

Shearlets: Theory, Applications, and Generalizations

Felix Voigtlaender (*on behalf of Gitta Kutyniok*)

Coherent States and their Applications: A Contemporary Panorama
November 15, 2016



- 1 Multiscale Systems inspired by Coherent States
 - The Quasi-regular Representation
 - Wavelets and their Limitations
 - Continuous Shearlet Systems
 - Discrete Shearlet Systems
- 2 Shearlets and their Applications
 - Compactly supported Shearlets
 - Optimal sparse Approximation
 - Applications with Compressed Sensing
- 3 Shearlets, Coherent States & Decomposition spaces

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The quasi-regular representation of $\mathbb{R}^d \rtimes \mathrm{GL}(\mathbb{R}^d)$

The quasi-regular representation

$$\pi : \mathbb{R}^d \rtimes \mathrm{GL}(\mathbb{R}^d) \rightarrow \mathcal{U}(L^2(\mathbb{R}^d)), (x, h) \mapsto \mathbf{T}_x \mathbf{D}_h$$

with

$$(\mathbf{T}_x f)(y) = f(y - x) \quad \text{and} \quad \mathbf{D}_h f = |\det h|^{-1/2} \cdot f \circ h^{-1}$$

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Duflo-Moore: Instead of $\mathrm{GL}(\mathbb{R}^d)$, consider $H \leq \mathrm{GL}(\mathbb{R}^d)$ closed, called **dilation group**. We get a system of coherent states $(\pi(x, h) \psi)_{(x, h) \in G}$ for $G := \mathbb{R}^d \rtimes H$ if $\pi|_G$ is **irreducible and square-integrable**.

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Theorem (Führ)

$\pi|_G$ is irreducible and square-integrable if and only if

- 1 There is $\xi_0 \in \mathbb{R}^d$ such that the **dual orbit** $\mathcal{O} := H^T \xi_0 \subset \mathbb{R}^d$ is open and of full measure.
- 2 The isotropy group $H_{\xi_0} := \{h \in H \mid h^T \xi_0 = \xi_0\} \leq H$ is compact.


Definition: Let $\psi \in L^2(\mathbb{R})$ be a wavelet, i.e., $\int_{\mathbb{R}} |\widehat{\psi}(\omega)|^2 \frac{d\omega}{|\omega|} < \infty$. The associated **homogeneous continuous wavelet system** is

$$\left(|a|^{-1/2} \cdot \psi(a^{-1}(\bullet - b)) \right)_{a \in \mathbb{R}^*, b \in \mathbb{R}} = (\pi(b, a) \psi)_{(b, a) \in \mathbb{R} \times \mathbb{R}^*}.$$

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$$\left(\phi(\bullet - m) \right)_{m \in \mathbb{Z}} \cup \left(2^{j/2} \cdot \psi(2^j \bullet - m) \right)_{j \in \mathbb{N}_0, m \in \mathbb{Z}}.$$


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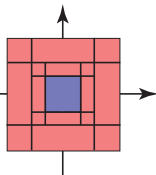
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Definition: Let ϕ, ψ as above. A **2D inhomogeneous discrete wavelet system** is defined by

$$\left(\phi^{(1)}(\bullet - m) \right)_{m \in \mathbb{Z}^2} \cup \left(2^j \cdot \psi^{(\ell)}(2^j \bullet - m) \right)_{j \in \mathbb{N}_0, m \in \mathbb{Z}^2, \ell \in \{1, 2, 3\}}$$

where



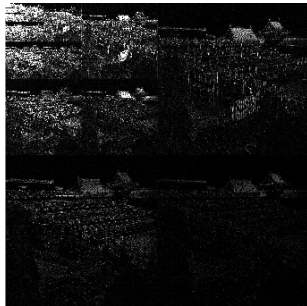
$$\phi^{(1)} := \phi \otimes \phi, \quad \psi^{(1)} := \phi \otimes \psi, \quad \psi^{(2)} := \psi \otimes \phi, \quad \psi^{(3)} := \psi \otimes \psi.$$

Approximation properties of Wavelets

For good **compression** of a signal f , it would be desirable that f can be well approximated using only a few wavelets:

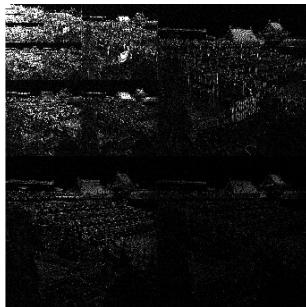
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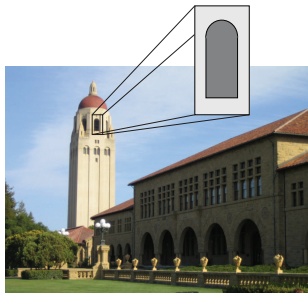


