Computational determination of the largest lattice polytope diameter



Antoine Deza, Paris Sud based on joint works with: Nathan Chadder, McMaster George Manoussakis, Paris Sud Lionel Pournin, Paris XIII Shmuel Onn, Technion

lattice (d,k)-polytope : convex hull of points drawn from {0,1,...,k}^d

diameter $\delta(P)$ of polytope P: smallest number such that any two vertices of P can be connected by a path with at most $\delta(P)$ edges

 $\delta(d, \mathbf{k})$: largest diameter over all **lattice** (d, \mathbf{k}) -polytopes

ex. $\delta(3,3) = 6$ and is achieved by a *truncated cube*



lattice (d,k)-polytope : convex hull of points drawn from {0,1,...,k}^d

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- > $\delta(P)$: lower bound for the worst case number of iterations required by *pivoting methods* (simplex) to optimize a linear function over P
- → Hirsch conjecture : $\delta(P) \le n d$ was disproved [Santos 2012]
- (*n* number of inequalities)

 $\delta(d, \mathbf{k})$: largest **diameter** of a convex hull of points drawn from $\{0, 1, ..., \mathbf{k}\}^d$ upper bounds :

> $\delta(\boldsymbol{d},1) \leq \boldsymbol{d}$ [Naddef 1989] $\delta(2, \mathbf{k}) = O(\mathbf{k}^{2/3})$ [Balog-Bárány 1991] $\delta(2, \mathbf{k}) = 6(\mathbf{k}/2\pi)^{2/3} + O(\mathbf{k}^{1/3} \log \mathbf{k})$ [Thiele 1991] [Acketa-Žunić 1995] $\delta(d, \mathbf{k}) \leq \mathbf{k}d$ [Kleinschmid-Onn 1992] $\delta(d, \mathbf{k}) \leq \mathbf{k}d - \lceil d/2 \rceil$ for $k \ge 2$ [Del Pia-Michini 2016] $\delta(d, \mathbf{k}) \leq \mathbf{k}d - [2d/3] - (\mathbf{k} - 3)$ for $\mathbf{k} \geq 3$ [Deza-Pournin 2017]

 $\delta(d, \mathbf{k})$: largest **diameter** of a convex hull of points drawn from $\{0, 1, ..., \mathbf{k}\}^d$ lower bounds :

> δ(d,1) ≥ d[Naddef 1989] δ(d,2) ≥ [3d/2][Del Pia-Michini 2016] $δ(d,k) = Ω(k^{2/3} d)$ [Del Pia-Michini 2016] δ(d,k) ≥ [(k+1)d/2] for k < 2d[Deza-Manoussakis-Onn 2017]



 $\delta(\boldsymbol{d},1) = \boldsymbol{d}$

[Naddef 1989]



 $\delta(d,1) = d$ $\delta(2,k)$: close form [Naddef 1989] [Thiele 1991] [Acketa-Žunić 1995]



 $\delta(\boldsymbol{d},1) = \boldsymbol{d}$ $\delta(2,\boldsymbol{k}) : \text{close form}$ $\delta(\boldsymbol{d},2) = \lfloor 3\boldsymbol{d}/2 \rfloor$ [Naddef 1989] [Thiele 1991] [Acketa-Žunić 1995] [Del Pia-Michini 2016]

δ(d , k)		k										
		1	2	3	4	5	6	7	8	9		
d	2	2	3	4	4	5	6	6	7	8		
	3	3	4	6	7	9						
	4	4	6	8								
	5	5	7									

 $\delta(d,1) = d$ $\delta(2,k)$: close form $\delta(d,2) = \lfloor 3d/2 \rfloor$ $\delta(4,3) = 8$ $\delta(3,4) = 7, \delta(3,5) = 9$ [Naddef 1989] [Thiele 1991] [Acketa-Žunić 1995] [Del Pia-Michini 2016] [Deza-Pournin 2017] [Chadder-Deza 2017]

δ(d , k)		k										
		1	2	3	4	5	6	7	8	9		
d	2	2	3	4	4	5	6	6	7	8		
	3	3	4	6	7	9	10+	11+	12+	13+		
	4	4	6	8	10+	12+	14+	16+	17+	18+		
	5	5	7	10+	12+	15+	17+	20+	22+	25+		

➤ Conjecture [Deza-Manoussakis-Onn 2017] $\delta(d, k) \leq |(k+1)d/2|$

and $\delta(\mathbf{d}, \mathbf{k})$ is achieved, up to translation, by a *Minkowski sum of primitive lattice vectors*. The conjecture holds for all known entries of $\delta(\mathbf{d}, \mathbf{k})$

Q. What is $\delta(2, \mathbf{k})$: largest diameter of a polygon which vertices are drawn form the $\mathbf{k} \propto \mathbf{k}$ grid?

