

# Deformation of $F$ -injectivity

Linquan Ma, Karl Schwede, Kazuma Shimomoto

Higher Dimensional Birational Geometry and Characteristic  $p$

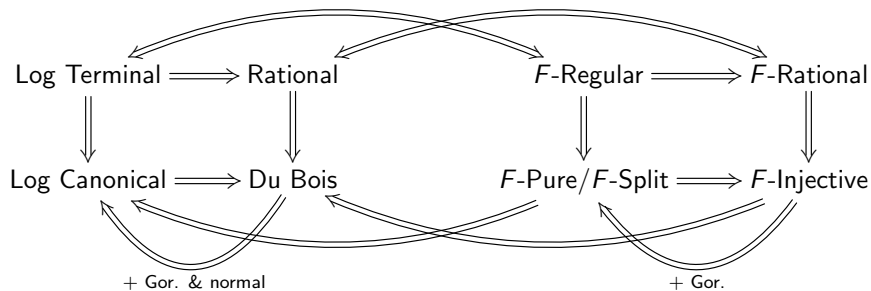
Sep 14, 2016

# Overview

Throughout the talk, all rings and schemes contain a field.

In characteristic 0, all rings and schemes are essentially finite type over  $\mathbb{C}$ .

# Singularities in characteristic $p > 0$ and zero



# Frobenius actions on local cohomology

## Frobenius actions on local cohomology

Let  $R$  be a ring of characteristic  $p > 0$ . We have the Frobenius endomorphism of  $R$ ,  $F: R \rightarrow R$ .

## Frobenius actions on local cohomology

Let  $R$  be a ring of characteristic  $p > 0$ . We have the Frobenius endomorphism of  $R$ ,  $F: R \rightarrow R$ . This can be identified with the natural inclusion  $R \rightarrow R^{1/p}$  when  $R$  is reduced.

## Frobenius actions on local cohomology

Let  $R$  be a ring of characteristic  $p > 0$ . We have the Frobenius endomorphism of  $R$ ,  $F: R \rightarrow R$ . This can be identified with the natural inclusion  $R \rightarrow R^{1/p}$  when  $R$  is reduced. The Frobenius induces an action on the Čech complex:

$$0 \rightarrow R \rightarrow \bigoplus R_{x_i} \rightarrow \cdots \rightarrow \bigoplus R_{x_1 \cdots \widehat{x_i} \cdots x_n} \rightarrow R_{x_1 \cdots x_n} \rightarrow 0,$$

hence it induces a natural map on each of the local cohomology modules  $H_i^j(R)$ .



## Frobenius actions on local cohomology

Let  $R$  be a ring of characteristic  $p > 0$ . We have the Frobenius endomorphism of  $R$ ,  $F: R \rightarrow R$ . This can be identified with the natural inclusion  $R \rightarrow R^{1/p}$  when  $R$  is reduced. The Frobenius induces an action on the Čech complex:

$$0 \rightarrow R \rightarrow \bigoplus R_{x_i} \rightarrow \cdots \rightarrow \bigoplus R_{x_1 \cdots \widehat{x}_i \cdots x_n} \rightarrow R_{x_1 \cdots x_n} \rightarrow 0,$$

hence it induces a natural map on each of the local cohomology modules  $H_i^j(R)$ .

Another way to understand the Frobenius action on local cohomology:

$$H_i^j(R) \rightarrow H_i^j(R^{1/p}) \cong H_i^j(R).$$

## $F$ -split and $F$ -injective singularities

## $F$ -split and $F$ -injective singularities

**Definition**  $R$  is  $F$ -split if the Frobenius endomorphism  $R \xrightarrow{F} R$  splits, or equivalently,  $R \rightarrow R^{1/p}$  splits as  $R$ -modules.

## $F$ -split and $F$ -injective singularities

**Definition**  $R$  is  $F$ -split if the Frobenius endomorphism  $R \xrightarrow{F} R$  splits, or equivalently,  $R \rightarrow R^{1/p}$  splits as  $R$ -modules.

**Definition** (Fedder) A local ring  $(R, m)$  is  $F$ -injective if the Frobenius acts injectively on  $H_m^i(R)$  for every  $i$ . In general,  $R$  is  $F$ -injective if all its localizations are  $F$ -injective.

## $F$ -split and $F$ -injective singularities

**Definition**  $R$  is  $F$ -split if the Frobenius endomorphism  $R \xrightarrow{F} R$  splits, or equivalently,  $R \rightarrow R^{1/p}$  splits as  $R$ -modules.

**Definition** (Fedder) A local ring  $(R, m)$  is  $F$ -injective if the Frobenius acts injectively on  $H_m^i(R)$  for every  $i$ . In general,  $R$  is  $F$ -injective if all its localizations are  $F$ -injective.

Quite obviously,  $F$ -split implies  $F$ -injective, the converse is true if  $R$  is  $F$ -finite and Gorenstein.  $F$ -injective implies reduced.

## Du Bois complex and Du Bois singularities

## Du Bois complex and Du Bois singularities

The Delign-Du Bois complex  $\underline{\Omega}_X^\bullet$  is a generalization of the de Rham complex in the smooth case, it is a filtered complex with a natural  $\mathcal{O}_X \rightarrow \underline{\Omega}_X^0$ .

