

A derivation on the field of d.c.e. reals



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(building on work of Barmpalias and Lewis-Pye)

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Random left-c.e. reals

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Let $\{\alpha_s\}_{s \in \omega}$ be a computable nondecreasing sequence of rationals converging to α . We say that α is a **left-c.e. real** and $\{\alpha_s\}_{s \in \omega}$ is a **left-c.e. approximation** of α .

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- (1) α is a random left-c.e. real,
- (2) α is the halting probability of a universal prefix-free machine,
- (3) Any left-c.e. approximation to α converges at least as slowly as any left-c.e. approximation to any other left-c.e. real.

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The last of these conditions will be made precise in the next lemma. It is stronger than saying that α is “Solovay complete”, but since we do not need Solovay reducibility below, we will not elaborate.

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Lemma (Kuřera and Slaman, 2001)

Let α and β be left-c.e. reals with left-c.e. approximations $\{\alpha_s\}_{s \in \omega}$ and $\{\beta_s\}_{s \in \omega}$. If β is random, then there is a $c \in \omega$ such that

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All random left-c.e. reals are essentially equally hard to approximate.

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The most natural context for Barmpalias and Lewis-Pye's results is probably the field of d.c.e. reals.

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Let $\{\beta_s\}_{s \in \omega}$ and $\{\gamma_s\}_{s \in \omega}$ be left-c.e. approximations of β and γ , respectively. If we set $\alpha_s = \beta_s - \gamma_s$, then not only do we have $\lim_{s \rightarrow \infty} \alpha_s = \alpha$, but the **variation** of the approximation is finite, i.e.,

$$\begin{aligned} \sum_{s \in \omega} |\alpha_{s+1} - \alpha_s| &= \sum_{s \in \omega} |(\beta_{s+1} - \beta_s) - (\gamma_{s+1} - \gamma_s)| \\ &\leq \sum_{s \in \omega} |\beta_{s+1} - \beta_s| + \sum_{s \in \omega} |\gamma_{s+1} - \gamma_s| = \beta + \gamma < \infty. \end{aligned}$$

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We call $\{\alpha_s\}_{s \in \omega}$ a **d.c.e. approximation** of α . Such approximations characterize the d.c.e. reals.

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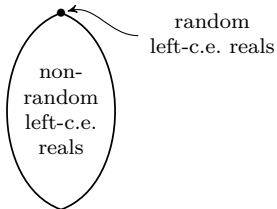
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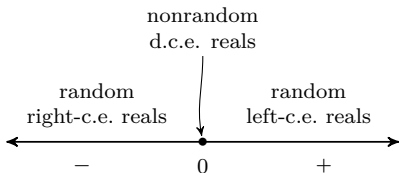
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- (2) $\partial\alpha = 0$ if and only if α is not random.
- (3) $\partial\alpha > 0$ if and only if α is a random left-c.e. real.
- (4) $\partial\alpha < 0$ if and only if α is a random right-c.e. real.
- (5) $\partial\alpha = \sup\{c \in \mathbb{Q} : \alpha - c\Omega \text{ is left-c.e.}\}$
 $= \inf\{c \in \mathbb{Q} : \alpha - c\Omega \text{ is right-c.e.}\}.$

Comparison with Solovay degrees

The Solovay degrees are complementary to ∂ .



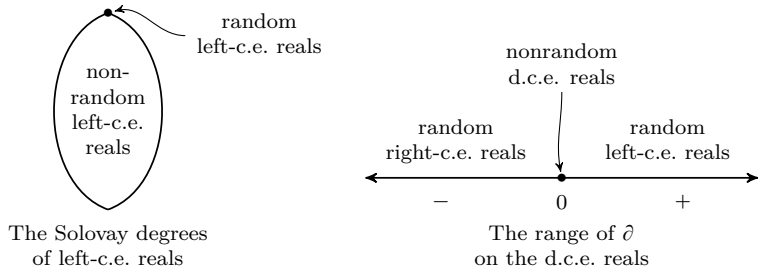
The Solovay degrees
of left-c.e. reals



The range of ∂
on the d.c.e. reals

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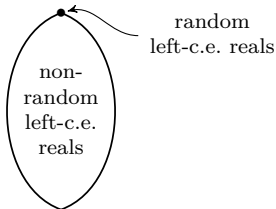
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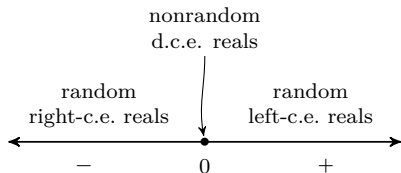
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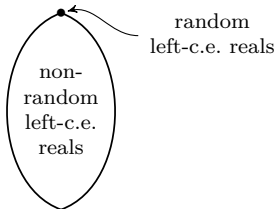


