# On the Hodge-Kodaira Laplacian on the canonical bundle of a compact Hermitian complex space

Francesco Bei

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and the previous isomorphism induces a Hodge decomposition of  $I^{\underline{m}}H^k(V, \mathbb{R})$  in terms of  $L^2$ -Dolbeault-cohomology groups.

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This conjecture is still largely open.

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#### Conjecture

Let  $V \subset \mathbb{CP}^n$  be a complex projective variety,  $\pi : \tilde{V} \longrightarrow V$  a resolution of V and let g be the Kähler metric on reg(V) induced by the Fubini-Study metric. Then:

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$$\chi_2(\operatorname{reg}(V), g) = \chi(\mathcal{O}_{\tilde{V}})$$

where

• 
$$\chi_2(\operatorname{reg}(V),g)) = \sum (-1)^q \dim(H_2^{0,q}(\operatorname{reg}(V),g))$$

• 
$$\chi(\tilde{V}) = \sum (-1)^q \dim(H^{0,q}_{\overline{\partial}}(\tilde{V}))$$

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$$\chi_2(\operatorname{reg}(V),g)) = \sum (-1)^q \dim(H^{0,q}_{2\overline{\partial}}(\operatorname{reg}(V),g))$$

• 
$$\chi(\tilde{V}) = \sum (-1)^q \dim(H^{0,q}_{\overline{\partial}}(\tilde{V}))$$

Solved by Pardon and Stern in 1991 proving a stronger result:

$$H^{0,q}_{2,\overline{\partial}_{\mathsf{min}}}(\mathsf{reg}(V),g)\cong H^{0,q}_{\overline{\partial}}( ilde{V}),\;q=0,...,v$$

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# Related problems

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Existence of self-adjoint extensions of Δ<sub>k</sub> and Δ<sub>∂,p,q</sub> with discrete sprectrum

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- Existence of self-adjoint extensions of Δ<sub>k</sub> and Δ<sub>∂,p,q</sub> with discrete sprectrum
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(X, h) irreducible Hermitian complex space. h is a Hermitian metric on X := h is a Hermitian metric on reg(X), the regular part of X, locally given by an embedding.

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Examples:

- (M,g) Hermitian manifold, X ⊂ M analytic sub-variety and h := g|<sub>reg(X)</sub>
- V ⊂ CP<sup>n</sup> complex projective variety endowed with the metric induced by Fubini-Study metric.

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Consider now a compact and irreducible Hermitian complex space (X, h) of complex dimension *m*.

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$$\overline{\partial}_{m,0}: L^2\Omega^{m,0}(\operatorname{reg}(X),h) \to L^2\Omega^{m,1}(\operatorname{reg}(X),h)$$
(0.1)

with domain given by  $\Omega_c^{m,0}(\operatorname{reg}(X))$ , the space of smooth (m, 0)-forms with compact support in  $\operatorname{reg}(X)$ .

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$$\overline{D}_{m,0}: L^2\Omega^{m,0}(\operatorname{reg}(X),h) \to L^2\Omega^{m,1}(\operatorname{reg}(X),h)$$
(0.2)

be any closed extension of (0.1)

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$$\overline{D}_{m,0}^*: L^2\Omega^{m,1}(\operatorname{reg}(X),h) \to L^2\Omega^{m,0}(\operatorname{reg}(X),h)$$
(0.3)

the adjoint of (0.2).

$$\overline{\partial}_{m,0}: L^2\Omega^{m,0}(\operatorname{reg}(X),h) \to L^2\Omega^{m,1}(\operatorname{reg}(X),h)$$
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the adjoint of (0.2). For example the operator (0.2) might be

$$\overline{\partial}_{m,0,\max/\min}: L^2\Omega^{m,0}(\operatorname{reg}(X),h) \to L^2\Omega^{m,1}(\operatorname{reg}(X),h)$$

respectively the maximal/minimal extension of (0.1).

