

Impact of a sample procedure on a Gibbs tree

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Workshop on Probabilistic Aspects of Multiple Ergodic Averages

joint work with J. Barral

1 - Introduction: What is my question?

Notations: $\Sigma_j = \{0, 1\}^j$ is the set of finite words of length j , and $\Sigma = \{0, 1\}^{\mathbb{N}}$.
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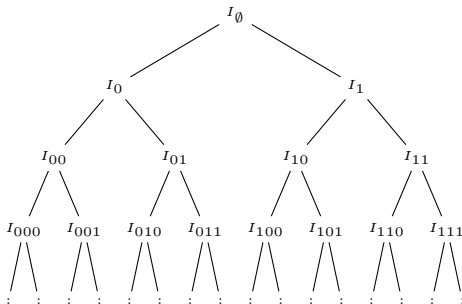
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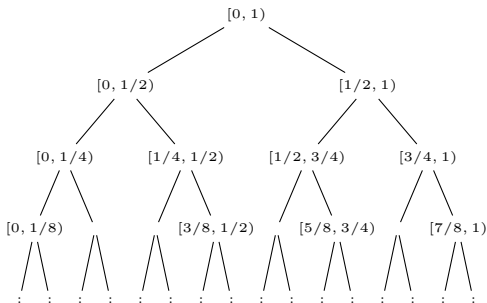
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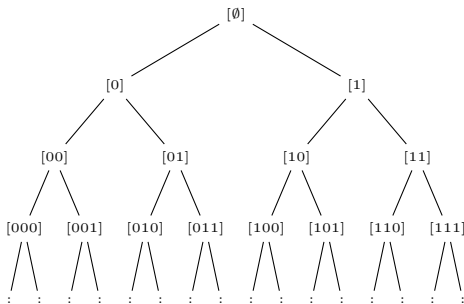
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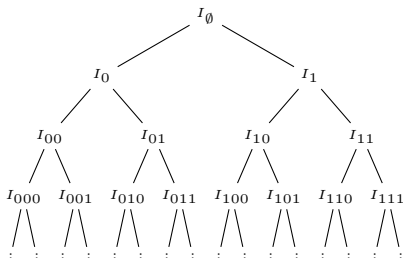
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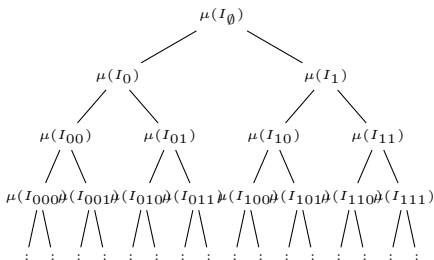
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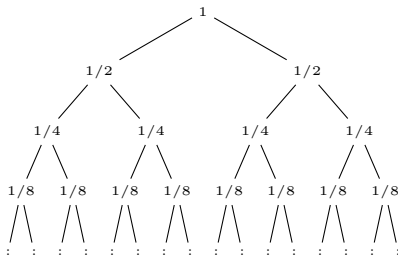
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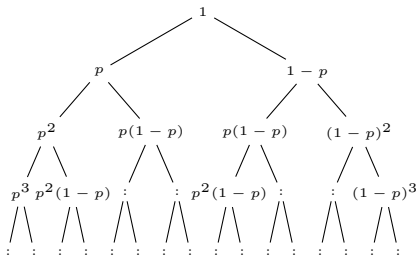
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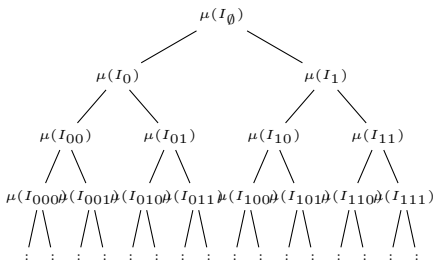
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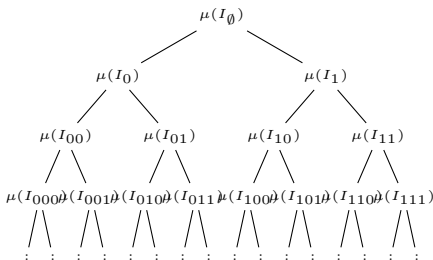
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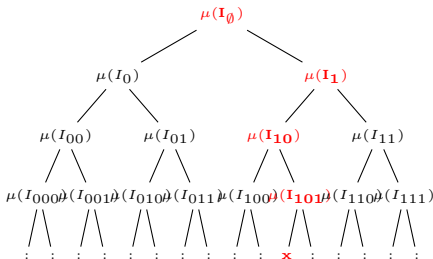
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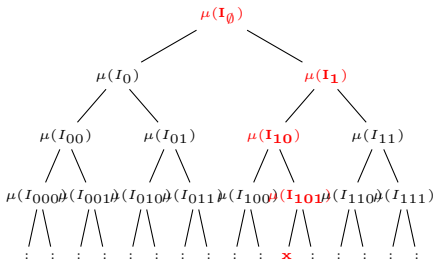
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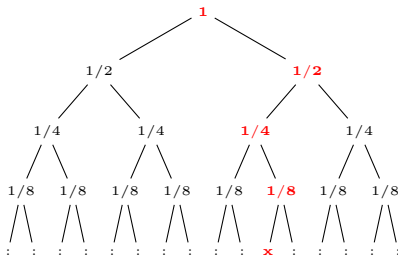
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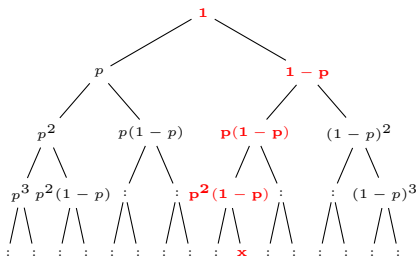
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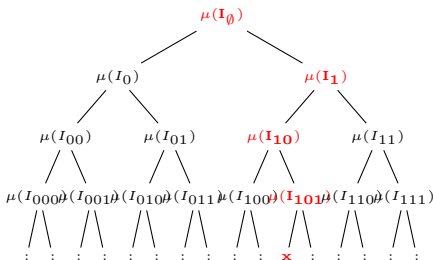
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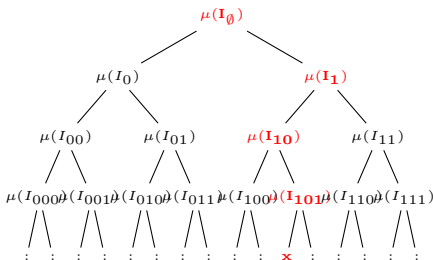
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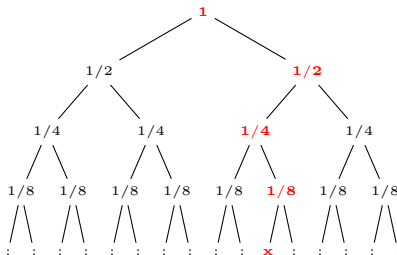
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and to compute the **multifractal spectrum**