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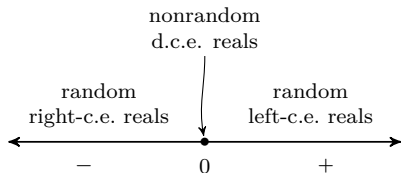
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- ▶ There is significant overlap, however, in what the two approaches tell us about the random left-c.e. reals.
- ▶ Rettinger and Zheng (2005) proved that all random d.c.e. reals are either left-c.e. or right-c.e.
- ▶ They also extended Solovay reducibility to the d.c.e. reals (with a slight modification). The top degree still contains all randoms.

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In fact, ∂ is a derivation on the field of d.c.e. reals:

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- ▶ $\partial(\alpha + \beta) = \partial\alpha + \partial\beta$,
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However, ∂ maps outside of the d.c.e. reals, so it does not make them a differential field.

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This allows us to apply basic identities from calculus, so for example,

$$\partial \alpha^n = n \alpha^{n-1} \partial \alpha,$$

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$$\begin{aligned}\partial \alpha^n &= n\alpha^{n-1} \partial \alpha, \\ \partial e^\alpha &= e^\alpha \partial \alpha.\end{aligned}$$

Since $\partial \Omega = 1$, we have $\partial e^\Omega = e^\Omega$.

PART II

Sketchy proofs

The main technical result

Lemma (Barmpalias and Lewis-Pye)

Let α and β be a left-c.e. reals with left-c.e. approximations $\{\alpha_s\}_{s \in \omega}$ and $\{\beta_s\}_{s \in \omega}$. If β is random, then

$$\lim_{s \rightarrow \infty} \frac{\alpha - \alpha_s}{\beta - \beta_s} \text{ converges.}$$

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Proof Idea. Assume, for a contradiction, that the limit diverges. By Kučera–Slaman, $\limsup_{s \rightarrow \infty} (\alpha - \alpha_s) / (\beta - \beta_s) < \infty$.

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$$\liminf_{s \rightarrow \infty} \frac{\alpha - \alpha_s}{\beta - \beta_s} < c < d < \limsup_{s \rightarrow \infty} \frac{\alpha - \alpha_s}{\beta - \beta_s}.$$

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Fix such stages $s < t$. So

$$\alpha_t - c\beta_t > \alpha - c\beta = \alpha - d\beta + (d - c)\beta > \alpha_s - d\beta_s + (d - c)\beta.$$

Rearranging, we have

$$\beta < \frac{\alpha_t - \alpha_s + d\beta_s - c\beta_t}{d - c}.$$

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The idea of the proof is to use such upper bounds to cover β with a Solovay test. The difficulty is that we cannot effectively determine which stages s and t satisfy our requirements, so we guess and update our guesses dynamically. \square

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- (1) $\alpha_s < \alpha$ for almost every s ,
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Similarly, in case (2), α is a right-c.e. real.

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Random d.c.e. reals are either left-c.e. reals or right-c.e. reals.

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The observation has a sort of converse:

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We define a new approximation of α as follows. At stage s , check if α_s^* is contained in an *unused* interval $[c_n, d_n]$ for $n \leq s$. If so, mark that interval *used* and let $\alpha_{4s} = \alpha_{4s+3} = \alpha_s^*$, $\alpha_{4s+1} = c_n$, and $\alpha_{4s+2} = d_n$.

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$\partial\alpha$ is well-defined

Until we have proved independence from the approximation:

Notation. If α is a d.c.e. real with approximation $\{\alpha_s\}_{s \in \omega}$, let

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Let α be a d.c.e. real with d.c.e. approximation $\{\alpha_s\}_{s \in \omega}$.

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Proof. Let β and γ be left-c.e. reals with left-c.e. approximations $\{\beta_s\}_{s \in \omega}$ and $\{\gamma_s\}_{s \in \omega}$ such that $\alpha_s = \beta_s - \gamma_s$ for all s .