A polygon can be associated to a set of vectors (*edges*) summing up to zero, and without a pair of positively multiple vectors



 $\delta(2,3) = 4$ is achieved by the 8 vectors : (±1,0), (0,±1), (±1,±1)



 $\delta(2,2) = 2$; vectors : (±1,0), (0,±1)



 $||x||_{1} \leq 1$

 $\delta(2,2) = 2$; vectors : (±1,0), (0,±1)



 $||x||_{1} \leq 2$



 $\delta(2,9) = 8$; vectors : (±1,0), (0,±1), (±1,±1), (±1,±2), (±2,±1)



$$\delta(2, \mathbf{k}) = 2 \sum_{i=1}^{p} \varphi(i) \text{ for } \mathbf{k} = \sum_{i=1}^{p} i \varphi(i)$$

 $\varphi(p)$: *Euler totient function* counting positive integers less or equal to *p* relatively prime with *p* $\varphi(1) = \varphi(2) = 1$, $\varphi(3) = \varphi(4) = 2$,...

Lattice polygons



$$\delta(2,\mathbf{k}) = 2\sum_{i=1}^{p} \varphi(i) \text{ for } \mathbf{k} = \sum_{i=1}^{p} i\varphi(i)$$

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Primitive polygons



 $||x||_1 \leq p$

 $H_1(2,p)$: Minkowski sum generated by $\{x \in \mathbb{Z}^2 : ||x||_1 \le p, \gcd(x)=1, x \ge 0\}$ $H_1(2,p)$ has diameter $\delta(2,k) = 2\sum_{i=1}^p \varphi(i)$ for $k = \sum_{i=1}^p i\varphi(i)$

Ex. *H*₁(2,2) generated by (1,0), (0,1), (1,1), (1,-1) (fits, *up to translation*, in 3x3 grid)

 $x \ge 0$: first nonzero coordinate of x is nonnegative

(generalization of the permutahedron of type B_d)

 $H_q(d, p)$: Minkowski ($x \in \mathbb{Z}^d$: $||x||_q \le p$, gcd(x)=1, $x \ge 0$)

 $Z_q(d, p)$: Zonotope ($x \in \mathbb{Z}^d$: $||x||_q \leq p$, gcd(x)=1, $x \geq 0$)

 $x \ge 0$: first nonzero coordinate of x is nonnegative

Given a set *G* of *m* vectors (generators)

Minkowski (G) : convex hull of the 2^m sums of the *m* vectors in G Zonotope (G) : convex hull of the 2^m signed sums of the *m* vectors in G

up to translation Z(G) is the image of H(G) by an homothety of factor 2

Primitive zonotopes: zonotopes generated by short integer vectors which are pairwise linearly independent

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 $x \ge 0$: first nonzero coordinate of x is nonnegative

> $H_q(\mathbf{d}, 1)$: [0, 1]^d cube for $\mathbf{q} \neq \infty$

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 \succ $Z_1(d,2)$: permutahedron of type B_d



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> $H_1(3,2)$: truncated cuboctahedron (great rhombicuboctahedron)



(generalization of the permutahedron of type B_d)

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> $H_{\infty}(3,1)$: truncated small rhombicuboctahedron



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 $Z_q(d, p)$: Zonotope ($x \in \mathbb{Z}^d$: $||x||_q \leq p$, gcd(x)=1, $x \geq 0$)

 $x \ge 0$: first nonzero coordinate of x is nonnegative H^+ / Z^+ : **positive** primitive lattice polytope $x \in \mathbb{Z}^{d_+}$

> $H_1(d,2)^+$: Minkowski sum of the permutahedron with the $\{0,1\}^d$, i.e., graphical zonotope obtained by the *d*-clique with a loop at each node

graphical zonotope Z_G : Minkowski sum of segments $[e_i, e_j]$ for all *edges* {*i*,*j*} of a given graph *G*

