

# MOEBIUS FUNCTION: ON THE MISSING LOG-FACTOR ET ALIA

Olivier Ramaré

December 8, 2016

# THREE DEFINITIONS OF MOEBIUS FUNCTION:

- ▶ Multiplicative fct with  $\mu(p) = -1$ ,  $\mu(p^k) = 0$  ( $k \geq 2$ ),
- ▶ *Convolution inverse of  $\mathbb{1}$* ,
- ▶ Coefficients of  $1/\zeta(s)$

We concentrate on the *second* definition.

Often an *explicit* 

*Combinatorial nature*  $\rightsquigarrow$  *functional analysis pbs!*



**Meissel 1854:** 
$$\sum_{n \leq x} \mu(n) [x/n] = 1$$

$$\sum_{n \leq x} \mu(n) \sum_{m \leq x/n} 1 = \sum_{\ell \leq x} \sum_{n|\ell} \mu(n)$$

$$\longrightarrow \sum_{n \leq x} \mu(n) \{x/n\} = -1 + x \sum_{n \leq x} \frac{\mu(n)}{n}$$

Three aspects:

- ▶ **Error term treatment** LHS:  $\mu(n)$  contaminated by  $\left\{\frac{x}{n}\right\}$   
 → RHS: no contamination!
- ▶ **Identity** Is it an Accident or a Feature? *No error term!*
- ▶ **Log-factor** Trivial bounds: (LHS:  $x$ ) / (RHS:  $x \log x$ ),

## CONSEQUENCES:

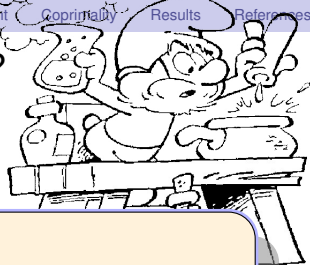
Prime Number Theorem  $\rightarrow \sum_{n \leq x} \mu(n) \{x/n\} = o(x)$

(Gram 1884):  $\left| \sum_{n \leq x} \frac{\mu(n)}{n} \right| \leq 1$

...

(MacLeod, 1994): 
$$\sum_{n \leq x} \mu(n) \frac{\{x/n\}^2 - \{x/n\}}{x/n} = x \sum_{n \leq x} \frac{\mu(n)}{n} - \sum_{n \leq x} \mu(n) - 2 + \frac{2}{x}$$

# HOW TO GENERALIZE MEISSEL'S PROOF, I ?



A general Theorem:

## Theorem

Let  $g$  be a multiplicative function,  $|g(p^v)| \leq K$ .

$$\left| \sum_{n \leq x} \frac{g(n)}{n} \right| \leq \frac{3K + 3}{\log x} \sum_{n \leq x} \frac{|g(n)| + |\mathbb{1} \star g|(n)}{n}$$

Meissel: take  $g = \mu$

(S. Selberg, 1954):  $0 \leq \sum_{n \leq x} \frac{\mu(n)}{2^{\omega(n)} n} \ll 1 / \sqrt{\log x}$

We can rebuild  $\mu$  with  $f \star f$  and  $f(n) = \mu(n) / 2^{\omega(n)}$  ...

We loose the divisor function!

# LANDAU EQUIVALENCE THEOREM (1912)

## Theorem

The five propositions are equivalent:

- ▶  $\#\{\text{primes} \leq x\}$  asymptotic to  $x/\log x$ .
- ▶  $M(x) = \sum_{n \leq x} \mu(n)$  is  $o(x)$ .
- ▶  $m(x) = \sum_{n \leq x} \mu(n)/n$  is  $o(1)$ .
- ▶  $\psi(x) = \sum_{n \leq x} \Lambda(n)$  is asymptotic to  $x$ .
- ▶  $\tilde{\psi}(x) = \sum_{n \leq x} \Lambda(n)/n$  is  $\log x - \gamma + o(1)$ .

$$\Lambda(n) = \begin{cases} \log p & \text{when } n = p^v \\ 0 & \text{else.} \end{cases}$$

$m \rightarrow M$  and  $\tilde{\psi} \rightarrow \psi$ : (surprisingly) easy!

Quantitative results? Loss of the prime-number density?

$\Lambda$  or  $\mu$ : winning a log-factor is **necessary!**

# Integration by parts ?

$$\tilde{\psi}(x) - \log x = \frac{\psi(x) - x}{x} + 1 + \int_1^x \frac{(\psi(t) - t) dt}{t^2}$$

From  $\psi$  to  $\tilde{\psi}$ : false for Beurling integers!