Theorem: Discrete wavelets provide **optimal approximation rates** for those $f \in L^2(\mathbb{R}^2)$ which are C^2 apart from **point singularities**:

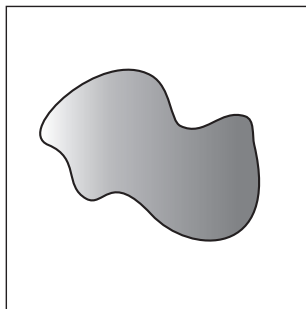
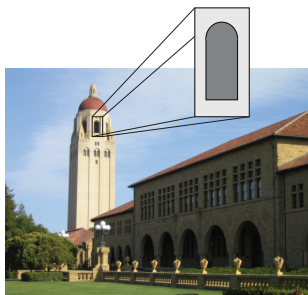
$$\|f - f_N\|_{L^2} \lesssim N^{-1/2} \quad (N \rightarrow \infty).$$

Living on the point





Natural images are governed by curved singularities, not point singularities!



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Definition (Donoho; 2001)

With $Q := (0, 1)^2$, the set of **cartoon-like functions** is defined as

$$\mathcal{E}^2(\mathbb{R}^2) = \{f_0 + f_1 \cdot \mathbf{1}_B \mid \partial B \subset Q \text{ closed } C^2 \text{ curve and } f_0, f_1 \in C_c^2(Q)\}.$$

Approximation of cartoon-like functions

Theorem: For $f \in \mathcal{E}^2(\mathbb{R}^2)$, the best N -term approximation f_N

- using **Fourier basis**: $\|f - f_N\|_{L^2} \lesssim N^{-1/4}$,
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$$\|f - f_N\|_{L^2} \lesssim N^{-\theta} \quad \forall N \in \mathbb{N} \quad \forall f \in \mathcal{E}^2(\mathbb{R}^2),$$

then $\theta \leq 1$. Here, we assume **polynomial depth search** for forming f_N .

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Intuitive explanation: This is caused by the **scalar** dilations:



Design Goals for a new representation system

Design a representation system $\Psi = (\psi_\lambda)_\lambda \subset L^2(\mathbb{R}^2)$ such that:

- Ψ is a **frame**:

$$f \in L^2 \mapsto (\langle f, \psi_\lambda \rangle)_\lambda \in \ell^2 \mapsto \sum_\lambda \langle f, \psi_\lambda \rangle \widetilde{\psi}_\lambda = f.$$

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Non-exhaustive list of approaches:

- Ridgelets (Candès and Donoho; 1999)
- Curvelets (Candès and Donoho; 2002)
- Contourlets (Do and Vetterli; 2002)
- Bandlets (LePennec and Mallat; 2003)
- **Shearlets** (Kutyniok and Labate; 2006)

Instead of the dilation group $H = \mathbb{R}^* \cdot \text{SO}(\mathbb{R}^d)$ leading to wavelets, we consider the dilation group

$$H = \left\{ \varepsilon \cdot \underbrace{\begin{pmatrix} a & 0 \\ 0 & a^{1/2} \end{pmatrix}}_{=: A_a} \underbrace{\begin{pmatrix} 1 & s \\ 0 & 1 \end{pmatrix}}_{=: S_s} \mid \varepsilon \in \{\pm 1\}, a \in (0, \infty), s \in \mathbb{R} \right\}.$$

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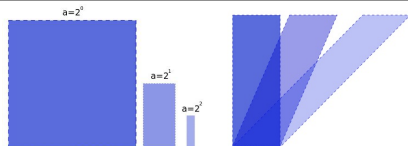
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Main properties:

- **Parabolic scaling**
- **Different orientations** via shearing.



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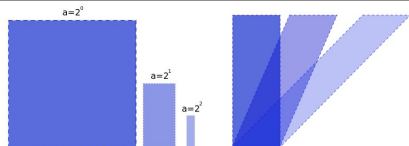
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Advantages of shearing:

- The shearing matrices $\begin{pmatrix} 1 & k \\ 0 & 1 \end{pmatrix}$ leave the digital grid \mathbb{Z}^2 invariant.
- Uniform theory for the analog and digital situation.

