Counting Signatures of Monic Polynomials

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following a work of Norbert A'Campo

Contents

- Signatures of Monic Polynomials
- Counting Signatures
- Asymptotic Estimations
- 4 Conclusion

Configurations of Monic Polynomials

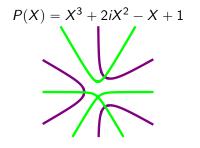
Consider your favorite monic polynomial *P* and draw:

- **1** its real antecedents, i.e. $\{z \in \mathbb{C} : P(z) \in \mathbb{R}\}$
- ② its imaginary antecedents, i.e. $\{z \in \mathbb{C} : P(z) \in i\mathbb{R}\}$

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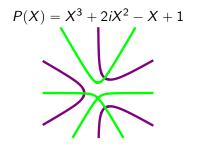
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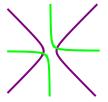
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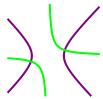
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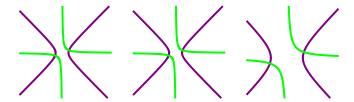
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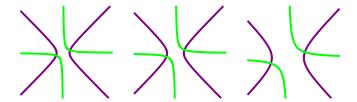


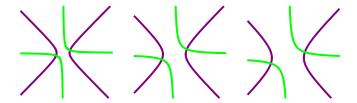
- Roots of P (with multiplicity)
- Roots of P' lying on the curves
- Asymptotic rays

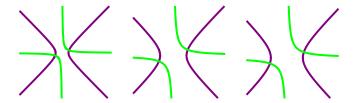


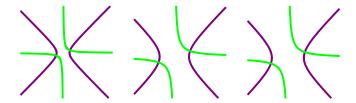


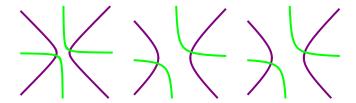


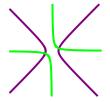


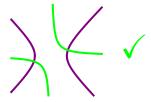


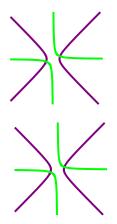


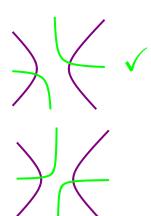


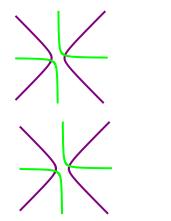


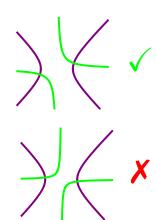






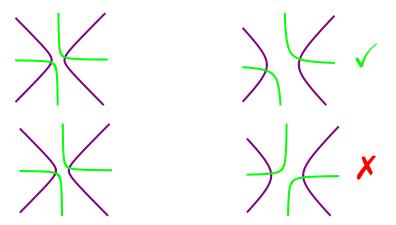






From Configurations to Signatures (a.k.a. Isotopy Classes)

Which configurations are isotopic to each other?



Signatures are used to compute cohomologies of braid groups

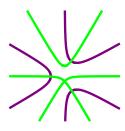
Three necessary criteria for being a signature of degree d...

- No cycle
- 2d bicolored edges
- 1-colored contact points

complex analysis and meromorphic functions

alternating and starting from even edges with even valency $\equiv 0 \pmod{2}$

② 2-colored contact points—with alternating colors and valency $\equiv 0 \pmod{4}$

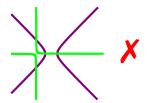


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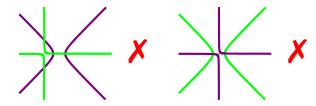
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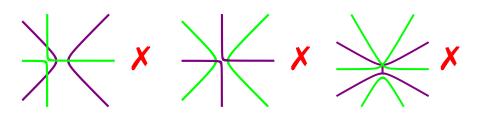
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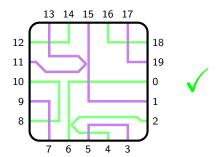


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Three necessary criteria for being a signature of degree d...