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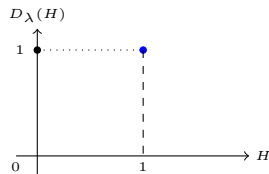
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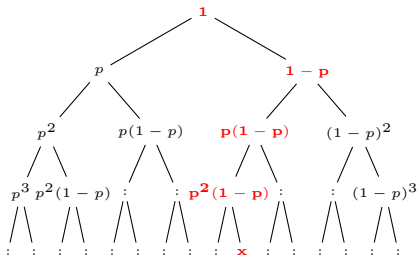
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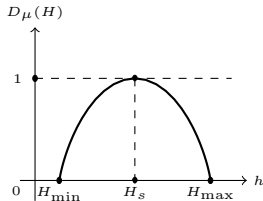
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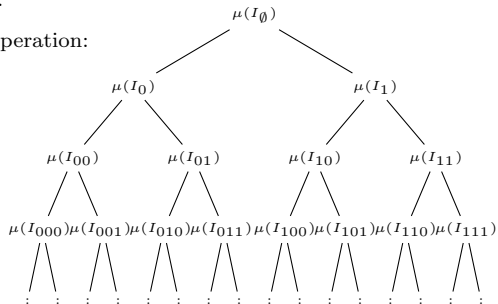


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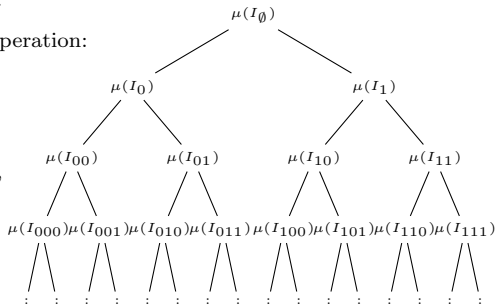
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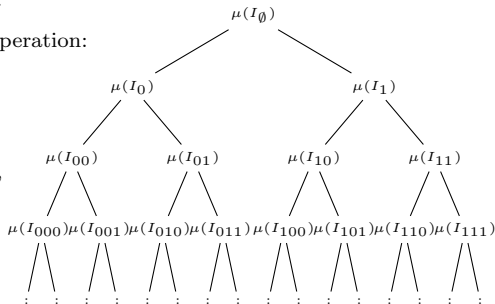
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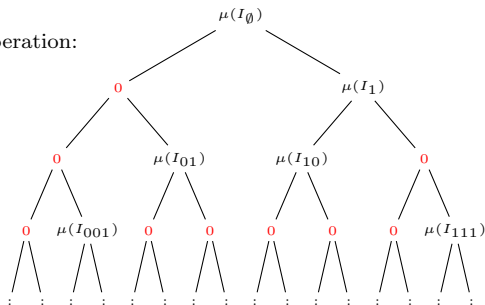
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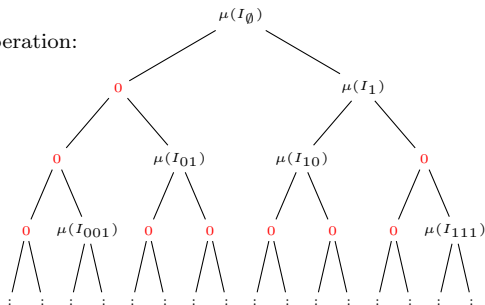
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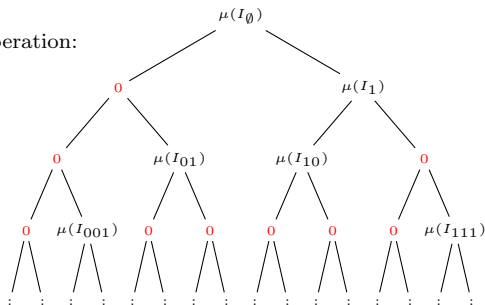
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Motivations:

- Natural question, not so far from percolation theory.
- Recovering from sparse data.
- Random wavelet series.

