

# Asymptotic behaviour for homoenergetic solutions of the Boltzmann equation

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- 3 Paradigmatic examples
- 4 Simple shear case for Maxwell molecules ( $\gamma = 0$ )
- 5 Simple shear case for hard potentials ( $\gamma > 0$ )
- 6 Final comments

# Introduction

## The “Boltzmann equation”

... [1872]

$$\underbrace{\partial_t f + v \cdot \nabla_x f}_{\text{transport op.}} = \underbrace{Q(f, f)}_{\text{collision op.}} \quad \text{on } f(t, x, v) \geq 0$$

$$Q(f, f)(v) = \int_{S^2} \int_{\mathbb{R}^3} \underbrace{B(v - v_*, \omega)}_{\text{collision kernel } (\geq 0)} \underbrace{\{f(v')f(v'_*) - f(v)f(v_*)\}}_{\text{appearing}} \underbrace{- \{f(v')f(v'_*) - f(v)f(v_*)\}}_{\text{disappearing}} d\omega dv_*$$

## Postulates:

- particles interact via binary collisions (dilute regime)
- collisions are localized in space and time (the duration of a collision is very small)
- collisions are elastic (momentum and kinetic energy are preserved)
- collisions are microreversible (reversibility at microscopic level)
- Boltzmann **chaos** (velocities of two particles about to collide are uncorrelated)

# Structure of the Boltzmann equation

$$Q(f, f)(v) = \int_{S^2} \int_{\mathbb{R}^3} \underbrace{B(v - v_*, \omega)}_{\text{collision kernel } (\geq 0)} \underbrace{\{f(v')f(v'_*) - f(v)f(v_*)\}}_{\text{appearing}} d\omega dv_*$$

disappearing

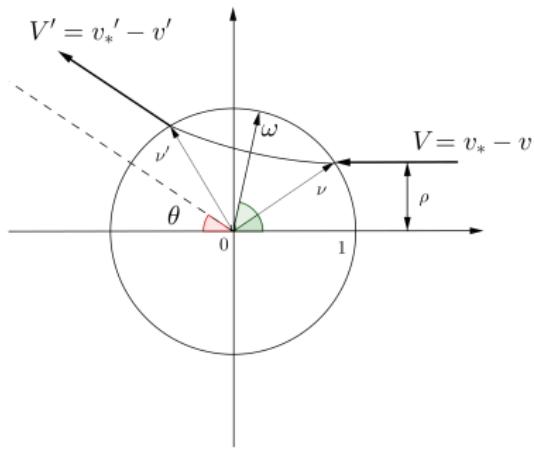
$$v' = v - [(v - v_*) \cdot \omega] \omega, \quad v'_* = v_* + [(v - v_*) \cdot \omega] \omega \quad (\text{velocity collision rule})$$

►  $B(v - v_*, \omega) = B(v - v_*, \cos \theta)$

$$\cos \theta = \left\langle \frac{v - v_*}{|v - v_*|}, \omega \right\rangle$$

►  $B(|v - v_*|, \cos \theta) = \frac{\rho}{\sin \theta} \left| \frac{d\rho}{d\theta} \right|$

►  $B$  depends on the potential  $\phi$



# Conservation laws for the Boltzmann equation

- ▶ Conservation of mass, momentum and kinetic energy

$$\frac{d}{dt} \int_{\mathbb{R}^3 \times \mathbb{R}^3} f \, dx \, dv = 0, \quad \frac{d}{dt} \int_{\mathbb{R}^3 \times \mathbb{R}^3} v_i f \, dx \, dv = 0, \quad \frac{d}{dt} \int_{\mathbb{R}^3 \times \mathbb{R}^3} |v|^2 f \, dx \, dv = 0$$

# Macroscopic balance equations

- ▶ Define the density of mass, momentum and energy

$$\begin{aligned}\rho(x, t) &= \int_{\mathbb{R}^3} f \, dv \\ p_i(x, t) &= \int_{\mathbb{R}^3} v_i f \, dv \quad i = 1, 2, 3 \\ w(x, t) &= \int_{\mathbb{R}^3} |v|^2 f \, dv\end{aligned}$$

- ▶ Define the macroscopic average velocity, the momentum flow and the energy flow

$$\begin{aligned}\rho(x, t)V_i(x, t) &= \int_{\mathbb{R}^3} v_i f \, dv \\ P_{ij} &= \int_{\mathbb{R}^3} v_i v_j f \, dv \quad i, j = 1, 2, 3 \\ r_i(x, t) &= \int_{\mathbb{R}^3} v_i |v|^2 f \, dv \quad i = 1, 2, 3\end{aligned}$$

# Macroscopic balance equation

- ▶  $c := v - V$  deviation of the velocity of a single particle from the average velocity

$$\Rightarrow P_{ij} = \rho V_i V_j + M_{ij} \quad \text{with} \quad M_{ij} = \int_{\mathbb{R}^3} c_i c_j f \, dv$$

$$\Rightarrow w = \frac{1}{2} \rho |v|^2 + \rho e \quad \text{with} \quad \rho e = \frac{1}{2} \int_{\mathbb{R}^3} |c|^2 f \, dv$$

kinetic en.      internal en.