(generalization of the permutahedron of type B_d)

 $H_q(d, p)$: Minkowski ($x \in \mathbb{Z}^d$: $||x||_q \le p$, gcd(x)=1, $x \ge 0$)

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For k < 2d, Minkowski sum of a subset of the generators of H₁(d,2 is, up to translation, a lattice (d,k)-polytope with diameter | (k+1)d/2

δ(d , k)		k										
		1	2	3	4	5	6	7	8	9		
d	2	2	3	4	4	5	6	6	7	8		
	3	3	4	6	7	9	10+	11+	12+	13+		
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	5	5	7	10+	12+	15+	17+	20+	22+	25+		

➤ Conjecture [Deza-Manoussakis-Onn 2017] $\delta(d, k) \leq |(k+1)d/2|$

and $\delta(\mathbf{d}, \mathbf{k})$ is achieved, up to translation, by a *Minkowski sum of primitive lattice vectors*. The conjecture holds for all known entries of $\delta(\mathbf{d}, \mathbf{k})$

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and $\delta(d,k)$ is achieved, up to translation, by a *Minkowski sum of primitive lattice vectors*. The conjecture holds for all known entries of $\delta(d,k)$

Computational determination of $\delta(d, \mathbf{k})$

Given a lattice (d, k)-polytope P, two vertices u and v such that $\delta(P) = d(u, v)$, then $d(u, v) \le \delta(d-1, k) + k$ and $d(u, v) \le \delta(d-1, k) + k$ unless:

- \succ $u+v = (\mathbf{k}, \mathbf{k}, \dots, \mathbf{k}),$
- > any edge of **P** with u or v as vertex is $\{-1,0,1\}$ -valued,
- > any intersection of **P** with a facet of the cube $[0, \mathbf{k}]^d$ is a $(\mathbf{d}-1)$ -dimensional face of **P** of diameter $\delta(\mathbf{d}-1, \mathbf{k})$.

These conditions, combined with combinatorial properties, drastically reduce the search space for a lattice (d, k)-polytope **P** such that $\delta(P) = \delta(d-1, k) + k$

Computationally ruling out $\delta(d, \mathbf{k}) = \delta(d-1, \mathbf{k}) + \mathbf{k}$ and using $\delta(d, \mathbf{k}) \leq \lfloor (\mathbf{k}+1)d/2 \rfloor$ for $\mathbf{k} < 2d$ yields : $\delta(3,4) = 7$ and $\delta(3,5) = 9$

i.e. : δ (great rhombicuboctahedron) = δ (3,5)

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A034997 Number of Generalized Retarded Functions in Quantum Field Theory.

2, 6, 32, 370, 11292, 1066044, 347326352, 419172756930 (<u>list; graph; refs; listen; history; text; internal format</u>) OFFSET 1,1

- COMMENTS
 - OMMENTS a(d) is the number of parts into which d-dimensional space (x_1,...,x_d) is split by a set of (2^d - 1) hyperplanes c_1 x_1 + c_2 x_2 + ...+ c_d x_d =0 where c_j are 0 or +1 and we exclude the case with all c=0.
 - Also, a(d) is the number of independent real-time Green functions of Quantum Field Theory produced when analytically continuing from euclidean time/energy (d+1 = number of energy/time variables). These are also known as Generalized Retarded Functions.
 - The numbers up to d=6 were first produced by T. S. Evans using a Pascal program, strictly as upper bounds only. M. van Eijck wrote a C program using a direct enumeration of hyperplanes which confirmed these and produced the value for d=7. Kamiya et al. showed how to find these numbers and some associated polynomials using more sophisticated methods, giving results up to d=7. T. S. Evans added the last number on Aug 01 2011 using an updated version of van Eijck's program, which took 7 days on a standard desktop computer.
- REFERENCES

Björner, Anders. "Positive Sum Systems", in Bruno Benedetti, Emanuele Delucchi, and Luca Moci, editors, Combinatorial Methods in Topology and Number of Generalized Retarded Functions in Quantum Field Theory.

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Table of n, a(n) for n=1..8.

