

# **Asymptotic analysis of stratified elastic media in the space of functions with bounded deformation.**

Michel Bellieud, Shane Cooper (work funded by ANR INPACT)

M.B.: LMGC UMR 5508, Université de Montpellier, France;

S.C.: Department of Mathematical Sciences, University of Bath, Claverton Down, Bath, BA2 7AY, UK

Spectral Theory of Novel Materials, CIRM, April 2016

## Introduction

We study the asymptotic behavior of the solution  $\mathbf{u}_\varepsilon$  to

$$\mathcal{P}_\varepsilon : \begin{cases} -\operatorname{div}(\lambda_\varepsilon \operatorname{tr}(\mathbf{e}(\mathbf{u}_\varepsilon))\mathbf{I} + 2\mu_\varepsilon \mathbf{e}(\mathbf{u}_\varepsilon)) = \mathbf{f} & \text{in } \Omega, \\ \mathbf{u} \in H_0^1(\Omega; \mathbb{R}^3), \quad \mathbf{e}(\mathbf{u}_\varepsilon) := \frac{1}{2}(\nabla \mathbf{u}_\varepsilon + \nabla^T \mathbf{u}_\varepsilon), \\ \Omega := (0, L) \times \Omega' \subset \mathbb{R}^3, \quad \mathbf{f} \in L^\infty(\Omega; \mathbb{R}^3) \end{cases}$$

under the assumption

$$\mu_\varepsilon \text{ only depends on } x_1, \quad \lambda_\varepsilon = l\mu_\varepsilon \quad (l \geq 0)$$

$$\mu_\varepsilon, \quad \frac{1}{\mu_\varepsilon} \text{ bounded in } L^1(0, L).$$

Scalar case studied by Bouchitté and Picard 1996.

## A priori estimates. Space of functions with bounded deformation

$\mathbf{u}_\varepsilon, \mathbf{e}(\mathbf{u}_\varepsilon)$  "only" bounded in  $L^1(\Omega)$

$\Rightarrow$

$\mathbf{u}_\varepsilon$  weakly\* relatively compact in

$$BD(\Omega) := \{\varphi \in L^1(\Omega; \mathbb{R}^3) : \mathbf{E}\varphi \in \mathcal{M}(\Omega; \mathbb{S}^3)\},$$

$$\mathbf{E}\varphi := \frac{1}{2} (\mathbf{D}\varphi + \mathbf{D}\varphi^T), \quad \mathbf{D}\varphi := \text{distributional gradient of } \varphi.$$

$\Rightarrow$  up to a subsequence,

$$\begin{array}{lll} \mathbf{u}_\varepsilon & \rightarrow & \mathbf{u} & \text{strongly in } L^1(\Omega; \mathbb{R}^3), \\ \mathbf{e}(\mathbf{u}_\varepsilon) & \xrightarrow{*} & \underbrace{\mathbf{E}\mathbf{u}} & \text{weakly* in } \mathcal{M}(\bar{\Omega}; \mathbb{S}^3), \\ & & \text{vector measure} & \end{array}$$

**Question: what is the limit problem satisfied by  $\mathbf{u}$ ?**

## Weak\* convergence of the coefficients and extra assumptions

$$\mu_\varepsilon, \frac{1}{\mu_\varepsilon} \text{ bounded in } L^1(0, L)$$

$\Rightarrow$

$$\mu_\varepsilon \xrightarrow{*} m, \quad \frac{1}{\mu_\varepsilon} \xrightarrow{*} \nu \text{ weakly* in } \mathcal{M}([0, L]).$$

Extra assumptions:

$$m(\{t\})\nu(\{t\}) = 0 \quad \forall t \in [0, L], \quad (\text{no common atom})$$

$$m(\{0\}) = m(\{L\}) = \nu(\{0\}) = \nu(\{L\}) = 0.$$

## Limit problem

Under these assumptions,  $\mathbf{u}$  unique solution to

$$\mathcal{P}^{lim} : \min_{\varphi \in BD_0^{\nu, m}(\Omega)} \frac{1}{2} a(\varphi, \varphi) - \int_{\Omega} \mathbf{f} \cdot \varphi dx.$$

## Limit space

$$BD_0^{\nu,m}(\Omega) := \left\{ \varphi \in BD(\Omega) \left| \begin{array}{l} \mathbf{E}\varphi \ll \nu \otimes \mathcal{L}^2, \frac{\mathbf{E}\varphi}{\nu \otimes \mathcal{L}^2} \in L^2_{\nu \otimes \mathcal{L}^2}(\Omega; \mathbb{S}^3) \\ \varphi_\alpha^* \in L^2_m(0, L; H_0^1(\Omega')) \quad \alpha \in \{2, 3\} \\ \varphi = 0 \text{ on } \partial\Omega \end{array} \right. \right\},$$

