

A new approach to formalize real numbers in the UniMath library

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Why New Reals Numbers?

UniMath is a new library in Coq which aims to formalize a substantial body of mathematics using the univalent point of view.

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UniMath is a new library in Coq which aims to formalize a substantial body of mathematics using the univalent point of view.

- Improve this new library
- Real and Complex analysis
- Metric and normed spaces
- Measure theory, probability

The UniMath library

Axioms of UniMath:

$$\text{uahp} : \forall P P' : \text{hProp}, (P \Rightarrow P') \text{ and } (P' \Rightarrow P) \\ \Rightarrow P = P'$$

$$\text{funextfunax} : \forall (X Y : \text{UU}) (f g : X \rightarrow Y), \\ (\forall x, f x = g x) \Rightarrow f = g$$

$$\text{funextempty} : \forall (X : \text{UU}) (f g : X \rightarrow \emptyset), f = g$$

The UniMath library

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A useful consequence:

$$\forall A, B \underset{\text{hProp}}{\subseteq} X, (\forall x, x \in A \Leftrightarrow x \in B) \Rightarrow A = B$$

Which Real Numbers?

- Axiomatic
Coq standard library, PVS
- Cauchy sequences
C-CoRN/MathClasses, Isabelle/HOL, HOL Light
- Dedekind cuts
Mizar, HOL4

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Types in UniMath

Hierarchy of types:

```
isofhlevel 0 X :=  $\Sigma x \in X, \forall y \in X, x = y$ 
isofhlevel 1 X :=  $\forall x, y \in X, \text{isofhlevel } 0 (x = y)$ 
isofhlevel 2 X :=  $\forall x, y \in X, \text{isofhlevel } 1 (x = y)$ 
isofhlevel 3 X :=  $\forall x, y \in X, \text{isofhlevel } 2 (x = y)$ 
isofhlevel (S n) X :=  $\forall x, y \in X, \text{isofhlevel } n (x = y)$ 
```

Types in UniMath

Hierarchy of types:

```

isofhlevel  0  X :=  $\sum_{x \in X}, \forall y \in X, x = y$            (iscontr X)
isofhlevel  1  X :=  $\forall x, y \in X, \text{isofhlevel } 0 (x = y)$  (isaprop X)
isofhlevel  2  X :=  $\forall x, y \in X, \text{isofhlevel } 1 (x = y)$  (isaset X)
isofhlevel  3  X :=  $\forall x, y \in X, \text{isofhlevel } 2 (x = y)$ 
isofhlevel (S n) X :=  $\forall x, y \in X, \text{isofhlevel } n (x = y)$ 

```

Useful types:

```

UU := Type
hProp :=  $\sum X : \text{UU}, \text{isaprop } X$ 
hSet :=  $\sum X : \text{UU}, \text{isaset } X$ 

```

Type of Formulas in UniMath

UniMath		Coq	
empty	UU	False	Prop
$A \times B$	UU	$A * B$	Type
$A \wedge B$	hProp	$A /\ B$	Prop
$A \amalg B$	UU	$A + B$	Type
$A \vee B$	hProp	$A \setminus / B$	Prop
$\forall x, P x$	UU	forall $x, P x$	Prop or Type
$\Sigma x, P x$	UU	$\{ x \mid P x \}$	Type
$\exists x, P x$	hProp	exists $x, P x$	Prop

Prove Equality

Let $P : X \rightarrow \mathbb{U}$, $A : \sum a, P a$ and $B : \sum b, P b$.

Prove $A = B$?

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Let $P : X \rightarrow \mathbb{U}$, $A : \sum a$, $P a$ and $B : \sum b$, $P b$.

Prove $A = B$?

General case:

$$\pi_1 A = \pi_1 B \wedge \pi_2 A = \pi_2 B \Rightarrow A = B$$

Prove Equality

Let $P : X \rightarrow \mathbb{U}$, $A : \Sigma a, P a$ and $B : \Sigma b, P b$.

Prove $A = B$?

General case:

$$\pi_1 A = \pi_1 B \wedge \text{"}\pi_2 A = \pi_2 B\text{"} \Rightarrow A = B$$

If $\forall x \in X, \text{isaprop } (P x)$ (or $P : X \rightarrow \text{hProp}$):

$$\pi_1 A = \pi_1 B \Rightarrow A = B$$

From \mathbb{U} to hProp – Simpl Solution

$$\|\square\| : \mathbb{U} \rightarrow \mathsf{hProp}$$

From $\mathbb{U}\mathbb{U}$ to \mathbb{hProp} – Simpl Solution

$$\|\square\| : \mathbb{U}\mathbb{U} \rightarrow \mathbb{hProp}$$

- $A \vee B := \| A \amalg B \|$
- $\exists x, P := \| \Sigma x, P \|$

From $\mathbb{U}\mathbb{U}$ to \mathbb{hProp} – Simpl Solution

$$\|\square\| : \mathbb{U}\mathbb{U} \rightarrow \mathbb{hProp}$$

- $A \vee B := \| A \amalg B \|$
- $\exists x, P := \| \Sigma x, P \|$

- ⊕ Easy to define
- ⊖ May be hard to use

From UU to hProp – Alternative Solution

$\text{hProp} := \sum X : \text{UU}, \text{isaprop } X$

Idea: prove $\text{isaprop } X$

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Idea: prove $\text{isaprop } X$