(Diamond & Zhang, 2012)

Beurling integers:  
the semi-group over  
primes from  $[1, \infty)$

*An equivalent statement for Moebius ??*

(Axer, 1910): *qualitative aspect*

We need a *quantitative* equivalence



It took me a while!  
q-aspect and x-aspect (OR 2002)

## Theorem (OR, 2013 + D.Platt, 2016)

*There exists  $c > 0$  such that, when  $x \geq 10$ :*

$$|\tilde{\psi}(x) - \log x + \gamma| \ll \max_{x \leq y \leq 2x} \frac{|\psi(y) - y|}{y} + \exp\left(-c \frac{\log x}{\log \log x}\right).$$

*Same for primes in arithmetic progressions.*

Primes  $\leq x$  require zero-free region up to  $\log x$

**Hence (numerically)**

Verifying RH upto  $H$  gives control for  $x$  upto  $e^H$ !!



# Sketch of the *now*-proof:

$$\tilde{\psi}(x) - \log x + \gamma = \frac{\psi(x) - x}{x}$$

+ Smoothed version of  $\int_x^\infty \frac{(\psi(t) - t) dt}{t^2}$

← controlled by  $\max_{x < y \leq 2x} |\psi(y) - y|/y$

+ Correction from smoothed to unsmoothed

← a very convergent sum over the zeroes

Generalizes to primes in arith. prog.

An even better smoothing (Platt & OR, 2016)

But **NOT** to  $M \rightarrow m$  ?? No zeroes but ...

## Theorem (OR, 2016?)

*There exists  $c > 0$  such that, when  $x \geq 10$ :*

$$|m(x)| \ll \max_{x \leq y \leq 2x} \frac{|M(y)|}{y} + \exp\left(-c \frac{\log x}{\log \log x}\right).$$

*Yipee!!*

*And still in progress :)*

*... But numerically very bad! Bounds for  $1/\zeta(s)$  ...*

## Two consequences:

To motivate further work...

$$\left| \sum_{n \leq x} \Lambda(n)/n - \log x + \gamma \right| \leq \frac{1}{149 \log x} \quad (x \geq 23)$$

(Rosser & Schoenfeld, 1962): 2 instead of 149.

$$\left| \sum_{n \leq x} \Lambda(n)/n - \log x + \gamma \right| \leq \frac{2}{(\log x)^2} \quad (x > 1)$$

No ancestors :)

Wanted and final would be:  $\varepsilon/(\log x)^2$

Related work by P.Dusart, H.Kadiri, A.Lumley ...

I believe that there exists  $A > 1$  such that

$$|m(x)| \stackrel{?}{\ll} \max_{x/A < y \leq xA} |M(y)|/y + x^{-1/4}$$

And in fact

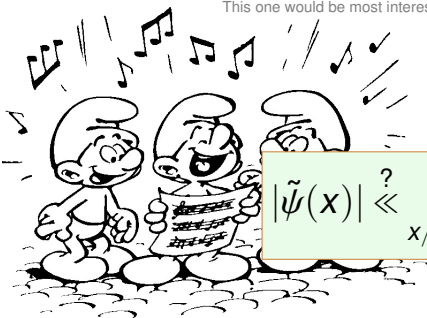
$$|m(x)| \stackrel{?}{\ll} \max_{x/A < y \leq xA} |\psi(y) - y|/y + x^{-1/4}$$

This one would be most interesting since we can express  $|\psi(y) - y|$  in terms of the zeros of  $\zeta(s)$ .

For memory:

$$|\tilde{\psi}(x)| \stackrel{?}{\ll} \max_{x/A < y \leq xA} |\psi(y) - y|/y + x^{-1/4}$$

(Trivially) True under RH  
 Trivial: with a  $\log x$  in front  
 And with a  $\sqrt{\log x}$  in front?



## HOW TO GENERALIZE MEISSEL'S PROOF, II ?

MEISSEL IDENTITY READS ALSO

$$\frac{1}{x} \int_1^x M(x/t) \frac{\{t\}}{t} dt = m(x) - \frac{M(x)}{x} - \frac{\log x}{x}$$

(BALAZARD, 2012) REWROTE THE FIRST MACLEOD IDENTITY:  
*...worked a lot and in between...*

$$\frac{1}{x} \int_1^x M(x/t) \frac{(2\{t\} - 1)t + \{t\} - \{t\}^2}{t^2} dt$$



$$= m(x) - \frac{M(x)}{x} - \frac{2}{x} + \frac{2}{x^2}$$

*Oldies? Good for Waste-basket?*

The situation has been further cleared by [F. Daval](#):

## Theorem (Daval, 2016)

Select  $h : [0, 1] \rightarrow \mathbb{C}$ , *continuous*,  $\int_0^1 h(u) du = 1$ .

