# Instability of solitary waves in Zakharov-Kuznetsov equation

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joint with J. Holmer (Brown Univ., USA) and S. Roudenko (GWU, USA)

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- $p < 5 L^2$ -subcritical case  $p > 5 L^2$ -supercritical case

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$$egin{aligned} Q_c(x)&=c^{rac{1}{p-1}}\,Q(\sqrt{c}\,x),\ ext{and}\ Q(x)&=Q_1(x)=\left(rac{p+1}{2}
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- ► Asymptotic stability (Pego-Weinstein '94, Martel-Merle '01, Mizumachi '01, ...)

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- Characterization of dispersion
   Obstacle: no virial

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- ▶ this yields a contradiction at a finite time  $t(u_0)$ .

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- ► Traveling waves in  $x_1$ -direction:  $u(t, x_1, x_2) = Q_c(x_1 ct, x_2), \ Q_c(x) \to 0$  as  $|x| \to \infty$  Q solves  $\Delta Q Q + Q^p = 0$  (take radial vanishing solution)

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- we address p = 3 case ( $L^2$ -critical case in 2d).

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then there exists time  $t_0 = t_0(u_0)$ :

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then there exists time  $t_0 = t_0(u_0)$ :

$$\inf_{\vec{\mathbf{y}} \in \mathbb{R}^2} \| \mathbf{u}(\mathbf{t}_0, \cdot) - \mathbf{Q}(\cdot - \vec{\mathbf{y}}) \|_{H^1} \ge \alpha_0.$$

Remark: Example  $\epsilon_0^n = \frac{1}{n}(Q + a\chi_0)$ , where  $a = -\frac{\int \chi_0 Q}{\|\chi_0\|_2^2}$ .

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- $\Rightarrow \exists \ \lambda(t), \vec{x}(t) = (x(t), 0) \text{ such that}$   $\epsilon(t) = \lambda(t) \ u(t, \lambda(t)\vec{y} + \vec{x}(t)) Q(\vec{y})$ (with  $\vec{x}(0) = 0, \lambda(0) = 1$ )
  and also satisfy  $\epsilon(t) \perp \{Q_{x_1}, Q_{x_2}, \chi_0\}$

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  - ▶ rescale time  $t \mapsto s$ :  $\frac{ds}{dt} = \frac{1}{\lambda^3}$ + modulation of parameters (and  $u \in U_{\alpha_0}$ ):  $\|\epsilon(s)\|_{H^1} \lesssim \alpha_0$  and  $|\lambda(s) - 1| \lesssim \alpha_0$

Set up 
$$J(s) = \int_{\mathbb{R}^2} \epsilon(s, y_1, y_2) \left( \int_{-\infty}^{y_1} \Lambda Q(z, y_2) dz \right) dy_1 dy_2$$

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▶ actually need a localized version  $J_A$ :

$$J_A(s) = \int_{\mathbb{R}^2} \epsilon(s) \left( \int_{-\infty}^{y_1} \Lambda Q \right) \varphi_A(y_1) dy_1 dy_2$$

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  - $(1) |K_{A}(s)| \lesssim (1 + A^{1/2}) \|\epsilon_{0}\|_{L^{2}}$
- Want: (2)  $\frac{d}{ds}K_A(s) \ge \frac{1}{2}\int \epsilon_0 Q \text{ for } s > 0$

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- $\Rightarrow$  for large enough s we get a contradiction.
  - Issues: how to get (2)?
     control/independence of parameters α₀, b₀, A?

• Mass: 
$$M_0 \equiv M[u_0 + \epsilon_0] = 2 \int \epsilon_0 Q + \int \epsilon_0^2 \ge 2 \int \epsilon_0 Q$$
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So  $2\lambda(1 - \frac{1}{2}(\frac{\chi_s}{\lambda} - 1))M_0 \ge \int \epsilon_0 Q$ .