- ▶ System (*not closed*) of 5 scalar conservation laws [mass, momentum and kinetic energy]

$$\frac{\partial \rho}{\partial t} + \sum_{i=1}^3 \frac{\partial}{\partial x_i} (\rho V_i) = 0; \quad \frac{\partial}{\partial t} (\rho V_i) + \sum_{i=1}^3 \frac{\partial}{\partial x_i} (\rho V_i V_j + M_{ij}) = 0 \quad i = 1, 2, 3$$

$$\frac{\partial}{\partial t} \left( \rho \frac{|v|^2}{2} + \rho e \right) + \sum_{i=1}^3 \frac{\partial}{\partial x_i} \left[ \rho V_i \left( \frac{|v|^2}{2} + e \right) + q_i + \sum_{i=1}^3 V_j M_{ij} \right] = 0$$

*M<sub>ij</sub> and q<sub>i</sub> depend on higher order moments of f !*

# Boltzmann's H theorem

- ▶ Boltzmann's **H theorem**

$$\frac{d}{dt} \mathcal{H}(t, x) = \frac{d}{dt} \int_{\mathbb{R}^3} f \log f \, dv = -D(f) \leq 0$$

- ▶ The entropy production is

$$\begin{aligned} D(f) &= - \int_{\mathbb{R}^3} Q(f, f) \log f \, dv \\ &= \int_{S^2 \times \mathbb{R}^3 \times \mathbb{R}^3} B(v - v_*, \omega) [f' f'_* - f f_*] \log \left( \frac{f' f'_*}{f f_*} \right) d\omega \, dv_* \, dv \geq 0 \end{aligned}$$

- ▶ Cancellation at  $f f_* = f' f'_*$ : **local Maxwellian equilibrium**

$$M_f(v) = \frac{\rho}{(2\pi T)^{3/2}} e^{-|v-V|^2/2T} \quad \rho \geq 0, V \in \mathbb{R}^3, T > 0$$

- ▶ Any nonequilibrium state of an isolated gas evolves **irreversibly** towards the equilibrium state (**Second law of thermodynamics**)

# Homogeneous Boltzmann

Particles described by their space homogeneous distribution density  $f = f(t, v)$

$$\partial_t f(t, v) = \int_{S^2} \int_{\mathbb{R}^3} B(v - v_*, \omega) \{f(v')f(v'_*) - f(v)f(v_*)\} d\omega dv_*$$

- ▶ Conservation of mass, momentum and kinetic energy

$$\forall t \geq 0, \quad \int_{\mathbb{R}^3} f(t, v) \varphi(v) dv = \int_{\mathbb{R}^3} f_0(v) \varphi(v) dv \quad \text{for } \varphi(v) = 1, v, |v|^2$$

- ▶ Entropy functional  $H(f) = \int_{\mathbb{R}^3} f \log(f) dv$

# Properties of homogeneous Boltzmann

- ▶ Boltzmann's **H theorem**

$$\begin{aligned} \frac{d}{dt} H(f) &= -D(f) \\ &= \frac{1}{4} \int_{\mathbb{R}^3 \times \mathbb{R}^3 \times S^2} B(v - v_*, \omega) (f' f'_* - f f_*) \log \frac{f' f'_*}{f f_*} d\omega dv_* dv \leq 0 \end{aligned}$$

- ▶ Any equilibrium is a **Maxwellian distribution**

$$M_f(v) = \frac{\rho}{(2\pi T)^{3/2}} e^{-|v-V|^2/2T} \quad \rho > 0, u \in \mathbb{R}^3 \text{ and } T > 0$$

$\rho$ : macroscopic density,  $V$ : macroscopic bulk velocity

$$T := \frac{1}{3\rho} \int_{\mathbb{R}^3} f(v) |v - V|^2 dv \quad \text{macroscopic temperature}$$

- ▶ A solution  $f$  is expected to relax towards equilibrium:

$f$  converges to the Maxwellian distribution when  $t \rightarrow +\infty$

# Homoenergetic solutions of the Boltzmann equation

# Homoenergetic solutions

$$f(x, v, t) = g(\underbrace{v - \xi(x, t)}_w, t) \quad x \in \mathbb{R}^3, v \in \mathbb{R}^3, t > 0$$

- ▶  $g(\cdot, t)$  characterizes the dispersion of velocities for the molecules at a given point (Truesdell, Galkin, 1960's)
- ▶  $f$  solves the Boltzmann equation  $\Rightarrow$

$$\frac{\partial \xi_k}{\partial x_j} \text{ constant in } x \quad \& \quad \partial_t \xi + \xi \cdot \nabla \xi = 0 \quad \Rightarrow \quad \xi(x, t) = M(t)x$$

$$\frac{dM}{dt}(t) + (M(t))^2 = 0, \quad M(0) = A \quad \Leftrightarrow \quad M(t) = (I + tA)^{-1} A = A(I + tA)^{-1}$$