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Consider now the following operator:

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$$\overline{D}_{m,0}^* \circ \overline{D}_{m,0} : L^2 \Omega^{m,0}(\operatorname{reg}(X),h) \to L^2 \Omega^{m,0}(\operatorname{reg}(X),h)$$

with domain

$$\mathcal{D}(\overline{D}_{m,0}^* \circ \overline{D}_{m,0}) = \{ \boldsymbol{s} \in \mathcal{D}(\overline{D}_{m,0}) : \ \overline{D}_{m,0} \boldsymbol{s} \in \mathcal{D}(\overline{D}_{m,0}^*) \}$$

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The operator  $\overline{D}_{m,0}^* \circ \overline{D}_{m,0}$  is a self-adjoint extension of the Hodge-Kodaira Laplacian in bi-degree (m, 0),

$$\Delta_{\overline{\partial},m,0}:\Omega^{m,0}_{c}(\operatorname{\mathsf{reg}}(X)) o \Omega^{m,0}_{c}(\operatorname{\mathsf{reg}}(X))$$

#### Theorem

The operator

$$\overline{D}_{m,0}^* \circ \overline{D}_{m,0} : L^2 \Omega^{m,0}(\operatorname{reg}(X),h) o L^2 \Omega^{m,0}(\operatorname{reg}(X),h)$$

has discrete spectrum.

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Let  $\{\lambda_k\}$  be the eigenvalues of  $\overline{D}_{m,0}^* \circ \overline{D}_{m,0}$ . Then:

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Let  $\{\lambda_k\}$  be the eigenvalues of  $\overline{D}_{m,0}^* \circ \overline{D}_{m,0}$ . Then: lim inf  $\lambda_k k^{-\frac{1}{m}} > 0$ 

as  $k \to \infty$ .

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Let  $\{\lambda_k\}$  be the eigenvalues of  $\overline{D}_{m,0}^* \circ \overline{D}_{m,0}$ . Then:

$$\liminf \lambda_k k^{-\frac{1}{m}} > 0$$

as  $k \to \infty$ .

Equivalently there exists c > 0 and  $n \in \mathbb{N}$  such that

$$\lambda_k \geq ck^{\frac{1}{m}}$$

for every  $k \ge n$ .

• No assumptions on sing(X)

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## Some remarks

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- No assumptions on dim(X)

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### Some remarks

- No assumptions on sing(X)
- No assumptions on dim(X)
- In particular the theorem holds true for

$$\overline{\partial}_{m,0,\min}^t \circ \overline{\partial}_{m,0,\max} : L^2 \Omega^{m,0}(\operatorname{reg}(X),h) \to L^2 \Omega^{m,0}(\operatorname{reg}(X),h)$$

#### and

$$\overline{\partial}_{m,0,\max}^t \circ \overline{\partial}_{m,0,\min} : L^2 \Omega^{m,0}(\operatorname{reg}(X),h) \to L^2 \Omega^{m,0}(\operatorname{reg}(X),h)$$

where the latter operator is the Friedrich extension of

$$\Delta_{\overline{\partial},m,0}:\Omega^{m,0}_c(\operatorname{reg}(X)) o \Omega^{m,0}_c(\operatorname{reg}(X))$$

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# • The operator $\overline{D}_{m,0}^* \circ \overline{D}_{m,0}$ has discrete spectrum.

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• The operator  $\overline{D}_{m,0}^* \circ \overline{D}_{m,0}$  has discrete spectrum. If and only if the inclusion  $\mathcal{D}(\overline{D}_{m,0}^* \circ \overline{D}_{m,0}) \hookrightarrow L^2 \Omega^{m,0}(\operatorname{reg}(X), h)$  is compact.

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We have a continuous inclusion  $\mathcal{D}(\overline{D}_{m,0}^* \circ \overline{D}_{m,0}) \hookrightarrow \mathcal{D}(\overline{D}_{m,0})$ .

