

AN URYSOHN-TYPE THEOREM UNDER A DYNAMICAL CONSTRAINT

Albert Fathi

CIRM Marseille, February, 2017

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The positive answer to the question is contained in our work

An Urysohn-type theorem under a dynamical constraint,
Journal of Modern Dynamics, **10** (2016) 331–338.

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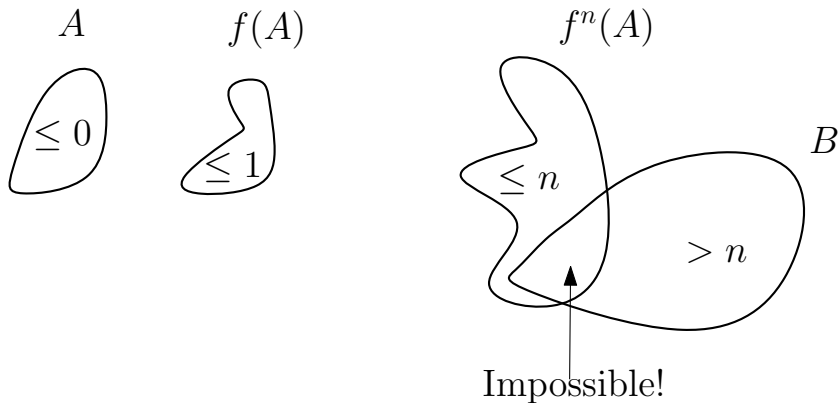
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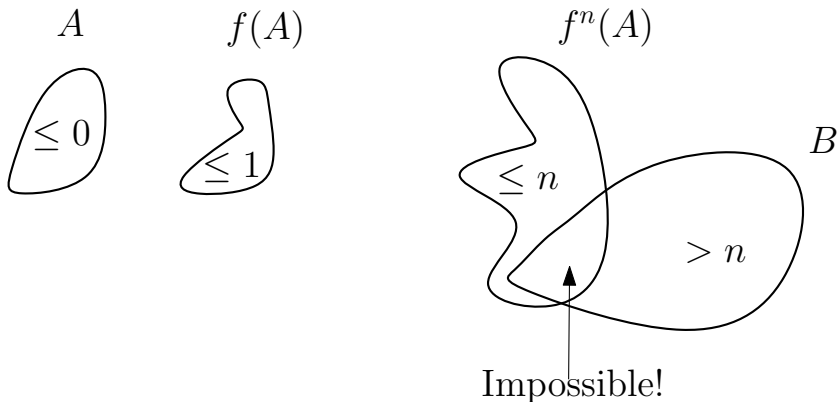
From the conditions $\theta f - \theta \leq 1$ and $\theta|_A \leq 0$, by induction on ℓ , we get

$$\theta|_{f^\ell(A)} \leq \ell, \text{ for all } \ell \geq 0.$$

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Therefore the condition $B \cap (\cup_{i=0}^n f^i(A)) = \emptyset$ is necessary to prove the existence of θ satisfying

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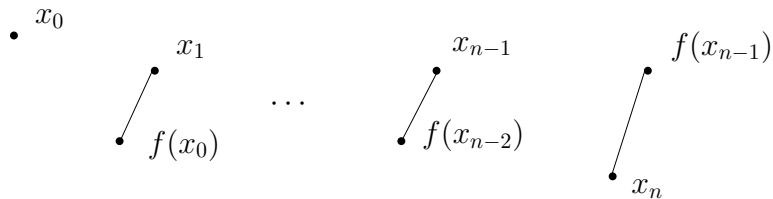
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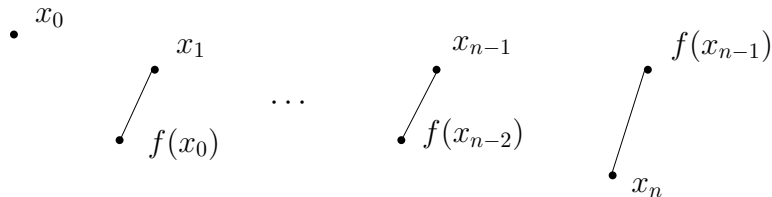
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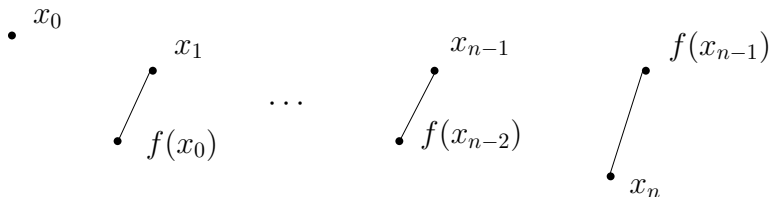
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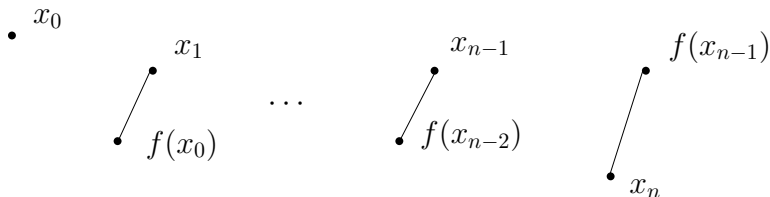


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In fact, as we will see, using $p = 1$, allows to obtain (uniformly) Lipschitz function.

