# Joint spreading models and uniform approximation of bounded operators

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#### (joint work with S. A. Argyros - A. Georgiou - A.-R. Lagos)

#### Non Linear Functional Analysis - CIRM March 8, 2018

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**Answer: never**, when dim(X)  $\ge$  2. (W. B. Johnson)
# The Uniform approximation on large subspaces (UALS property)

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with  $\|(T_0 - S)|_Y\|_{\mathcal{L}(Y,X)} \leq C\varepsilon$ .

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- then there exists  $n \in \mathbb{N}$  so that if  $Y_n = [(e_i)_{i>n}]$ : we have

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In all  $x \in \mathcal{O}$  there is  $\mathcal{O} \in \mathcal{V}$  with  $||\mathcal{O} x = \mathcal{O} ||x|| \leq \varepsilon ||x||$ 

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- If \* fails: we find normalized block sequences  $(x_i^k)_i$ ,  $1 \le k \le m$

• if  $\varepsilon > 0$  and  $T_0 : c_0 \to c_0$  and  $W \subset \mathcal{L}(c_0)$  compact are such that

for all  $x \in c_0$  there is  $S \in W$  with  $||T_0x - S_0x|| \le \varepsilon ||x||$ .

• then there exists  $n \in \mathbb{N}$  so that if  $Y_n = [(e_i)_{i \ge n}]$ : we have

 $\|(T_0-S)|_Y\|_{\mathcal{L}(Y,c_0)}\leq 3\varepsilon.$ 

#### Proof:

• For simplicity assume  $W = \{S_1, ..., S_m\}$ .

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$$\left\| (T - \mathcal{S}_{k_0}) \Big( \sum_{k=1}^m x_{i_k}^{(k)} \Big) \right\| \gtrsim 3 \varepsilon$$

• Absurd! ( $W \varepsilon$ -pointwise approximates  $T_0$ )

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 Any finite collection of normalized block sequences in c<sub>0</sub> asymptotically jointly behaves like the uvb of c<sub>0</sub>.
## Plegma spreading sequences

A sequence  $(e_i)_{i=1}^{\infty}$  in a Banach space is called spreading if for any  $m \in \mathbb{N}$ , any

 $i_1 < \cdots < i_m$  and  $j_1 < \cdots < j_m$ 

and any scalars  $(a_n)_{n=1}^m$  we have:

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 $(e_{i_n})_{n=1}^m$  is isometric to  $(e_{j_n})_{n=1}^m$ 

• e.g. the unit vector basis of  $c_0$ ,  $\ell_p$ ,  $1 \le p < \infty$ .

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$$\left\|\sum_{n=1}^{m}\sum_{k=1}^{l}a_{i}^{(k)}e_{i_{n}^{(k)}}^{(k)}\right\| = \left(\sum_{k=1}^{l}\left(\sum_{n=1}^{m}|a_{n}^{(k)}|^{p}\right)^{q/p}\right)^{1/q}.$$

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#### Remark: plegma spreading is necessary

• There exist unconditional sequences  $(e_i^{(1)})_i, (e_i^{(2)})_i$  that have no plegma unconditional subsequences.

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**Remark:** If each  $(e_i^{(k)})_i$  is Schauder basic  $((e_i^{(k)})_{i=1}^{\infty})_{k=1}^l$  need not be Schauder basic.

## *l*-joint spreading models

Let  $((x_i^{(k)})_{i=1}^{\infty})_{k=1}^{l}$  and  $((e_i^{(k)})_{i=1}^{\infty})_{k=1}^{l}$  be finite collections of Schauder basic sequences in Banach spaces *X* and *E* respectively.