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## Sketch of the proof

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Let  $\pi : M \to X$  a resolution of X. Let  $A := \pi^{-1}(\operatorname{reg}(X))$  and let  $\rho := \pi^* h$ .  $\rho$  is a positive semi-definite Hermitian product on M strictly positive on M.

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Let

$$\overline{D}_{m,0}: L^2\Omega^{m,0}(\boldsymbol{A},\rho|_{\boldsymbol{A}}) \to L^2\Omega^{m,1}(\boldsymbol{A},\rho|_{\boldsymbol{A}})$$

the operator unitarily equivalent to

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 $\overline{D}_{m,0}: L^2\Omega^{m,0}(\operatorname{reg}(X),h) \to L^2\Omega^{m,1}(\operatorname{reg}(X),h)$ through  $\pi^*: L^2\Omega^{m,0}(\operatorname{reg}(X),h) \to L^2\Omega^{m,0}(A,\rho|_A).$ 

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through  $\pi^* : L^2\Omega^{m,0}(\operatorname{reg}(X), h) \to L^2\Omega^{m,0}(A, \rho|_A)$ . Let *g* be an arbitrary Hermitian metric on *M* and let

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the unique closed extension of  $\overline{\partial}_{m,0} : \Omega^{m,0}(M,g) \to \Omega^{m,1}(M,g)$ . The statement is a consequence of the following properties:

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that is summarizing

$$\mathcal{D}(\overline{D}_{m,0}^* \circ \overline{D}_{m,0}) \hookrightarrow \mathcal{D}(\overline{D}_{m,0}) \hookrightarrow \mathcal{D}(\overline{\partial}_{m,0}) \hookrightarrow L^2 \Omega^{m,0}(M,g) = L^2 \Omega^{m,0}(A,\rho)$$

#### • $L^2\Omega^{m,0}(A, \rho|_A) = L^2\Omega^{m,0}(A, g|_A)$ (equality of Hilbert spaces)

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•  $L^2\Omega^{m,0}(A, \rho|_A) = L^2\Omega^{m,0}(A, g|_A)$  (equality of Hilbert spaces)

This follows by standard properties of Hermitian metrics.

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•  $\mathcal{D}(\overline{\partial}_{m,0}) \hookrightarrow L^2 \Omega^{m,0}(M,g)$  (compact inclusion)

This follows because (M, g) is a compact Hermitian manifolds and

$$0 \to \Omega^{m,0}(\boldsymbol{M}) \stackrel{\overline{\partial}_{m,0}}{\to} ... \stackrel{\overline{\partial}_{m,q-1}}{\to} \Omega^{m,q}(\boldsymbol{M}) \stackrel{\overline{\partial}_{m,q}}{\to} ... \stackrel{\overline{\partial}_{m,m-1}}{\to} \Omega^{m,m}(\boldsymbol{M}) \to 0.$$

is an elliptic complex.

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$$\mathcal{D}(\overline{D}_{m,0}) \hookrightarrow \mathcal{D}(\overline{\partial}_{m,0}) \tag{0.4}$$

is the subtlest point.



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is the subtlest point.

It is proved combining together the following properties:

L<sup>2</sup>Ω<sup>m,0</sup>(A, ρ|<sub>A</sub>) = L<sup>2</sup>Ω<sup>m,0</sup>(A, g|<sub>A</sub>) (equality of Hilbert spaces).

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- $(A, g|_A)$  is parabolic.

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- $L^2\Omega^{m,0}(A, \rho|_A) = L^2\Omega^{m,0}(A, g|_A)$  (equality of Hilbert spaces).
- $L^2\Omega^{m,1}(A,\rho|_A) \hookrightarrow L^2\Omega^{m,1}(A,g|_A)$  (continuous inclusion of Hilbert spaces).
- $(A, g|_A)$  is parabolic.
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$$\mathcal{D}(\overline{D}_{m,0}) \hookrightarrow \mathcal{D}(\overline{\partial}_{m,0}) \tag{0.4}$$

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Using all these properties we can conclude that (0.4) is a continuous inclusion and finally this tells us that  $\overline{D}_{m,0}^* \circ \overline{D}_{m,0}$  has discrete spectrum.

