

# Non-projective K3 surfaces containing Levi-flat hypersurfaces

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## 1 Introduction

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## Goal of this talk:

Gluing construction of non-projective K3 surfaces.

We will construct a K3 surface  $X$  by holomorphically patching two open complex surfaces, say  $M$  and  $M'$ .

- $M$  ( $M'$ ) is the complement of a (appropriate) tubular neighborhood of an elliptic curve in the blow-up  $S$  ( $S'$ ) of the projective plane  $\mathbb{P}^2$  at (appropriate) nine points.
- Neither  $S$  nor  $S'$  admit elliptic fibration structure (nine points are “general”)
- In order to patch  $M$  and  $M'$  holomorphically, we need to take “nice neighborhood”. For this purpose, we need to choose nine points carefully.
- Use a technique from Complex Dynamics for taking “nice neighborhood” (Arnol'd's theorem).

## Remarks, Known results

- For the case where  $S$  and  $S'$  are elliptic, a similar construction of K3 surfaces is known. The resulting K3 surfaces are also elliptic.
- M. Doi showed that a similar construction of K3 surfaces if one admit (slight) deformations of the complex structures of  $M$  and  $M'$ .

(Doi, Mamoru, Gluing construction of compact complex surfaces with trivial canonical bundle. J. Math. Soc. Japan 61 (2009), no. 3, 853–884)

- The idea to use Arnol'd-type theorem for patching two open manifolds is also used by H. Tsuji in order to study complex structures on  $S^3 \times S^3$ .

(H. Tsuji, Complex structures on  $S^3 \times S^3$ , Tohoku Math. J. (2) Volume 36, Number 3 (1984), 351–376)

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Take a smooth elliptic curve  $C_0 \subset \mathbb{P}^2$  and nine points  $Z := \{p_1, p_2, \dots, p_9\} \subset C_0$ .

- $S := \text{Bl}_Z \mathbb{P}^2 \xrightarrow{\pi} \mathbb{P}^2$ : blow-up at  $Z$
- $C := \pi_*^{-1} C_0$ : the strict transform of  $C_0$

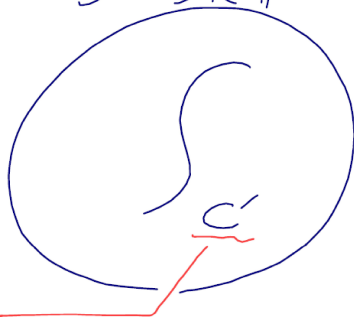
Note that  $N_{C/S} \cong \mathcal{O}_{\mathbb{P}^2}(3)|_{C_0} \otimes \mathcal{O}_{C_0}(-p_1 - p_2 - \dots - p_9)$ . When  $Z$  is special,  $S$  is an elliptic surface ( $N_{C/S} \in \text{Pic}^0(C)$  is torsion in this case). We are interested in the case where  $Z$  is general.

Let  $(S', C')$  be another model which is constructed by another choice of an elliptic curve  $C'_0$  and another nine points configuration  $Z' := \{p'_1, p'_2, \dots, p'_9\} \subset C'_0$ .

$$S = \text{Bl}_{\mathbb{Z}} \mathbb{P}^2$$



$$S' = \text{Bl}_{\mathbb{Z}} \mathbb{P}^2$$



sm. ellipt. curve  $\in |-K|$



# Assumptions

In what follows, we always assume the following:

## Assumption

- $\exists g: C \cong_{\text{bihol.}} C'$
- $N_{C/S} = g^* N_{C'/S'}^{-1}$
- $N_{C/S} \in \text{Pic}^0(C)$  is Diophantine

$N_{C/S} \in \text{Pic}^0(C)$  is said to be *Diophantine* if  $\exists A, \alpha > 0$  such that  $\text{dist}(\mathbb{I}_C, N_{C/S}^{\otimes n}) \geq A \cdot n^{-\alpha}$  for  $\forall n > 0$ .

- $N_{C/S} \in \text{Pic}^0(C)$  is Diophantine for almost every choice of  $Z$  in the sense of Lebesgue measure.
- We will explain why do we need this condition latter.