$\frac{\mathbf{E}\varphi}{\nu \otimes \mathcal{L}^2}$  : Radon-Nikodým density of  $\mathbf{E}\varphi$  with respect to  $\nu \otimes \mathcal{L}^2$ .

$$\varphi^*(x) = \begin{cases} \lim_{r \rightarrow 0} \int_{B_r(x)} \varphi(y) dy & \text{if this limit exists,} \\ 0 & \text{otherwise.} \end{cases}$$

## Structure of the limit space

$BD_0^{\nu,m}(\Omega)$  Hilbert space

$$\|\varphi\|_{BD_0^{\nu,m}(\Omega)} := \left( \int_{\Omega} \left| \frac{\mathbf{E}\varphi}{\nu \otimes \mathcal{L}^2} \right|^2 d\nu \otimes \mathcal{L}^2 \right)^{\frac{1}{2}} + \left( \int_{\Omega} |\mathbf{e}_{x'}(\varphi^*)|^2 dm \otimes \mathcal{L}^2 \right)^{\frac{1}{2}},$$

$$\mathbf{e}_{x'}(\varphi) := \begin{pmatrix} \partial_1 \varphi_1 & \frac{1}{2}(\partial_1 \varphi_2 + \partial_2 \varphi_1) & 0 \\ \frac{1}{2}(\partial_1 \varphi_2 + \partial_2 \varphi_1) & \partial_2 \varphi_2 & 0 \\ 0 & 0 & 0 \end{pmatrix}.$$

## Limit energy

$$a(\psi, \varphi) := \int_{\Omega} \mathbf{a}^{\perp} \frac{\mathbf{E}\psi}{\nu \otimes \mathcal{L}^2} : \frac{\mathbf{E}\varphi}{\nu \otimes \mathcal{L}^2} d\nu \otimes \mathcal{L}^2 + \int_{\Omega} \mathbf{a}^{\parallel} \mathbf{e}_{x'}(\psi^*) : \mathbf{e}_{x'}(\varphi^*) dm \otimes \mathcal{L}^2,$$

$$\mathbf{a}^{\perp} \Xi := \begin{pmatrix} l \operatorname{tr} \Xi + 2\Xi_{11} & 2\Xi_{12} & 2\Xi_{13} \\ 2\Xi_{12} & \frac{l^2}{l+2} \operatorname{tr} \Xi + \frac{2l}{l+2} \Xi_{11} & 0 \\ 2\Xi_{13} & 0 & \frac{l^2}{l+2} \operatorname{tr} \Xi + \frac{2l}{l+2} \Xi_{11} \end{pmatrix},$$

$$\mathbf{a}^{\parallel} \Gamma := \frac{2l}{l+2} \sum_{\beta=2}^3 \Gamma_{\beta\beta} \sum_{\alpha=2}^3 \mathbf{e}_{\alpha} \otimes \mathbf{e}_{\alpha} + 2 \sum_{\alpha, \beta=2}^3 \Gamma_{\alpha\beta} \mathbf{e}_{\alpha} \otimes \mathbf{e}_{\beta}.$$



## Main result

### Theorem

$BD_0^{\nu,m}(\Omega)$  is a Hilbert space. The form  $a(\cdot, \cdot)$  is coercive and continuous on  $BD_0^{\nu,m}(\Omega)$ . The solution  $\mathbf{u}_\varepsilon$  to  $\mathcal{P}_\varepsilon$  weakly\* converges in  $BD(\Omega)$  to the unique solution  $\mathbf{u}$  to  $\mathcal{P}^{lim}$ .

## Decomposition of $E\varphi$ for $\varphi \in BD(\Omega)$

$$E\varphi = \underbrace{E^a\varphi}_{\ll \mathcal{L}^3} + \underbrace{E^c\varphi}_{\text{Cantor part } \perp \mathcal{L}^3} + \underbrace{E^j\varphi}_{\text{jump part } \perp \mathcal{L}^3}$$

$E^c\varphi$  is singular w.r.t.  $\mathcal{L}^3$  and vanishes on  $\sigma$ -finite subsets w.r.t.  $\mathcal{H}^2$ ,

$E^a\varphi = \mathbf{e}(\varphi)\mathcal{L}^3$ ,  $\mathbf{e}(\varphi)$  approximative symmetric gradient of  $\varphi$ ,