- ▶ The Boltzmann equation becomes

$$\partial_t g(w, t) - M(t) w \cdot \partial_w g(w, t) = Q(g, g)(w)$$

# The matrix $M(t)$

Equidispersive solutions with the form:

$$f(x, v, t) = g(\underbrace{v - \xi(x, t)}_w, t)$$

$$\xi(x, t) = M(t)x \quad M(t) = \frac{A}{I + tA}$$

Asymptotics of  $M(t) = (I + tA)^{-1} A$ ? Look at all the Jordan canonical forms of  $A$

Physical interpretation of the possible cases:

- ▶ simple shear
- ▶ 3d dilatation (isotropic)
- ▶ 1d dilatation
- ▶ 2d dilatation
- ▶ mixed 1d dilatation and shear
- ▶ mixed 2d dilatation and shear
- ▶ mixed 3d dilatation and shear
- ▶ combined shear in orthogonal directions
- ▶ blow-up cases

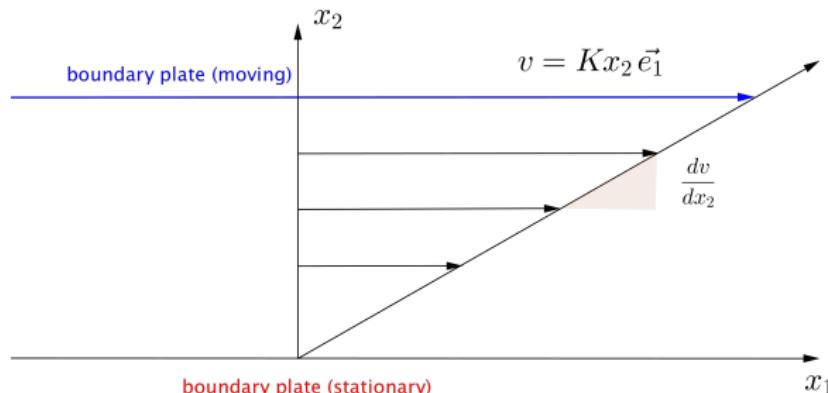
# Paradigmatic cases

► Simple shear:  $A = a \otimes n$   $a \cdot n = 0$   $\text{tr}(A) = 0$

$$\partial_t g - Aw \cdot \partial_w g = Q(g, g)(w) \quad M(t) = A$$

Assume  $a = K e_1$ ,  $n = e_2$

$$\Rightarrow \partial_t g - Kw_2 \partial_{w_1} g = Q(g, g)(w)$$



# Paradigmatic cases

- Mixed 1d dilatation and shear:

$$A = a \otimes n \quad a \cdot n \neq 0$$

$$\Rightarrow \partial_t g + \frac{a \otimes n}{1 + (a \cdot n)t} w \cdot \partial_w g = Q(g, g)(w)$$

Assume  $n = e_1, a = k_1 e_1 + k_2 e_2$

$$\Rightarrow \partial_t g + \frac{k_1}{1 + k_1 t} w_1 \cdot \partial_{w_1} g + \frac{k_2}{1 + k_1 t} w_2 \cdot \partial_{w_1} g = Q(g, g)(w)$$

- Combined shear in orthogonal directions:  $A = N \quad N^2 \neq 0 \quad N^3 = 0$

$$N = \begin{pmatrix} 0 & K_3 & K_2 \\ 0 & 0 & K_1 \\ 0 & 0 & 0 \end{pmatrix} \quad K_1, K_3 \neq 0 \quad M(t) = N - tN^2 \quad [\text{orthogonal system}]$$

$$\Rightarrow \partial_t g - [K_3 w_2 + (K_2 - tK_1 K_3) w_3] \partial_{w_1} g - K_1 w_3 \partial_{w_2} g = Q(g, g)(w)$$

# The homogeneity of the kernel $B(|v - v_*|, \omega)$

Collision operator :  $Q(f, f)(v) = \int_{S^2} \int_{\mathbb{R}^3} B(v - v_*, \omega) \{f(v')f(v'_*) - f(v)f(v_*)\} d\omega dv_*$

- ▶ for inverse power law potential  $\phi(r) = \frac{1}{r^{s-1}}$ ,  $s > 2$  :

$$B(|v - v_*|, \omega) = \underbrace{b(\cos \theta)}_{\text{kinetic cross sec.}} \underbrace{|v - v_*|^\gamma}_{\text{angular cross sec.}}, \quad \gamma = \frac{s-5}{s-1}$$

- $\gamma > 0$  Hard potentials  $(s > 5)$
- $\gamma = 0$  Maxwellian molecules  $(s = 5)$
- $\gamma < 0$  Soft potentials  $(s < 5)$

- ▶ for a gas of hard spheres :  $B(|v - v_*|, \omega) = |(v - v_*) \cdot \omega|$ ,  $K > 0$   $(\gamma = 1)$

# General features

$$\partial_t g - M(t) w \cdot \partial_w g = Q(g, g)(w)$$

- ▶ the density  $\rho(t) = \int g d^3 w = \text{const}$  or  $\rho(t)$  decreases like a power law
- ▶ rescaling for the quadratic collision operator  $\rho(t)[w]^\gamma[g]$
- ▶ rescaling for the hyperbolic term [ if  $M(t) \sim \eta(t)$  ]  $\eta(t)[g]$
- ▶ different behaviours of the ‘hyperbolic term’  $M(t) w \cdot \partial_w g$  as  $t \rightarrow \infty$ 
  - hyperbolic term  $>>$  collision term
  - collision term  $>>$  hyperbolic term
  - same order of magnitude for hyperbolic and collision term