# Outline of the construction –Step 1

First, we take “nice” neighborhoods  $W$  of  $C$  in  $S$  and  $W'$  of  $C'$  in  $S'$ :

$$S = \text{Bl}_Z \mathbb{P}^2$$

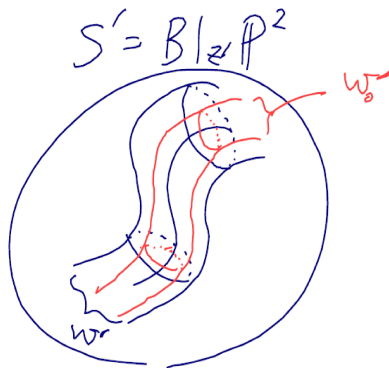
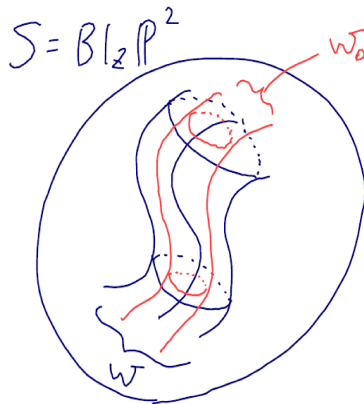


$$S' = \text{Bl}_Z \mathbb{P}^2$$

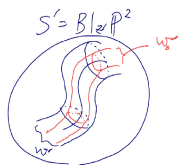
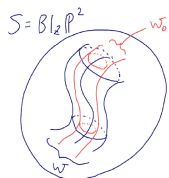


# Outline of the construction –Step 2

Next, we take “nice” neighborhoods  $W_0 \in W$  of  $C$  and  $W'_0 \in W'$  of  $C'$  appropriately:



## Outline of the construction – Step 3



$$M := S \setminus \text{red curve},$$

$$M' := S' \setminus \text{red curve},$$

$$\cup$$

$$\cup$$

$$W^* := \text{red curve} \setminus \text{red point} \cong$$

$$\text{red curve} \setminus \text{red point}$$

$$\leadsto X := M \bigcup_{W^*} M'$$

## Question

*How should we choose “nice” neighborhoods  $W$ ,  $W_0$ ,  $W'$ , and  $W'_0$  (in order to patch  $M$  and  $M'$  holomorphically)?*

Here we use the following:

## Theorem (Arnol'd (1976))

*Assume  $C$  is a smooth elliptic curve and  $N_{C/S} \in \text{Pic}^0(C)$  is Diophantine.*

*Then  $C$  admits a **holomorphic tubular neighborhood**  $W$  (i.e.  $W$  can be chosen so that  $W$  is biholomorphic to a neighborhood of the zero-section in  $N_{C/S}$ ).*

Arnol'd's theorem is shown by using complex dynamical technique as in the proof of **Siegel's linearization theorem**, which is the reason why Diophantine condition is needed in our assumption.

# What follows from Arnol'd's theorem and our assumptions

- “ $N_{C/S}$ : Diophantine” + Arnol'd's thm
  - $\Rightarrow W, W_0$ : holomorphic tubular neighborhoods of  $C$
  - $\Rightarrow W \setminus W_0 \cong_{\text{bihol.}}$  (an annulus bundle over  $C$ )
  
- “ $N_{C/S}$ : Diophantine” + “ $N_{C/S} = g^* N_{C'/S'}^{-1}$ ” + Arnol'd's thm
  - $\Rightarrow W', W'_0$ : holomorphic tubular neighborhoods of  $C'$
  - $\Rightarrow W' \setminus W'_0 \cong_{\text{bihol.}}$  (an annulus bundle over  $C'$ )
  
- “ $g: C \cong C'$ ” + “ $N_{C/S} = g^* N_{C'/S'}^{-1}$ ” + observations above
  - $\Rightarrow (W^* :=) W \setminus W_0 \cong_{\text{bihol.}} W' \setminus W'_0$
  - $\Rightarrow$  One can glue  $M$  and  $M'$  holomorphically by using  $W^*$  as a “tab for gluing”.

## Observation

$W^*$  admits a foliation  $\mathcal{F}$  which is naturally defined by considering the flat connection on  $N_{C/S}$ . Each leaf is biholomorphic to  $\mathbb{C}$  or  $\mathbb{C}^* := \mathbb{C} \setminus \{0\}$ .

It is easily observed that  $\pi_1(X) = 0$ . Therefore, for proving that  $X$  is a K3 surface, it is sufficient to show the following:

## Proposition

*There exists a nowhere vanishing holomorphic 2-form  $\sigma$  on  $X$ .*

**Outline of the proof:** As  $K_S = -C$ , there exists a meromorphic 2-form  $\eta$  on  $S$  with  $\text{div}(\eta) = -C$ . We can also take a meromorphic 2-form  $\eta'$  on  $S'$  with  $\text{div}(\eta') = -C'$ .  $\sigma$  is obtained by patching  $\eta|_M$  and  $-\eta'|_{M'}$  after appropriate normalizations.  $\square$

## Some remark on the construction of the 2-form $\sigma$ on $X$

For patching  $\eta|_M$  and  $-\eta'|_{M'}$  on  $W^*$ , we use the following:

### Key Lemma

$$H^0(W^*, \mathcal{O}_{W^*}) = \mathbb{C}.$$

Key Lemma is shown by considering the restriction of a given holomorphic function on  $W^*$  to a leaf of  $\mathcal{F}$  and considering the Maximum principle.