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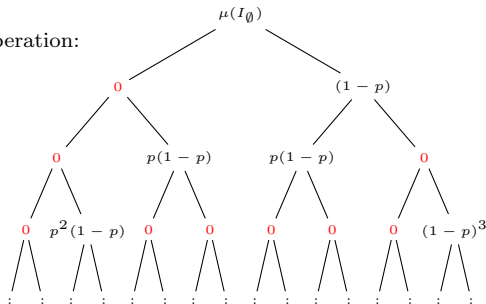
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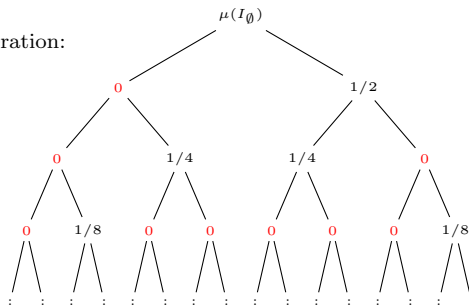
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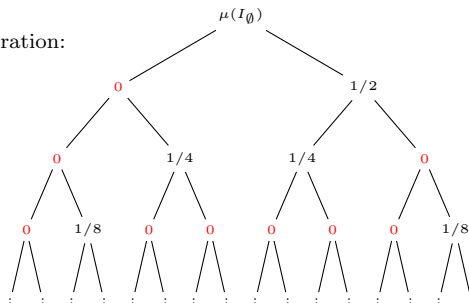
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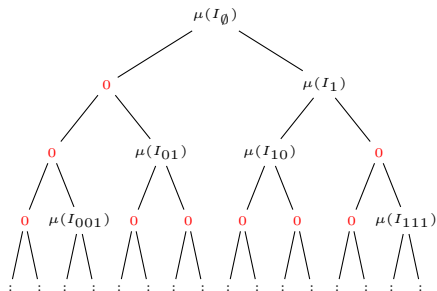


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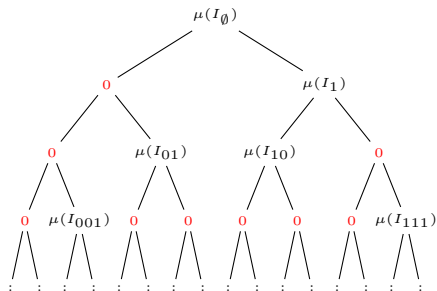
- Which vertices survive after sampling? → **Description of the survivors.**
- Can one recover the initial tree? → **"yes" when $\eta > 1/2$ and μ Gibbs.**
- What about the structure of the survivors? → **new multifractal behavior(s).**

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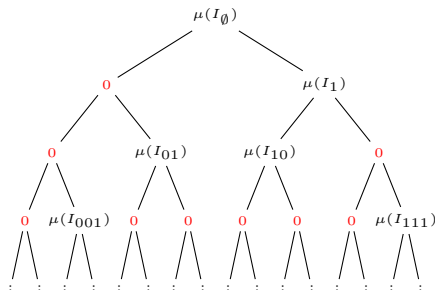
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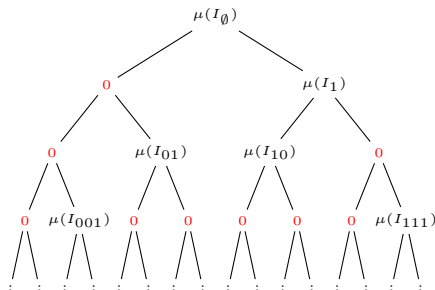
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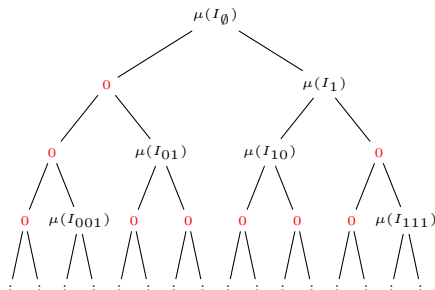
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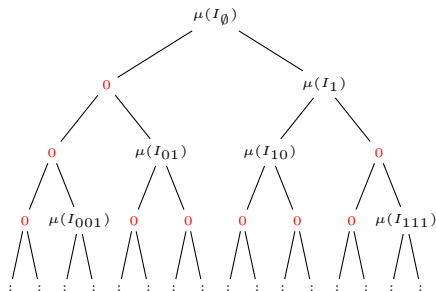
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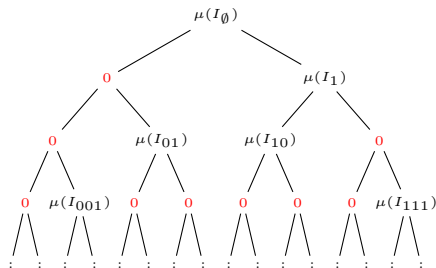
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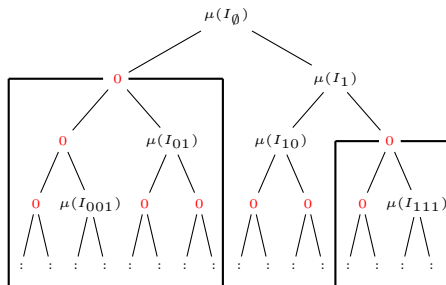
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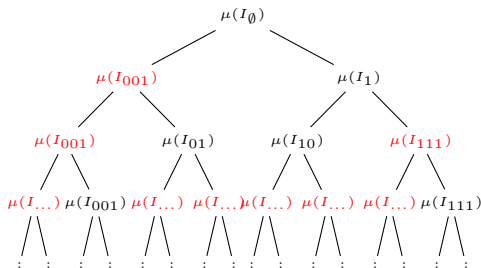


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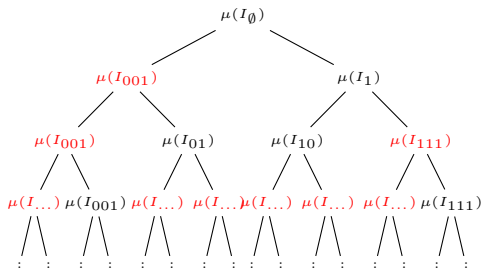
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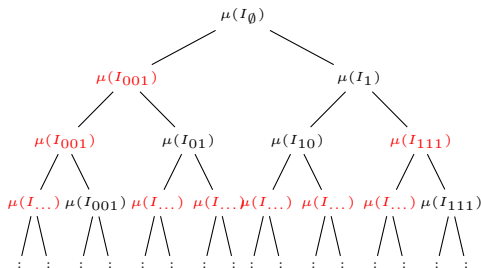
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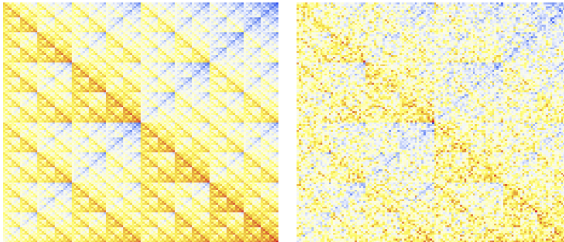