## Du Bois complex and Du Bois singularities

The Delign-Du Bois complex  $\underline{\Omega}_X^\bullet$  is a generalization of the de Rham complex in the smooth case, it is a filtered complex with a natural  $\mathcal{O}_X \rightarrow \underline{\Omega}_X^0$ . The original construction uses simplicial resolution. Below is a simple way to define  $\underline{\Omega}_X^0$ :

**Definition/Theorem** (Schwede) Let  $X$  be a reduced scheme of characteristic 0. Suppose  $X \subseteq Y$  such that  $Y$  is smooth. Take an embedded resolution of  $(Y, X)$  with  $E$  the reduced pre-image of  $X$ . Then  $R\pi_* \mathcal{O}_E \cong \underline{\Omega}_X^0$ .



## Du Bois complex and Du Bois singularities

The Delign-Du Bois complex  $\underline{\Omega}_X^\bullet$  is a generalization of the de Rham complex in the smooth case, it is a filtered complex with a natural  $\mathcal{O}_X \rightarrow \underline{\Omega}_X^0$ . The original construction uses simplicial resolution. Below is a simple way to define  $\underline{\Omega}_X^0$ :

**Definition/Theorem** (Schwede) Let  $X$  be a reduced scheme of characteristic 0. Suppose  $X \subseteq Y$  such that  $Y$  is smooth. Take an embedded resolution of  $(Y, X)$  with  $E$  the reduced pre-image of  $X$ . Then  $R\pi_* \mathcal{O}_E \cong \underline{\Omega}_X^0$ . If  $X$  is not reduced, set  $\underline{\Omega}_X^0 = \underline{\Omega}_{X_{red}}^0$ .

## Du Bois complex and Du Bois singularities

The Delign-Du Bois complex  $\underline{\Omega}_X^\bullet$  is a generalization of the de Rham complex in the smooth case, it is a filtered complex with a natural  $O_X \rightarrow \underline{\Omega}_X^0$ . The original construction uses simplicial resolution. Below is a simple way to define  $\underline{\Omega}_X^0$ :

**Definition/Theorem** (Schwede) Let  $X$  be a reduced scheme of characteristic 0. Suppose  $X \subseteq Y$  such that  $Y$  is smooth. Take an embedded resolution of  $(Y, X)$  with  $E$  the reduced pre-image of  $X$ . Then  $R\pi_* O_E \cong \underline{\Omega}_X^0$ . If  $X$  is not reduced, set  $\underline{\Omega}_X^0 = \underline{\Omega}_{X_{red}}^0$ .

**Definition**  $X$  is Du Bois if  $O_X \rightarrow \underline{\Omega}_X^0$  is an isomorphism.

## $F$ -injective vs. Du Bois

**Theorem** (Schwede) Let  $X$  be a reduced scheme of characteristic 0. Suppose  $X$  has (dense)  $F$ -injective type, then  $X$  is Du Bois.

## $F$ -injective vs. Du Bois

**Theorem** (Schwede) Let  $X$  be a reduced scheme of characteristic 0. Suppose  $X$  has (dense)  $F$ -injective type, then  $X$  is Du Bois.

Rough idea: after reduction mod  $p \gg 0$ , the induced Frobenius action on  $h^i(\underline{\Omega}_X^0)$  is nilpotent for  $i > 0$ :

## $F$ -injective vs. Du Bois

**Theorem** (Schwede) Let  $X$  be a reduced scheme of characteristic 0. Suppose  $X$  has (dense)  $F$ -injective type, then  $X$  is Du Bois.

Rough idea: after reduction mod  $p \gg 0$ , the induced Frobenius action on  $h^i(\underline{\Omega}_X^0)$  is nilpotent for  $i > 0$ : it can be identified with

$$R^i \pi_* \mathcal{O}_{\tilde{Y}}(-E) \rightarrow R^i \pi_* \mathcal{O}_{\tilde{Y}}(-pE).$$

## $F$ -injective vs. Du Bois

**Theorem** (Schwede) Let  $X$  be a reduced scheme of characteristic 0. Suppose  $X$  has (dense)  $F$ -injective type, then  $X$  is Du Bois.

Rough idea: after reduction mod  $p \gg 0$ , the induced Frobenius action on  $h^i(\underline{\Omega}_X^0)$  is nilpotent for  $i > 0$ : it can be identified with

$$R^i \pi_* O_{\tilde{Y}}(-E) \rightarrow R^i \pi_* O_{\tilde{Y}}(-pE).$$

If we pick a counter-example  $X = \text{Spec}(R, m)$  with minimal dimension, we can embed  $h^i(\underline{\Omega}_X^0)$  into  $H_m^{i+1}(R)$ . Thus this will contradict the injectivity of Frobenius on local cohomology if  $h^i(\underline{\Omega}_X^0) \neq 0$ .

## Weak ordinarity conjecture

**Conjecture** Suppose  $X$  is Du Bois, then  $X$  has dense  $F$ -injective type.

## Weak ordinarity conjecture

**Conjecture** Suppose  $X$  is Du Bois, then  $X$  has dense  $F$ -injective type.

**Conjecture** (Weak ordinarity, Mustață-Srinivas) Let  $X$  be smooth projective over  $\mathbb{C}$ . Then for infinitely many  $p$ , the Frobenius acts injectively on  $H^i(X_p, \mathcal{O}_{X_p})$  for all  $i$ .