The set

$$\left\{ (S_k A_{2^j})^{-1} = \left[\begin{pmatrix} 1 & k \\ 0 & 1 \end{pmatrix} \begin{pmatrix} 2^j & 0 \\ 0 & 2^{j/2} \end{pmatrix} \right]^{-1} : j, k \in \mathbb{Z} \right\}$$

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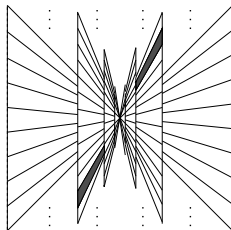
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Hence, **coorbit theory** (Feichtinger & Gröchenig) motivates the following definition:

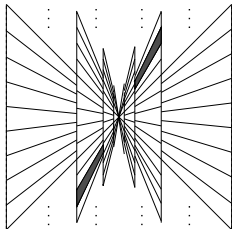
Definition (Kutyniok, Labate; 2006): For $\psi \in L^2(\mathbb{R}^2)$, the associated **discrete shearlet system** is

$$\left(2^{\frac{3}{4}j} \cdot \psi(S_k A_{2^j} \bullet - m) \right)_{j,k \in \mathbb{Z}, m \in \mathbb{Z}^2} = \left(\mathbf{D}_{(S_k A_{2^j})^{-1}} \mathbf{T}_m \psi \right)_{j,k \in \mathbb{Z}, m \in \mathbb{Z}^2} .$$

The induced frequency tiling

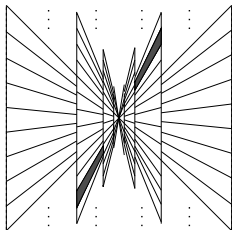


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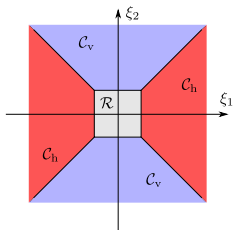
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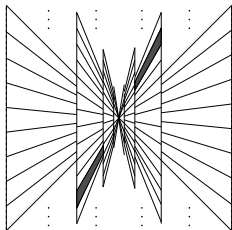


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Solution: Use cone-adapted shearlets:

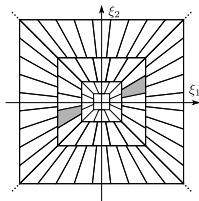
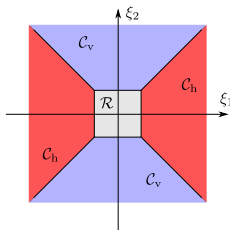


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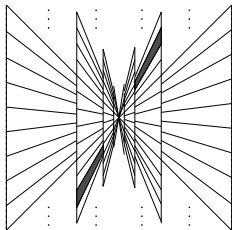


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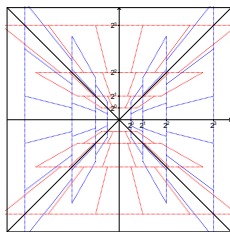
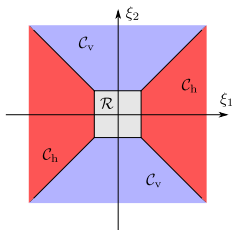


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Cone-adapted Discrete Shearlet Systems

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- Ψ is a **frame**.
- Ψ is an **affine system**, motivated by **coherent states**. ✓
- Ψ is a **multiscale representation system**, with an associated **tiling of the Fourier domain**. ✓
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Theorem (Kittipoom, Kutyniok, Lim; 2012):

Let $\phi, \psi, \tilde{\psi} \in L^2(\mathbb{R}^2)$ be compactly supported and assume that

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- we have

$$|\widehat{\phi}(\xi)|^2 + \sum_{j,k} |\widehat{\psi}_{j,k}(\xi)|^2 + |\widehat{\tilde{\psi}}_{j,k}(\xi)|^2 \geq C > 0 \quad \text{a.e.} \quad (\dagger)$$

Then there is some $c > 0$ such that $\mathcal{SH}(\phi, \psi, \tilde{\psi}; c)$ is a frame for $L^2(\mathbb{R}^2)$.

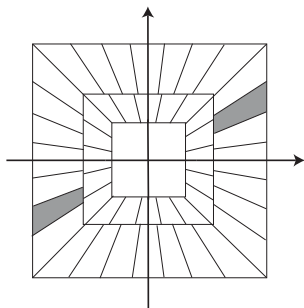
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$$|\widehat{\phi}(\xi)|^2 + \sum_{j,k} |\widehat{\psi}_{j,k}(\xi)|^2 + |\widehat{\tilde{\psi}}_{j,k}(\xi)|^2 \geq C > 0 \quad \text{a.e.} \quad (\dagger)$$

Then there is some $c > 0$ such that $\mathcal{SH}(\phi, \psi, \tilde{\psi}; c)$ is a frame for $L^2(\mathbb{R}^2)$.