L. J. Billera, J. T. Moore, C. D. Moraites, Y. Wang and K. Williams, <u>Maximal</u> <u>unbalanced families</u>, arXiv preprint arXiv:1209.2309, 2012. – From <u>N. J. A.</u> Sloane, Dec 26 2012

Computational determination of the number of vertices of primitive zonotopes

Sloane OEI sequences

 $H_{\infty}(d,1)^+$ vertices : A034997 = number of generalized retarded functions in quantum Field theory (determined till d = 8)

 $H_{\infty}(d,1)$ vertices : A009997 = number of regions of hyperplane arrangements with $\{-1,0,1\}$ -valued normals in dimension **d** (determined till **d** =7)

Estimating the number of vertices of $H_{\infty}(d,1)^+$ [Odlyzko 1988], [Zuev 1992], [Kovijanić-Vukićević 2007]

 $d^2 (1-o(1)) \le \log_2 | H_{\infty}(d,1)^+ | \le d^2$

Lattice polytopes with large diameter and many vertices

 $\delta(d, k)$: largest diameter over all lattice (d, k)-polytopes

➤ Conjecture : $\delta(d, k) \leq \lfloor (k+1)d/2 \rfloor$ and $\delta(d, k)$ is achieved, up to translation, by a Minkowski sum of primitive lattice vectors (holds for all known $\delta(d, k)$)

 $\Rightarrow \delta(d, \mathbf{k}) = \lfloor (\mathbf{k}+1)d/2 \rfloor \text{ for } \mathbf{k} < 2d$

- > determination of $\delta(3, \mathbf{k})$ and of $\delta(\mathbf{d}, 3)$? $(\delta(\mathbf{d}, 3) = 2\mathbf{d}$?)
- Convex matroid optimization [Melamed-Onn 2012, Deza-Manoussakis-Onn 2016]
- Answer to [Colbourn-Kocay-Stinson 1986] question:
 Deciding if a given integer sequence is the degree sequence of a 3-hypergraph is NP-complete [Deza-Levin-Meesum-Onn 2017]

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The optimal solution of max { $f(Wx) : x \in S$ } is attained at a vertex of the projection integer polytope in \mathbf{R}^d : conv(WS) = Wconv(S)

S : set of feasible point in \mathbb{Z}^n (in the talk $\mathbb{S} \in \{0,1\}^n$) W : integer $d \ge n$ matrix (W is mostly {0,1,..., p}-valued)

f: convex function from \mathbf{R}^d to \mathbf{R}

Q. What is the maximum number $\mathbf{v}(d, \mathbf{n})$ of vertices of conv(WS) when $S \in \{0,1\}^n$ and W is a $\{0,1\}$ -valued d x n matrix ?

obviously $v(d,n) \le |WS| = O(n^d)$ in particular $v(2, \mathbf{n}) = O(\mathbf{n}^2)$, and $v(2, \mathbf{n}) = \Omega(\mathbf{n}^{0.5})$

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[Melamed-Onn 2014] Given matroid **S** of order *n* and {0,1,...,*p*}-valued $d \ge n$ matrix W, the maximum number m(d, p) of vertices of conv(WS) is independent of *n* and S

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Ex: maximum number m(2,1) of vertices of a planar projection conv(WS) of matroid S by a binary matrix W is attained by the following matrix and uniform matroid of rank 3 and order 8:

$$W = \begin{pmatrix} 0 & 0 & 1 & 1 & 0 & 0 & 1 & 1 \\ 0 & 0 & 0 & 0 & 1 & 1 & 1 & 1 \end{pmatrix}$$

$$S = U(3,8) = \begin{pmatrix} 1 & 1 & 1 & 0 & 0 & 0 & 0 & 0 \\ \vdots & \vdots \\ 0 & 0 & 0 & 0 & 1 & 1 & 1 \end{pmatrix}$$

$$0 \quad 1 \quad 2 \quad 3$$

conv(WS)

[Melamed-Onn 2014] Given matroid **S** of order *n* and $\{0,1,\ldots,p\}$ -valued $d \ge n$ matrix **W**, the maximum number m(d,p) of vertices of conv(**WS**) is independent of *n* and **S**

[Deza-Manoussakis-Onn 2016] Given matroid **S** of order *n*, {0,1,...,*p*}-valued *d* x *n* matrix **W**, maximum number m(d,p) of vertices of conv(**WS**) is equal to the number of vertices of $H_{\infty}(d,p)$

 $\mathbf{m}(\boldsymbol{d},\boldsymbol{p}) = | H_{\infty}(\boldsymbol{d},\boldsymbol{p}) |$