## Weak ordinarity conjecture

**Conjecture** Suppose  $X$  is Du Bois, then  $X$  has dense  $F$ -injective type.

**Conjecture** (Weak ordinarity, Mustață-Srinivas) Let  $X$  be smooth projective over  $\mathbb{C}$ . Then for infinitely many  $p$ , the Frobenius acts injectively on  $H^i(X_p, \mathcal{O}_{X_p})$  for all  $i$ .

**Theorem** (Bhatt-Schwede-Takagi) The two conjectures are equivalent.

## Weak ordinarity conjecture

**Conjecture** Suppose  $X$  is Du Bois, then  $X$  has dense  $F$ -injective type.

**Conjecture** (Weak ordinarity, Mustaă-Srinivas) Let  $X$  be smooth projective over  $\mathbb{C}$ . Then for infinitely many  $p$ , the Frobenius acts injectively on  $H^i(X_p, \mathcal{O}_{X_p})$  for all  $i$ .

**Theorem** (Bhatt-Schwede-Takagi) The two conjectures are equivalent.

In general, both conjectures are wide open.

## Deformation question

## Deformation question

$X_T$  a flat family over  $T$ ,  $X_0$  has certain singularity, want to know the fibers near 0?

## Deformation question

$X_T$  a flat family over  $T$ ,  $X_0$  has certain singularity, want to know the fibers near 0?

Locally  $X_0$  is defined by a nonzerodivisor in  $X_T$ . Local algebra question: suppose  $R/xR$  has certain singularity, then does  $R$  have the same type singularity?

## Deformation question

$X_T$  a flat family over  $T$ ,  $X_0$  has certain singularity, want to know the fibers near 0?

Locally  $X_0$  is defined by a nonzerodivisor in  $X_T$ . Local algebra question: suppose  $R/xR$  has certain singularity, then does  $R$  have the same type singularity?

The deformation for  $F$ -singularity has been studied intensely:  $F$ -rationality deforms and this is quite easy to prove.

## Deformation question

$X_T$  a flat family over  $T$ ,  $X_0$  has certain singularity, want to know the fibers near 0?

Locally  $X_0$  is defined by a nonzerodivisor in  $X_T$ . Local algebra question: suppose  $R/xR$  has certain singularity, then does  $R$  have the same type singularity?

The deformation for  $F$ -singularity has been studied intensely:  $F$ -rationality deforms and this is quite easy to prove. In general,  $F$ -split does not deform (Fedder-Singh),  $F$ -regularity does not deform (Singh).

## Deformation of $F$ -injective and Du Bois singularities

This leaves an open question for  $F$ -injectivity. ( $R = (R, m)$  is local from now on)



## Deformation of $F$ -injective and Du Bois singularities

This leaves an open question for  $F$ -injectivity. ( $R = (R, m)$  is local from now on)

**Conjecture:** If  $R/xR$  is  $F$ -injective for  $x$  a nonzerodivisor on  $R$ , then  $R$  is  $F$ -injective.

## Deformation of $F$ -injective and Du Bois singularities

This leaves an open question for  $F$ -injectivity. ( $R = (R, m)$  is local from now on)

**Conjecture:** If  $R/xR$  is  $F$ -injective for  $x$  a nonzerodivisor on  $R$ , then  $R$  is  $F$ -injective.

This conjecture is supported by result from Du Bois singularities:

**Theorem** (Kovács-Schwede) If  $R/xR$  is Du Bois for  $x$  a nonzerodivisor, then  $R$  is Du Bois.

## Deformation of $F$ -injective and Du Bois singularities

This leaves an open question for  $F$ -injectivity. ( $R = (R, m)$  is local from now on)

**Conjecture:** If  $R/xR$  is  $F$ -injective for  $x$  a nonzerodivisor on  $R$ , then  $R$  is  $F$ -injective.

This conjecture is supported by result from Du Bois singularities:

**Theorem** (Kovács-Schwede) If  $R/xR$  is Du Bois for  $x$  a nonzerodivisor, then  $R$  is Du Bois.

## A key injectivity

The crucial ingredient in the proof of Kovács-Schwede is the following injectivity condition on the dualizing complex:

## A key injectivity

The crucial ingredient in the proof of Kovács-Schwede is the following injectivity condition on the dualizing complex:

**Theorem** (Kovács-Schwede) Let  $X$  be a reduced scheme. the canonical map  $O_X \rightarrow \underline{\Omega}_X^0$  induces an injection  $h^j(\underline{\omega}_X^\bullet) \rightarrow h^j(\omega_X^\bullet)$  for every  $j$ , where  $\omega_X^\bullet = R\underline{Hom}(\underline{\Omega}_X^0, \omega_X^\bullet)$ .

## A key injectivity

The crucial ingredient in the proof of Kovács-Schwede is the following injectivity condition on the dualizing complex:

**Theorem** (Kovács-Schwede) Let  $X$  be a reduced scheme. the canonical map  $O_X \rightarrow \underline{\Omega}_X^0$  induces an injection  $h^j(\underline{\omega}_X^\bullet) \rightarrow h^j(\omega_X^\bullet)$  for every  $j$ , where  $\omega_X^\bullet = R\underline{Hom}(\underline{\Omega}_X^0, \omega_X^\bullet)$ .