Remarks

- For (\dagger) , it suffices to have $\tilde{\psi}((\xi_1, \xi_2)) = \psi((\xi_2, \xi_1))$ as well as

$$\begin{aligned} |\widehat{\phi}(\xi)| &\gtrsim 1 && \text{for } \xi \in [-1, 1]^2, \\ |\widehat{\psi}(\xi)| &\gtrsim 1 && \text{for } \xi_1 \in [1/3, 3] \text{ and } |\xi_2| \leq |\xi_1|, \end{aligned}$$

- There are special examples with frame bounds $B/A \approx 4$.

Theorem (Kutyniok, Lim; 2011):

Let $\phi, \psi, \tilde{\psi} \in L^2(\mathbb{R}^2)$ be compactly supported and such that $\mathcal{SH}(\phi, \psi, \tilde{\psi}; c)$ is a frame for $L^2(\mathbb{R}^2)$. Further, assume that $\hat{\psi}, \hat{\tilde{\psi}}$ satisfy certain decay conditions. Then $\mathcal{SH}(\phi, \psi, \tilde{\psi}; c)$ provides an **optimally sparse approximation** of all $f \in \mathcal{E}^2(\mathbb{R}^2)$, i.e.,

$$\|f - f_N\|_{L^2} \lesssim N^{-1} \cdot (\log N)^{3/2} \quad \forall N \in \mathbb{N} \quad \forall f \in \mathcal{E}^2(\mathbb{R}^2).$$

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Remark

The proof shows

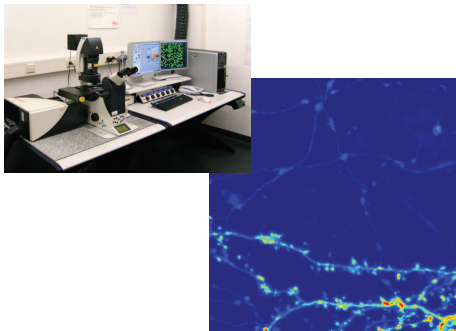
$$\sum_{n>N} |\theta(f)|_n^2 \lesssim N^{-2} \cdot (\log N)^3 \quad \forall N \in \mathbb{N} \quad \forall f \in \mathcal{E}^2(\mathbb{R}^2),$$

where $|\theta(f)|_n$ denotes the n -th largest shearlet coefficient. Hence, the shearlet coefficients are in ℓ^p for all $p > \frac{2}{3}$.

Applications

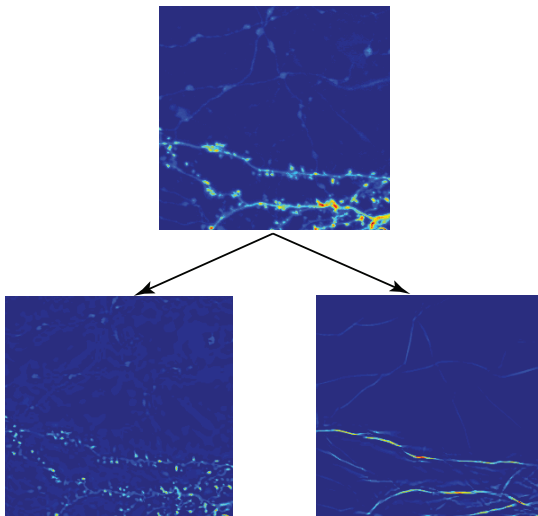
Problem from Neurobiology: Alzheimer Research

- Detection of characteristics of Alzheimer
- Separation of spines (point-like) and dendrites (curvilinear)



(Confocal-Laser Scanning-Microscopy)

Numerical results of feature separation



(Source: Brandt, Kutyniok, Lim, Sündermann; 2010)

General approach:

- Let $x^0 = x_1^0 + x_2^0$ be a signal.
- Let Φ_1, Φ_2 be frames such that $x_i^0 = \Phi_i c_i^0$ with c_i^0 sparse, $i = 1, 2$.
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$$(\hat{c}_1, \hat{c}_2) = \operatorname{argmin} \|c_1\|_{\ell^1} + \|c_2\|_{\ell^1} \text{ subject to } x^0 = \Phi_1 c_1 + \Phi_2 c_2.$$