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 2d bicolored edges alternating and starting from even edges
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Theorem (Norbert A'Campo, 2017)

• These criteria are sufficient for being a signature of degree d

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Theorem (Norbert A'Campo, 2017)

- These criteria are sufficient for being a signature of degree d
- 2 Each signature induces a submanifold of polynomials
- 3 They form a CW-complex (\sim polytope).

Three necessary criteria for being a signature of degree d...

- No cycle complex analysis and meromorphic functions
 2d bicolored edges alternating and starting from even edges
- $\textbf{ 0} \ \, \text{1-colored contact points} \qquad \qquad \text{with even valency} \equiv 0 \; (\text{mod } 2)$
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Theorem (Norbert A'Campo, 2017)

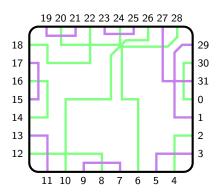
- These criteria are sufficient for being a signature of degree d
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How many faces does the complex have?

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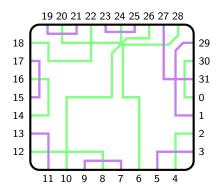
Three parameters of interest



Three parameters of interest

Degree of the polynomial

$$d = \frac{1}{2}$$
#edges

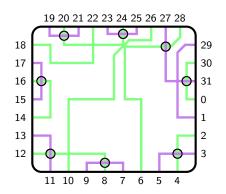


$$d = 8$$

Three parameters of interest

- Degree of the polynomial
- Root default of the polynomial

 $d = \frac{1}{2} \# \text{edges}$ r = d - # roots

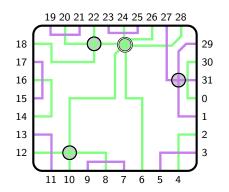


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Three parameters of interest

- Degree of the polynomial
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- **3** Codimension of the signature manifold $c = 2r + \sum local$ codim.

$$d = \frac{1}{2}$$
#edges
 $r = d -$ #roots
 $c = 2r + \sum |cca| |ccodim|$



$$d = 8$$

$$r = 0$$

$$c = 6$$

Three parameters of interest

- **3** Codimension of the signature manifold $c = 2r + \sum_{i=1}^{n} local$ codim.

How many signatures with parameters (c, d, r) are there?

Evaluating $s_{c,d,r} = \#\{\text{signatures with parameters } (c,d,r)\}$

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Recursion Formula for Facets

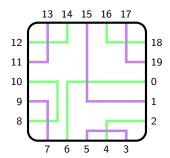
$$\mathbf{s}_{0,d+1,0} = \sum_{d_1+d_2+d_3+d_4=d} \mathbf{s}_{0,d_1,0} \times \mathbf{s}_{0,d_2,0} \times \mathbf{s}_{0,d_3,0} \times \mathbf{s}_{0,d_4,0}$$

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Proof:

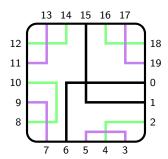


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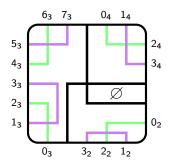


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Counting Signatures: First Steps

Evaluating $s_{c,d,r} = \#\{\text{signatures with parameters } (c,d,r)\}$

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Counting Facets with Fuss-Catalan Numbers (A'Campo 17)

$$\mathsf{s}_{0,d,0} = \frac{1}{3d+1} \binom{4d}{d}$$

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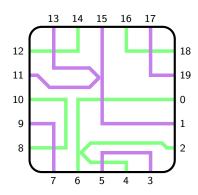
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⇒ What next?