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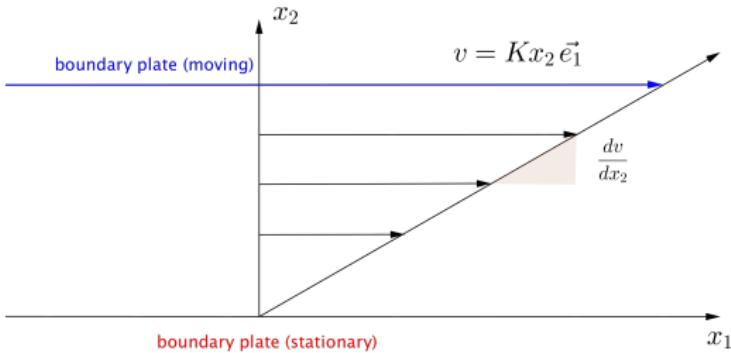
## Paradigmatic examples

# Simple Shear case

$$\partial_t g - Aw \cdot \partial_w g = Q(g, g)(w)$$

$$M(t) = A = \begin{pmatrix} 0 & K & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

$$K \in \mathbb{R}$$



- ▶ critical homogeneity for the kernel \$B\$: \$\gamma = 0\$ (Maxwell molecules)
- ▶ supercritical case: homogeneity for the kernel \$B\$ \$\gamma > 0\$ (hard potentials)
- ▶ subcritical case homogeneity for the kernel \$B\$: \$\gamma < 0\$ (soft potentials)

[Truesdell, Muncaster '80; Cercignani '89; Cercignani '01; Bobylev, Caraffini, Spiga '96]

# Asymptotics: simple shear case

**Goal:** long time asymptotics of homoenergetic solutions ?

## Critical case

$$(\gamma = 0)$$

## Subcritical case

$$(\gamma < 0)$$

## Supercritical case

$$(\gamma > 0)$$

Self-similar solutions

$$|w|^2 \sim e^{bt}, \quad b = b(K)$$

(increasing temperature)

In the critical case  $\gamma = 0$  when  $t \rightarrow \infty$ :

- ▶ the density  $\rho$  is constant
- ▶ the internal energy  $e \sim e^{bt}$

i)  $-1 < \gamma < 0$

?

ii)  $\gamma < -1$

frozen collisions

Hilbert expansion

$$|w|^2 \sim t^{\frac{1}{\gamma}}$$

# Asymptotics: case of mixed 1d dilatation and shear

1d dilatation ( $K_1$ ) and shear ( $K_2$ )

Critical homogeneity:  $\gamma = 0$  (Maxwell molecules)

<u>Critical case</u> $(\gamma = 0)$	<u>Subcritical case</u> $(\gamma < 0)$	<u>Supercritical case</u> $(\gamma > 0)$
Self-similar solutions $ w ^2 \sim t^{\alpha(K_1, K_2)}$ $\alpha < 0$ or $\alpha > 0$	i) $-1 < \gamma < 0$ ? ii) $\gamma < -1$ frozen collisions	Hilbert expansion $ w ^2 \sim t^{\frac{1}{\gamma}}$

In the critical case  $\gamma = 0$  when  $t \rightarrow \infty$ :

- ▶ the density  $\rho \sim \frac{1}{t}$
- ▶ the internal energy  $e \sim \frac{1}{t^\sigma}$

[ the average velocity increases ( $\sigma < 1$ ) if  $K_2^2 > K_1$  and decreases if  $K_2^2 < K_1$  ]

# Asymptotics: case of combined shear in orthogonal directions

Combined shear ( $K_1, K_2, K_3$ )     $K_1 K_3 \neq 0$

Critical homogeneity:  $\gamma = 0$     (Maxwell molecules)

## Critical case

$$(\gamma = 0)$$

Non Maxwellian distr.

$$|w|^2 \sim t^\sigma \exp(at^{\frac{5}{3}})$$

$$a > 0$$

## Subcritical case

$$(\gamma < 0)$$

i)  $-1 < \gamma < 0$

?

ii)  $\gamma < -1$

frozen collisions

## Supercritical case

$$(\gamma > 0)$$

Hilbert expansion

$$|w|^2 \sim t^{\frac{1}{\gamma}}$$

In the critical case  $\gamma = 0$  when  $t \rightarrow \infty$ :

- ▶ the density  $\rho$  is constant
- ▶ the internal energy  $e \sim t^\sigma e^{at^{\frac{5}{3}}}$        $a = \frac{3}{5} (K_1 K_3)^{\frac{2}{3}}$