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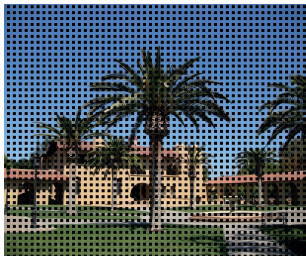
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Theorems (Donoho, Kutyniok; 2013), (Kutyniok; 2014):

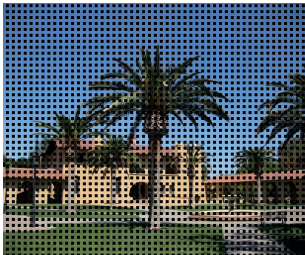
- Wavelets: Optimally sparse approximations of points.
- Shearlets: Optimally sparse approximations of curves.

\rightsquigarrow **Provable asymptotic separation of points and curves!**

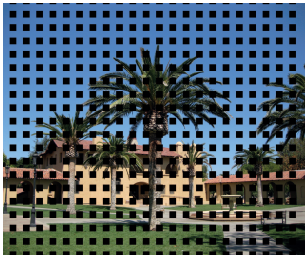
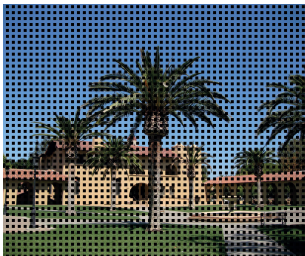
Inpainting using Shearlets



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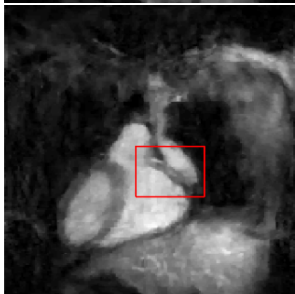


Inpainting using Shearlets



(Source: Lim; 2014)

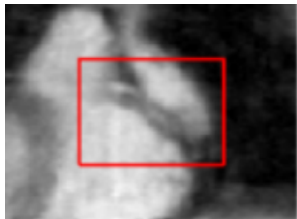
MRI reconstruction



SENSE

TV

Shearlets



SENSE

TV

Shearlets

- 1 Multiscale Systems inspired by Coherent States
 - The Quasi-regular Representation
 - Wavelets and their Limitations
 - Continuous Shearlet Systems
 - Discrete Shearlet Systems
- 2 Shearlets and their Applications
 - Compactly supported Shearlets
 - Optimal sparse Approximation
 - Applications with Compressed Sensing
- 3 Shearlets, Coherent States & Decomposition spaces

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$$\mathcal{D}(\mathcal{Q}, L^p, \ell_w^q) := \left\{ g \in \text{???} \mid (\|\mathcal{F}^{-1}(\varphi_i \cdot \widehat{g})\|_{L^p})_{i \in I} \in \ell_w^q(I) \right\}.$$

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In most (but not all cases) where $\mathcal{O} = \mathbb{R}^d$, we can use $\mathcal{S}'(\mathbb{R}^d)$ as the reservoir, i.e.,

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Why are decomposition spaces useful?

Many important smoothness spaces are **decomposition spaces**:

- Besov spaces (homogeneous *and* inhomogeneous),
- (α) -modulation spaces,
- Shearlet smoothness spaces,
- **All wavelet-type coorbit spaces.**