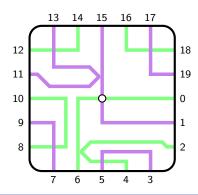
Strategy: Use recursion formulæ and generating functions

① Generating function $S(x, y, z) = \sum s_{c,d,r} x^c y^d z^r$

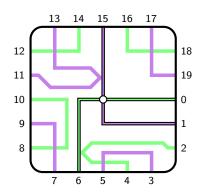
- **9** Generating function $S(x, y, z) = \sum \mathbf{s}_{c,d,r} x^c y^d z^r$
- Canonical splitting



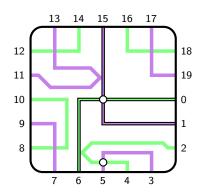
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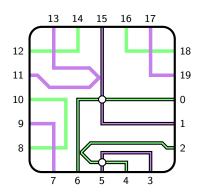
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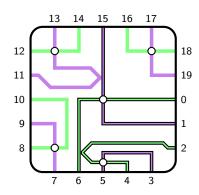
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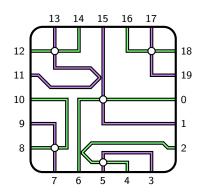
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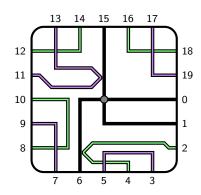
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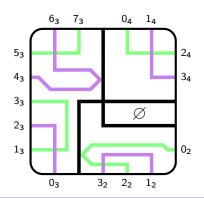
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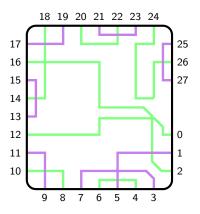
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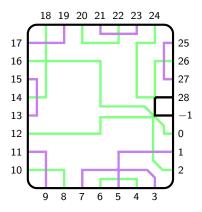
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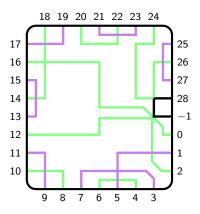
Variant of signatures: contact signatures



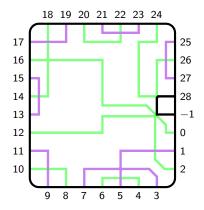
Variant of signatures: contact signatures



• Variant of signatures: contact signatures with generating series C(x, y, z)



- Variant of signatures: contact signatures with generating series C(x, y, z)
- 2 Another variant of signatures with generating series $\mathcal{D}(x, y, z)$



Counting Signatures: The End is Near

Three algebraic equations (using bijective proofs)

$$S = 1 + yC^4/(1 - x^2yzC^4)$$

$$C = DS$$

$$D = 1 + xyC^4D^2/(1 - x^2yC^4D)$$

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Theorem

The generating function S(x, y, z) is algebraic!

and its minimal polynomial is not so nice...

$$x^4(x+1)^4 + S^4yv\left(x^4 - x^8 + 4vx(x^4 + x^2v + v^2) - (x^2 + v^2)^2 + S^4yv^5\right) = 0$$
 with $v = x^2z + 1/(S-1)$.

Three ideas for computing $s_{c,d,r}$

 $\textbf{ 0} \ \, \text{Using directly the minimal polynomial of } \mathcal{S}$

Did not work ©

Three ideas for computing $s_{c,d,r}$

- $lue{0}$ Using directly the minimal polynomial of ${\mathcal S}$
- $oldsymbol{2}$ Finding a linear DE satisfied by ${\mathcal S}$

- Did not work ©
- Size overflow ©

Three ideas for computing $s_{c,d,r}$

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$$S = 1 + yC^4 - x^2yzC^4 + x^2yzSC^4$$

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Two more lemmas:
$$\mathbf{s}_{c,d,r} > 0 \Rightarrow 2r \leqslant c \leqslant 2d$$

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$$\mathbf{s}_{c,d,r} > 0 \Rightarrow 2r \leqslant c \leqslant 2d$$
 and $\mathbf{s}_{c,d,r} \leqslant 30^{d+1}$

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Two more lemmas: $\mathbf{s}_{c,d,r} > 0 \Rightarrow 2r \leqslant c \leqslant 2d$ and $\mathbf{s}_{c,d,r} \leqslant 30^{d+1}$