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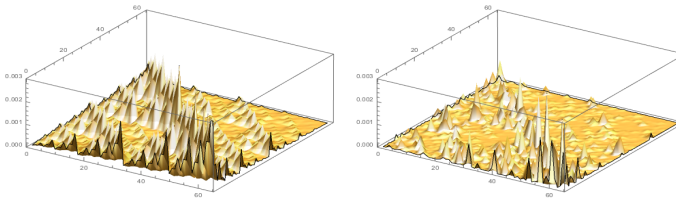
Questions: - Multifractal analysis of M_μ ?

- Did we lose something (and what) on μ ?

(Credits: F. Vigneron - UPEC)



2D Multinomial measure at generation 7 before and after sampling ($\eta = 0.8$)



2D Gibbs measure at generation 6 before and after sampling ($\eta = 0.7$)

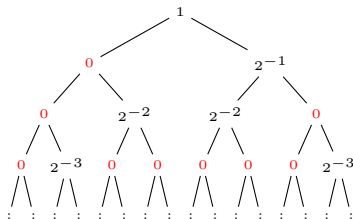
Outline of the rest of the talk:

- The Lebesgue case, with a proof !
- Recalls on Gibbs measures.
- Reconstruction of the tree.
- Multifractal analysis of M_μ .
- Main ideas of the proof.

Connections with :

- Dynamics
- Random covering questions.
- Diophantine approximation.

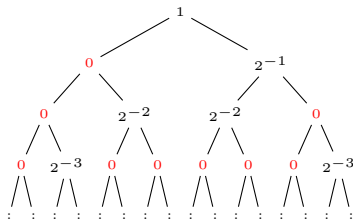
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The same for all survivors!

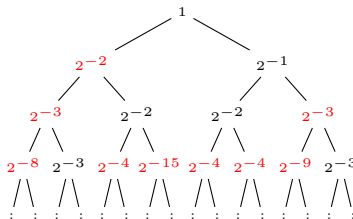
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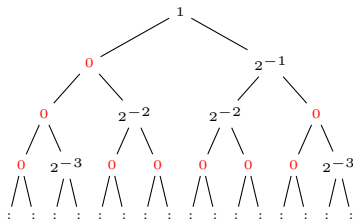
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But $M_\lambda(I_W)$ does not depend
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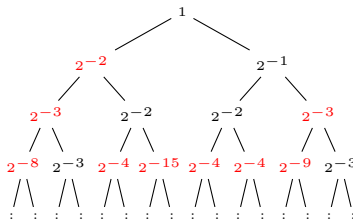
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This model was studied by S. Jaffard
as lacunary wavelet series,
(also \sim Lévy processes).

Question: can one compute the **local dimension** of M_μ at every x

$$\underline{\dim}(M_\mu, x) = \liminf_{j \rightarrow +\infty} \frac{\log_2 M_\mu(I_j(x))}{-j}$$

and the **multifractal spectrum** of M_μ defined by

$$D_{M_\mu}(H) = \dim \{x \in [0, 1] : \underline{\dim}(M_\mu, x) = H\} \quad ?$$

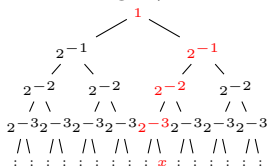
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- For Lebesgue $\mu = \lambda$:



For all $x \in [0, 1]$,
 $\underline{\dim}(\lambda, x) = 1.$

Phase transitions, Gibbs, Sampling

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Main ideas of the proof in the Lebesgue case

We investigate the distribution of the surviving vertices **forgetting all the ε 's!**

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In other words,

if $J = j\eta$,

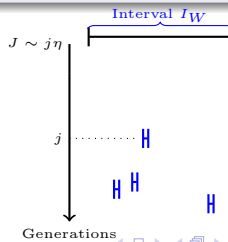
every dyadic interval I_W

of generation J

contains at least one

(and essentially only one)

survivor at generation j .



Changing viewpoint: Focus on $x \in [0, 1]$, and the local behavior of M_λ at x .

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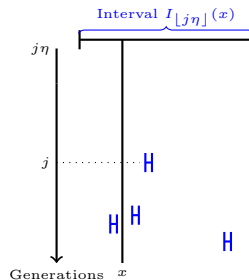
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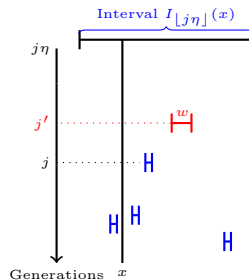
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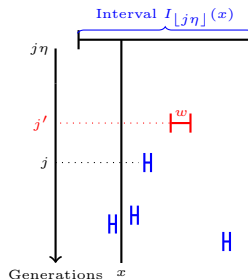
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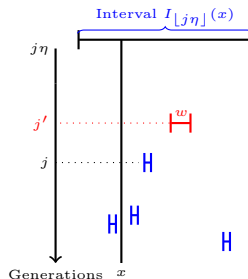
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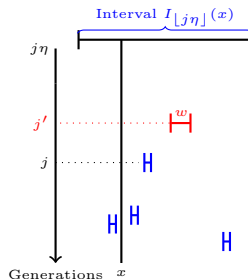
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3 - Gibbs measures, and reconstruction of the initial tree