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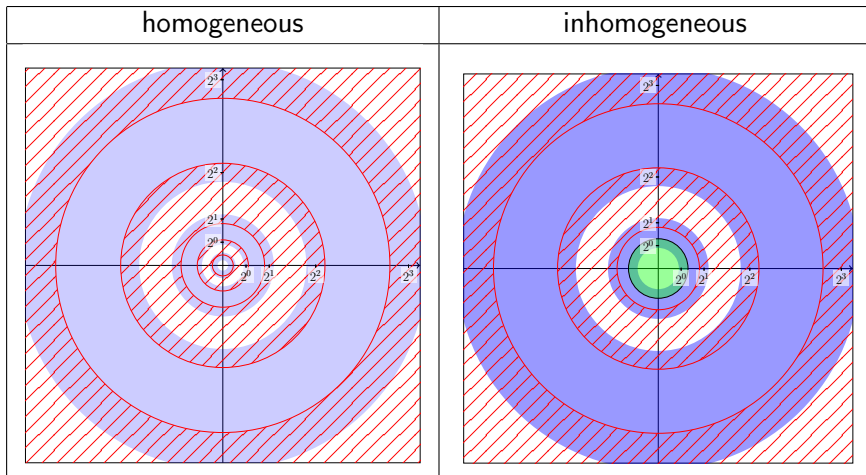
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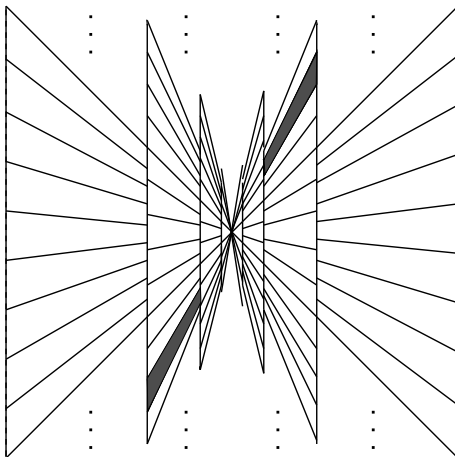
\rightsquigarrow We can study coorbit spaces using decomposition space theory.

Examples of coverings I: Besov spaces

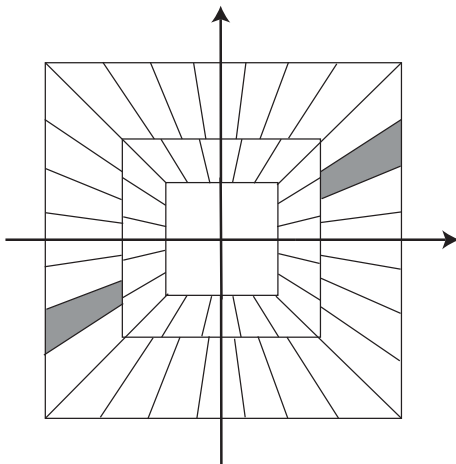
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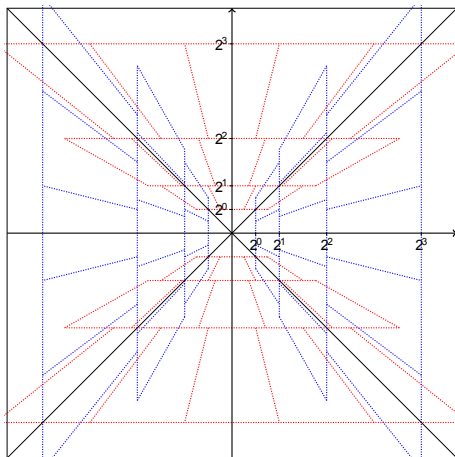
Examples of coverings II: Shearlet coorbit spaces



Examples of coverings III: Shearlet smoothness spaces



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Assume that $\mathcal{Q} = (Q_i)_{i \in I}$ is a **structured admissible covering**, i.e.,

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Idea: For fixed **prototype** $\gamma \in L^1(\mathbb{R}^d)$, consider the **structured family**

$$\Psi(\delta) := \left(\mathbf{T}_{\delta \cdot T_i^{-T} k} \gamma^{[i]} \right)_{i \in I, k \in \mathbb{Z}^d} \quad \text{with} \quad \gamma^{[i]} := |\det T_i|^{1/2} \cdot \mathbf{M}_{b_i}[\gamma \circ T_i^T].$$

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Note:

- $\widehat{\gamma}^{[i]} = |\det T_i|^{-1/2} \cdot \mathbf{T}_{b_i} [\widehat{\gamma} \circ T_i^{-1}]$.
- In particular, **if $\widehat{\gamma}$ is essentially supported in Q , then $\widehat{\gamma}^{[i]}$ is essentially supported in Q_i .**

Theorem (Voigtlaender; 2016): Let $\mathcal{Q} = (T_i Q + b_i)_{i \in I}$ and let $w = (w_i)_{i \in I}$ be a suitable weight. For $p, q \in (0, \infty]$, there is an (explicitly given) coefficient space $\mathcal{C}_{p,q,w} \leq \mathbb{C}^{I \times \mathbb{Z}^d}$ such that the following holds:

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Precisely:

- The analysis operator

$$A^{(\delta)} : \mathcal{D}(\mathcal{Q}, L^p, \ell_w^q) \rightarrow \mathcal{C}_{p,q,w}, f \mapsto \left[\left(f * \gamma^{[j]} \right) \left(\delta \cdot T_i^{-T} k \right) \right]_{i \in I, k \in \mathbb{Z}^d}$$

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- There is a bounded **reconstruction operator**

$$R^{(\delta)} : \mathcal{C}_{p,q,w} \rightarrow \mathcal{D}(\mathcal{Q}, L^p, \ell_w^q)$$

satisfying $R^{(\delta)} \circ A^{(\delta)} = \text{id}_{\mathcal{D}(\mathcal{Q}, L^p, \ell_w^q)}$.