When  $x \geq 1$ :

$$\begin{aligned} \frac{1}{x} \int_1^x M(x/t) \left( 1 - \frac{1}{t} \sum_{n \leq t} h(n/t) \right) dt \\ = m(x) - \frac{M(x)}{x} - \frac{1}{x} \int_{1/x}^1 \frac{h(y)}{y} dy \end{aligned}$$

“Riemann integral-remainder”

$h = 1 \rightarrow$  Meissel identity

$h = 2t \rightarrow$  MacLeod identity

... *functional analysis comes in!*

# Other streams of identities

(Gram, 1884), (MacLeod, 1994), (Balazard, 2012), (OR, 2015)

$$\sum_{n \leq x} \frac{\mu(n)}{n} \log\left(\frac{x}{n}\right) - 1 = \frac{6 - 8\gamma}{3x} - \frac{6 - 4\gamma}{x^2} + \frac{6 - 4\gamma}{3x^4}$$

$$(0 \leq t^2 h'(t) \leq \frac{7}{4} - \gamma) \quad - \frac{1}{x} \int_1^x M(x/t) h'(t) dt$$

$$\rightsquigarrow \sum_{n \leq t} \frac{1}{n} - \log t$$

$$\begin{aligned} &\log(x/n) \\ &\log^2(x/n) \\ &\dots \end{aligned}$$

$$\rightarrow \left| \sum_{n \leq x} \frac{\mu(n)}{n} \log(x/n) - 1 \right| \leq \frac{1}{389 \log x}$$

$(x \geq 3200)$



# THE PROBLEM AT LARGE

GIVEN  $F(t) : [1, \infty) \rightarrow \mathbb{C}$  e.g.  $F(t) = 1$ ,

FIND  $H$ ,  $G$  &  $C$  such that

$$\sum_{n \leq x} \frac{\mu(n)}{n} F(x/n) - C \frac{M(x)}{x}$$

$$= \frac{1}{x} \int_1^x M(x/t) G(t) dt + H(x)$$

$$\int_1^\infty |F(t)| dt/t = \infty$$

$$\int_1^\infty |G(t)| dt/t < \infty$$

$H$  smooth and small

With  $F = 1$ , many solutions!!  
With  $F = \log t$ , many solutions!!



# BEGINNING OF A THEORY

$$\int_1^x \left( 1 - \frac{1}{t} \sum_{n \leq t} h(n/t) \right) dt = \int_0^1 \{ux\} \frac{h(u)}{u} du$$

Aiming at  $\int M(x/t) f'(t) dt$ .

Given  $f : [1, \infty) \rightarrow \mathbb{C}$ , solve  $f(x) = \int_0^1 \{ux\} \frac{h(u)}{u} du$

Given  $g : [0, 1] \rightarrow \mathbb{C}$ , solve  $g(y) = \int_0^1 \frac{\{u/y\}}{u/y} h(u) du$

Hilbert-Schmidt (hence compact) & contracting!

$$\int_0^1 \frac{\{u/y\}}{u/y} h(u) du = \sum_{n \geq 1} \lambda_n \int_0^1 \overline{h(u)} \psi_n(u) du \varphi_n(y)$$

$|\lambda_n| \leq 1$  ... *Work in progress!*

Shatten class 1 +  $\varepsilon$ ...

# THE LOCALIZATION PROBLEM

(Daval, 2016): *The basic lemma*

When  $h : [0, 1] \mapsto \mathbb{C}$ ,  $C^k$ ,  
 $\int_0^1 h(u) du = 1$ ,  $h(0) = h'(0) = 0$ ,  
 when  $3 \leq 2i + 1 \leq k - 1$ ,  $h^{(2i+1)}(0) = 0$ ,  
 when  $0 \leq \ell \leq k - 2$ ,  $h^{(\ell)}(1) = 0$ ,

we have:  $\left| 1 - \frac{1}{t} \sum_{n \leq t} h(n/t) \right| \ll 1/t^k$

*This class is larger than the earlier ones!*

$$\left| \int_1^x M(x/t) \left( 1 - \frac{1}{t} \sum_{n \leq t} h(n/t) \right) dt \right| \leq \frac{C_k(h)}{x} \int_1^x M(t) (t/x)^{k-2} dt$$

(Daval, 2016)

$k =$	3	4	5	6	7
$\min_h C_k(h) \leq$	1.05	1.44	2.52	5.9	13.2

Best  $h$ ? Best  $C_k(h)$ ?

$$\left| m(x) - \frac{M(x)}{x} \right| \leq \frac{33/13}{x^4} \int_1^x |M(t)| t^3 dt + \frac{19/7}{x}$$

Reversed problem: convolving  $M(t)$  with as large a class as possible.

