### Ruling out FPT algorithms for WEIGHTED COLORING on forests

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For a positive integer r, we define

 $\sigma(G, w; r) = \min\{w(c) \mid c \text{ is a proper } r \text{-coloring of } G\}.$ 











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The problem is NP-hard even on:

• split graphs, interval graphs, bipartite graphs, and triangle-free planar graphs with bounded degree.

On the other hand, it is polynomial on

cographs and some subclasses of bipartite graphs.

[de Werra, Demange, Monnot, Paschos. 2002] [Escoffier, Monnot, Paschos. 2006] [de Werra, Demange, Escoffier, Monnot, Paschos. 2009]

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Some partial results:

- PTAS on bounded treewidth graphs. [Escoffier, Monnot, Paschos. 2006]
- Polynomial on the class of trees where vertices with degree at least three induce a stable set.
   [Kavitha, Mestre. 2012]

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#### Theorem (Araújo, Nisse, Pérennes. 2014),

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- WEIGHTED COLORING on forests is unlikely to be in P, as this would contradict the ETH.
- Also unlikely to be NP-hard, as all problems in NP could be solved in subexponential time, contradicting again the ETH.

#### Can we relax the complexity hypothesis?

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Indeed, it is well-known that

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A parameterized problem is fixed-parameter tractable (FPT) if there exists an algorithm  $\mathcal{A}$ , a computable function f, and a constant c such that given an instance I = (x, k),  $\mathcal{A}$  solves the problem in time bounded by  $f(k) \cdot |I|^c$ .

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Parameterized reduction: given an input I = (x, k) of the source problem, computes in time  $f(k) \cdot |I|^c$ , an equivalent instance I' = (x', k') of the target problem, such that  $k' \leq g(k)$  for some function g.

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The theory of parameterized complexity is built based on  $FPT \neq W[1]$ .

W[1]-hardness: strong evidence of not being FPT. W[2]-hardness: even more!









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Given a weighted tree (G, w) and an integer r, computing  $\sigma(G, w; r)$  is W[2]-hard parameterized by r.

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Recall: on forests,  $\sigma(G, w; r)$  can be computed in time  $n^{O(r)}$ .

#### Corollary (Araújo, Baste, S.)

Assuming ETH, there is no algorithm that, given a weighted tree (G, w) and a positive integer r, computes  $\sigma(G, w; r)$  in time  $f(r) \cdot n^{o(r)}$  for any computable function f.









### General framework

Our reductions are inspired by the one of [Araújo, Nisse, Pérennes. 2014]

We present two parameterized reductions:

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  - There exists a solution of INDEPENDENT SET on  $(G, k) \iff \sigma(G', w) \le M$ , for some appropriately chosen real number M < 2.
  - The size of any connected component of G' is at most  $13 \cdot 2^{4k} + 12$ .

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- ② Instance (G, k) of DOMINATING SET → Instance (G', w) of WEIGHTED COLORING.
  - There exists a solution of DOMINATING SET on  $(G, k) \iff \sigma(G', w; r) \le M$ , with r = 4k + 4.

For  $i \in [0, 4k + 3]$  and  $j \in [0, n]$ , let  $w_i^j = \frac{1}{2^i} + j\varepsilon$ , for some  $\varepsilon > 0$ . Index *i*: colors in *G'*. Index *j*: vertices of the input graph *G*.

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Binomial trees **Role**: force most of the colors of the vertices of the forest.

For each  $i \in [0, 4k + 3]$ , we define recursively the weighted rooted tree  $B_i$ :



• if i = 0, then  $B_0$  has a unique node of weight  $w_0^0$ ,

• otherwise,  $B_i$  has a root r of weight  $w_i^0$  and, for each  $j \in [0, i - 1]$ , we introduce a copy of  $B_j$  and we connect its root to  $r_{z}$ ,  $z \in [z - 2]$ 

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#### $\operatorname{AND}$ gadget

Let  $i \in [0, 1]$ . Given two vertices  $l_1$ ,  $l_2$ , we define the  $R_i$ -AND gadget between the input vertices  $l_1$  and  $l_2$ , to be "this" graph:



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If both  $I_1$  and  $I_2$  are colored  $R_i$ , then O must be colored  $R_i$ . If either  $I_1$  or  $I_2$  is not colored  $R_i$ , then O can be colored either  $R_0$  or  $R_1 \to \infty \cap O$ 

#### Vertex tree

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**Idea**: root u gets color  $R_0$  ( $R_1$ )  $\Rightarrow$  vertex v is (not) in the solution. (It can be proved that the choices need to be consistent for each vertex.)

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Forest (G', w): disjoint union of these trees  $H_{\{v_1, v_2\}, i_1, i_2}$ , for  $\{v_1, v_2\} \in E(G)$  and  $i_1, i_2 \in [0, k-1]$ . (with some other technical stuff)

There exists a solution of INDEPENDENT SET on  $(G, k) \Leftrightarrow \sigma(G', w) \leq M_{q, Q}$ 

18/19

# Gràcies!



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