$E^j\varphi = (\varphi_{J_\varphi}^+ - \varphi_{J_\varphi}^-) \odot \mathbf{n}_{J_\varphi} \mathcal{H}_{\perp J_\varphi}^2$   $J_\varphi$  "jump set" countably  $\mathcal{H}^2$ -rectifiable ,

$$\varphi_{J_\varphi}^\pm(x) = \begin{cases} \lim_{r \rightarrow 0} \int_{B_r^\pm(x, \mathbf{n}_{J_\varphi})} \varphi(y) dy & \text{if this limit exists,} \\ 0 & \text{otherwise,} \end{cases}$$

$$B_r^\pm(x, \mathbf{n}_{J_\varphi}) := \{y \in B(x, r), \pm(\mathbf{y} - \mathbf{x}) \cdot \mathbf{n}_{J_\varphi}(x) > 0\},$$

$$\mathbf{a} \odot \mathbf{b} := \frac{1}{2}(\mathbf{a} \otimes \mathbf{b} + \mathbf{b} \otimes \mathbf{a}).$$

(Ambrosio, Coscia, Dal Maso 1997)

## Decomposition of $\nu$ and $m$

$$\nu = \nu^a + \nu^c + \nu^{at}, \quad \nu^{at} = \sum_{t \in \mathcal{A}_\nu} \nu(\{t\}) \delta_t, \quad \nu^a = \frac{\nu}{\mathcal{L}^1} \mathcal{L}^1,$$
$$m = m^a + m^c + m^{at}, \quad m^{at} = \sum_{t \in \mathcal{A}_m} m(\{t\}) \delta_t, \quad m^a = \frac{m}{\mathcal{L}^1} \mathcal{L}^1.$$

$$\mathcal{A}_\nu := \{t \in [0, L]; \nu(\{t\}) > 0\},$$

$$\mathcal{A}_m := \{t \in [0, L]; m(\{t\}) > 0\},$$

$$(\mathcal{A}_\nu \cap \mathcal{A}_m = \emptyset).$$

## Specific decomposition of $E\varphi$ for $\varphi \in BD_0^{\nu,m}(\Omega)$

$$\begin{aligned} E^a\varphi &\ll \nu^a \otimes \mathcal{L}^2 \\ E(\varphi) &\ll \nu \otimes \mathcal{L}^2 \Rightarrow E^c\varphi \ll \nu^c \otimes \mathcal{L}^2 \\ E^j\varphi &\ll \mathcal{H}_{[\Sigma_\nu]}^2. \end{aligned}$$

$$\Rightarrow E\varphi = \mathbf{e}(\varphi)\mathcal{L}^3 + \frac{E\varphi}{\nu^c \otimes \mathcal{L}^2} \nu^c \otimes \mathcal{L}^2 + \sum_{t \in \mathcal{A}_\nu} (\varphi^+ - \varphi^-) \odot \mathbf{e}_1 \mathcal{H}_{[\Sigma_t]}^2$$

where

$$\Sigma_t := \{t\} \times \Omega', \quad \Sigma_\nu = \bigcup_{t \in \mathcal{A}_\nu} \Sigma_t.$$

## Ensuing decomposition of the limit form $a(.,.)$

$$\begin{aligned}
 a(\boldsymbol{\psi}, \boldsymbol{\varphi}) &= \int_{\Omega} \mathbf{a} \mathbf{e}(\boldsymbol{\psi}) : \mathbf{e}(\boldsymbol{\varphi}) dx + \sum_{t \in \mathcal{A}_\nu} \nu(\{t\})^{-1} \int_{\Sigma_t} (\boldsymbol{\psi}^+ - \boldsymbol{\psi}^-) \cdot \mathbf{A}(\boldsymbol{\varphi}^+ - \boldsymbol{\varphi}^-) d\mathcal{H}^2 \\
 &+ \sum_{t \in \mathcal{A}_m} m(\{t\}) \int_{\Sigma_t} \mathbf{a}^{\parallel} \mathbf{e}_{x'}(\boldsymbol{\psi}^*) : \mathbf{e}_{x'}(\boldsymbol{\varphi}^*) d\mathcal{H}^2 \\
 &+ \int_{\Omega} \mathbf{a}^{\perp} \frac{\mathbf{E}\boldsymbol{\psi}}{\nu^c \otimes \mathcal{L}^2} : \frac{\mathbf{E}\boldsymbol{\varphi}}{\nu^c \otimes \mathcal{L}^2} d\nu^c \otimes \mathcal{L}^2 \\
 &+ \int_{\Omega} \mathbf{a}^{\parallel} \mathbf{e}_{x'}(\boldsymbol{\psi}^*) : \mathbf{e}_{x'}(\boldsymbol{\varphi}^*) dm^c \otimes \mathcal{L}^2.
 \end{aligned}$$

where

$$\mathbf{a} := \left(\frac{\nu}{\mathcal{L}^1}\right)^{-1} \mathbf{a}^{\perp} + \frac{m}{\mathcal{L}^1} \mathbf{a}^{\parallel}, \quad \mathbf{A} := \begin{pmatrix} l+2 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}.$$