## Simple Shear Case

# Simple Shear for Maxwell molecules ( $\gamma = 0$ )

**Assumption :**  $B(\omega, |v - v_*|) = B(\cos(\theta))$  s.t.  $\int_{S^2} d\omega B(\omega, |v - v_*|) < \infty$   
(Grad's angular cut-off)

$$\begin{aligned} \partial_t \int_{\mathbb{R}^3} \varphi(w) g(dw, t) &= - \int_{\mathbb{R}^3} \partial_w \cdot (Aw\varphi) g(dw, t) + \\ &+ \frac{1}{2} \int_{\mathbb{R}^3} \int_{\mathbb{R}^3} \int_{S^2} d\omega g(dw, t) g(dw_*, t) B(\omega) [\varphi(w') + \varphi(w'_*) - \varphi(w) - \varphi(w_*)] \end{aligned}$$

Evolution eq. for  $M_{j,k}(t) = \int_{\mathbb{R}^3} w_j w_k g(w, t) dw$  ? Choose  $\varphi = w_j w_k$

$$\partial_t M_{j,k} = -K [\delta_{1,j} M_{2,k} + \delta_{1,k} M_{2,j}] - 6b(M_{j,k} - m\delta_{j,k})$$

$$M_{j,k}(0) = N_{j,k} \quad m = \frac{1}{3} \text{tr}(M_{j,k})$$

$\Leftrightarrow$

$$M_{j,k} = N_{j,k} e^{6b\sigma t}$$

(exponential growth)

$\Rightarrow$  the set of moment equations is closed for Maxwell molecules

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# Self-similar solutions

Look for self-similar solutions with the form  $g(w, t) = e^{-3\beta t} G\left(\frac{w}{e^{\beta t}}\right)$

- The rate of growth of the tensor  $M_{j,k}$  determines  $\beta$

$$N_{j,k} e^{6b\sigma t} = M_{j,k} = e^{-3\beta t} \int G\left(\frac{w}{e^{\beta t}}\right) w_j w_k d^3 w = e^{2\beta t} \int G(\xi) \xi_j \xi_k d^3 \xi$$

$$\beta = 3b\sigma$$

- Evolution eq. for  $M_{j,k}$  for  $\mathbf{K} = \frac{k}{6b}$  and  $t = 6bt$

$$\partial_t M_{j,k} = -\mathbf{K} [\delta_{1,j} M_{2,k} + \delta_{1,k} M_{2,j}] + m \delta_{j,k} - (1 + \sigma) M_{j,k} \quad (\diamond)$$

$$M_{j,k} = e^{\alpha t} N_{j,k} \Rightarrow \alpha N_{j,k} = -\mathbf{K} [\delta_{1,j} N_{2,k} + \delta_{1,k} N_{2,j}] + n \delta_{j,k} - (1 + \sigma) N_{j,k}$$

*[eigenvalue pb.]*

$(\bar{N}_{j,k})$  : eigenvector associated to the zero eigenvalue

# Self-similar solutions

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*[eigenvalue pb.]*

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# Existence of self-similar profiles

$\mathcal{M}_+(\mathbb{R}_c^3)$  : Radon measures in  $\mathbb{R}_c^3$  with the weak- $*$  topology of measures.

## Theorem

For any  $\zeta \geq 0$  and any  $\mathbf{K} \leq \kappa_0$  there exists at least one weak solution  $G \in \mathcal{M}_+(\mathbb{R}_c^3)$  of

$$-\beta \partial_w \cdot (wG) - \partial_{w_1} (K w_2 G) = Q(G, G)(w) \quad (\star)$$

such that

$$\int_{\mathbb{R}^3} G(dw) = 1, \quad \int_{\mathbb{R}^3} w_j G(dw) = 0, \quad \int_{\mathbb{R}^3} w_j w_k G(dw) = \zeta \bar{N}_{j,k} \quad \text{for } j, k \in \{1, 2, 3\}.$$

## Strategy:

- ▶ change of variables to transform the self-similar solution into the steady state of a new system
- ▶ well-posedness for the corresponding evolution problem (evolution semigroup  $T(t)$ )
- ▶  $T(t)$  has an invariant convex set of functions and a fixed point argument  
 $\Rightarrow$  existence of solution for  $(\star)$

# Tools for the evolution problem

## Mild solutions

$$G(w, t) = [S[G](t)] G_0(w) + \int_0^t S[G](t-s) Q^{(+)}(G, G)(w, s) ds$$

where  $[S[G](t)] h_0(w)$  solves, for any  $h_0 \in \mathcal{M}_+(\mathbb{R}_c^3)$  and any  $G \in C([0, \infty] : \mathcal{M}_+(\mathbb{R}_c^3))$ ,

$$\begin{aligned} \partial_t h - \beta \partial_w \cdot (wh) - \partial_{w_1} (K w_2 h) &= -\mathbb{A}[G]h(w) \\ h(\cdot, 0) &= h_0 \end{aligned}$$

with

$$\mathbb{A}[f] f(v) = f \int_{\mathbb{R}^3} dv_* \int_{S^2} d\omega B(\omega) f_* = Q^{(-)}(f, f)(v)$$

## The (nonlinear) evolution semigroup

$T : [0, \infty) \times \mathcal{M}_+(\mathbb{R}_c^3) \rightarrow \mathcal{M}_+(\mathbb{R}_c^3)$  is defined by  $T(t) G_0 = G(\cdot, t)$ ,  $G(\cdot, t)$  mild solution