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$$\begin{aligned} i_1^{(1)} < \cdots < i_k^{(1)} < i_1^{(2)} < \cdots < i_k^{(2)} < \cdots < i_1^{(m)} < \cdots < i_k^{(m)} \\ & \left\| \left\| \sum_{n=1}^m \sum_{k=1}^l a_n^{(k)} x_{i_n^{(k)}}^{(k)} \right\| - \left\| \sum_{n=1}^m \sum_{k=1}^l a_n^{(k)} e_n^{(k)} \right\| \right\| < \delta_m. \end{aligned}$$

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$$\left\| \left\| \sum_{n=1}^{m} \sum_{k=1}^{l} a_{n}^{(k)} x_{i_{n}^{(k)}}^{(k)} \right\| - \left\| \sum_{n=1}^{m} \sum_{k=1}^{l} a_{n}^{(k)} e_{n}^{(k)} \right\| \right\| < \delta_{m}.$$

**Remark:**  $((e_i^{(k)})_{i=1}^{\infty})_{k=1}^l$  is plegma spreading.
**Properties:** let  $((x_i^{(k)})_{i=1}^{\infty})_{k=1}^{l}$  be Schauder basic sequences in X

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• There is an infinite *L* so that  $((x_i^{(k)})_{i\in L})'_{k=1}$  generates an *I*-joint spreading model  $((e_i^{(k)})_{i=1}^{\infty})'_{k=1}$ .

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- There is an infinite *L* so that  $((x_i^{(k)})_{i \in L})_{k=1}^l$  generates an *l*-joint spreading model  $((e_i^{(k)})_{i=1}^{\infty})_{k=1}^l$ .
- If  $((x_i^{(k)})_i$  is weakly null, for  $1 \le k \le l$  then  $((e_i^{(k)})_{i=1}^{\infty})_{k=1}^l$  is suppression unconditional.

# Spaces with unique a *I*-joint spreading models

### Spaces that satisfy the UALS

Pavlos Motakis Joint spreading models and uniform approximation of bounded operators

• Let *X* be a Banach space and  $\mathscr{F}$  be a collection of normalized Schauder basic sequences in *X*.

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- If there exists  $C \ge 1$  so that

for any  $l \in \mathbb{N}$ , any two plegma spreading sequences that are generated as *l*-joint spreading models by two *l*-tuples of sequences in  $\mathscr{F}$  are *C*-equivalent

• then we say that X admits a unique *I*-joint spreading model with respect to  $\mathscr{F}$ .

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• then we say that X admits a unique *I*-joint spreading model with respect to  $\mathcal{F}$ .

#### Remark

There is a notion of an asymptotic model generated by an infinite array of sequences. (Halbeisen - Odell (2014)). A space has a unique I-joint spreading model with respect to  $\mathscr{F}$  if and only if it has a unique asymptotic model with respect to  $\mathscr{F}$ 

**Typical examples** of families  $\mathscr{F}$  in X:

- $\mathscr{F}(X) =$  all normalized Schauder basic sequences,
- $\mathscr{F}_{C}(X)$  = all normalized C-Schauder basic sequences,
- $\mathscr{F}_0(X) =$  all normalized weakly null Schauder basic sequences,
- $\mathscr{F}_{b}(X) =$  all normalized block sequences if X has a basis.
- given a (countable)  $\mathscr{A} \subset X^*$ :

$$\mathscr{F}_{\mathscr{A},0} = \Big\{ (x_k)_k \in \mathscr{F}(X) : f(x_k) \to 0 \text{ for all } f \in \mathscr{A} \Big\}.$$

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• If  $X = \ell_p$ , 1 , then X admits a unique*I* $-joint spreading model with respect to <math>\mathcal{F}(X)$ .

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- If  $X = \ell_p$ , 1 , then X admits a unique*I* $-joint spreading model with respect to <math>\mathcal{F}(X)$ .
- If  $X = c_0$ , then X admits a unique *I*-joint spreading model with respect to  $\mathcal{F}_0(X)$  but not  $\mathcal{F}(X)$ .

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#### Theorem

If X = JT (James tree space), then X admits a unique I-joint spreading model with respect to  $\mathcal{F}_0(X)$  but not  $\mathcal{F}(X)$ .