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If γ satisfies **certain technical conditions**, then there is $\delta_0 > 0$ such that $\Psi^{(\delta)}$ forms an **atomic decomposition** for $\mathcal{D}(\mathcal{Q}, L^p, \ell_w^q)$ for all $0 < \delta \leq \delta_0$.

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Precisely,

- The **synthesis operator**

$$\begin{aligned} S^{(\delta)} : \mathcal{C}_{p,qw} &\rightarrow \mathcal{D}(\mathcal{Q}, L^p, \ell_w^q) \\ (c_k^{(i)})_{i \in I, k \in \mathbb{Z}^d} &\mapsto \sum_{i \in I} \sum_{k \in \mathbb{Z}^d} c_k^{(i)} \cdot \mathbf{T}_{\delta \cdot T_i^{-T} k} \gamma^{[i]} \end{aligned}$$

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Precisely,

- The **synthesis operator**

$$S^{(\delta)} : \mathcal{C}_{p,q,w} \rightarrow \mathcal{D}(\mathcal{Q}, L^p, \ell_w^q)$$

$$(c_k^{(i)})_{i \in I, k \in \mathbb{Z}^d} \mapsto \sum_{i \in I} \sum_{k \in \mathbb{Z}^d} c_k^{(i)} \cdot \mathbf{T}_{\delta \cdot T_i^{-1} T_k} \gamma^{[i]}$$

is well-defined and bounded.

- There is a bounded **coefficient operator**

$$C^{(\delta)} : \mathcal{D}(\mathcal{Q}, L^p, \ell_w^q) \rightarrow \mathcal{C}_{p,q,w}$$

satisfying $S^{(\delta)} \circ C^{(\delta)} = \text{id}_{\mathcal{D}(\mathcal{Q}, L^p, \ell_w^q)}$.

Each $f \in \mathcal{D}(\mathcal{Q}, L^p, \ell_w^q)$ has a (more or less) **sparse expansion** w.r.t $\Psi^{(\delta)}$.

Lemma: If \mathcal{Q} is the covering associated to Shearlet smoothness spaces $\mathcal{S}_s^{p,q}(\mathbb{R}^2)$ (introduced by Labate et al.), then $(\gamma^{[i]})_{i \in I}$ is a cone-adapted shearlet system.

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Corollary (Voigtlaender; 2016): The N -term approximation of cartoon-like functions w.r.t. the **primal** shearlet frame satisfies

$$\|f - f_N\|_{L^2} \lesssim_{\varepsilon} N^{-(1-\varepsilon)} \quad \forall N \in \mathbb{N} \quad \forall \varepsilon \in (0, 1).$$

Let's conclude!

What to take Home?

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- **Shearlets** are a multiscale system based on the quasi-regular representation of the shearlet group.
- They employ **parabolic scaling and shearing** and provide **optimally sparse approximations for curvilinear features**.
- Shearlets have a variety of applications, in particular for the regularization of inverse problems.

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- **Decomposition spaces** are defined using certain tilings of the Fourier domain.
 - Wavelet-type coorbit spaces **are** decomposition spaces.
 - Membership in decomposition spaces can be **characterized by the sparsity** of certain frame coefficients/expansions.

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Final remark: Existence of **embeddings between decomposition spaces** can be decided by comparing the **geometry** of the coverings.

Consequence: Sparsity in one system \rightsquigarrow sparsity in another system.

Thank you!

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Questions, comments, counterexamples?



Embeddings of decomposition spaces – General question

General assumptions

- $\mathcal{Q} = (Q_i)_{i \in I} = (T_i Q + b_i)_{i \in I}$ and $\mathcal{P} = (P_j)_{j \in J} = (S_j P + c_j)_{j \in J}$ are coverings of $\mathcal{O}, \mathcal{O}' \subset \mathbb{R}^d$.
- We are given weights $w = (w_i)_{i \in I}$ and $v = (v_j)_{j \in J}$.
- We have $p_1, p_2, q_1, q_2 \in (0, \infty]$.

