On the Stability of the Bossel Daners Inequality

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Shape Optimization and Isoperimetric and Functional Inequalities

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Stability of the Bossel Daners

Let $\Omega \subset \mathbb{R}^n$ be an open set with finite measure.

Eigenvalue problem for the Laplacian with **Dirichlet boundary conditions**:

$$\begin{cases} -\Delta u = \lambda u & \text{in } \Omega \\ u = 0 & \text{on } \partial \Omega. \end{cases}$$

If $\lambda_1(\Omega)$ denotes the first eigenvalue of the Dirichlet Laplacian Ω^* is a ball such that $|\Omega^*| = |\Omega|$, we have:

 $\lambda_1(\Omega) \ge \lambda_1(\Omega^*)$ (Faber-Krahn inequality)

or equivalently, if B denotes a ball in \mathbb{R}^n

$$\lambda_1(\Omega)|\Omega|^{\frac{2}{n}} \geq \lambda_1(B)|B|^{\frac{2}{n}}$$

[Faber, 1923], [Krahn, 1925] (Lord Rayleigh)

Szegö - Weinberger inequality

Eigenvalue problem for the Laplacian with Neumann boundary conditions:

$$\begin{cases} -\Delta u = \mu u & \text{in } \Omega \\ \frac{\partial u}{\partial \nu} = 0 & \text{on } \partial \Omega. \end{cases}$$

Szegö - Weinberger inequality

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$$\begin{cases} -\Delta u = \mu u & \text{in } \Omega \\ \frac{\partial u}{\partial \nu} = 0 & \text{on } \partial \Omega. \end{cases}$$

If $\mu_2(\Omega)$ denotes the first nontrivial eigenvalue of the Neumann Laplacian, we have:

$$\mu_2(\Omega) \leq \mu_2(\Omega^\star)$$

or equivalently, if B denotes a ball in \mathbb{R}^n

$$\mu_2(\Omega)|\Omega|^{\frac{2}{n}} \leq \mu_2(B)|B|^{\frac{2}{n}}$$

[Szegö, 1954], [Weinberger, 1956]

Brock-Weinstock inequality

Eigenvalue problem for the laplacian with Steklov boundary conditions:

$$\begin{cases} -\Delta u = 0 & \text{in } \Omega \\ \frac{\partial u}{\partial \nu} = \sigma u & \text{on } \partial \Omega \end{cases}$$

Brock-Weinstock inequality

Eigenvalue problem for the laplacian with **Steklov boundary conditions**:

$$\begin{pmatrix} -\Delta u = 0 & \text{in } \Omega \\ \\ \frac{\partial u}{\partial \nu} = \sigma u & \text{on } \partial \Omega. \end{cases}$$

If $\sigma_2(\Omega)$ denotes the first nontrivial eigenvalue of the Steklov Laplacian, we have:

$$\sigma_2(\Omega) \leq \sigma_2(\Omega^{\star})$$

or equivalently, if B denotes a ball in \mathbb{R}^n

$$|\sigma_2(\Omega)|\Omega|^{\frac{1}{n}} \leq \sigma_2(B)|B|^{\frac{1}{n}}$$

[Weinstock, 1954], [Brock, 2001]

If Ω is bounded and smooth the eigenvalue problem for the Laplacian with **Robin** boundary conditions ($\beta > 0$):

$$\begin{cases} -\Delta u = \lambda u & \text{in } \Omega \\ \frac{\partial u}{\partial \nu} + \beta u = 0 & \text{on } \partial \Omega. \end{cases}$$

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If $\lambda_{1,\beta}(\Omega)$ denotes the first eigenvalue of the above problem, we have:

$$\lambda_{1,\beta}(\Omega) \geq \lambda_{1,\beta}(\Omega^{\star})$$

[Bossel, 1988], [Daners, 2006], [Daners, Kennedy 2007] [Dai, Fu 2008], [Bucur, Daners 2010], [Bandle, Wagner 2015]

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In all the above inequalities equality holds (only) when Ω is a to a ball. This naturally leads to consider the question of the stability of such inequalities.