We used the action A to study Lyapunov functions, i.e. functions $\psi : X \rightarrow \mathbb{R}$ such that $\psi f \leq \psi$, or equivalently $\psi f - \psi \leq 0$. Since we want instead the condition $\psi f - \psi \leq 1$, we have to modify our action by throwing in the constant potential -1 .

For every $k > 0$, we define the cost $c_k : X \times X \rightarrow \mathbb{R}$ by

$$c_k(x, y) = kd(f(x), y) + 1.$$

This is to be compared with the Lagrangian associated to the motion of a particle of mass m in a potential field with a potential energy V

$$L(x, v) = \frac{m}{2} \|v\|^2 - V(x).$$

Of course, a discrete speed at the point x is an ordered pair (x, y) ($y = x + v!$).

If we compare, $k/2$ is therefore the mass. By increasing k , we are making the particle heavier without changing the potential energy $V = -1$

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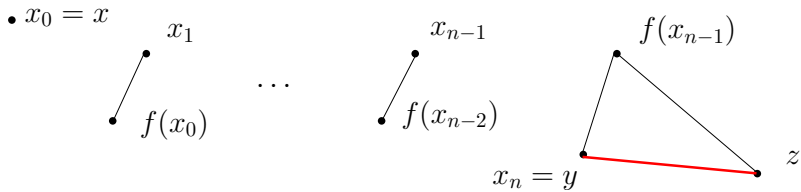
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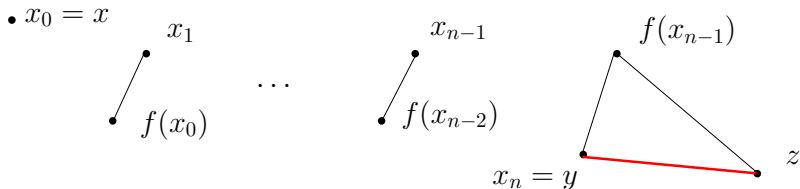
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We have

$$\begin{aligned}
 \Gamma_k(x, z) &\leq C_k(x_0, x_1, \dots, x_{n-1}, z) \\
 &= \sum_{i=0}^{n-2} (kd(f(x_i), x_{i+1}) + 1) + (kd(f(x_{n-1}), z) + 1) \\
 &= \sum_{i=0}^{n-1} (kd(f(x_i), x_{i+1}) + 1) + [kd(f(x_{n-1}), z) - kd(f(x_{n-1}), y)] \\
 &\leq C_k(x_0, \dots, x_n) + kd(z, y).
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- ▶ $f : X \rightarrow X$ is a continuous self-map of the metric space X .
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- ▶ θ is identically 0 on a neighborhood of A ,
- ▶ θ is $\geq n + 1$ on a neighborhood of B .

Proof of Theorem

For a subset $S \subset X$, if $\epsilon > 0$, we denote by

$$\bar{V}_\epsilon(S) = \{x \in X \mid d(x, S) \leq \epsilon\}$$

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Note that $\varphi_k|_{\bar{V}_{1/k}(A)} \equiv 0$, and the function φ_k is k -Lipschitz. It is not difficult to estimate from below the values of φ_k on $\bar{V}_{1/k}(B)$ by

$$\varphi_k|_{\bar{V}_{1/k}(B)} \geq kd(A, B) - 2, \quad (0.1)$$

using $d(\bar{V}_{1/k}(A), \bar{V}_{1/k}(B)) \geq d(A, B) - 2/k$.

Since A is compact and B is closed, we have $d(A, B) > 0$. Hence

$$\inf_{\bar{V}_{1/k}(B)} \varphi_k \rightarrow +\infty, \text{ as } k \rightarrow +\infty.$$

We next define $\theta_k : X \rightarrow [0, +\infty[$ by

$$\theta_k(x) = \min[\varphi_k(x), \inf_{y \in X} \varphi_k(y) + \Gamma_k(y, x)].$$

The second part is indeed an “average” in the $(\min, +)$ algebra. In the usual algebra $(+, \times)$, since an infinite (uncountable sum) should be an integral, this “average” would be

$$\int \varphi_k(y) \Gamma_k(y, x) dy,$$

which is indeed an average with respect to the measure $\varphi_k(y)dy!$ We first observe that θ_k is ≥ 0 everywhere. Moreover, it is k -Lipschitz, since φ_k is k -Lipschitz, and Γ_k is uniformly k -Lipschitz in its second argument.

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Therefore

$$\begin{aligned} \theta_k(f(x)) &\leq \min[\varphi_k(x) + 1, \inf_{y \in X} \varphi_k(y) + \Gamma_k(y, x) + 1] \\ &= \theta_k(x) + 1. \end{aligned}$$

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By the definition of φ_k and C_k , we get

$$k_\ell d(y_0^\ell, \bar{V}_{1/k_\ell}(A)) + \sum_{i=0}^{n_\ell-1} [k_\ell d(f(y_i^\ell), y_{i+1}^\ell) + 1] < n + 1,$$

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which can be rewritten as

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But $x \in A$ and $m \leq n$. This contradicts the hypothesis $B \cap (\cup_{i=0}^n f^i(A)) = \emptyset$.