- isaprop empty
- $\text{isaprop } A \text{ and } \text{isaprop } B \Rightarrow \text{isaprop } (A \times B)$
- $(\forall t, \text{isaprop } (B t)) \Rightarrow \text{isaprop } (\forall t, B t)$
- $\text{isaprop } B \Rightarrow \text{isaprop } (A \Rightarrow B)$

From UU to hProp – Alternative Solution

$$\text{hProp} := \Sigma X : \text{UU}, \text{isaprop } X$$

Idea: prove isaprop X

- isaprop empty
- isaprop A and isaprop B \Rightarrow isaprop (A \times B)
- $(\forall t, \text{isaprop } (B \ t)) \Rightarrow \text{isaprop } (\forall t, B \ t)$
- isaprop B \Rightarrow isaprop (A \Rightarrow B)
- isaprop A, isaprop B, and (A and B \Rightarrow \emptyset)
 \Rightarrow isaprop (A \amalg B)
- $(\forall a, b, P \ a \wedge P \ b \Rightarrow a = b)$ and $(\forall t, \text{isaprop } (P \ t))$
 \Rightarrow isaprop $(\Sigma \ t, P \ t)$

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Idea: prove isaprop X

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 \Rightarrow isaprop $(\sum t, P \ t)$
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empty	UU or hProp	False	Prop
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$A \vee B$	hProp	$A \vee B$	Prop
$\forall x, P x$	UU or hProp	forall $x, P x$	Prop or Type
$\Sigma x, P x$	UU or* hProp	$\{ x \mid P x \}$	Type
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Dedekind Cuts – Historical definition

$(L, U) \subset \mathbb{Q}$ is a Dedekind cut if:

- 1 $\exists x \in L$
- 2 $\exists x \in U$
- 3 $L \cap U = \emptyset$
- 4 $\forall x \in L, \forall y \in \mathbb{Q}, y \leq x \Rightarrow y \in L$
- 5 $\forall x \in U, \forall y \in \mathbb{Q}, y \geq x \Rightarrow y \in U$
- 6 $\forall x \in L, \exists y \in \mathbb{Q}, x < y \wedge y \in L$
- 7 $\forall x \in U, \exists y \in \mathbb{Q}, x > y \wedge y \in U$
- 8 $\forall x, y \in \mathbb{Q}, x < y \Rightarrow x \in L \vee y \in U$

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- ⑤ $\forall x \in U, \forall y \in \mathbb{Q}, y \geq x \Rightarrow y \in U$
- ⑥ $\forall x \in L, \exists y \in \mathbb{Q}, x < y \wedge y \in L$
- ⑦ $\forall x \in U, \exists y \in \mathbb{Q}, x > y \wedge y \in U$
- ⑧ $\forall x, y \in \mathbb{Q}, x < y \Rightarrow x \in L \vee y \in U$

- ⊕ historical definition
- ⊖ many hypotheses

Dedekind Cuts – One-side definition

$L \subset \mathbb{Q}$ is a one-side Dedekind cut if:

- 1 $\exists x \in L$
- 2 $\forall x \in L, \forall y \in \mathbb{Q}, y \leq x \Rightarrow y \in L$
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⊕ 4 hypotheses (can be reduced to 3)

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- ⊕ 4 hypotheses (can be reduced to 3)
- ⊖ difficulties to define multiplication

Dedekind Cuts – Non-negative definition

$L \subset \mathbb{NQ} (= \{q \in \mathbb{Q} \mid 0 \leq q\})$ is a one-side non-negative Dedekind cut if:

- 1 $\forall x \in L, \forall y \in \mathbb{NQ}, y \leq x \Rightarrow y \in L$
- 2 $\forall x \in L, \exists y \in \mathbb{NQ}, x < y \wedge y \in L$
- 3 $\forall c \in \mathbb{NQ}, 0 < c \Rightarrow (\neg c \in L) \vee \exists x \in L, (x + c) \notin L$

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- ⊕ 3 hypotheses
 - ⊕ simpl definitions for arithmetic operations
 - ⊖ cannot prove Dedekind completeness without excluded middle

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- ⊕ 3 hypotheses
 - ⊕ simpl definitions for arithmetic operations
 - ⊖ cannot prove Dedekind completeness without excluded middle
→ Cauchy completeness