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Proof. Let β and γ be left-c.e. reals with left-c.e. approximations $\{\beta_s\}_{s \in \omega}$ and $\{\gamma_s\}_{s \in \omega}$ such that $\alpha_s = \beta_s - \gamma_s$ for all s . Then $\alpha = \beta - \gamma$ and $\partial\{\alpha_s\} = \partial\{\beta_s\} - \partial\{\gamma_s\}$. Both $\partial\{\beta_s\}$ and $\partial\{\gamma_s\}$ converge by the main technical lemma, so $\partial\{\alpha_s\}$ also converges. \square

$\partial\alpha$ is well-defined

- (2) If $\partial\{\alpha_s\} > 0$, then α is a left-c.e. real.
- (3) If $\partial\{\alpha_s\} < 0$, then α is a right-c.e. real.

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(2) If $\partial\{\alpha_s\} > 0$, then α is a left-c.e. real.

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Proof. For (2), if $\partial\{\alpha_s\} > 0$, then there is an $s^* \in \omega$ such that $(\forall s \geq s^*) \alpha_s < \alpha$. Hence, α is a left-c.e. real.

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Proof. For (2), if $\partial\{\alpha_s\} > 0$, then there is an $s^* \in \omega$ such that $(\forall s \geq s^*) \alpha_s < \alpha$. Hence, α is a left-c.e. real. Part (3) is similar. \square

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Proof. Note that $\partial\{\alpha_s\} - \partial\{\alpha_s^*\} = \partial\{\alpha_s - \alpha_s^*\} = 0$, because $\{\alpha_s - \alpha_s^*\}_{s \in \omega}$ is a d.c.e. approximation of 0. \square

Basic properties of ∂

Lemma

Let α be a d.c.e. real with d.c.e. approximation $\{\alpha_s\}_{s \in \omega}$.

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$$(\forall s) \Omega - \Omega_s \leq c(\alpha - \alpha_s).$$

This implies that $\partial\alpha > 1/c > 0$.

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Note. We lose nothing by working with Ω as a fixed benchmark; it is easy to see that if β is a random d.c.e. real, then

$$\frac{\partial\alpha}{\partial\beta} = \frac{\partial\alpha/\partial\Omega}{\partial\beta/\partial\Omega}.$$

PART III

The field of nonrandom d.c.e. reals

The nonrandom d.c.e. reals

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If the underlying field is real closed, then so is the field of constants.

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Let α and β be nonrandom d.c.e. reals. Then $\partial(\alpha + \beta) = \partial\alpha + \partial\beta = 0$, so $\alpha + \beta$ is not random. It is similarly easy to see that $\alpha - \beta$, $\alpha\beta$ and α/β are not random. So the nonrandom d.c.e. reals form a field.

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Now let $p(x)$ be a polynomial whose coefficients are nonrandom d.c.e. reals. Assume that α is a real root of $p(x)$. As mentioned, the d.c.e. reals form a real closed field (Ng 2006; Raichev 2005), so α must be a d.c.e. real. We need to show that α is nonrandom.

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Therefore, we have

$$\partial \alpha = \frac{\partial p(\alpha)}{p'(\alpha)} = \frac{\partial 0}{p'(\alpha)} = 0,$$

so α is nonrandom. □

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Proof Sketch. If $c, d \in \mathbb{Q}$ are such that

$$\liminf_{s \rightarrow \infty} \frac{\alpha - \alpha_s}{\beta - \beta_s} < c < d < \limsup_{s \rightarrow \infty} \frac{\alpha - \alpha_s}{\beta - \beta_s},$$

then $\alpha - c\beta$ is not random because $\alpha_s - c\beta_s$ is infinitely often above and infinitely often below it.

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Therefore, their difference $(d - c)\beta$ is nonrandom. But this implies that β is nonrandom, which is a contradiction. □

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Definition

Call a d.c.e. real α **variation nonrandom** if it has a d.c.e. approximation $\{\alpha_s\}_{s \in \omega}$ such that the variation $\sum_{n \in \omega} |\alpha_{s+1} - \alpha_s|$ is not random. Otherwise, call α **variation random**.

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Proposition

TFAE for a d.c.e. real α :

- ▶ α is variation nonrandom,
- ▶ There are nonrandom left-c.e. reals β and γ such that $\alpha = \beta - \gamma$.

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In fact: The real closure of the nonrandom left-c.e. reals is the field of variation nonrandom reals.

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There is a nonrandom d.c.e. real that cannot be expressed as the difference of nonrandom left-c.e. reals.

Despite being nonrandom, this real carries some kind of intrinsic randomness.

In fact: The real closure of the nonrandom left-c.e. reals is the field of variation nonrandom reals. (Hence it is strictly smaller than the field of nonrandom d.c.e. reals.)

Thank You!