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$$\liminf \lambda_k k^{-\frac{1}{m}} > 0$$

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- The min-max principle

Let  $V \subset \mathbb{CP}^n$  be a projective surface and let *h* be the Kähler metric on reg(*V*) induced by the Fubini-Study metric.

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Let  $V \subset \mathbb{CP}^n$  be a projective surface and let *h* be the Kähler metric on reg(*V*) induced by the Fubini-Study metric. Let q = 0, ..., 2 and consider the Hodge-Kodaira Laplacian

$$\Delta_{\overline{\partial},2,q}: \Omega^{2,q}(\operatorname{\mathsf{reg}}(X)) o \Omega^{2,q}(\operatorname{\mathsf{reg}}(X))$$

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$$\Delta_{\overline{\partial},2,q,\mathrm{abs}}: \Omega^{2,q}(\mathrm{reg}(X)) o \Omega^{2,q}(\mathrm{reg}(X))$$

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with domain  $\mathcal{D}(\Delta_{\overline{\partial},2,q,\text{abs}}) := \{\omega \in \mathcal{D}(\overline{\partial}_{2,q,\max}) \cap \mathcal{D}(\overline{\partial}_{2,q-1,\min}^t) : \overline{\partial}_{2,q-1,\min}^t \omega \in \mathcal{D}(\overline{\partial}_{2,q-1,\max}), \ \overline{\partial}_{2,q,\max} \omega \in \mathcal{D}(\overline{\partial}_{2,q,\min}^t)\}.$ 

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Combining our previous result with a theorem proved by Li and Tian 1995 and with another theorem proved by Pardon-Stern in 1991 we have the following result:

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#### Theorem

Let  $V \subset \mathbb{CP}^n$  be a complex projective surface and let h be the Kähler metric on reg(V) induced by the Fubini-Study metric of  $\mathbb{CP}^n$ . Then, for each q = 0, ..., 2, the operator

$$\Delta_{\overline{\partial},2,q,\mathsf{abs}}: L^2\Omega^{2,q}(\mathsf{reg}(V),h) o L^2\Omega^{2,q}(\mathsf{reg}(V),h)$$

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$$0 \leq \lambda_1 \leq \lambda_2 \leq \ldots \leq \lambda_k \leq \ldots$$

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has discrete spectrum. Let now

$$0 \leq \lambda_1 \leq \lambda_2 \leq \ldots \leq \lambda_k \leq \ldots$$

be the eigenvalues of  $\Delta_{\overline{\partial},2,q,abs}$ . Then we have the following asymptotic inequality

$$\liminf \lambda_k k^{-\frac{1}{2}} > 0$$

as  $k \to \infty$ .

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- The case (2, 1) follows in this way:

By Pardon-Stern we know that ker $(\Delta_{\overline{\partial},2,1,abs})$  is finite dimensional and that im $(\Delta_{\overline{\partial},2,1,abs})$  is closed.

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- The case (2,0) is a particular case of our previous theorem.
- The case (2,2) follows by Li and Tian.
- The case (2, 1) follows in this way:

By Pardon-Stern we know that ker( $\Delta_{\overline{\partial},2,1,abs}$ ) is finite dimensional and that im( $\Delta_{\overline{\partial},2,1,abs}$ ) is closed. Therefore  $\Delta_{\overline{\partial},2,1,abs}$  has discrete spectrum if and only if the inclusion

$$\mathcal{D}(\Delta_{\overline{\partial},2,1,\mathrm{abs}}) \cap \mathrm{im}(\Delta_{\overline{\partial},2,1,\mathrm{abs}}) \hookrightarrow L^2\Omega^{2,1}(\mathrm{reg}(V),h)$$

is compact. Now this last point follows as a consequence of the next proposition

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Let  $H_k$ , k = 1, 2, 3 be separable Hilbert spaces and let  $T_k : H_k \rightarrow H_{k+1}$  be unbounded, densely defined and closed operators such that