# Tools for the evolution problem

## Weak solutions

$G \in C([0, \infty]; \mathcal{M}_+(\mathbb{R}_c^3))$  with  $\int G(dw, t) < \infty$  is a weak solution if for any  $\varphi \in C(\mathbb{R}_c^3)$  and for any  $T > 0$

$$\begin{aligned} & \int_{\mathbb{R}_c^3} \varphi(w) G(dw, T) - \int_{\mathbb{R}_c^3} \varphi(w) G_0(dw) \\ &= - \int_0^T dt \int_{\mathbb{R}_c^3} [\beta w \cdot \partial_w \varphi + \partial_{w_1} \cdot (w_2 \varphi)] G(dw, t) \\ &+ \frac{1}{2} \int_0^T dt \int_{\mathbb{R}_c^3} \int_{\mathbb{R}_c^3} \int_{S^2} d\omega G(dw, t) G(dw_*, t) B(\omega) [\varphi(w') + \varphi(w'_*) - \varphi(w) - \varphi(w_*)] \end{aligned}$$

- ▶ the weak formulation implies conservation of mass choosing  $\varphi = 1$

# Well-posedness for the evolution problem

Evolution pb. for the time-dependent self-similar profile  $g(w, t) = e^{-3\beta t} G\left(\frac{w}{e^{\beta t}}, t\right)$  ?

$$\begin{aligned}\partial_t G - \beta \partial_\xi \cdot (\xi G) - \partial_{\xi_1} (K \xi_2 G) &= Q(G, G)(\xi, t) \quad t > 0 \\ G(\cdot, 0) &= G_0\end{aligned}$$

## Proposition

Let  $g_0 \in \mathcal{M}_+(\mathbb{R}_c^3)$  such that  $\int_{\mathbb{R}^3} G_0(dw) = 1$ ,  $\int_{\mathbb{R}^3} |w|^s G_0(dw) < \infty$  for some  $s > 2$ .

Then, there exists a unique mild solution  $G \in C([0, \infty] : \mathcal{M}_+(\mathbb{R}_c^3))$  of

$$\begin{aligned}\partial_t G - \beta \partial_w \cdot (wG) - \partial_{w_1} (K w_2 G) &= Q(G, G)(w, t) \quad t > 0 \\ G(\cdot, 0) &= G_0(\cdot)\end{aligned}$$

[Cercignani '89]

► Invariant set & Schauder fixed point th.  $\Rightarrow$  existence of self-similar sol.

[Gamba, Panferov, Villani '04; Escobedo, Mischler, Rodriguez-Ricard '05; Niethammer, V.'13; Niethammer, V., Throm '16; Kierkels, V.'15]

# Moments bounds

## Proposition

Let be  $s = 4$  and  $\int_{\mathbb{R}^3} |w|^s G_0(dw) < \infty$  and

$$\int_{\mathbb{R}^3} w_j G_0(dw) = 0, \quad \int_{\mathbb{R}^3} w_j w_k G_0(dw) = \zeta \bar{N}_{j,k}, \quad j, k \in \{1, 2, 3\}$$

where  $(\bar{N}_{j,k})$  is the eigenvector associated to the zero eigenvalue and  $\zeta > 0$

$$\Rightarrow \int_{\mathbb{R}^3} w_j T(t) G_0(dw) = 0, \quad \int_{\mathbb{R}^3} w_j w_k T(t)(G_0)(dw) = \zeta \bar{N}_{j,k} \quad \forall t \geq 0.$$

Moreover  $\exists \kappa_0 > 0$  sufficiently small s.t. if  $\mathbf{K} \leq \kappa_0 \exists C_* = C_*(\zeta) > 0$  s.t. if

$$\int_{\mathbb{R}^3} |w|^s G_0(dw) \leq C_* \quad \Rightarrow \quad \int_{\mathbb{R}^3} |w|^s T(t)(G_0)(dw) \leq C_* \quad \forall t \geq 0.$$

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# Tools for controlling the moments

- ① Choosing  $\varphi(w) = w_j$ ,  $\varphi(w) = w_k w_j$  in the weak formulation  
 $\Rightarrow$  conservation of the pressure tensor  $M_{j,k}$   
 $[ M_{j,k}(t) = M_{j,k}(0) = \bar{N}_{j,k} \text{ for any } t \geq 0 ]$
- ② To prove the invariance of the set  $\left\{ \int_{\mathbb{R}^3} |w|^s G(dw) \leq C_* \right\}$   
choose  $\varphi(w) = |w|^s$  (for  $s = 4$ ) in the weak formulation &

Pozvner estimates (for  $s = 4$ )

$$|w'|^4 + |w'_*|^4 - |w|^4 - |w_*|^4 \leq -\kappa(\theta) |w|^4 + C \left[ |w|^3 |w_*| + |w_*|^3 |w| \right]$$

$$\text{with } \kappa(\theta) > 0 \text{ for } 0 \leq \theta \leq \frac{\pi}{2}$$