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When do we have

$$\mathcal{D}(\mathcal{Q}, L^{p_1}, \ell_w^{q_1}) \hookrightarrow \mathcal{D}(\mathcal{P}, L^{p_2}, \ell_v^{q_2})?$$

Strong additional assumption: \mathcal{Q} is **almost subordinate** to \mathcal{P} , i.e.,

$$\exists N \in \mathbb{N} \forall i \in I \exists j_i \in J : \quad Q_i \subset P_{j_i}^{N*}.$$

Roughly: \mathcal{Q} is **finer** than \mathcal{P} .

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Theorem (Voigtlaender; 2015)

If \bullet \mathcal{Q} is almost subordinate to \mathcal{P} ,

- \bullet $p_1 \leq p_2$,

- \bullet $(\diamond_{p_2^\nabla}) < \infty$, for $p_2^\nabla := \min \{p_2, p_2'\}$

then

$$\mathcal{D}(\mathcal{Q}, L^{p_1}, \ell_w^{q_1}) \hookrightarrow \mathcal{D}(\mathcal{P}, L^{p_2}, \ell_v^{q_2}).$$

Necessary criteria

Recall: With

$$(\blacklozenge_r) := \left\| \left(v_j \cdot \left\| \left(|\det T_i|^{p_1^{-1} - p_2^{-1}} / w_i \right)_{i \in I_j} \right\|_{\ell^{r \cdot (q_1/r)'}} \right)_{j \in J} \right\|_{\ell^{q_2 \cdot (q_1/q_2)'}}$$

it is **sufficient** for the embedding if

- \mathcal{Q} almost subordinate to \mathcal{P} ,
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Conversely, if \mathcal{Q} is almost subordinate to \mathcal{P} and if

$$\mathcal{F}^{-1}(C_c^\infty(\mathcal{O})) \cap \mathcal{D}(\mathcal{Q}, L^{p_1}, \ell_w^{q_1}) \hookrightarrow \mathcal{D}(\mathcal{P}, L^{p_2}, \ell_v^{q_2}), g \mapsto g$$

is bounded, then $p_1 \leq p_2$ and $(\blacklozenge_{p_2}) < \infty$.

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Improvements under additional assumptions

Further assumption: \mathcal{Q} and w are relatively \mathcal{P} -moderate, i.e.,

Further assumption: \mathcal{Q} and w are **relatively \mathcal{P} -moderate**, i.e., there are sequences $(m_j)_{j \in J}$ and $(w_j^*)_{j \in J}$ satisfying

$$\begin{aligned} |\det T_i| &\asymp m_j && \text{if } Q_i \cap P_j \neq \emptyset, \\ w_i &\asymp w_j^* && \text{if } Q_i \cap P_j \neq \emptyset. \end{aligned}$$

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Roughly: Any two “small sets” Q_i, Q_ℓ intersecting the **same** “large” set P_j have similar measure and similar weight w_i .

Theorem (Voigtlaender; 2015)

If $\mathcal{O} = \mathcal{O}'$ and if

- \mathcal{Q} is almost subordinate to \mathcal{P} ,
- \mathcal{Q} and w are relatively \mathcal{P} -moderate,

then

$$\mathcal{D}(\mathcal{Q}, L^{p_1}, \ell_w^{q_1}) \hookrightarrow \mathcal{D}(\mathcal{P}, L^{p_2}, \ell_v^{q_2}) \iff p_1 \leq p_2 \text{ and } \left(\blacklozenge_{p_2^\nabla} \right) < \infty.$$

Theorem (Voigtlaender; 2015)

We have $\mathcal{S}_s^{p_1, q_1}(\mathbb{R}^2) \hookrightarrow \mathcal{B}_r^{p_2, q_2}(\mathbb{R}^2)$ if and only if $p_1 \leq p_2$ and

$$\begin{cases} r < s - \frac{3}{2} \left[\frac{1}{p_1} - \frac{1}{p_2} \right] - \frac{1}{2} \left(\frac{1}{p_2^\nabla} - \frac{1}{q_1} \right)_+, & \text{if } q_2 < q_1, \\ r \leq s - \frac{3}{2} \left[\frac{1}{p_1} - \frac{1}{p_2} \right] - \frac{1}{2} \left(\frac{1}{p_2^\nabla} - \frac{1}{q_1} \right)_+, & \text{if } q_2 \geq q_1. \end{cases}$$

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with $\frac{1}{p^{\pm\Delta}} = \min \left\{ \frac{1}{p}, 1 - \frac{1}{p} \right\}$.