$P(t, \{t\})/t^k$ . Is that all?  $P(t, \{t^2 + 1\})/t^k$ ?

# From $\Lambda$ to $\mu$ / From $\psi$ to $M$

$M \rightarrow \psi$ : **NO** for Beurling integers! (Zhang, 1987)

$M_{\mathcal{P}}(x) = o(x)$  without  $\psi_{\mathcal{P}}(x) \sim x$ .

Quantitative Landau: (Kienast, 1926), (Schoenfeld, 1969)

More efficient identities:

$$\sum_{\ell \leq x} \mu(\ell) \log^2 \ell = \sum_{d\ell \leq x} \mu(\ell) (\Lambda \star \Lambda(d) - \Lambda(d) \log d)$$

Analytically?

Only one  $\mu$ -factor on the RHS:

$$\sum_{\ell \leq x} \mu(\ell) \log^3 \ell = \sum_{d\ell \leq x} \mu(\ell) (\Lambda \star \Lambda \star \Lambda(d) - 3\Lambda \star (\Lambda \log)(d) + \Lambda(d) \log^2 d)$$

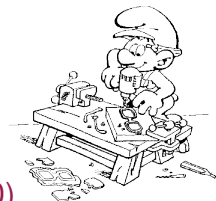
(OR, 2013)

# HOW TO GENERALIZE MEISSEL'S PROOF, III ?

## Theorem

When  $x, q \geq 1$ :

$$\left| \sum_{\substack{n \leq x, \\ (n,q)=1}} \frac{\mu(n)}{n} \right| \leq 1$$



(Granville & OR, 1996, Lemma 10.2), (Tao, 2010)

... and (Davenport, 1937, Lemma 1)!!

*A paper that many of you cite... for another reason!*

$\sum_{n \leq x, (n,q)=1} \frac{\mu(n)}{2^{\omega(n)} n}$  investigated in (S. Selberg, 1954).

*Can we do better?*

If one removes condition  $(d, q) = 1$  with Moebius:

$$\begin{aligned}
 \sum_{\substack{d \leq x, \\ (d, q) = 1}} \mu(d)/d &= \sum_{d \leq x} \left( \sum_{\substack{\delta | d, \\ \delta | q}} \mu(\delta) \right) \mu(d)/d \\
 &= \sum_{\delta | q} \mu(\delta) \sum_{\ell \leq x/\delta} \mu(\delta \ell)/(\delta \ell) \\
 &= \sum_{\delta | q} \frac{\mu(\delta)^2}{\delta} \sum_{\substack{\ell \leq x/\delta, \\ (\ell, \delta) = 1}} \mu(\ell)/\ell
 \end{aligned}$$

Back to square one!!

Landau's way:  $\mathbb{1}_{(d, q) = 1} \mu(d)/d = g_q \star (\mu(d)/d)$

But  $g_q$  has an infinite support.

And this leads to heavy numerical difficulties :(

$$\sum_{\ell \geq 1} g_q(\ell) \sum_{d \leq x/\ell} \mu(d)/d \leftarrow$$

Requires explicit  
estimate also  
when  $x/\ell$  is small

Workaround:

1. go from  $\mu$  to  $\lambda$  (Liouville's function),
2. get rid of coprimality by Moebius,
3. go from  $\lambda$  to  $\mu$ .

Combining steps 1 & 3  $\longrightarrow$  more efficient.

(OR, 2014 and 2015)

# Results



A step with Liouville function,  
+ rather heavy computations,  
and get:

$$\left| \sum_{\substack{d \leq x, \\ (d,q)=1}} \mu(d)/d \right| \leq \frac{4q/5}{\phi(q) \log(x/q)} \quad (1 \leq q < x).$$

... many similar bounds with  $\mu(d) \log^2(x/d)/d$  and  $\mu(d)$ .