Isoperimetric inequalities in sharp quantitative form

A well known stability result concerns the isoperimetric inequality

$$|\Omega|^{\frac{1-n}{n}} Per(\Omega) \ge |B|^{\frac{1-n}{n}} Per(B)$$

For the above inequality it has been widely investigated the following question:

Is it possible to add on the right hand side a remainder term which measures the deviation of Ω from spherical symmetry?

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The answer to such a question is the quantitative isoperimetric inequality:

$$|\Omega|^{\frac{1-n}{n}} \operatorname{Per}(\Omega) - |B|^{\frac{1-n}{n}} \operatorname{Per}(B) \geq C(n) \mathcal{A}(\Omega)^2$$

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where $\mathcal{A}(\Omega)$ is the Fraenkel asymmetry of $\Omega,$ defined as

$$\mathcal{A}(\Omega) = \min\left\{rac{|\Omega igtriangleup B|}{|B|}: B ext{ ball such that} |B| = |\Omega|
ight\}$$

(\bigtriangleup is the symmetric difference). Observe that $0 \leq \mathcal{A}(\Omega) < 2$.

Isoperimetric inequalities in quantitative form

In the inequality

$$|\Omega|^{\frac{1-n}{n}} Per(\Omega) - |B|^{\frac{1-n}{n}} Per(B) \ge C(n) \mathcal{A}(\Omega)^2$$

the exponent 2 is sharp.

[Fuglede, 1989], [Hall, 1992], [Fusco - Maggi - Pratelli, 2008], [Figalli - Maggi - Pratelli, 2010], [Cicalese - Leonardi, 2013].....

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Remark

The functional $\mathcal{A}(\Omega)$ is a "scaling invariant" asymmetry functional, i.e. a functional defined over sets such that

$$\mathcal{A}(t\Omega)=\mathcal{A}(\Omega), \hspace{1em}$$
 for every $t>0$

and

$$\mathcal{A}(\Omega)=0, \hspace{1em}$$
 if and only if Ω is a ball

Quantitative inequalities for eigenvalues

The stability question arises also for the inequalities concerning the first (or first nontrivial) Laplacian-eigenvalues with different boundary conditions. For example, in the case of the first Dirichlet Laplacian eigenvalue the following quantitative Faber-Krahn inequality holds true:

$$|\Omega|^{2/n}\lambda_1(\Omega) - |B|^{2/n}\lambda_1(B) \ge C(n) \mathcal{A}(\Omega)^2$$

also in this case the exponent 2 is sharp.

[Melas, 1992], [Hansen - Nadirashvili, 1994], [Fusco - Maggi - Pratelli, 2009], [Brasco - De Philippis - Velichkov, 2015]

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Similar results have been obtained for the first non trivial Neumann Laplacian eigenvalue [Brasco - Pratelli, 2012]

and for the first non trivial Steklov Laplacian eigenvalue [Brasco - De Philippis - Ruffini, 2012]

Robin problem

Then a natural question is:

Prove a quantitative Faber-Krahn inequality for the first eigenvalue of the Robin Laplacian $\lambda_{1,\beta}(\Omega)$

$$\begin{cases} -\Delta u = \lambda u & \text{in } \Omega \\ \frac{\partial u}{\partial \nu} + \beta u = 0 & \text{on } \partial \Omega. \end{cases}$$

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Observe that for $\beta = +\infty$ the above problem becomes the Dirichlet problem, while for $\beta = 0$ it becomes the Neumann problem.

The Robin eigenvalue problem

For smooth domains the variational characterization of $\lambda_{1,\beta}$ is given by

$$\lambda_{1,\beta}(\Omega) = \min_{\substack{v \in H^{1}(\Omega) \\ v \neq 0}} \frac{\int_{\Omega} |\nabla v|^{2} dx + \beta \int_{\partial \Omega} v^{2} d\mathcal{H}^{n-1}}{\int_{\Omega} v^{2} dx}.$$

As in the Dirichlet case, the ball minimizes this eigenvalue under the volume constraint, but, contrary to the Dirichlet case, scale invariance is not preserved because of the presence of the parameter β .