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# Let X be a Banach space and $\mathscr{F} \subset \mathscr{F}(X)$ . We say that $\mathscr{F}$ is difference including if:

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• if  $(x_k)_k$  in  $\mathscr{F}$  then any of its subsequences is in  $\mathscr{F}$ .

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 is in  $\mathscr{F}$ .

**Examples** of difference including families  $\mathscr{F}$ :

- $\mathscr{F}(X) =$ all normalized basic sequences,
- $\mathscr{F}_{C}(X) =$ all normalized *C*-basic sequences,
- $\mathscr{F}_0(X)$  = all normalized *w*-null basic sequences, if  $\ell_1 \not\subset X$ ,
- $\mathscr{F}_{\mathscr{A},0} = \left\{ (x_k)_k \in \mathscr{F}(X) : f(x_k) \to 0 \text{ for all } f \in \mathscr{A} \right\}, \text{ if } \mathscr{A} \text{ countable,}$
- $\mathscr{F}_{(e_i^*)_i(X)}$ , if X has a basis  $(e_i)_i$ .

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Let X be a Banach space. If there exists a difference including family  $\mathscr{F}$  so that X admits a unique I-joint spreading model with respect to  $\mathscr{F}$  then X has the UALS property.

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*Every Asymptotic*- $\ell_p$  *space*,  $1 \le p \le \infty$  *has the UALS-property.* 

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**Recall:** *X* is Asymptotic- $\ell_p$ ,  $1 \le p \le \infty$  if  $\exists C \ge 1$  so that  $\forall n \in \mathbb{N}$  $\exists$  a finite codimensional  $Y_1 \hookrightarrow X$  so that  $\forall$  normalized  $x_1 \in Y_1$  $\exists$  a finite codimensional  $Y_2 \hookrightarrow X$  so that  $\forall$  normalized  $x_2 \in Y_2$ :

∃ a finite codimensional  $Y_n \hookrightarrow X$  so that  $\forall$  normalized  $x_n \in Y_n$  $(x_k)_{k=1}^n$  is *C*-equivalent to the uvb of  $\ell_p^n$ .

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**E.g.**  $c_0$ ,  $\ell_p$ ,  $1 \le p < \infty$ , James space *J*, Tsirelson space *T*, and *T*<sup>\*</sup>.

Let X be a Banach space with an FDD. If there exists a difference including family  $\mathscr{F}$  so that X<sup>\*</sup> admits a unique I-joint spreading model with respect to  $\mathscr{F}$  then X has the UALS property.

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#### Corollary

Every  $\mathscr{L}_{\infty}$ -space with separable dual has the UALS-property. Specifically, every C(K) for K countable and compact has the UALS-property.

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#### Theorem (Argyros - Georgiou - Lagos - M (2018))

- The following Banach spaces satisfy the UALS property:
  - every X with the scalar-plus-compact property,
  - $\ell_p$ ,  $1 \leq p < \infty$ , and  $c_0$ ,
  - James space J and its dual J\*,
  - Tsirelson space T and its dual T\*,
  - in fact, every Asymptotic  $\ell_p$ -space for  $1 \le p \le \infty$ ,
  - James tree space JT ,
  - C(K), for K countable and compact,
  - in fact, every  $\mathscr{L}_{\infty}$ -space with separable dual.

#### Question (Halbeisen - Odell)

If X admits a unique I-joint spreading model with respect to a difference including family  $\mathscr{F}$  does it contain an asymptotic  $\ell_p$  subspace?

#### Answer (Freeman - Odell - Sari - Zheng (2016))

If the unique I-joint spreading model is isomorphic to  $c_0$  then yes.