# A related problem

(Akhilesh P. & OR, 2016)

## Theorem

$$\limsup_{K \rightarrow \infty} K \max_q \left| \sum_{\substack{k > K, \\ (k,q)=1}} \frac{\mu(k)}{k^2} \right| = 0.$$

The problem occurred while studying  $G(z)$  (Akhilesh P. & OR, 2016)

What is the best rate of convergence?

$$\limsup_{K \rightarrow \infty} K \log K \max_q \left| \sum_{\substack{k > K, \\ (k,q)=1}} \frac{\mu(k)}{k^2} \right| \geq 1.$$

Can one do better? (RH:  $K(\log K)^{1/3-\varepsilon}$ )

Axer, A. 1910.

Beitrag zur Kenntnis der zahlentheoretischen Funktionen  $\mu(n)$  und  $\lambda(n)$ .  
[Prace Matematyczno-Fizyczne](#), 65–95.

Balazard, M. 2012.

Elementary Remarks on Möbius' Function.  
[Proceedings of the Steklov Institute of Mathematics](#), 276, 33–39.

Davenport, H. 1937.

On some infinite series involving arithmetical functions.  
[Quart. J. Math., Oxf. Ser.](#), 8, 8–13.

Diamond, Harold G., & Zhang, Wen-Bin. 2012.

A PNT equivalence for Beurling numbers.  
[Funct. Approx. Comment. Math.](#), 46(part 2), 225–234.

Dusart, P. 1998.

[Autour de la fonction qui compte le nombre de nombres premiers.](#)  
Ph.D. thesis, Limoges, [http://www.unilim.fr/laco/theses/1998/T1998\\_01.pdf](http://www.unilim.fr/laco/theses/1998/T1998_01.pdf).  
173 pp.

Dusart, P. 2010.

Estimates of some functions over primes without R. H.  
<http://arxiv.org/abs/1002.0442>.

Granville, A., & Ramaré, O. 1996.

Explicit bounds on exponential sums and the scarcity of squarefree binomial coefficients.  
[Mathematika](#), 43(1), 73–107.

Kienast, A. 1926.

Über die Äquivalenz zweier Ergebnisse der analytischen Zahlentheorie.  
[Mathematische Annalen](#), 95, 427–445.  
10.1007/BF01206619.

Landau, Edmund. 1912.

Über einige neuere Grenzwertsätze.  
[Rendiconti del Circolo Matematico di Palermo \(1884 - 1940\)](#), 34, 121–131.  
10.1007/BF03015010.

MacLeod, R.A. 1994.

A curious identity for the Möbius function.

[Utilitas Math.](#), **46**, 91–95.

P., Akhilesh, & Ramaré, O. 2016.

Explicit averages of non-negative multiplicative functions: going beyond the main term.

To appear in [Colloquium Mathematicum](#), 30 pp.

Platt, D.J., & Ramaré, O. 2016.

Explicit estimates: from  $\Lambda(n)$  in arithmetic progressions to  $\Lambda(n)/n$ .

To appear in [Exp. Math.](#), 15pp.

Ramaré, O. 2002.

Sur un théorème de Mertens.

[Manuscripta Math.](#), **108**, 483–494.

Ramaré, O. 2013a.

Explicit estimates for the summatory function of  $\Lambda(n)/n$  from the one of  $\Lambda(n)$ .

[Acta Arith.](#), **159**(2), 113–122.

Ramaré, O. 2013b.

From explicit estimates for the primes to explicit estimates for the Moebius function.

[Acta Arith.](#), **157**(4), 365–379.

Ramaré, O. 2014.

Explicit estimates on the summatory functions of the Moebius function with coprimality restrictions.

[Acta Arith.](#), **165**(1), 1–10.

Ramaré, O. 2015.

Explicit estimates on several summatory functions involving the Moebius function.

[Math. Comp.](#), **84**(293), 1359–1387.

Rosser, J.B., & Schoenfeld, L. 1962.

Approximate formulas for some functions of prime numbers.

[Illinois J. Math.](#), **6**, 64–94.

Schoenfeld, L. 1969.

An improved estimate for the summatory function of the Möbius function.

[Acta Arith.](#), **15**, 223–233.

Selberg, Sigmund.

Über die Summe  $\sum_{n \leq x} \frac{\mu(n)}{nd(n)}$ .

12. Skand. Mat.-Kongr., Lund 1953, 264-272 (1954).

Tao, Terence. 2010.

A remark on partial sums involving the Möbius function.

[Bull. Aust. Math. Soc.](#), **81**(2), 343–349.

Zhang, Wen-Bin. 1987.

A generalization of Halász's theorem to Beurling's generalized integers and its application.

[Illinois J. Math.](#), **31**(4), 645–664.