Geometrical interpretation: Boltz. coll. dyn. for particles with large velocities



$$M_4(t) \leq C_* \quad [ \text{the fourth moment is globally bounded!} ]$$

# Simple shear for hard potentials ( $\gamma > 0$ )

$$\partial_t g - K w_2 \partial_{w_1} g = Q(g, g)(w) \quad B(|v - v_*|, \omega) = b(\cos \theta) |v - v_*|^\gamma \quad \gamma > 0$$

Long time asymptotics?  $g(w, t) \simeq C_0 (\beta(t))^{\frac{3}{2}} \exp(-\beta(t) |w|^2)$ ,  $\beta(t) \rightarrow 0$   
 [Maxwellian distribution with increasing temperature]

► Hilbert expansion:

$$g(w, t) \sim C_0 (\beta(t))^{\frac{3}{2}} \exp(-\beta(t) |w|^2) [1 + h_1(w, t) + h_2(w, t) \dots]$$

$$\int |w|^2 g(dw, t) = C_0 (\beta(t))^{\frac{3}{2}} \int \exp(-\beta(t) |w|^2) |w|^2 dw \quad [\text{definition of } \beta(t)]$$

$$\int \exp(-\beta(t) |w|^2) h_k(w, t) dw = 0 \quad , \quad k = 1, 2, 3, \dots \quad [\text{normalization}]$$

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►  $\beta_t = -a \beta^{\frac{\gamma}{2}+1}$  (Green-Kubo formula)  $\Rightarrow \beta(t) \sim \frac{C}{t^{\frac{2}{\gamma}}}$  as  $t \rightarrow \infty$

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# Some comments on the entropy

For self-similar solutions  $g(w, t) = \frac{1}{a(t)} G\left(\frac{w}{\lambda(t)}\right)$ :

- ▶  $\rho = \frac{\lambda^3}{a} \int G(\xi) d^3\xi$
- ▶  $e = \frac{\lambda^2}{2} \frac{\int |\xi|^2 G d^3\xi}{\int G(\xi) d^3\xi}$
- ▶  $H = -\log\left(\frac{e^{\frac{3}{2}}}{\rho}\right) + H_G \quad \text{with}$

$$H_G = \frac{\int G \log(G) d^3\xi}{\int G(\xi) d^3\xi} + \log \left[ \left(\frac{1}{2}\right)^{\frac{3}{2}} \frac{\left(\int |\xi|^2 G d^3\xi\right)^{\frac{3}{2}}}{\left(\int G(\xi) d^3\xi\right)^{\frac{5}{2}}} \right]$$

$H_G$  is different from the constant for the Maxwellian  $H_M$  !

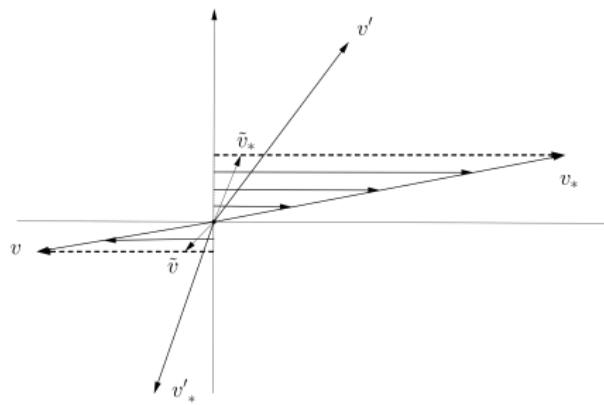
## Some comments on the entropy

In nonselfsimilar solutions the formula of the entropy might differ much from the one for equilibrium distributions.

- ▶ Example: 2d dilatation  $\rho \sim \frac{\rho_0}{t^2}$  ,  $e \sim \frac{\rho_0}{t^2}$  as  $t \rightarrow \infty$
- ▶ However  $H \rightarrow \text{const}$  as  $t \rightarrow \infty$
- ▶ Then  $H$  is very different from  $-\log\left(\frac{e^{\frac{3}{2}}}{\rho}\right) + H_0$
- ▶ The reason for this is that the geometry of the particle distribution is extremely nonisotropic.

# Problems dominated by hyperbolic terms with non-negligible collision effects

Shear combined with collision yields a huge increase of average velocity.



# Problems dominated by hyperbolic terms with non-negligible collision effects

- ▶ In some cases (for instance 2d dilatation with  $\gamma < -2$ ), there are regimes in which the collisions are frozen.
- ▶ Simplified shear model

$$f = f(t, \rho, \zeta)$$

$$\partial_t f + \partial_\zeta f = -\varepsilon(t) f, \quad \zeta > 1, \quad \rho > 0, \quad t > 0$$

$$f(t, \rho, 1) = \varepsilon(t) \int_1^\infty f\left(t, \frac{\rho}{\zeta}, 1\right) \frac{d\zeta}{\zeta}$$

$$f(0, \rho, 1) = f_0(\rho) \delta(\zeta - 1)$$

$$\varepsilon(t) = \int_0^\infty d\rho \int_1^\infty d\zeta \frac{f(t, \zeta, \rho)}{\rho^\alpha \zeta^\alpha}, \quad 0 < \alpha < 1$$

- ▶ Asymptotics  $\varepsilon \sim \frac{1-\alpha}{t^2}$  as  $t \rightarrow \infty$

# Concluding Remarks

- ▶ Homoenergetic flows provide an interesting tool to study the properties of Boltzmann gases in the presence of strong shears, dilatations or compressions
- ▶ The long time asymptotics of the solutions depends on the balance between the "deformation terms' (hyperbolic)' and the homogeneity of the collision terms.
- ▶ Some of the solutions describing the long time asymptotics are non-Maxwellian self-similar solutions. In other cases can be approximated by Maxwellians with changing temperature and/or density.
- ▶ There are many interesting open questions in cases in which the hyperbolic terms are asymptotically much larger than the collisions, but these ones play a crucial role in the dynamics.