Order and Apartness

Let $X, Y \in \mathbb{NR}$

$$X < Y := \exists x \in Y, x \notin X$$

$$X \leq Y := \forall x \in X, x \in Y$$

$$X \neq Y := (X < Y) \amalg (Y < X)$$

$$X \approx Y := \forall x \in \mathbb{NQ}, x \in X \Leftrightarrow x \in Y$$

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$$X \neq Y := (X < Y) \amalg (Y < X)$$

$$X \approx Y := \forall x \in \mathbb{NQ}, x \in X \Leftrightarrow x \in Y$$

Proved:

- `isaset` \mathbb{NR}
- Order properties of \leq and $<$
- \neq is a tight apartness relation

Algebraic Structure

Let $X, Y \in \mathbb{NR}$ and $q \in \mathbb{NQ}$

$$\text{NQ_to_NR}(q) := \{r \in \mathbb{NQ} \mid r < q\}$$

$$X + Y := X \cup Y \cup \{x + y \mid x \in X \wedge y \in Y\}$$

$$X \times Y := \{x \times y \mid x \in X \wedge y \in Y\}$$

$$(X, \cdot, H_{x \neq 0} : X \neq 0)^{-1} := \{q \in \mathbb{NQ} \mid \exists l \in (0; 1), \forall x \in X, x \times q \leq l\}$$

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Proved:

- \mathbb{NR} is a commutative rig
- $\forall X \in \mathbb{NR}, X \neq 0 \Rightarrow X \times X^{-1} = 1$

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Proved:

- \mathbb{NR} is a commutative rig
- $\forall X \in \mathbb{NR}, X \neq 0 \Rightarrow X \times X^{-1} = 1$

Remark: $X \neq 0 \Leftrightarrow 0 < X \Leftrightarrow 0 \in X \Leftrightarrow \exists q \in X, 0 < q$

Completeness

Let $u \in \mathbb{NR}^{\mathbb{N}}$.

$$\text{Cauchy}(u) := \forall \varepsilon > 0, \exists N, \forall n, m \geq N, \\ u_n \leq u_m + \varepsilon \wedge u_m \leq u_n + \varepsilon$$

$$\text{is_lim_seq}(u, \ell) := \forall \varepsilon > 0, \exists N, \forall n \geq N, \\ u_n \leq \ell + \varepsilon \wedge \ell \leq u_n + \varepsilon$$

$$\text{Cauchy_lim_seq}(u, Cu) := \{q \in \mathbb{NQ} \mid \exists c \in \mathbb{NQ}, (0 < c) \\ \times \Sigma N \in \mathbb{N}, \forall n \geq N, (q + c) \in u_n)\}$$

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Proved: $\text{is_lim_seq}(u, \text{Cauchy_lim_seq}(u, Cu))$

Useful functions

$$X - Y := \{q \in \mathbb{NQ} \mid \exists x \in X, \forall y \in Y, y + q \leq x\}$$
$$\max(X, Y) := X \cup Y$$

Proved:

- $\forall X, Y \in \mathbb{NR}, X - Y \leq X$
- $\forall X, Y \in \mathbb{NR}, X < Y \Leftrightarrow 0 < Y - X$

Useful functions

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- $\forall X, Y \in \mathbb{NR}, (X - Y) + Y = X$

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Proved:

- $\forall X, Y \in \mathbb{NR}, X - Y \leq X$
- $\forall X, Y \in \mathbb{NR}, X < Y \Leftrightarrow 0 < Y - X$
- $\forall X, Y \in \mathbb{NR}, (X - Y) + Y = \max(X, Y)$

Real Numbers

$$\mathbb{R} := \text{commrightocommrng } \mathbb{NR}$$

In other words:

- $\mathbb{R} \subseteq \mathbb{NR} \times \mathbb{NR}$
- $\forall X \in \mathbb{R}, \exists x \in X$
- $\forall X \in \mathbb{R}, \forall x \in X, \forall y \in \mathbb{NR} \times \mathbb{NR},$
 $\pi_1(x) + \pi_2(y) = \pi_1(y) + \pi_2(x) \Leftrightarrow y \in X$

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Free: 0, 1, +, −, ×, ring properties

Almost free: <, ≤, ≠

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Almost free: <, ≤, ≠

Missing: Multiplicative inverse, Completeness

From Reals to Non-negative Reals

Let $X \in \mathbb{R}$,

- I have $\exists x \in X := \|\Sigma x \in X\|$
- I need $\Sigma x \in X$

From Reals to Non-negative Reals

Let $X \in \mathbb{R}$,

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Remind: $(\forall a, b, P a \wedge P b \Rightarrow a = b)$

$\Rightarrow \text{isaprop } (\Sigma t, P t)$

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- I have $\exists x \in X := \|\Sigma x \in X\|$
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 $\Rightarrow \text{isaprop } (\Sigma t, P t)$

Idea: Find $P : \mathbb{NR} \times \mathbb{NR} \rightarrow \mathbf{hProp}$ such that
 $(\forall a, b, P a \wedge P b \Rightarrow a = b)$ and prove
 $\mathbb{R_to_NR_NR}(X) : \Sigma y \in X, P y$.

