimum weight* if D has at least one such packing.

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Thank you for your attention!