Corollary

The family of coefficients $(\mathbf{s}_{c,d,r})_{c\leqslant\mathbf{C},d\leqslant\mathbf{D},r\leqslant\mathbf{R}}$ can be computed in time $\mathcal{O}(\min\{\mathbf{C},\mathbf{D},\mathbf{R}\}^2\min\{\mathbf{C},\mathbf{D}\}^2\mathbf{D}^4).$

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Problem: Fix c and r and evaluate $\lim s_{c,d,r}$ when $d \to +\infty$

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Two ideas:

ullet Singularity analysis of ${\mathcal S}$

Difficult ©

(Several branches in multivariate environment)

Problem: Fix c and r and evaluate $\lim s_{c,d,r}$ when $d \to +\infty$

Two ideas:

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- Study a class of typical signatures!

- Difficult ©
- Successful ©

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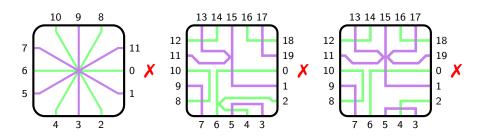
Two ideas:

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Difficult ③

Successful ©

(Each component has at most 1 contact point, of small valency)



Problem: Fix c and r and evaluate $\lim s_{c,d,r}$ when $d \to +\infty$

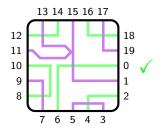
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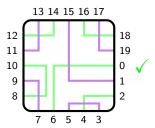
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- Study a class of typical signatures!

Difficult ③

Successful ©

(Each component has at most 1 contact point, of small valency)

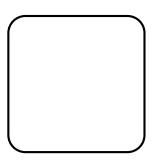




Another generating function: $T(x, y, z) = \sum \mathbf{t}_{c,d,r} x^c y^d z^r$

Lemma #4

$$\mathcal{T} = 1 + y\mathcal{T}^4 + 4xy^2\mathcal{T}^8 + x^2y^2z\mathcal{T}^8.$$

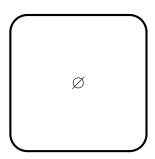


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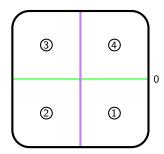


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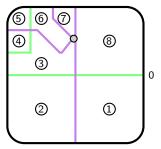


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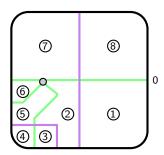
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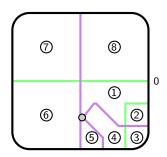
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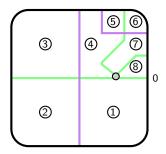
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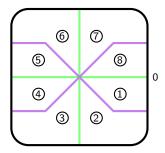
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Algebraic equation with triangular system of variables

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Lagrange Inversion (with 3 variables)

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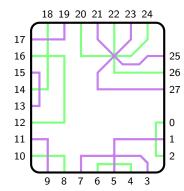
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Exact and asymptotic evaluations

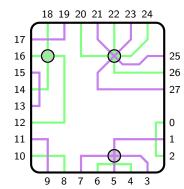
$$\mathbf{t}_{c,d,r} = \frac{\mathbf{1}_{c \geqslant 2r} \cdot \mathbf{1}_{d \geqslant 2c - 2r} \cdot 4^{c - 2r}}{c + 3d - r + 1} \begin{pmatrix} 4d \\ c - 2r, d - 2c - 2r, r, c + 3d - r \end{pmatrix}$$

$$\mathbf{t}_{c,d,r} \sim \frac{\mathbf{1}_{c \geqslant 2r}}{r!(c - 2r)!} \cdot \sqrt{\frac{2}{27\pi}} \cdot \frac{4^{c}}{3^{c}} \cdot \frac{3^{r}}{16^{r}} \cdot \frac{4^{4d}}{3^{3d}} \cdot d^{c - r - 3/2}$$