From Reals to Non-negative Reals

Let $X \in \mathbb{R}$,

- I have $\exists x \in X := \|\Sigma x \in X\|$
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Idea: Find $P : \mathbb{NR} \times \mathbb{NR} \rightarrow \mathbf{hProp}$ such that
 $(\forall a, b, P a \wedge P b \Rightarrow a = b)$ and prove
 $\mathbb{R_to_NR_NR}(X) : \Sigma y \in X, P y$.

Solution:

- Chose $P = \{y \in X \mid \forall x \in X, \pi_1(y) \leq \pi_1(x) \wedge \pi_2(y) \leq \pi_2(x)\}$
- Prove $(\pi_1(x) - \pi_2(x), \pi_2(x) - \pi_1(x)) \in P$

Multiplicative Inverse

Let $X \in \mathbb{R}$ such that $X \neq 0$

Multiplicative Inverse

Let $X \in \mathbb{R}$ such that $X \neq 0 \Leftrightarrow (0 < X \vee X < 0)$,

- If $0 < X$ then $\text{R_to_NR_NR}(X) = (x, , 0)$ with $0 < x$,
 $\Rightarrow X^{-1} = \text{RN_RN_to_R}(x^{-1}, , 0)$
- If $0 > X$ then $\text{R_to_NR_NR}(X) = (0, , x)$ with $0 < x$,
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Multiplicative Inverse

Let $X \in \mathbb{R}$ such that $X \neq 0 \Leftrightarrow (0 < X \vee X < 0)$,

- If $0 < X$ then $\mathbb{R}_{\text{to_NR_NR}}(X) = (x, , 0)$ with $0 < x$,
 $\Rightarrow X^{-1} = \mathbb{R}_{\text{NR_to_R}}(x^{-1}, , 0)$
- If $0 > X$ then $\mathbb{R}_{\text{to_NR_NR}}(X) = (0, , x)$ with $0 < x$,
 $\Rightarrow X^{-1} = \mathbb{R}_{\text{NR_to_R}}(0, , x^{-1})$

Proved: X^{-1} is the multiplicative inverse of X .

Completeness

Let $u \in \mathbb{R}^{\mathbb{N}}$.

$$\text{Cauchy}(u) := \forall \varepsilon > 0, \exists N, \forall n, m \geq N, |u_n - u_m| \leq \varepsilon$$

$$\text{is_lim_seq}(u, \ell) := \forall \varepsilon > 0, \exists N, \forall n \geq N, |u_n - \ell| \leq \varepsilon$$

Proved: $\Sigma \ell \in \mathbb{R}, \text{is_lim_seq}(u, \ell)$

Completeness

Let $u \in \mathbb{R}^{\mathbb{N}}$.

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Proved: $\Sigma \ell \in \mathbb{R}, \text{is_lim_seq}(u, \ell)$

Idea of proof: Let $\forall n \in \mathbb{N}, \text{R_to_NR_NR}(u_n) = (x_n, y_n)$.

Completeness

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The Dedekind Directory in UniMath

$$\mathbb{NQ}$$

$$0, 1, +, \times, \square^{-1}$$

$$\downarrow$$

$$\mathbb{NR}$$

$$0, 1, +, \times, \square^{-1}$$

$$\text{Cauchy_lim_seq}$$

$$\downarrow$$

$$\mathbb{R}$$

$$0, 1, +, -, \times, \square^{-1}$$

$$\text{Cauchy_lim_seq}$$

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$$\left\{ \begin{array}{l} \text{non-negative} \\ \text{constructive commutative rig} \\ \text{totally ordered} \\ \forall x, x \neq 0 \Rightarrow \Sigma y, x \times y = 1 \\ \text{completeness} \end{array} \right.$$

$$\left\{ \begin{array}{l} \text{constructive field} \\ \text{totally ordered} \\ \text{completeness} \end{array} \right.$$

Future Work

About real numbers:

- Archimedean property
- “user-friendlize” the library
- Identify and define missing generic structures (non-negative rigs, complete spaces, ...)

To go further:

- Extended non-negative reals: $\overline{\mathbb{NR}} = \mathbb{NR} \cup \{+\infty\}$
- Bases of analysis (limits, series, derivatives, Riemann integral, usual functions, ...)
- Measure theory, Lebesgue integral
- Topology



Questions?