- Consider a Hölder potential $\varphi : \Sigma \rightarrow \mathbb{R}$.
- Consider the shift σ on Σ : $\sigma(w_1 w_2 w_3 \dots) = w_2 w_3 \dots$.
- Look at the Birkhoff sums $S_j \varphi(t) = \sum_{k=0}^{j-1} \varphi(\sigma^k t)$.
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Theorem

There is a *Gibbs measure* μ_φ defined on Σ , such that

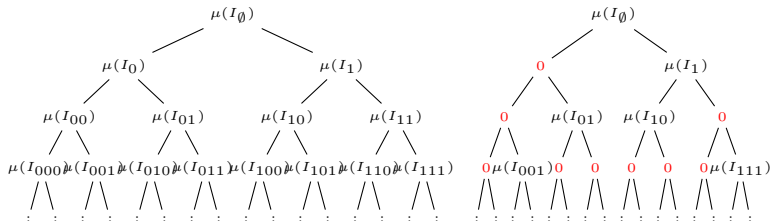
$$\exists C > 1 : \quad \forall x \in [0, 1], \quad \forall j \geq 1, \quad C^{-1} \leq \frac{\mu_\varphi(I_j(x))}{\exp(S_j \varphi(x) - jP(\varphi))} \leq C,$$

This measure satisfies the quasi-Bernoulli property:

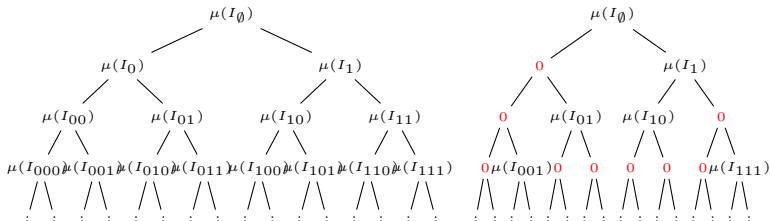
$$\forall (w, w') \in \Sigma_j \times \Sigma_{j'}, \quad C^{-1} \mu(I_w) \mu(I_{w'}) \leq \mu(I_{w \cdot w'}) \leq C \mu(I_w) \mu(I_{w'}).$$

The multiplicativity is key in the following !!

Fix a Gibbs measure μ , a sampling index $0 < \eta < 1$. **Reconstruction of μ ?**



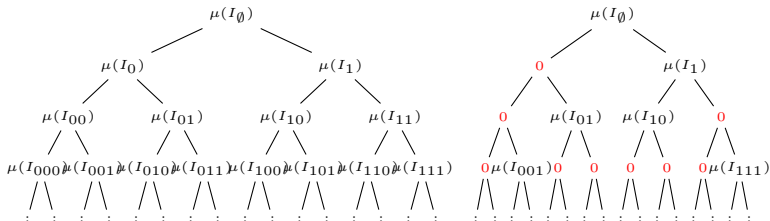
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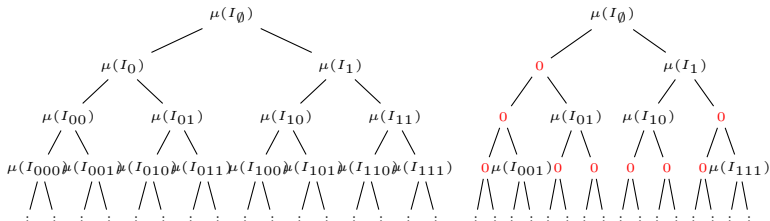


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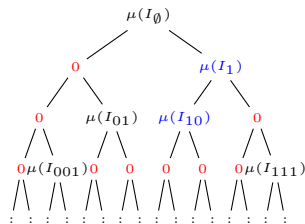
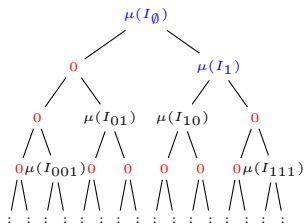
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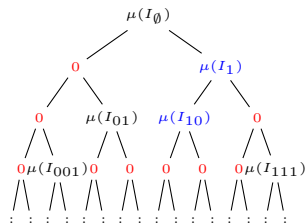
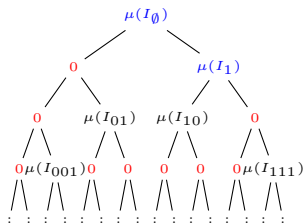
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Definition

A finite word u is **k -reconstructible** if there exists a decomposition $u = u_1 u_2 \cdots u_k$ such that all u_1, \dots, u_k are simultaneously 1-reconstructible.

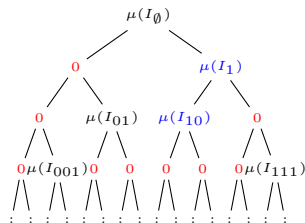
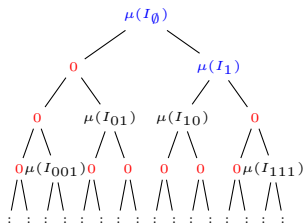




Theorem

If $\eta > 1/2$, then a.s. the initial Gibbs tree is 1-reconstructible;

If $\eta < 1/2$, then a.s. the initial Gibbs tree not k -reconstructible, for any $k \geq 1$.



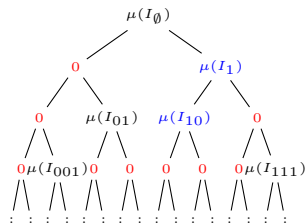
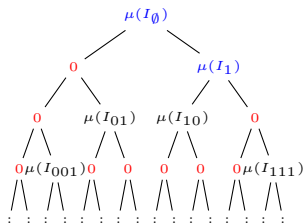
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$$\mathbb{P}(\text{both } w \text{ and } wu \text{ survive}) = 2^{-\eta k} 2^{-2(1-\eta)j}.$$



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Phase transition at $\eta = 1/2$.