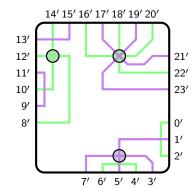
Main tool: Reducing a signature



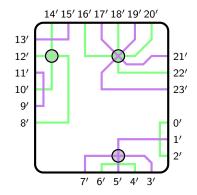
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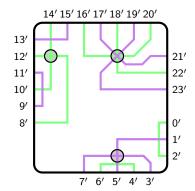


Bounding lemma

Main tool: Reducing a signature

Proof: with C components:

• Fill the regions (at most 8c)

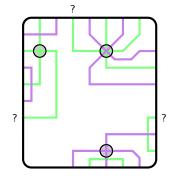


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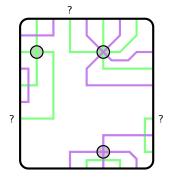


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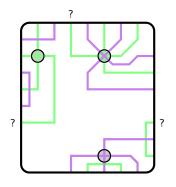
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Fixing $c \Rightarrow$ finite number of reductions



Bounding lemma

At most $\mathcal{O}\left(d^{c-r-5/2}\cdot \frac{4^{4d}}{3^{3d}}\right)$ signatures reduce to a non-typical signature σ .

Theorem

$$\mathbf{s}_{c,d,r} \sim \mathbf{t}_{c,d,r} \sim \frac{\mathbf{1}_{c\geqslant 2r}}{r!(c-2r)!} \cdot \sqrt{\frac{2}{27\pi}} \cdot \frac{4^c}{3^c} \cdot \frac{3^r}{16^r} \cdot \frac{4^{4d}}{3^{3d}} \cdot d^{c-r-3/2}$$

Contents

- Signatures of Monic Polynomials
- Counting Signatures
- Asymptotic Estimations
- 4 Conclusion

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- 3 Ask you for other ideas and

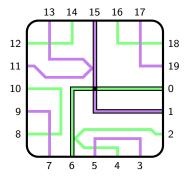
Thank you!

Lemma #1

$$\mathcal{S}=1+y\mathcal{C}^4/(1-x^2yz\mathcal{C}^4).$$

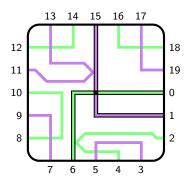
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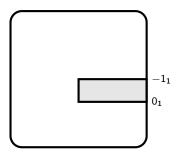
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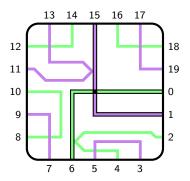
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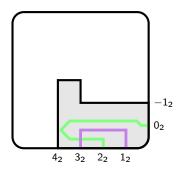




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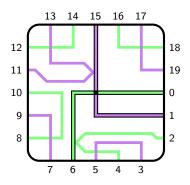
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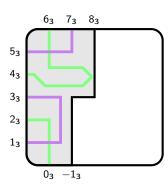




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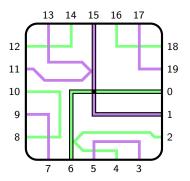
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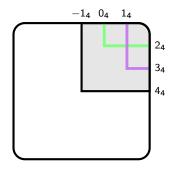




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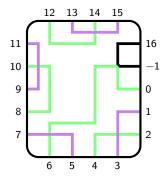


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$$C = \mathcal{DS}$$
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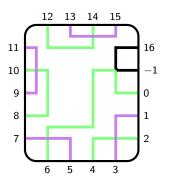
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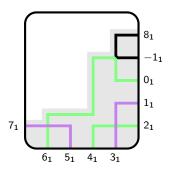
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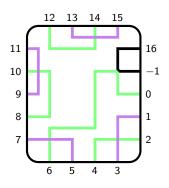
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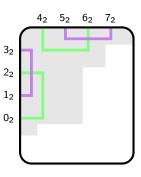




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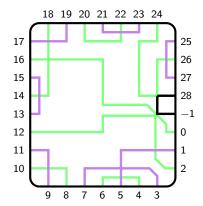