By using this Key Lemma, one can describe the 2-form  $\sigma|_{W^*}$  very explicitly.

$\Rightarrow$  We could explicitly compute the integrations  $\int \sigma$  along 20 2-cycles of 22 appropriately chosen 2-cycles ("marking" of  $X$ ).



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# Main results

We constructed K3 surfaces in such a manner with independent (at least) 19 parameters. As an conclusion of the construction, we have the following:

Theorem (K-, T. Uehara. improved version of the main result in arXiv:1703.03663)

*There exists a deformation  $\pi: \mathcal{X} \rightarrow B$  of K3 surfaces over a (at least) 19 **dimensional** complex manifold  $B$  with injective Kodaira-Spencer map such that each fiber  $X_b := \pi^{-1}(b)$  admits a holomorphic map  $F_b: \mathbb{C} \rightarrow X_b$  with the following property: The Euclidean closure of  $F_b(\mathbb{C})$  is a real analytic compact hypersurface of  $X_b$ . Especially,  $F_b(\mathbb{C})$  is Zariski dense whereas it is not Euclidean dense.  $X_b$  is non-Kummer and **non-projective** for general  $b \in B$ .*

# “Degrees of freedom” in our construction

- Choice of  $C_0, C'_0$ , and a Diophantine line bundle  $L$  on  $C_0$  (**dimension=1** because of  $C_0 \cong C'_0$  and Dioph. condition).
- Choice of points  $p_1, p_2, \dots, p_8 \in C_0$  (**dimension=8**).
- Choice of points  $p'_1, p'_2, \dots, p'_8 \in C'_0$  (**dimension=8**).
- Points  $p_9 \in C_0$  and  $p'_9 \in C'_0$  are automatically decided by the condition  $N_{C/S} = g^* N_{C'/S'}^{-1} = L$  (**dimension=0**).
- Choice of an isomorphism  $g: C \cong C'$  (**dimension=1**).
- Choice of the “size” of the tab for gluing  $W^*$  (**dimension=1**)

lattice	cycle	$\frac{1}{2\pi\sqrt{-1}} \int \sigma$	corresponding parameter
U	$A_{\beta,\gamma}$	$\tau$	choice of $C_0$ (and $C'_0$ )
	$B_\alpha$	???	choice of $w_j$ 's ( $R, R', \dots$ )
U	$A_{\gamma,\alpha}$	1	—
	$B_\beta$	???	choice of $w_j$ 's ( $R, R', \dots$ )
$E_8(-1)$	$C_{1,2}$	" $p_2 - p_1$ " in $C$	choice of $p_2 - p_1$
	$C_{2,3}$	" $p_3 - p_2$ " in $C$	choice of $p_3 - p_2$
	$\vdots$	$\vdots$	$\vdots$
	$C_{7,8}$	" $p_8 - p_7$ " in $C$	choice of $p_8 - p_7$
	$C_{6,7,8}$	" $p_6 + p_7 + p_8$ " in $C$	choice of $p_6 + p_7 + p_8$
$E_8(-1)$	$C'_{1,2}$	" $p'_2 - p'_1$ " in $C'$	choice of $p'_2 - p'_1$
	$C'_{2,3}$	" $p'_3 - p'_2$ " in $C'$	choice of $p'_3 - p'_2$
	$\vdots$	$\vdots$	$\vdots$
	$C'_{7,8}$	" $p'_8 - p'_7$ " in $C'$	choice of $p'_8 - p'_7$
	$C'_{6,7,8}$	" $p'_6 + p'_7 + p'_8$ " in $C'$	choice of $p'_6 + p'_7 + p'_8$
U	$A_{\alpha,\beta}$	$a_\beta - \tau \cdot a_\alpha$	choice of $p_9$ and $p'_9$ (i.e. $N_{C/S}$ and $N_{C'/S'}$ )
	$B_\gamma$	" $p'_9 - g(p_9)$ "	choice of $g: C \cong C'$

## Question

*For the previous example  $(C_0, Z = \{p_1, p_2, \dots, p_9\}, C, S)$ , does  $C$  admit a holomorphic tubular neighborhood when  $N_{C/S} \in \text{Pic}^0(C)$  is not Diophantine?*