A remark about $\boldsymbol{\Omega}$

The Faber-Krahn inequality for the Robin Laplacian was extended in [Bucur - Giacomini, 2010], [Bucur - Giacomini, 2015] to arbitrary open sets with finite measure.

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If $A \subset \mathbb{R}^n$ is an open set with finite measure, without any smoothness assumption, the trace of a Sobolev function from $H^1(A)$ is not well defined on ∂A , then the Robin eigenvalue problem on A can not be defined.

A remark about Ω

The Faber-Krahn inequality for the Robin Laplacian was extended in [Bucur - Giacomini, 2010], [Bucur - Giacomini, 2015] to arbitrary open sets with finite measure.

If $u \in BV(\mathbb{R}^n)$ we denote by u^+, u^- the approximate upper and lower limits, and by $J_u = \{x \in \mathbb{R}^n : u^+(x) > u^-(x)\}$ the jump set.

The functions in $SBV(\mathbb{R}^n)$ are such that $D^s u$ (the singular part with respect to the Lebesgue measure of the finite Radon measure Du) is concentrated on J_u . For a function $u \in SBV(\mathbb{R}^n)$, ∇u denotes the approximate gradient.

[De Giorgi - Ambrosio, 1989], [Ambrosio, 1990].....

A remark about $\boldsymbol{\Omega}$

Let $SBV^{\frac{1}{2}}(\mathbb{R}^n)$ [Bucur - Giacomini, 2010, 2015] the set of nonnegative measurable functions u such that $u^2 \in SBV(\mathbb{R}^n)$, the fundamental Robin eigenvalue of the set A is defined as

$$\lambda_{1,\beta}(A) = \min_{\substack{v \in SBV^{\frac{1}{2}}(\mathbb{R}^n) \\ v=0 \text{ a.e. in } \mathbb{R}^n \setminus A; J_v \subset \partial A \text{ a.e.} \mathcal{H}^{n-1}}} \frac{\int_{\mathbb{R}^n} |\nabla v|^2 dx + \beta \int_{J_v} (|v^+|^2 + |v^-|^2) d\mathcal{H}^{n-1}}{\int_{\mathbb{R}^n} v^2 dx}$$

A remark about Ω

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- The minimum is attained.
- All minimizers *u* are called eigenfunctions and they satisfy an eigenvalue equation in a suitably weak sense.
- The Faber Krahn inequality holds true.

Theorem ([Bucur - Ferone - Nitsch - T., 2016])

Let Ω be an open set with finite measure and let B a ball with the same measure as Ω , then there exists a positive constant c depending only on $n, \beta, |\Omega|$, such that

 $\lambda_{1,\beta}(\Omega) - \lambda_{1,\beta}(B) \geq c \,\mathcal{A}(\Omega)^2.$

Lemma ([Bucur - Ferone - Nitsch - T., 2016])

Let A be a connected, open set with finite measure, and let B a ball with the same measure as A. Then if u is a nonnegative, L^2 -normalized eigenfunction we have

$$\lambda_{1,\beta}(A) - \lambda_{1,\beta}(B) \geq \frac{\beta}{2}(\operatorname*{ess\,inf}_{x\in A}u(x))^2(\mathcal{H}^{n-1}(\partial^*A) - \mathcal{H}^{n-1}(\partial B)).$$

 $\partial^* A$ stands for the reduced boundary of A and the convention $0 \cdot \infty = 0$ is used.

For simplicity we sketch the proof for A smooth.

Let u be a positive eigenfunction.



For almost every $t \in (0, ||u||_{L^{\infty}})$ it results

$$egin{aligned} \lambda_{1,eta}(A) &= H(U_t,rac{|
abla u|}{u}) \ \lambda_{1,eta}(A) &\geq H(U_t,arphi) + rac{1}{t|U_t|}rac{d}{dt}(t^2F(t)), \quad orall arphi arphi \end{aligned}$$

where $t^2 F(t)$ is an absolutely continuous function vanishing at 0 and $||u||_{\infty}$.