4- Multifractal analysis of the random capacity M_μ

Recall that the L^q -scaling function (free energy) of μ is :

$$\text{for } q \in \mathbb{R}, \quad \tau_\mu(q) = \lim_{j \rightarrow \infty} \frac{-1}{j} \log_2 \sum_{w \in \Sigma_j} \mu(I_w)^q.$$

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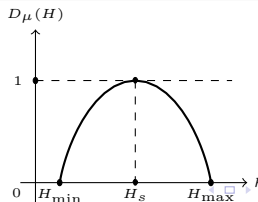
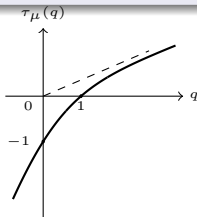
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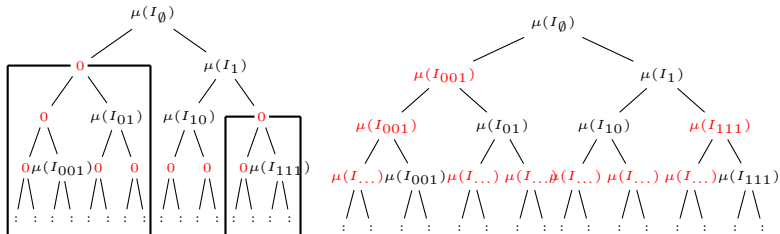
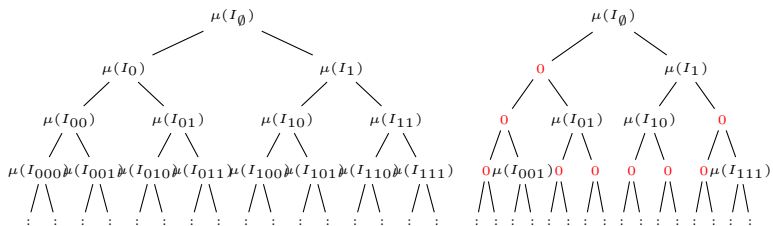
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Theorem (Collet-Lebowitz-Porzio, '87)

Let $H_{\min} = \tau'_\mu(+\infty)$, $H_s = \tau'_\mu(0)$ and $H_{\max} = \tau'_\mu(-\infty)$.

- for μ -almost every x , $\underline{\dim}(\mu, x) = \dim \mu = \tau'_\mu(1)$.
- For every $H \in [H_{\min}, H_{\max}]$, $D_\mu(H) = (\tau_\mu)^*(H) \geq 0$.
- If $H \notin [H_{\min}, H_{\max}]$, then $\{x : \underline{\dim}(\mu, x) = H\} = \emptyset$.





Theorem (Barral, S., 2015)

There exist $\tilde{\eta} \in (0, \eta)$ and $H_\ell(\tilde{\eta}) \in [H_{\min}, H_s]$ such that, with probability 1:

- ① The free energy τ_{M_μ} of M_μ exists as a limit.
- ② The spectrum of singularity of M_μ is:

$$D_{M_\mu}(H) = \begin{cases} D_\mu(H) - (1 - \eta) & \text{if } H_\ell(0) \leq H \leq H_\ell(\tilde{\eta}), \\ \frac{\tilde{\eta}}{H_\ell(\tilde{\eta})} D_\mu(H_\ell(\tilde{\eta})) \cdot H & \text{if } H_\ell(\tilde{\eta}) \leq H \leq H_\ell(\tilde{\eta})/\tilde{\eta}, \\ D_\mu(H - \frac{1-\tilde{\eta}}{\tilde{\eta}} H_\ell(\tilde{\eta})) & \text{if } H_\ell(\tilde{\eta})/\tilde{\eta} \leq H \leq H_{\max} + \frac{1-\tilde{\eta}}{\tilde{\eta}} H_\ell(\tilde{\eta}). \end{cases}$$

- ③ M_μ verifies the multifractal formalism: $D_{M_\mu} = (\tau_{M_\mu})^*$.