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$$R(\epsilon, A) \lesssim \|\epsilon(s)\|_{L^2} \left(\|\epsilon(s)\|_{L^2} + A^{-1/2} + A^{1/2}\|\epsilon(s)\|_{L^2(x \geq A)} + \left|\int_{\mathbb{R}^2} y \left(\int_{-\infty}^x \Lambda Q\right)_y \epsilon \varphi_A\right|\right)$$

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Problem to control the last two terms

▶ Define (as in Martel-Merle)  $I_{x_0,t_0}(t) = \int u^2(t,x,y) \psi(x-x(t_0)+\tfrac{1}{2}(t_0-t)-x_0) dx dy$  where  $\psi(x) \approx \arctan\left(e^{-\frac{x}{M}}\right)$  and  $\|u(\cdot+\vec{x}(t))-Q\|_{H^1} < \alpha$ 

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Prop:  $I_{x_0,t_0}(t_0) - I_{x_0,t_0}(t) \le Ce^{-\frac{x_0}{M}}$ , provided  $x(t_0) - x(t) \ge \frac{3}{4}(t_0 - t)$  for every  $t \in [0, t_0]$ .

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Prop: Suppose  $|u_0(x,y)| \lesssim e^{-\delta|(x,y)|}$ Then for all t > 0 and  $x_0 > 0$ 

$$\int_{\mathbb{R}} \int_{x>x_0} u^2(t,x+x(t),y) dx dy \leq C e^{-\frac{x_0}{M}}.$$

In particular,  $\int_{\mathbb{R}} \int_{x>x_0} \epsilon^2(t,x,y) dx dy \leq C e^{-\frac{x_0}{2M}}$ , if  $\epsilon_0$  has also exponential decay.

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▶ The 3rd term:  $A^{1/2} \| \epsilon(s) \|_{L^2(x \ge A)} < \frac{1}{8} \int \epsilon_0 Q$ .

## Dealing with the last term

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  - Goal: pointwise decay on  $\epsilon(s)$
- Allows to show smallness of the last term

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▶ Then for x > 0

$$|A(x,y,t)| \lesssim t^{-2/3} \begin{cases} \langle \lambda \rangle^{-\alpha} & \text{if } |z| \leq 4, \forall \alpha \geq 0 \\ \langle \lambda \rangle^{-\alpha} \langle \lambda |z|^{3/2} \rangle^{-\beta} & \text{if } |z| \geq 4, \forall \alpha, \beta \geq 0 \end{cases}$$

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Next, estimate on the linear solution

$$S(t)u_0(x,y) = \int A(x',y',t)u_0(x+t-x',y-y') dx'dy'$$

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► Then for t > 0, x > 0 $|S(t)u_0(x,y)| \lesssim (C + ||u_0||_{L^2}) t^{-7/12} \langle x \rangle^{-\tilde{\sigma}}$ .

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- Propagate this to the nonlinear equation.
- via bootstrap

 $\underline{ \text{Prop:}} \ \ \mathsf{Suppose} \ |\epsilon_0(x,y)| \leq \frac{\delta_1}{\langle x \rangle^\sigma} \ \text{for} \ x > 0 \ \text{and any} \ y$ 

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- ► Then

$$|\epsilon(s,x,y)| \leq \eta \frac{\delta_1 + \delta_2}{\langle x \rangle^{\tilde{\sigma}}}$$

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- Then

$$|\epsilon(s,x,y)| \leq \eta \frac{\delta_1 + \delta_2}{\langle x \rangle^{\tilde{\sigma}}}$$

for all  $s \ge 0$ ,  $y \in \mathbb{R}$ , x > 0.

Thus, the 4th term:

$$\left| \int_{\mathbb{R}^2} y \left( \int_{-\infty}^x \Lambda Q \right)_v \epsilon \varphi_A \right| \leq \frac{1}{8} \int \epsilon_0 Q.$$

▶ Choosing  $\alpha_0, b_0$  - appropriately small

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- ▶ Integrating in s:

$$K_A(s) \geq \frac{s}{2} \int \epsilon_0 \ Q + K_A(0) \text{ for all } s > 0$$
 and hence,  $K_A(s) \to \infty$  as  $s \to \infty$ 

- ▶ Choosing  $\alpha_0, b_0$  appropriately small
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  - and hence,  $K_A(s) \to \infty$  as  $s \to \infty$
- ▶ Contradiction with boundedness  $|K_A(s)|$ , finishes the proof!

# THANK YOU for your attention!