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$$\lambda_{1,eta}({\it A}) \geq {\it H}(U_t,arphi) + rac{1}{t|U_t|}rac{d}{dt}(t^2{\it F}(t)), \quad orall arphi$$

where $t^2 F(t)$ is an absolutely continuous function vanishing at 0 and $||u||_{\infty}$. Denoting by u_B a positive (radially symmetric) eigenfunction on B we choose φ as the derearrangement of $\frac{|\nabla u_B|}{u_B}$ on U_t , that is, we define r(t) such that

•
$$|B_{r(t)}| = |U_t|;$$

• $\varphi(x) = \frac{|\nabla u_B|}{u_B}(r(t))$ if $u(x) = t, x \in A$
moreover $\frac{|\nabla u_B|}{u_B}(r(t)) \le \beta$

$$\lambda_{1,\beta}(A) - \lambda_{1,\beta}(B) \ge \frac{1}{|U_t|} \left(\int_{\partial U_t^{int}} \varphi + \beta \int_{\partial U_t^{ext}} 1 - \int_{U_t} \varphi^2 \right)$$
$$- \frac{1}{|B(r(t))|} \left(\int_{\partial B(r(t))} \frac{|\nabla u_B|}{u_B} - \int_{B(r(t))} \frac{|\nabla u_B|^2}{u_B^2} \right) + \frac{1}{t|U_t|} \frac{d}{dt} (t^2 F(t))$$

Using the properties of derearrangement one obtains

$$\begin{split} t|U_t|\left[\lambda_{1,\beta}(A)-\lambda_{1,\beta}(B)\right] &\geq t\frac{|\nabla u_B|}{u_B}(r(t))\left[\mathcal{H}^{n-1}(\partial U_t)-\mathcal{H}^{n-1}(\partial B_{r(t)})\right] \\ &\quad +\frac{d}{dt}(t^2F(t)). \end{split}$$

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ight] + rac{d}{dt}(t^2F(t)).$$

Integrating we have

$$\begin{split} \int_{0}^{||u||_{L^{\infty}}} t|U_{t}| \left[\lambda_{1,\beta}(A) - \lambda_{1,\beta}(B)\right] dt \geq \\ \geq \int_{0}^{||u||_{L^{\infty}}} t \frac{|\nabla u_{B}|}{u_{B}}(r(t)) \left[\mathcal{H}^{n-1}(\partial U_{t}) - \mathcal{H}^{n-1}(\partial B_{r(t)})\right] dt. \\ \text{Using the fact that } \frac{|\nabla u_{B}|}{u_{B}}(r(t)) = \beta \text{ for } 0 \leq t \leq u_{min} \text{ we have:} \\ \frac{||u||_{L^{2}}^{2}}{2} \left[\lambda_{1,\beta}(A) - \lambda_{1,\beta}(B)\right] \geq \frac{u_{min}^{2}}{2} \beta \left[\mathcal{H}^{n-1}(\partial A) - \mathcal{H}^{n-1}(\partial B)\right] \end{split}$$

Step 1

Let $\Omega \subset \mathbb{R}^n$ be an open set of finite volume. For k > 0 the following free discontinuity problem

$$\min\{\lambda_{1,\beta}(A)+k|A|:A\subset\Omega,A \text{ open}\}.$$

admits a solution a solution exists and that for a nonnegative, L^2 -normalized eigenfunction u on A, we have

 $\operatorname{ess\,inf}_{x\in A} u(x) \ge \alpha > 0,$

where the value α depends only on $n, \beta, k, \lambda_{1,\beta}(\Omega), |\Omega|$. [Bucur - Giacomini, 2015], [Caffarelli - Kriventsov, 2016]

Step 1

Introducing the following relaxed problem

$$\int_{\mathbb{R}^n} |\nabla u|^2 \, dx + \beta \int_{J_u} (|u^+|^2 + |u^-|^2) \, d\mathcal{H}^{n-1} + k |\{u > 0\}| : u \in SBV^{\frac{1}{2}}(\mathbb{R}^n)$$

$$u = 0$$
 a.e. in $\mathbb{R}^n \setminus \Omega$, $\int_{\mathbb{R}^n} u^2 dx = 1$.

a solution u of this problem exists [Bucur - Giacomini, 2010, 2015], is a function in $SBV^{\frac{1}{2}}(\mathbb{R}^n)$ and satisfies:

- ess inf_{$x \in \{u > 0\}$} $u(x) \ge \alpha > 0$,
- the jump set J_{μ} of the function u is closed in Ω ,
- we dentify $A = \{u > 0\} \setminus J_{u}$.
- the set A is connected, otherwise a connected component of the set $\{u > 0\} \setminus J_{\mu}$ would give strictly lower energy.