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$$\mathbf{m}(\boldsymbol{d},\boldsymbol{p}) = | H_{\infty}(\boldsymbol{d},\boldsymbol{p}) |$$

[Melamed-Onn 2014]

$$d 2^{d} \le m(d, 1) \le 2 \sum_{i=0}^{d-1} \binom{(3^{d}-3)/2}{i}$$

m(2,1) = 824 ≤ $m(3,1) \le 158$ 64 ≤ $m(4,1) \le 19840$ [Deza-Manoussakis-Onn 2016] $d! \ 2^{d} \le m(d,1) \le 2 \sum_{i=0}^{d-1} {\binom{3^{d}-3}{2}} - f(d)$ m(3,1) = 96 m(4,1) = 5376 $m(2,p) = 8 \sum_{i=1}^{p} \varphi(i)$

Primitive Zonotopes (complexity questions)

For *fixed* p and q, linear optimization over $Z_q(d, p)$ is polynomial-time solvable, even in *variable* dimension d (polynomial number of generators)

- ⇒ for *fixed* positive *integers p* and *q*, the following problems are polynomial time solvable:
- > extremality: given $x \in \mathbb{Z}^d$, decide if x is a vertex of $Z_q(d,p)$
- > adjacency: given $x_1, x_2 \in \mathbb{Z}^d$, decide if $[x_1, x_2]$ is an edge of $Z_q(d, p)$
- ➤ separation: given rational y ∈ R^d, either assert y ∈ Z_q(d,p), or find $h ∈ Z^d \text{ separating y from } Z_q(d,p) \text{ i.e., satisfying } h^Ty > h^Tx \text{ for all } x ∈ Z_q(d,p)$

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- Separation: given rational y ∈ R^d, either assert y ∈ Z_q(d,p), or find h ∈ Z^d separating y from Z_q(d,p) i.e., satisfying h^Ty > h^Tx for all x ∈ Z_q(d,p)
- **Q.** existence of a *direct* algorithm for fixed *p* and *q* existence of an algorithms for fixed *p* and *q* = ∞ existence of *hole* : $x \in H_q(d,p) + \cap \mathbb{Z}^d$ which can not be written as a sum of a subset of generators of $H_q(d,p)$ +

Primitive Zonotopes (complexity questions)

 D_d : convex hull of the degree sequences of all hypergraphs on d nodes $D_d = H_{\infty}(d, 1)+$

D_d(k): convex hull of the degree sequences of all k-uniform hypergraphs on d nodes

Q: check whether $x \in D_d(k) \cap \mathbb{Z}^d$ is the degree sequence of a *k*-uniform hypergraph. Necessary condition: sum of the coordinates of *x* is multiple of *k*.

[Erdős-Gallai 1960]: for *k* = 2 (graphs) necessary condition is sufficient

[Liu 2013] exhibited counterexamples (holes) for $\mathbf{k} = 3$ (Klivans-Reiner **Q**.)

- Do H_q(d,p)+ have hole: x ∈ H_q(d,p)+ ∩ Z^d which can not be written as a sum of a subset of generators of H_q(d,p)+
- complexity of deciding whether x is a hole?

Lattice polytopes with large diameter and many vertices

 $\delta(d, k)$: largest diameter over all lattice (d, k)-polytopes

➤ Conjecture : $\delta(d, k) \leq \lfloor (k+1)d/2 \rfloor$ and $\delta(d, k)$ is achieved, up to translation, by a Minkowski sum of primitive lattice vectors (holds for all known $\delta(d, k)$)

 $\Rightarrow \delta(d, \mathbf{k}) = \lfloor (\mathbf{k} + 1) d/2 \rfloor \text{ for } \mathbf{k} < 2d$

- $\succ \mathbf{m}(\boldsymbol{d},\boldsymbol{p}) = | H_{\infty}(\boldsymbol{d},\boldsymbol{p}) |$
- > determination of $\delta(3, \mathbf{k})$ and of $\delta(\mathbf{d}, 3)$? $(\delta(\mathbf{d}, 3) = 2\mathbf{d}$?)
- > complexity issues, e.g. decide whether a given point is a vertex of $Z_{\infty}(d, 1)$
- ➢ existence of hole : x ∈ H_q(d,p)+ ∩ Z^d which can not be written as a sum of a subset of generators of H_q(d,p)+