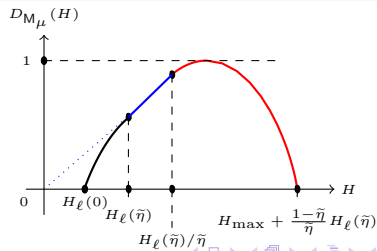
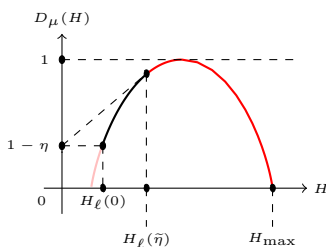
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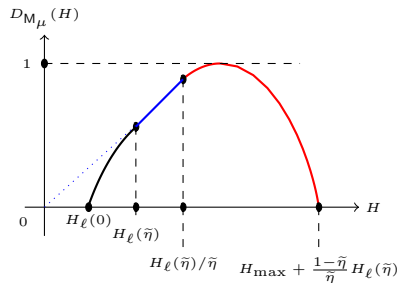
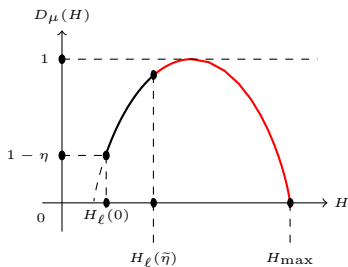
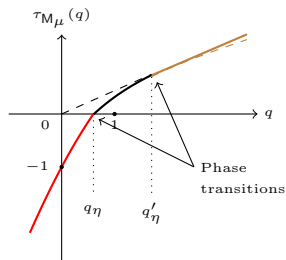
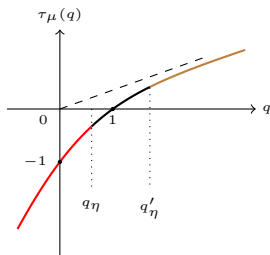
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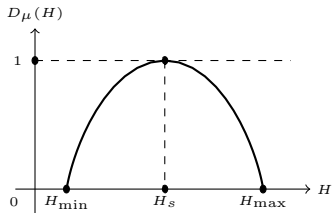
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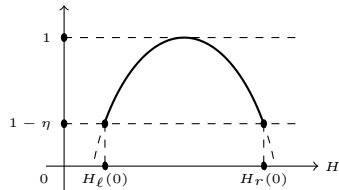
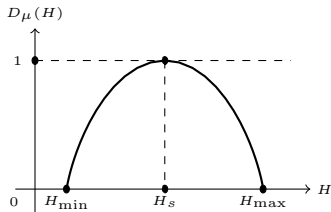
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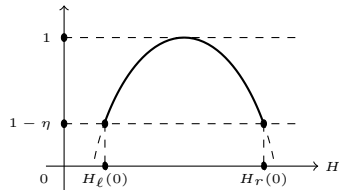
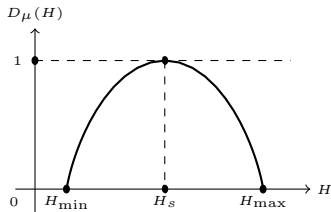
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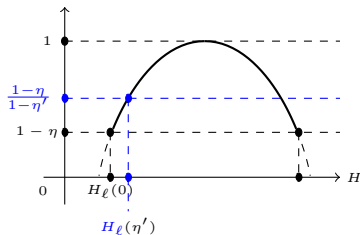


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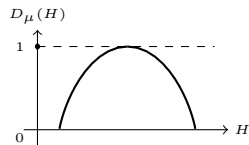
For every $\eta' \in [0, \eta]$,
one considers $H_\ell(\eta')$
the unique solution to
$$D_\mu(H_\ell(\eta')) = \frac{1 - \eta}{1 - \eta'}.$$



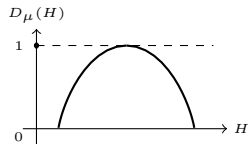
For a given H , set

$$\mathcal{E}_\mu(j, H) = \{w \in \Sigma_j : \mu(I_w) \sim 2^{-jH}\}.$$

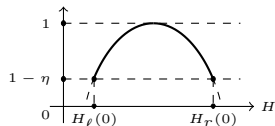
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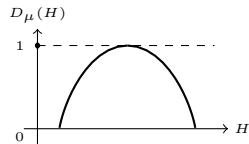
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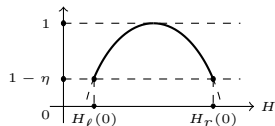
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With probability 1:

- Only those words w such that

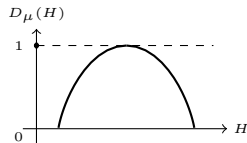
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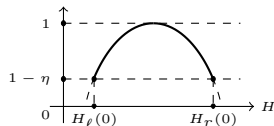
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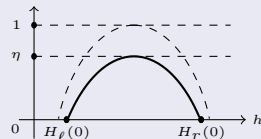
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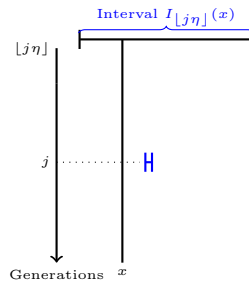
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Much more difficult to find an upper bound for $\underline{\dim}(M_\mu, x)$.

This upper bound is $H_{\max} + \frac{1-\eta}{\eta} H_\ell(\tilde{\eta}) \gg H_{\max} \gg H_r(0) !!$

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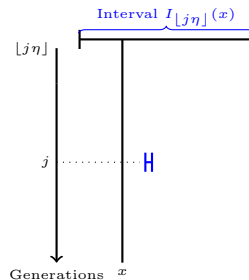
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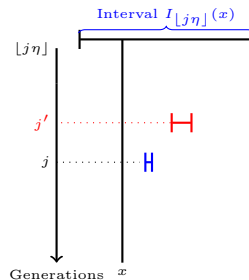
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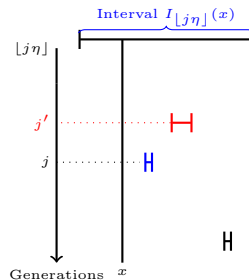
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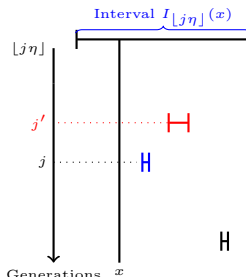
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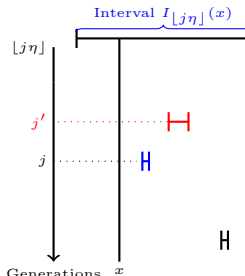
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Theorem

If for a (deterministic) sequence of balls $\left(B(x_n, l_n)\right)_n$ in $[0, 1]$ one has

$$\text{Leb}\left(\limsup_{n \rightarrow +\infty} B(x_n, l_n)\right) = 1,$$

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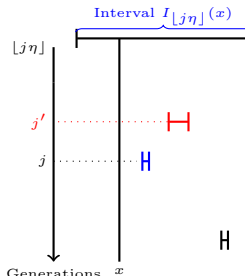
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Theorem (Barral-S. 2004)