Step 2: the refinement of the proof of Bossel and Daners

$$\lambda_{1,\beta}(A) - \lambda_{1,\beta}(B_A) \geq \frac{\beta}{2} (\operatorname{ess\,inf}_{x \in A} u(x))^2 (\mathcal{H}^{n-1}(\partial^* A) - \mathcal{H}^{n-1}(\partial B_A)).$$

Step 2: the refinement of the proof of Bossel and Daners

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Step 3

We apply Step 2 to the set A issued from Step 1. We use the quantitative isoperimetric inequality for the set A and we prove that the Fraenkel asymmetry of A and the loss of measure $|\Omega \setminus A|$ are comparable with the Fraenkel asymmetry of Ω . Consequently we get the stated inequality.

Before giving some details about the proof we point out that the uniform convexity of the map $% \left({{{\mathbf{x}}_{i}}} \right)$

$$(0, +\infty) \ni r \mapsto \lambda_{1,\beta}(B_r) + k|B_r|$$

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For Dirichlet laplacian this property comes directly from the scaling properties of the eigenvalue

$$\lambda_1(B_r) = \frac{j_{n/2-1,1}^2}{r^2}.$$

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$$\lambda_1(B_r) = \frac{j_{n/2-1,1}^2}{r^2}.$$

For the Robin laplacian the first eigenvalue $\lambda_1(B_r)$ is implicitely defined by the equation $G(r, \lambda) = 0$ where

$$G(r,\lambda) = \sqrt{\lambda} J_{n/2}(\sqrt{\lambda}r) - \beta J_{n/2-1}(\sqrt{\lambda}r)$$

A sketch of Step 3 goes as follows.

Assume that $\lambda_{1,\beta}(\Omega) \leq 2\lambda_{1,\beta}(B)$, otherwise the quantitative inequality holds with a suitable constant.

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Assume that $\lambda_{1,\beta}(\Omega) \leq 2\lambda_{1,\beta}(B)$, otherwise the quantitative inequality holds with a suitable constant. It is possible to prove that there exists a constant \overline{k}

(depending on $|\Omega|$, *n*, β) such that

$$\lambda_{1,eta}(A)+ar{k}\left|A
ight|\geq\lambda_{1,eta}(B)+ar{k}\left|B
ight|,\qquad \left|B
ight|=\left|\Omega
ight|$$

for all $A \subset \mathbb{R}^n$ open sets with finite measure.

The quantitative estimate: an idea of the proof We denote by \tilde{A} the set which minimizes

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We denote by \tilde{A} the set which minimizes

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and by \tilde{u} a nonnegative eigenfunction associated to $\lambda_{1,\beta}(\tilde{A})$ normalized in such a way that $||\tilde{u}||_{L^2} = 1$. We denote as well \tilde{B} a ball of the same volume as \tilde{A} attaining the minimum in $\mathcal{A}(\tilde{A})$. We use the refinement of the Bossel - Daners applied to \tilde{A} and the quantitative isoperimetric inequality

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The quantitative estimate: an idea of the proof We denote by \tilde{A} the set which minimizes

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$$\lambda_{1,\beta}(\tilde{A}) - \lambda_{1,\beta}(\tilde{B}) \geq \frac{\beta}{2} (\operatorname*{ess\,inf}_{x \in \tilde{A}} \tilde{u}(x))^2 (\mathcal{H}^{n-1}(\partial^* \tilde{A}) - \mathcal{H}^{n-1}(\partial \tilde{B})),$$

$$\geq C(n)|\tilde{A}|^{\frac{n-1}{n}}\frac{\tilde{u}_{min}^2}{2}eta\mathcal{A}(\tilde{A})^2.$$