Sketch: Reduce to  $X$  projective. Suffices to prove for all  $j$ ,  $H^0(h^j(\underline{\omega}_X^\bullet) \otimes L^n) \rightarrow H^0(h^j(\omega_X^\bullet) \otimes L^n)$  is injective for  $L$  ample and  $n \gg 0$

## A key injectivity

The crucial ingredient in the proof of Kovács-Schwede is the following injectivity condition on the dualizing complex:

**Theorem** (Kovács-Schwede) Let  $X$  be a reduced scheme. the canonical map  $O_X \rightarrow \underline{\Omega}_X^0$  induces an injection  $h^j(\underline{\omega}_X^\bullet) \rightarrow h^j(\omega_X^\bullet)$  for every  $j$ , where  $\omega_X^\bullet = R\underline{\text{Hom}}(\underline{\Omega}_X^0, \omega_X^\bullet)$ .

Sketch: Reduce to  $X$  projective. Suffices to prove for all  $j$ ,  $H^0(h^j(\underline{\omega}_X^\bullet) \otimes L^n) \rightarrow H^0(h^j(\omega_X^\bullet) \otimes L^n)$  is injective for  $L$  ample and  $n \gg 0$ . Spectral sequence and duality show it suffices to prove for all  $i$ ,  $H^i(L^{-n}) \rightarrow \mathbb{H}^i(\underline{\Omega}_X^0 \otimes L^{-n})$  is surjective.

## A key injectivity

The crucial ingredient in the proof of Kovács-Schwede is the following injectivity condition on the dualizing complex:

**Theorem** (Kovács-Schwede) Let  $X$  be a reduced scheme. the canonical map  $O_X \rightarrow \underline{\Omega}_X^0$  induces an injection  $h^j(\underline{\omega}_X^\bullet) \rightarrow h^j(\omega_X^\bullet)$  for every  $j$ , where  $\omega_X^\bullet = R\underline{\text{Hom}}(\underline{\Omega}_X^0, \omega_X^\bullet)$ .

Sketch: Reduce to  $X$  projective. Suffices to prove for all  $j$ ,  $H^0(h^j(\underline{\omega}_X^\bullet) \otimes L^n) \rightarrow H^0(h^j(\omega_X^\bullet) \otimes L^n)$  is injective for  $L$  ample and  $n \gg 0$ . Spectral sequence and duality show it suffices to prove for all  $i$ ,  $H^i(L^{-n}) \rightarrow \mathbb{H}^i(\underline{\Omega}_X^0 \otimes L^{-n})$  is surjective. This follows by taking cyclic cover  $Y$  of  $L$  and using the  $E_1$  degeneration of the Delign-Du Bois complex:



## A key injectivity

The crucial ingredient in the proof of Kovács-Schwede is the following injectivity condition on the dualizing complex:

**Theorem** (Kovács-Schwede) Let  $X$  be a reduced scheme. the canonical map  $O_X \rightarrow \underline{\Omega}_X^0$  induces an injection  $h^j(\underline{\omega}_X^\bullet) \rightarrow h^j(\omega_X^\bullet)$  for every  $j$ , where  $\omega_X^\bullet = R\underline{\text{Hom}}(\underline{\Omega}_X^0, \omega_X^\bullet)$ .

Sketch: Reduce to  $X$  projective. Suffices to prove for all  $j$ ,  $H^0(h^j(\underline{\omega}_X^\bullet) \otimes L^n) \rightarrow H^0(h^j(\omega_X^\bullet) \otimes L^n)$  is injective for  $L$  ample and  $n \gg 0$ . Spectral sequence and duality show it suffices to prove for all  $i$ ,  $H^i(L^{-n}) \rightarrow \mathbb{H}^i(\underline{\Omega}_X^0 \otimes L^{-n})$  is surjective. This follows by taking cyclic cover  $Y$  of  $L$  and using the  $E_1$  degeneration of the Delign-Du Bois complex:  $H^i(Y, \mathbb{C}) \rightarrow H^i(Y, O_Y) \rightarrow H^i(Y, \underline{\Omega}_Y^0)$  is surjective for every  $i$ .

## A key injectivity

The crucial ingredient in the proof of Kovács-Schwede is the following injectivity condition on the dualizing complex:

**Theorem** (Kovács-Schwede) Let  $X$  be a reduced scheme. the canonical map  $O_X \rightarrow \underline{\Omega}_X^0$  induces an injection  $h^j(\underline{\omega}_X^\bullet) \rightarrow h^j(\omega_X^\bullet)$  for every  $j$ , where  $\omega_X^\bullet = R\underline{\text{Hom}}(\underline{\Omega}_X^0, \omega_X^\bullet)$ .