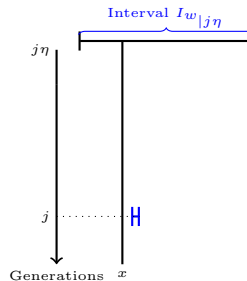
If for a (deterministic) sequence of balls $(B(x_n, l_n))_n$ in $[0, 1]$ one has

$$\mu\left(\limsup_{n \rightarrow +\infty} B(x_n, l_n)\right) = 1,$$

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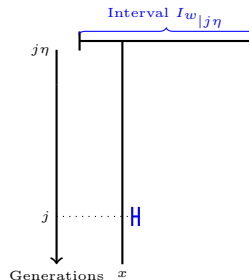


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Recall that σ is the shift, then

$$\mu(I_w) \sim \mu(I_{w|j\eta}) \mu(I_{\sigma^{j\eta}w}),$$

where $w|_{j\eta}$ of length $j\eta$ is the η -root of w ,
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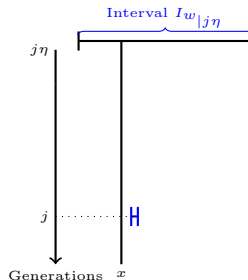
$$\mu(I_w) = 2^{-jH_w} \sim 2^{-j\eta\alpha} \cdot 2^{-j(1-\eta)\beta},$$

where

- α describes the scaling behavior of the η -root,
- β describes the scaling behavior of the η -tail.

This rewrites

$$H_w = \eta\alpha + (1 - \eta)\beta.$$



Focus on one survivor w of generation j .

Recall that σ is the shift, then

$$\mu(I_w) \sim \mu(I_{w|j\eta}) \mu(I_{\sigma^{j\eta}w}),$$

where $w|_{j\eta}$ of length $j\eta$ is the η -root of w ,
and $\sigma^{j\eta}w$ of length $j - j\eta$ is the η -tail of w .

Hence

$$\mu(I_w) = 2^{-jH_w} \sim 2^{-j\eta\alpha} \cdot 2^{-j(1-\eta)\beta},$$

where

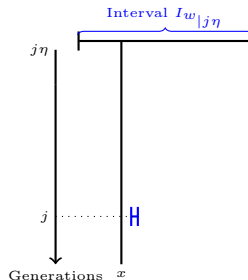
- α describes the scaling behavior of the η -root,
- β describes the scaling behavior of the η -tail.

This rewrites

$$H_w = \eta\alpha + (1 - \eta)\beta.$$

Each interval I_W , where W has length $J = j\eta$, contains a survivor at generation j .

Hence every $\alpha \in [H_{\min}, H_{\max}]$ is possible.



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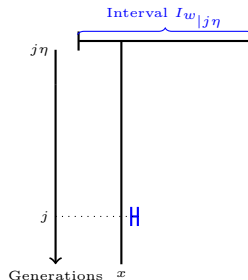
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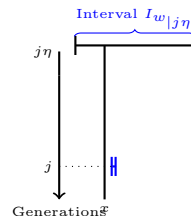
Question: Can we describe the possible β 's?



One has $\mu(I_w) = 2^{-jH_w} \sim 2^{-j\eta\alpha} \cdot 2^{-j(1-\eta)\beta}$,

and so $H_w = \eta\alpha + (1-\eta)\beta$.

Since the location of w is random, one could think that one exponent β is realized a.s., the same for all intervals $I_{w|j\eta}$.



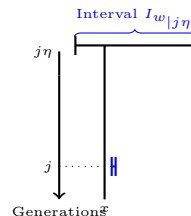
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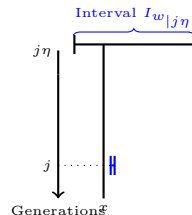
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One must consider **all possible decompositions** in tails and roots for $\eta' \in (0, \eta]$:



$$\begin{array}{c}
 w = w_1 w_2 \cdots w_{\lfloor j\eta' \rfloor} \quad w_{\lfloor j\eta' \rfloor + 1} w_{\lfloor j\eta' \rfloor + 2} \cdots w_j \\
 \begin{array}{cc}
 \longleftrightarrow & \longleftrightarrow \\
 \eta' \text{-root of } w & \eta' \text{-tail of } w
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 \frac{\log_2 \mu(I_{w| \lfloor \eta' j \rfloor})}{-\lfloor \eta' j \rfloor} \sim \alpha & \frac{\log_2 \mu(I_{\sigma| \eta' j \rfloor w})}{j - \lfloor \eta' j \rfloor} = ?
 \end{array}
 \end{array}$$

Lemma

With proba 1, for every survivor $w \in \Sigma_j$, there exists $\eta' \in [0, \eta]$ such that

$$\mu(I_w) \sim \mu(I_{w|j\eta'}) \cdot 2^{-j(1-\eta')H_\ell(\eta')} \quad \text{or the same with } H_r(\eta').$$

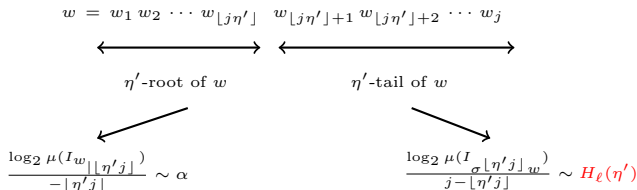
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 \end{array}$$

Lemma (Renewal property)

With proba 1, for every $\eta' \in [0, \eta]$ and every word W of generation $j\eta'$, there is a survivor w of generation j tel que

$$\mu(I_w) \sim \mu(I_W) \cdot 2^{-j(1-\eta')H_\ell(\eta')}.$$

Conclusion(s) :

- M_μ satisfies the multifractal formalism: for every H , $D_{M_\mu}(H) = (\tau_{M_\mu})^*(H)$.
- The phase transitions appear in the proof !
- Other energy models: cascades, random walks on trees.
- Other sampling procedures (less "radical") \longrightarrow other phase transitions?
- General question: can one recover from partial information the initial "dynamics" or the original "measure".