## Spaces failing the UALS

Spaces that have a unique spreading model but not a unique *I*-joint spreading model

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$$X_n = (\underbrace{\ell_1 \oplus \cdots \oplus \ell_1}_{2n \text{ copies of } \ell_1})_{\ell_1} \equiv \ell_1, \quad Y_n = (\underbrace{\ell_2 \oplus \cdots \oplus \ell_2}_{2n \text{ copies of } \ell_2})_{\ell_2} \equiv \ell_2$$

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$$X_n = (\underbrace{\ell_1 \oplus \cdots \oplus \ell_1}_{2n \text{ copies of } \ell_1})_{\ell_1} \equiv \ell_1, \quad Y_n = (\underbrace{\ell_2 \oplus \cdots \oplus \ell_2}_{2n \text{ copies of } \ell_2})_{\ell_2} \equiv \ell_2$$

• Consider the formal identity  $I: X_n \to Y_n$ ,

$$I(x_1, x_2, \ldots, x_{2n}) = (x_1, x_2, \ldots, x_{2n})$$

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• For  $F \subset \{1, \dots, 2n\}$ , the formal canonical projection  $P_F : X_n \to Y_n$ ,  $P_F(x_1, x_2, \dots, x_{2n}) = (\mathbb{1}_F(1)x_1, \mathbb{1}_F(2)x_2, \dots, \mathbb{1}_F(2n)x_{2n})$ 

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- Define  $W = co\{P_F : \# \le n\}.$

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  - $W(1/\sqrt{n})$ -pointwise approximates *I*.

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- Define  $W = \operatorname{co}\{P_F : \# \leq n\}.$ 
  - $W(1/\sqrt{n})$ -pointwise approximates *I*.
  - For any  $Z \xrightarrow{\text{finite codim}} Y$  and any  $S \in W$

 $\|(I-S)|_Z\| \ge 1/2$ 

Comment

The space  $\ell_1 \oplus \ell_2$  has two spreading models.

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#### Question

• If X has a unique I-joint spreading model then it has the UALS property.

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## Question

- If X has a unique I-joint spreading model then it has the UALS property.
- What if X just has a unique spreading model?

$$X_n = (\underbrace{\ell_2 \oplus \cdots \oplus \ell_2}_{2n \text{ copies of } \ell_2})_{\ell_1}, \quad Y_n = (\underbrace{\ell_2 \oplus \cdots \oplus \ell_2}_{2n \text{ copies of } \ell_2})_{\ell_2}$$

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The space  $X = (\sum_{k=1}^{\infty} X_n)_{\ell_2}$  fails the UALS property.

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 Every spreading model admitted by X is isometrically equivalent to the uvb of l<sub>2</sub>.

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#### Remark

- Every spreading model admitted by X is isometrically equivalent to the uvb of l<sub>2</sub>.
- The space X has no unique I-joint spreading model.

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## Theorem (Argyros - Georgiou - Lagos - M (2018))

• The following Banach spaces fail the UALS property:

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#### Theorem (Argyros - Georgiou - Lagos - M (2018))

- The following Banach spaces fail the UALS property:
  - $\ell_p \oplus \ell_q$ , and  $\ell_p \oplus c_0$  for  $1 \le p \ne q \le \infty$
  - $(\sum \ell_p)_{\ell_q}$ , for  $1 \le p \ne q \le \infty$ ,
  - $(\sum \ell_p)_{c_0}$ ,  $(\sum c_0)_{\ell_p}$ , for  $1 \le p \le \infty$ ,
  - $L_{p}[0,1], 1 \leq p < \infty, p \neq 2,$
  - C[0,1] and its dual  $\mathcal{M}[0,1]$  and  $L_{\infty}[0,1]$ .

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• C[0, 1],  $L_p[0, 1]$ ,  $p \neq 2$ ,  $\ell_p \oplus \ell_q$  etc fail the UALS property. They contain subspaces that satisfy the UALS property.

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- If X is Asymptotic  $\ell_p$  all of its subspaces are asymptotic  $\ell_p$ .

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#### Question

Is there X all subspaces of which fail the UALS property?

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Thank you!