Sketch: Reduce to  $X$  projective. Suffices to prove for all  $j$ ,  $H^0(h^j(\underline{\omega}_X^\bullet) \otimes L^n) \rightarrow H^0(h^j(\omega_X^\bullet) \otimes L^n)$  is injective for  $L$  ample and  $n \gg 0$ . Spectral sequence and duality show it suffices to prove for all  $i$ ,  $H^i(L^{-n}) \rightarrow \mathbb{H}^i(\underline{\Omega}_X^0 \otimes L^{-n})$  is surjective. This follows by taking cyclic cover  $Y$  of  $L$  and using the  $E_1$  degeneration of the Delign-Du Bois complex:  $H^i(Y, \mathbb{C}) \rightarrow H^i(Y, O_Y) \rightarrow H^i(Y, \underline{\Omega}_Y^0)$  is surjective for every  $i$ .

## Known cases of the conjecture

**Conjecture:** If  $R/xR$  is  $F$ -injective for  $x$  a nonzerodivisor on  $R$ , then  $R$  is  $F$ -injective.

## Known cases of the conjecture

**Conjecture:** If  $R/xR$  is  $F$ -injective for  $x$  a nonzerodivisor on  $R$ , then  $R$  is  $F$ -injective.

Easy to prove (and is well known) if  $R$  is Cohen-Macaulay.

## Known cases of the conjecture

**Conjecture:** If  $R/xR$  is  $F$ -injective for  $x$  a nonzerodivisor on  $R$ , then  $R$  is  $F$ -injective.

Easy to prove (and is well known) if  $R$  is Cohen-Macaulay.

Some recent progress:

**Definition** (Horiuchi-Miller-Shimomoto) A nonzerodivisor  $x \in R$  is called a surjective element if  $H_m^i(R/x^n R) \rightarrow H_m^i(R/xR)$  is surjective for every  $i$  and every  $n > 0$ .

## Known cases of the conjecture

**Conjecture:** If  $R/xR$  is  $F$ -injective for  $x$  a nonzerodivisor on  $R$ , then  $R$  is  $F$ -injective.

Easy to prove (and is well known) if  $R$  is Cohen-Macaulay.

Some recent progress:

**Definition** (Horiuchi-Miller-Shimomoto) A nonzerodivisor  $x \in R$  is called a surjective element if  $H_m^i(R/x^n R) \rightarrow H_m^i(R/xR)$  is surjective for every  $i$  and every  $n > 0$ .

**Theorem** (Horiuchi-Miller-Shimomoto) Suppose  $R/xR$  is  $F$ -injective and  $x$  is a surjective element, then  $R$  is  $F$ -injective. (We will generalize and recover this result later)

## Known cases of the conjecture

**Conjecture:** If  $R/xR$  is  $F$ -injective for  $x$  a nonzerodivisor on  $R$ , then  $R$  is  $F$ -injective.

Easy to prove (and is well known) if  $R$  is Cohen-Macaulay.

Some recent progress:

**Definition** (Horiuchi-Miller-Shimomoto) A nonzerodivisor  $x \in R$  is called a surjective element if  $H_m^i(R/x^n R) \rightarrow H_m^i(R/xR)$  is surjective for every  $i$  and every  $n > 0$ .

**Theorem** (Horiuchi-Miller-Shimomoto) Suppose  $R/xR$  is  $F$ -injective and  $x$  is a surjective element, then  $R$  is  $F$ -injective. (We will generalize and recover this result later)

## Surjective elements

When is  $x$  a surjective element?



## Surjective elements

When is  $x$  a surjective element?

1. Trivially holds if  $R$  is Cohen-Macaulay.

## Surjective elements

When is  $x$  a surjective element?

1. Trivially holds if  $R$  is Cohen-Macaulay.
2. Holds if  $R/xR$  is  $F$ -injective and Cohen-Macaulay on  $\text{Spec} - \{m\}$  (HMS).
3. Holds if  $R/xR$  is  $F$ -split (HMS). Hence  $R/xR$   $F$ -split  $\Rightarrow R$   $F$ -injective.

## Surjective elements

When is  $x$  a surjective element?

1. Trivially holds if  $R$  is Cohen-Macaulay.
2. Holds if  $R/xR$  is  $F$ -injective and Cohen-Macaulay on  $\text{Spec} - \{m\}$  (HMS).
3. Holds if  $R/xR$  is  $F$ -split (HMS). Hence  $R/xR$   $F$ -split  $\Rightarrow R$   $F$ -injective.
4. In fact, we do not know an example that  $R/xR$  is  $F$ -injective but  $x$  is not a surjective element.

## Surjective elements

When is  $x$  a surjective element?

1. Trivially holds if  $R$  is Cohen-Macaulay.
2. Holds if  $R/xR$  is  $F$ -injective and Cohen-Macaulay on  $\text{Spec} - \{m\}$  (HMS).
3. Holds if  $R/xR$  is  $F$ -split (HMS). Hence  $R/xR$   $F$ -split  $\Rightarrow R$   $F$ -injective.
4. In fact, we do not know an example that  $R/xR$  is  $F$ -injective but  $x$  is not a surjective element.

## Main theorem

## Main theorem

**Theorem** (Ma-Schwede-Shimomoto) Suppose  $R/xR$  has (dense)  $F$ -injective type, then so does  $R$ .

## Main theorem

**Theorem** (Ma-Schwede-Shimomoto) Suppose  $R/xR$  has (dense)  $F$ -injective type, then so does  $R$ . In fact, if  $R/xR$  has (dense)  $F$ -injective type, then for infinitely many  $p > 0$ ,  $x^{p-1}F$  acts injectively on  $H_{m_p}^i(R_p)$  for every  $i$  (where  $(R_p, m_p)$  is the reduction mod  $p \gg 0$  of  $(R, m)$  and  $F$  is the natural Frobenius action on  $H_{m_p}^i(R_p)$ ).