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$$\mathcal{D} = 1 + xyC^4\mathcal{D}^2/(1 - x^2yC^4\mathcal{D}).$$

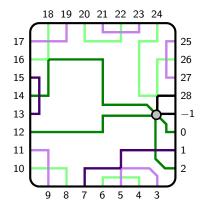
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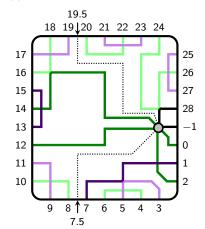
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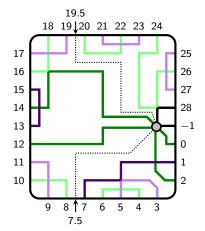
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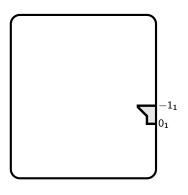
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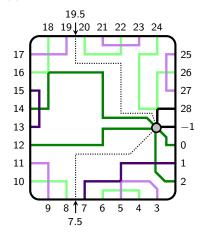
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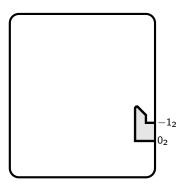




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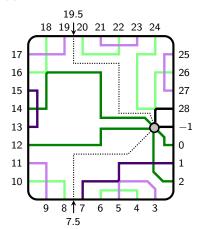
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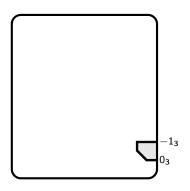




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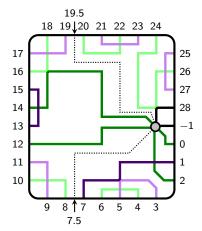
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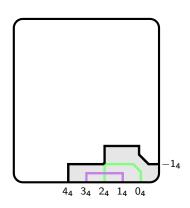




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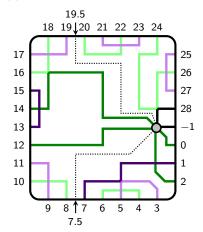
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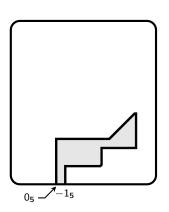




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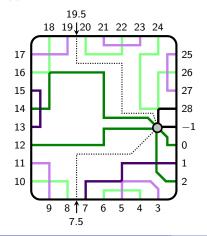
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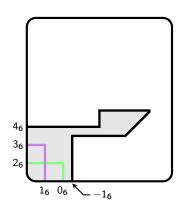




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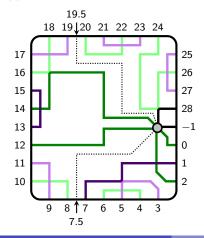
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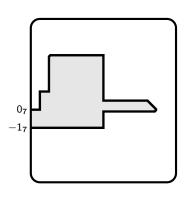




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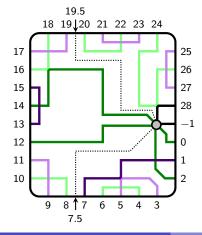
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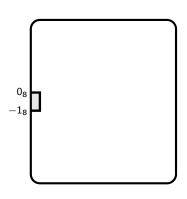




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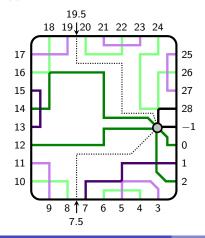
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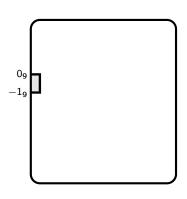




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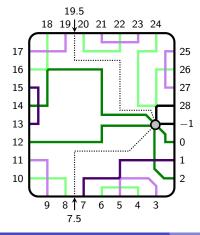
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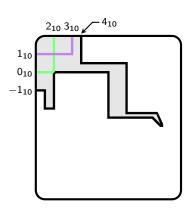




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