By the minimality of \tilde{A} and the Step1 we have that there exists a positive constant $C(n, \beta, |\Omega|)$ such that

$$egin{aligned} \lambda_{1,eta}(\Omega)+ar{k}\,|\Omega|&\geq\lambda_{1,eta}(ilde{A})+ar{k}\,| ilde{A}|\geq\ &\geq\lambda_{1,eta}(ilde{B})+ar{k}\,| ilde{B}|+C(n,eta,|\Omega|)(| ilde{A}igttimes ilde{B}|)^2 \end{aligned}$$

By the convexity result we have that there exists a positive constant $C(n, |\Omega|)$ such that

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and then

$$egin{aligned} \lambda_{1,eta}(\Omega)+ar{k}\left|\Omega
ight|&\geq\lambda_{1,eta}(ilde{A})+ar{k}\left| ilde{A}
ight|\geq\ &\geq\lambda_{1,eta}(ilde{B})+ar{k}\left| ilde{B}
ight|+C(n,eta,\left|\Omega
ight|)(| ilde{A}igtttiangle ilde{B}|)^2\ &\lambda_{1,eta}(\Omega)&\geq\lambda_{1,eta}(B)+C(n,eta,\left|\Omega
ight|)(|B|-| ilde{B}|+| ilde{A}igttiangle ilde{B}|)^2\ &\geq\lambda_{1,eta}(B)+C(n,eta,\left|\Omega
ight|)\mathcal{A}(\Omega)^2 \end{aligned}$$

where in the last inequality we used the very definition of Fraenkel asymmetry and the fact that $\tilde{A} \subseteq \Omega$.

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$$\lambda_{1,eta}(ilde{B})+ar{k}\,| ilde{B}|\geq\lambda_{1,eta}(B)+ar{k}\,|B|+C(n,|\Omega|)(|B|-| ilde{B}|)^2$$

and then

$$\begin{split} \lambda_{1,\beta}(\Omega) + \bar{k} \left| \Omega \right| &\geq \lambda_{1,\beta}(\tilde{A}) + \bar{k} \left| \tilde{A} \right| \geq \\ &\geq \lambda_{1,\beta}(\tilde{B}) + \bar{k} \left| \tilde{B} \right| + C(n,\beta,|\Omega|) (|\tilde{A} \bigtriangleup \tilde{B}|)^2 \\ \lambda_{1,\beta}(\Omega) &\geq \lambda_{1,\beta}(B) + C(n,\beta,|\Omega|) (|B| - |\tilde{B}| + |\tilde{A} \bigtriangleup \tilde{B}|)^2 \\ &\geq \lambda_{1,\beta}(B) + C(n,\beta,|\Omega|) \mathcal{A}(\Omega)^2 \end{split}$$

where in the last inequality we used the very definition of Fraenkel asymmetry and the fact that $\tilde{A} \subseteq \Omega$.

The constants $C(n, \beta, |\Omega|)$ above do not depend explicitly on \bar{k} and $\lambda_{1,\beta}(\Omega)$ since \bar{k} depends only on n, β and $|\Omega|$ and we have chosen to work with sets Ω such that $\lambda_{1,\beta}(\Omega) \leq 2\lambda_{1,\beta}(B)$, so that the upper bound of $\lambda_{1,\beta}(\Omega)$ depends only on n, β and $|\Omega|$.

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The quantitative estimate: an idea of the proof In order to compare $|B| - |\tilde{B}| + |\tilde{A} \triangle \tilde{B}|$ with $\mathcal{A}(\Omega)$ we observe that $|B| - |\tilde{B}| + |\tilde{A} \triangle \tilde{B}| = |B| - |\tilde{B}| + 2(|\tilde{A}| - |\tilde{A} \cap \tilde{B}|)$ $\geq |\Omega| + |\tilde{A}| - |\tilde{A} \cap \tilde{B}| - |\Omega \cap B|$ $\geq |\Omega| - |\Omega \cap B| = \frac{1}{2}|\Omega \triangle B|$

It follows

$$|B| - | ilde{B}| + | ilde{A} riangle ilde{B}| \geq rac{|\Omega|}{2} \mathcal{A}(\Omega).$$

Thank you for your attention