Thank you for your attention !!!

# Conjectures

## Simple shear ( $K$ )

Critical homogeneity:  $\gamma = 0$  (Maxwellian molecules)

<u>Asymptotics</u> ( $\gamma = 0$ )	<u>Asymptotics</u> ( $\gamma < 0$ )	<u>Asymptotics</u> ( $\gamma > 0$ )	$\rho$	$e$
Self-similar sol. $ w ^2 \sim e^{bt}$ , $b = b(K)$	i) $-1 < \gamma < 0$ ????? ii) $\gamma < -1$ frozen collisions	Hilbert expansion $ w ^2 \sim t^{\frac{1}{\gamma}}$	const.	$e^{b t}$

## Pure 3d dilatation (isotropic and anisotropic)

Critical homogeneity:  $\gamma = -2$  (very soft potential)

<u>Asymptotics</u> ( $\gamma = -2$ )	<u>Asymptotics</u> ( $\gamma < -2$ )	<u>Asymptotics</u> ( $\gamma > -2$ )	$\rho$	$e$
Maxwellian distr. $(t \rightarrow \infty)$	Maxwellian distr. $(t \rightarrow \infty)$	Frozen collisions	$\frac{1}{t^3}$	$\frac{1}{t^2}$

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self-similar sol. $ w ^2 \sim t^{\alpha(a)}$ $\alpha > 0$ (large $a$ ) $\alpha < 0$ (small $a$ )	i) $-1 < \gamma < 0$ ????? ii) $\gamma < -1$ frozen collisions	Hilbert expansion	$\frac{1}{t}$	$\frac{1}{t^\sigma}$

## 2d dilatation or cylindrical dilatation ( $a_1, a_2$ )

Critical homogeneity:  $\gamma = \gamma_{crit}$   $\gamma_{crit}$  eigenvalue  $(\gamma_{crit} = \infty ?)$

<u>Asymptotics</u> ( $\gamma = 0$ )	<u>Asymptotics</u> ( $\gamma < 0$ )	<u>Asymptotics</u> ( $\gamma > 0$ )	$\rho$	$e$
Frozen collisions	i) $-1 < \gamma < 0$ ????? ii) $\gamma < -1$ frozen collisions	Hilbert expansion	$\frac{1}{t^2}$	$\frac{1}{t^4}$

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## Mixed 1d dilatation ( $K_1$ ) and shear ( $K_2$ )

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# The matrix $M(t)$

$A = D + N$      $D \geq 0$  diagonal,  $N$  nilpotent                          (Jordan canonical form)

$$M(t) = \frac{D}{(1+Dt)} + \frac{N}{(1+Dt)^2} - \frac{tN^2}{(1+Dt)^3}$$

Long time asymptotics of  $M(t)$ ?

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- $D \neq 0$     &    the zero eigenvalue has degeneracy two    ( $\Rightarrow N$  disappears)

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- $$\blacktriangleright D \neq 0 \quad \& \quad A = \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & \lambda_3 \end{pmatrix}, \quad \lambda_3 \neq 0$$

$$\Rightarrow M(t) = \frac{D}{(1+Dt)} + \frac{N}{(1+Dt)^2} = \frac{D}{(1+Dt)} + N = \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & \frac{\lambda_3}{1+\lambda_3 t} \end{pmatrix}$$

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- $N^2 = 0, N \neq 0$

$$\Rightarrow A = N = \begin{pmatrix} 0 & K & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \quad K \neq 0 \quad \text{and} \quad M(t) = N = A$$

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$$\rightsquigarrow \begin{pmatrix} v_1 \\ v_2 \\ v_3 \end{pmatrix} = M(t)x = \begin{pmatrix} K_3 x_2 \\ 0 \\ 0 \end{pmatrix} + \begin{pmatrix} 0 \\ K_1 x_3 \\ 0 \end{pmatrix} + \begin{pmatrix} (K_2 - tK_1 K_3) x_3 \\ 0 \\ 0 \end{pmatrix}$$

(velocity as a combination of three simple shears!)

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$$\xrightarrow{\sim} \begin{pmatrix} v_1 \\ v_2 \\ v_3 \end{pmatrix} = M(t)x = \begin{pmatrix} K_3 x_2 \\ 0 \\ 0 \end{pmatrix} + \begin{pmatrix} 0 \\ K_1 x_3 \\ 0 \end{pmatrix} + \begin{pmatrix} (K_2 - tK_1 K_3) x_3 \\ 0 \\ 0 \end{pmatrix}$$

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