## Main theorem

**Theorem** (Ma-Schwede-Shimomoto) Suppose  $R/xR$  has (dense)  $F$ -injective type, then so does  $R$ . In fact, if  $R/xR$  has (dense)  $F$ -injective type, then for infinitely many  $p > 0$ ,  $x^{p-1}F$  acts injectively on  $H_{m_p}^i(R_p)$  for every  $i$  (where  $(R_p, m_p)$  is the reduction mod  $p \gg 0$  of  $(R, m)$  and  $F$  is the natural Frobenius action on  $H_{m_p}^i(R_p)$ ).

**Proof strategy** We will show  $R/xR$  has (dense)  $F$ -injective type  $\Rightarrow x$  is a surjective element after reduction mod  $p \gg 0$ .



## Proof sketch

## Proof sketch

Step 1:  $R/xR$  dense  $F$ -injective type  $\Rightarrow R/xR$  Du Bois (Schwede).

## Proof sketch

Step 1:  $R/xR$  dense  $F$ -injective type  $\Rightarrow R/xR$  Du Bois (Schwede).

Step 2: (Surjectivity property of local cohomology for Du Bois)

If  $R_{red}$  is Du Bois, then  $H_m^i(R) \rightarrow H_m^i(R_{red})$  is surjective. In particular,  $H_m^i(R/x^n R) \rightarrow H_m^i(R/xR)$  is surjective for every  $i, n > 0$ .

## Proof sketch

Step 1:  $R/xR$  dense  $F$ -injective type  $\Rightarrow R/xR$  Du Bois (Schwede).

Step 2: (Surjectivity property of local cohomology for Du Bois)

If  $R_{red}$  is Du Bois, then  $H_m^i(R) \rightarrow H_m^i(R_{red})$  is surjective. In particular,  $H_m^i(R/x^n R) \rightarrow H_m^i(R/xR)$  is surjective for every  $i, n > 0$ .

Step 3: (Uniformity in reduction mod  $p \gg 0$ )

If  $H_m^i(R/x^n R) \rightarrow H_m^i(R/xR)$  is surjective for every  $i, n > 0$ , then for all  $p \gg 0$  ( $p$  independent of  $n$ ),  $H_{m_p}^i(R_p/x^n R_p) \rightarrow H_{m_p}^i(R_p/xR_p)$  is surjective for every  $i, n > 0$ . Hence  $x$  is a surjective element after mod  $p \gg 0$ .

## Proof sketch

Step 1:  $R/xR$  dense  $F$ -injective type  $\Rightarrow R/xR$  Du Bois (Schwede).

Step 2: (Surjectivity property of local cohomology for Du Bois)

If  $R_{red}$  is Du Bois, then  $H_m^i(R) \rightarrow H_m^i(R_{red})$  is surjective. In particular,  $H_m^i(R/x^n R) \rightarrow H_m^i(R/xR)$  is surjective for every  $i, n > 0$ .

Step 3: (Uniformity in reduction mod  $p \gg 0$ )

If  $H_m^i(R/x^n R) \rightarrow H_m^i(R/xR)$  is surjective for every  $i, n > 0$ , then for all  $p \gg 0$  ( $p$  independent of  $n$ ),  $H_{m_p}^i(R_p/x^n R_p) \rightarrow H_{m_p}^i(R_p/xR_p)$  is surjective for every  $i, n > 0$ . Hence  $x$  is a surjective element after mod  $p \gg 0$ .

Step 4: Conclude  $R_p$  is  $F$ -injective for infinitely many  $p \gg 0$  by HMS.

## Proof sketch

Step 1:  $R/xR$  dense  $F$ -injective type  $\Rightarrow R/xR$  Du Bois (Schwede).

Step 2: (Surjectivity property of local cohomology for Du Bois)

If  $R_{red}$  is Du Bois, then  $H_m^i(R) \rightarrow H_m^i(R_{red})$  is surjective. In particular,  $H_m^i(R/x^n R) \rightarrow H_m^i(R/xR)$  is surjective for every  $i, n > 0$ .

Step 3: (Uniformity in reduction mod  $p \gg 0$ )

If  $H_m^i(R/x^n R) \rightarrow H_m^i(R/xR)$  is surjective for every  $i, n > 0$ , then for all  $p \gg 0$  ( $p$  independent of  $n$ ),  $H_{m_p}^i(R_p/x^n R_p) \rightarrow H_{m_p}^i(R_p/xR_p)$  is surjective for every  $i, n > 0$ . Hence  $x$  is a surjective element after mod  $p \gg 0$ .

Step 4: Conclude  $R_p$  is  $F$ -injective for infinitely many  $p \gg 0$  by HMS.

To get the stronger result, we generalize HMS:

Step 4': If  $x$  is a surjective element, then  $R/xR$   $F$ -injective implies  $x^{p-1}F$  acts injectively on  $H_m^i(R)$  for every  $i$ .