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•  $\operatorname{im}(T_1) \subset \mathcal{D}(T_2)$ 

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Let

$$\Delta_T := T_{2^*} \circ T_2 + T_1 \circ T_1^*.$$

Then the following properties are equivalent:

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Let

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Then the following properties are equivalent:

•  $\mathcal{D}(T_1^* \circ T_1) \cap \operatorname{im}(T_1^* \circ T_1) \hookrightarrow H_1$  and  $\mathcal{D}(T_2 \circ T_2^*) \cap \operatorname{im}(T_2 \circ T_2^*) \hookrightarrow H_3$  are compact inclusions.

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- $\mathcal{D}(\Delta_T) \cap im(\Delta_T) \hookrightarrow H_2$  is a compact inclusion

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- $im(T_k)$  is closed, k = 1, 2.
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- $\mathcal{D}(\Delta_T) \cap im(\Delta_T) \hookrightarrow H_2$  is a compact inclusion

Since we know that both  $\Delta_{\overline{\partial},2,0,abs}$  and  $\Delta_{\overline{\partial},2,2,abs}$  have discrete spectrum the proof is complete.

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## Corollary

$$\chi(\tilde{V},\mathcal{K}_{\tilde{V}}) = \operatorname{ind}((\overline{\partial}_{2,\max} + \overline{\partial}_{2,\min}^{t})^{+}) = \sum_{q=0}^{2} (-1)^{q} \operatorname{Tr}(e^{-t\Delta_{\overline{\partial},2,q,\operatorname{abs}}}),$$

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## Corollary

$$\chi(\tilde{V},\mathcal{K}_{\tilde{V}}) = \operatorname{ind}((\overline{\partial}_{2,\max} + \overline{\partial}_{2,\min}^{t})^{+}) = \sum_{q=0}^{2} (-1)^{q} \operatorname{Tr}(e^{-t\Delta_{\overline{\partial},2,q,\operatorname{abs}}}),$$

$$\chi(\tilde{V}, \mathcal{O}_{\tilde{V}}) = \operatorname{ind}((\overline{\partial}_{0,\min} + \overline{\partial}_{0,\max}^{t})^{+}) = \sum_{q=0}^{2} (-1)^{q} \operatorname{Tr}(e^{-t\Delta_{\overline{\partial},0,q,\operatorname{rel}}}).$$

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### Corollary

$$\chi(\tilde{V},\mathcal{K}_{\tilde{V}}) = \operatorname{ind}((\overline{\partial}_{2,\max} + \overline{\partial}_{2,\min}^{t})^{+}) = \sum_{q=0}^{2} (-1)^{q} \operatorname{Tr}(e^{-t\Delta_{\overline{\partial},2,q,\operatorname{abs}}}),$$

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#### where

- $\pi: \tilde{V} \to V$  is any resolution of V,
- $\mathcal{K}_{\tilde{V}}$  is the sheaf of holomorphic (2,0)-forms on  $\tilde{V}$ ,

• 
$$\chi(\tilde{V}, \mathcal{K}_{\tilde{V}}) = \sum_{q=0}^{2} (-1)^q \dim(H^q(\tilde{V}, \mathcal{K}_{\tilde{V}})),$$

• 
$$\chi(\tilde{V}, \mathcal{O}_{\tilde{V}}) = \sum_{q=0}^{2} (-1)^{q} \dim(H^{0,q}_{\overline{\partial}}(\tilde{V})).$$

# Thanks for your attention

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