Emergence of jumps  $\mathbf{u}^+ - \mathbf{u}^-$  at the interfaces  $\Sigma_t = \{t\} \times \Omega'$  corresponding to atoms  $\{t\}$  of  $\nu$ , giving rise to the following concentrations of elastic energy

$$\frac{1}{2} \nu(\{t\})^{-1} \int_{\Sigma_t} (\mathbf{u}^+ - \mathbf{u}^-) \cdot \mathbf{A}(\mathbf{u}^+ - \mathbf{u}^-) d\mathcal{H}^2.$$

Concentrations of elastic energy also appear on the planes  $\Sigma_t$  corresponding to atoms of  $m$ . These extra terms are similar to membrane stretching energy and take the form

$$\frac{1}{2}m(\{t\}) \int_{\Sigma_t} \mathbf{a}^{\parallel} \mathbf{e}_{x'}(\mathbf{u}^*) : \mathbf{e}_{x'}(\mathbf{u}^*) d\mathcal{H}^2.$$

## Comments (3)

A concentration of elastic energy also emerges on a set of fractal Hausdorff dimension comprised between 2 and 3. It is given in terms of the Cantor parts  $\nu^c$  and  $m^c$  of the measures  $\nu$  and  $m$  by

$$\frac{1}{2} \int_{\Omega} \mathbf{a}^{\perp} \frac{\mathbf{E}u}{\nu^c \otimes \mathcal{L}^2} : \frac{\mathbf{E}u}{\nu^c \otimes \mathcal{L}^2} d\nu^c \otimes \mathcal{L}^2 + \frac{1}{2} \int_{\Omega} \mathbf{a}^{\parallel} \mathbf{e}_{x'}(\mathbf{u}^*) : \mathbf{e}_{x'}(\mathbf{u}^*) dm^c \otimes \mathcal{L}^2.$$



## Comments (4)

The bulk effective energy takes the form of a classical linear elastic energy defined, in terms of its approximate symmetric gradient  $\mathbf{e}(\mathbf{u})$  by

$$\frac{1}{2} \int_{\Omega} \mathbf{a} \mathbf{e}(\mathbf{u}) : \mathbf{e}(\mathbf{u}) dx.$$

The total elastic energy is the sum of the terms mentioned above.

## Case when $\nu$ and $m$ have vanishing Cantor parts and a finite number of atoms.

If  $\nu^c = m^c = 0$  and  $\mathcal{A}_\nu, \mathcal{A}_m$  are finite,  $\mathcal{P}^{lim}$  is equivalent to

$$\begin{cases} -\operatorname{div} \mathbf{a} \mathbf{e}(\mathbf{u}) = \mathbf{f} & \text{in } \Omega \setminus \Sigma, \quad \mathbf{u} \in BD_0^{\nu, m}(\Omega), \\ \nu(\{t\})^{-1} \mathbf{A}(\mathbf{u}^+ - \mathbf{u}^-) = \mathbf{a} \mathbf{e}(\mathbf{u}^-) \mathbf{e}_1 = \mathbf{a} \mathbf{e}(\mathbf{u}^+) \mathbf{e}_1 & \text{on } \Sigma_t, \quad \forall t \in \mathcal{A}_\nu, \\ \mathbf{a} \mathbf{e}(\mathbf{u}^-) \mathbf{e}_1 - \mathbf{a} \mathbf{e}(\mathbf{u}^+) \mathbf{e}_1 - m(\{t\}) \operatorname{div}_{\mathcal{X}'} \mathbf{a}^{\parallel} \mathbf{e}_{\mathcal{X}'}(\mathbf{u}^*) = 0 & \text{on } \Sigma_t, \quad \forall t \in \mathcal{A}_m, \end{cases}$$

where

$$\Sigma := \Sigma_\nu \cup \Sigma_m, \quad \Sigma_\nu := \bigcup_{t \in \mathcal{A}_\nu} \Sigma_t, \quad \Sigma_m := \bigcup_{t \in \mathcal{A}_m} \Sigma_t.$$

## References

1. Ambrosio, L., Coscia, A., Dal Maso, G.: Fine properties of functions with bounded deformation. *Arch. Rational Mech. Anal.* **139**, 201–238 (1997).
2. Bellieud, M.: Homogenization of stratified elastic composites with high contrast. *To appear in SIAMA* (52 pages).
3. Bellieud, M., Cooper, S.: Analyse asymptotique de milieux élastiques stratifiés dans les espaces de fonctions à déformation bornée. *Comptes Rendus Mathématiques*, 354 (4), 2016, pp. 437-442.
4. M. Bellieud, S. Cooper: Asymptotic analysis of stratified elastic media in the space of functions with bounded deformation. Preprint available at: <http://arxiv.org/abs/1509.07643>.
5. Bouchitté, G., Picard, C.: Singular perturbations and homogenization in stratified media. *Applicable Analysis*, Vol. 61, 307-341 (1996).