## Surjectivity of local cohomology

## Surjectivity of local cohomology

Recall the key injectivity theorem of Kovács-Schwede: If  $X$  is reduced, then  $h^j(\underline{\omega}_X^\bullet) \rightarrow h^j(\omega_X^\bullet)$  is injective for every  $j$ .



## Surjectivity of local cohomology

Recall the key injectivity theorem of Kovács-Schwede: If  $X$  is reduced, then  $h^j(\underline{\omega}_X^\bullet) \rightarrow h^j(\omega_X^\bullet)$  is injective for every  $j$ .

We first generalize this to not necessarily reduced  $X$  (basically following the same strategy of Kovács-Schwede and observing the map  $H^i(Y, \mathbb{C}) \rightarrow H^i(Y, \mathcal{O}_Y) \rightarrow H^i(Y, \underline{\Omega}_{Y_{red}}^0)$  is still surjective even when  $Y$  is not reduced).

## Surjectivity of local cohomology

Recall the key injectivity theorem of Kovács-Schwede: If  $X$  is reduced, then  $h^j(\underline{\omega}_X^\bullet) \rightarrow h^j(\omega_X^\bullet)$  is injective for every  $j$ .

We first generalize this to not necessarily reduced  $X$  (basically following the same strategy of Kovács-Schwede and observing the map  $H^i(Y, \mathbb{C}) \rightarrow H^i(Y, \mathcal{O}_Y) \rightarrow H^i(Y, \underline{\Omega}_{Y_{red}}^0)$  is still surjective even when  $Y$  is not reduced). Now for  $X = \text{Spec}R$ , local duality then tells us  $H_m^i(R) \rightarrow \mathbb{H}_m^i(\underline{\Omega}_R^0)$  is surjective for every  $i$ .

## Surjectivity of local cohomology

Recall the key injectivity theorem of Kovács-Schwede: If  $X$  is reduced, then  $h^j(\underline{\omega}_X^\bullet) \rightarrow h^j(\omega_X^\bullet)$  is injective for every  $j$ .

We first generalize this to not necessarily reduced  $X$  (basically following the same strategy of Kovács-Schwede and observing the map  $H^i(Y, \mathbb{C}) \rightarrow H^i(Y, \mathcal{O}_Y) \rightarrow H^i(Y, \underline{\Omega}_{Y_{red}}^0)$  is still surjective even when  $Y$  is not reduced). Now for  $X = \text{Spec}R$ , local duality then tells us  $H_m^i(R) \rightarrow \mathbb{H}_m^i(\underline{\Omega}_R^0)$  is surjective for every  $i$ . Consider the commutative diagram with  $R_{red}$  Du Bois:

$$\begin{array}{ccc} H_m^i(R) & \longrightarrow & H_m^i(R_{red}) \\ \downarrow & & \downarrow \cong \\ \mathbb{H}_m^i(\underline{\Omega}_R^0) & \xrightarrow{\cong} & \mathbb{H}_m^i(\underline{\Omega}_{R_{red}}^0) \end{array}$$

## Surjectivity of local cohomology—continued

An obvious equivalent way to interpret the surjectivity: suppose  $R$  is Du Bois,  $H_m^i(S) \rightarrow H_m^i(R)$  is surjective for every  $i$  and every thickening  $S$  of  $R$  (i.e.,  $S_{red} = R$ ).

## Surjectivity of local cohomology—continued

An obvious equivalent way to interpret the surjectivity: suppose  $R$  is Du Bois,  $H_m^i(S) \rightarrow H_m^i(R)$  is surjective for every  $i$  and every thickening  $S$  of  $R$  (i.e.,  $S_{red} = R$ ).

Some natural questions: what about characteristic  $p > 0$ ? Is  $F$ -injectivity suffices to guarantee this surjectivity?

## Surjectivity of local cohomology—continued

An obvious equivalent way to interpret the surjectivity: suppose  $R$  is Du Bois,  $H_m^i(S) \rightarrow H_m^i(R)$  is surjective for every  $i$  and every thickening  $S$  of  $R$  (i.e.,  $S_{red} = R$ ).

Some natural questions: what about characteristic  $p > 0$ ? Is  $F$ -injectivity suffices to guarantee this surjectivity? Is this surjectivity preserved after reduction mod  $p \gg 0$ ?

## Surjectivity of local cohomology—continued

An obvious equivalent way to interpret the surjectivity: suppose  $R$  is Du Bois,  $H_m^i(S) \rightarrow H_m^i(R)$  is surjective for every  $i$  and every thickening  $S$  of  $R$  (i.e.,  $S_{red} = R$ ).

Some natural questions: what about characteristic  $p > 0$ ? Is  $F$ -injectivity suffices to guarantee this surjectivity? Is this surjectivity preserved after reduction mod  $p \gg 0$ ? It turns out that both answers are no.

## Surjectivity of local cohomology—continued

An obvious equivalent way to interpret the surjectivity: suppose  $R$  is Du Bois,  $H_m^i(S) \rightarrow H_m^i(R)$  is surjective for every  $i$  and every thickening  $S$  of  $R$  (i.e.,  $S_{red} = R$ ).

Some natural questions: what about characteristic  $p > 0$ ? Is  $F$ -injectivity suffices to guarantee this surjectivity? Is this surjectivity preserved after reduction mod  $p \gg 0$ ? It turns out that both answers are no.

In characteristic  $p > 0$ ,  $F$ -split will imply this surjectivity, but we construct an example  $F$ -injective local ring such that the surjective property fails (based on an example of Enescu-Hochster).



## An example

The surjectivity condition does not pass to reduction mod  $p$  for all  $p \gg 0$ :

## An example

The surjectivity condition does not pass to reduction mod  $p$  for all  $p \gg 0$ :

Let  $R = \frac{k[x,y,z]}{x^3+y^3+z^3} \# k[s, t]$  be the Segre product. This is the affine cone of  $E \times \mathbb{P}^1$  where  $E$  is the elliptic curve  $\text{Proj} \frac{k[x,y,z]}{x^3+y^3+z^3}$ . Let  $m$  denote the unique homogeneous maximal ideal of  $R$ .

## An example

The surjectivity condition does not pass to reduction mod  $p$  for all  $p \gg 0$ :

Let  $R = \frac{k[x,y,z]}{x^3+y^3+z^3} \# k[s, t]$  be the Segre product. This is the affine cone of  $E \times \mathbb{P}^1$  where  $E$  is the elliptic curve  $\text{Proj} \frac{k[x,y,z]}{x^3+y^3+z^3}$ . Let  $m$  denote the unique homogeneous maximal ideal of  $R$ .

If  $\text{char}(k) = 0$ ,  $R$  is Du Bois, so  $H_m^i(S) \rightarrow H_m^i(R)$  is surjective for every  $i$  and every thickening  $S$ .

## An example

The surjectivity condition does not pass to reduction mod  $p$  for all  $p \gg 0$ :

Let  $R = \frac{k[x,y,z]}{x^3+y^3+z^3} \# k[s, t]$  be the Segre product. This is the affine cone of  $E \times \mathbb{P}^1$  where  $E$  is the elliptic curve  $\text{Proj} \frac{k[x,y,z]}{x^3+y^3+z^3}$ . Let  $m$  denote the unique homogeneous maximal ideal of  $R$ .

If  $\text{char}(k) = 0$ ,  $R$  is Du Bois, so  $H_m^i(S) \rightarrow H_m^i(R)$  is surjective for every  $i$  and every thickening  $S$ .

If  $\text{char}(k) = p \equiv 1 \pmod{3}$ ,  $R$  is  $F$ -split, so  $H_m^i(S) \rightarrow H_m^i(R)$  is surjective for every  $i$  and every thickening  $S$ .

## An example

The surjectivity condition does not pass to reduction mod  $p$  for all  $p \gg 0$ :

Let  $R = \frac{k[x,y,z]}{x^3+y^3+z^3} \# k[s, t]$  be the Segre product. This is the affine cone of  $E \times \mathbb{P}^1$  where  $E$  is the elliptic curve  $\text{Proj} \frac{k[x,y,z]}{x^3+y^3+z^3}$ . Let  $m$  denote the unique homogeneous maximal ideal of  $R$ .

If  $\text{char}(k) = 0$ ,  $R$  is Du Bois, so  $H_m^i(S) \rightarrow H_m^i(R)$  is surjective for every  $i$  and every thickening  $S$ .

If  $\text{char}(k) = p \equiv 1 \pmod{3}$ ,  $R$  is  $F$ -split, so  $H_m^i(S) \rightarrow H_m^i(R)$  is surjective for every  $i$  and every thickening  $S$ .

If  $\text{char}(k) = p \equiv 2 \pmod{3}$ , the Frobenius kills  $H_m^2(R) \cong k$ , so the induced  $H_m^2(S) \rightarrow H_m^2(R)$  is zero when  $S$  is the Frobenius thickening.

## An example

The surjectivity condition does not pass to reduction mod  $p$  for all  $p \gg 0$ :

Let  $R = \frac{k[x,y,z]}{x^3+y^3+z^3} \# k[s, t]$  be the Segre product. This is the affine cone of  $E \times \mathbb{P}^1$  where  $E$  is the elliptic curve  $\text{Proj} \frac{k[x,y,z]}{x^3+y^3+z^3}$ . Let  $m$  denote the unique homogeneous maximal ideal of  $R$ .

If  $\text{char}(k) = 0$ ,  $R$  is Du Bois, so  $H_m^i(S) \rightarrow H_m^i(R)$  is surjective for every  $i$  and every thickening  $S$ .

If  $\text{char}(k) = p \equiv 1 \pmod{3}$ ,  $R$  is  $F$ -split, so  $H_m^i(S) \rightarrow H_m^i(R)$  is surjective for every  $i$  and every thickening  $S$ .

If  $\text{char}(k) = p \equiv 2 \pmod{3}$ , the Frobenius kills  $H_m^2(R) \cong k$ , so the induced  $H_m^2(S) \rightarrow H_m^2(R)$  is zero when  $S$  is the Frobenius thickening.

## Further question

We suspect, if  $R$  is Du Bois, assuming the weak ordinarity conjecture, then  $R_p$  has the surjective property on local cohomology (called  $F$ -full) for infinitely many  $p > 0$ .

**Thank you!**