

# Witten's perturbation on strata with general adapted metrics

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# General idea

**Setting** Strata of compact stratified spaces with general adapted metrics.

**Main goal** Witten's perturbation of the de Rham complex  
 $\rightsquigarrow$  Morse inequalities.

**Main analytic tool** A perturbation of the Dunkl harmonic oscillator.

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  - Ideal boundary condition
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# Hilbert complex

- $\mathfrak{H}$  : graded Hilbert space.
- Hilbert complex (on  $\mathfrak{H}$ ): a differential complex given by a closed densely defined operator  $\mathbf{d}$  in  $\mathfrak{H}$  (Brüning-Lesch).
- $\rightsquigarrow$  Laplacian:  $\Delta = \mathbf{d}\mathbf{d}^* + \mathbf{d}^*\mathbf{d}$  is self-adjoint in  $\mathfrak{H}$ .
- Smooth core of  $\Delta$ :  $D^\infty(\Delta) = \bigcap_m D(\Delta^m)$ .
- $\rightsquigarrow$  smooth subcomplex:  $(D^\infty(\Delta), \mathbf{d})$  determines  $\mathbf{d}$  and has the same homology.

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# Ideal boundary condition

- $M$  : Riemannian manifold, possibly **non-complete**.
- $d, \delta$  : de Rham differential and codifferential on  $\Omega_0(M)$  (compactly supported forms).
- **Ideal boundary condition (i.b.c.)** of  $d$ :  
extension of  $d$  to a Hilbert complex  $\mathbf{d}$  in  $L^2\Omega(M)$ .
- $\exists$  min/max i.b.c.:  $d_{\min} = \bar{d}$ ,  $d_{\max} = \delta^*$ .
- $\rightsquigarrow \Delta_{\min/\max}$ .
- $M$  oriented  $\implies \star : \Delta_{\min} \xrightarrow{\cong} \Delta_{\max}$ .

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## Ideal boundary condition (contd.)

- $d_{\min/\max} \rightsquigarrow \begin{cases} H_{\min/\max}^r(M), \\ \beta_{\min/\max}^r(M), \\ \chi_{\min/\max}(M) \end{cases} \quad (\text{if } \beta_{\min/\max}^r < \infty \forall r).$
- These are quasi-isometric invariants.
- $H_{\max}^r(M) = H_{(2)}^r(M)$  (the  $L^2$  cohomology).
- $M$  complete  $\implies d_{\min} = d_{\max}$ ,  
but assume that  $M$  may **not** be complete.

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# Stratified space

- (Thom-Mather) **stratified space**: a space  $A$  with a partition into  $(C^\infty)$  manifolds (**strata**) satisfying certain conditions.
- In particular,  $\forall$  stratum  $X$ ,  $\bar{X} = \bigcup \text{strata}$ .
- $\rightsquigarrow$  order relation of the strata:  $X \leq Y$  if  $X \subset \bar{Y}$ .
- $\rightsquigarrow$  **depth** of a stratum  $X$ : maximum  $\ell$  such that  $\exists$  a chain of strata  $X_0 < X_1 < \dots < X_\ell = X$ .
- $\text{depth } X = 0 \iff X$  is closed in  $A$ .
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# Charts

- $M$ : stratum of  $A$ . Assume  $A = \overline{M}$ .
- A **chart** of  $A$  **centered** at  $x \in X \subset M$ :

$$A \supset \underset{\text{open}}{O} \equiv \underset{\text{open}}{O'} \subset \mathbb{R}^m \times c(L),$$

where

- $L$ : a compact stratified space of lower depth;
- $c(L) = \frac{L \times [0, \infty)}{L \times \{0\}}$ : **cone** with **link**  $L$ ;
- $x \equiv (0, *)$ :  $* = L \times \{0\} \in c(L)$ : **vertex**;
- $M \cap O \equiv M' \cap O'$ ,  $M' = \mathbb{R}^m \times N \times \mathbb{R}^+$ ,  $N$  stratum of  $L$ ;
- $m = m_x = \dim X$ ,  $L = L_x$ .
- $\rho : c(L) \rightarrow [0, \infty)$ : **radial function**,  
it's induced by  $\text{pr}_2 : L \times [0, \infty) \rightarrow [0, \infty)$ .
- $\rightsquigarrow (\| \cdot \|_{\mathbb{R}^m}^2 + \rho^2)^{1/2}$ : **radial function** of  $\mathbb{R}^m \times c(L)$ .
- Charts around points in  $M$ : the usual manifold charts.

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## Adapted metrics on strata

- A Riemannian metric  $g$  on  $M$  is called **adapted** if  $\exists$  a chart centered at any  $x \in X \subset M$ ,

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$$g \sim g_0 + \rho^{2u} \tilde{g} + d\rho^2 \quad \text{on} \quad O \cap M \equiv O' \cap M',$$

where

- $g_0$ : Euclidean metric of  $\mathbb{R}^m$ ,
  - $\tilde{g}$  is an adapted metric on  $N$  (induction on the depth),
  - $u = u_k > 0$ ,  $k := \text{codim } X = \dim N + 1$ .
- If  $A$  is a **pseudomanifold** ( $\mathcal{A}$  strata of codim 1)  
 $\rightsquigarrow \hat{u} := (u_2, \dots, u_n)$ : the **type** of  $g$ .

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# Intersection homology

- **Perversity** : a sequence  $\bar{p} = (p_2, p_3, \dots)$  in  $\mathbb{N}$  such that  $p_2 = 0$ ,  $p_k \leq p_{k+1} \leq p_k + 1$ .
- Examples :  $\bar{0} = (0, 0, \dots)$ ,  $\bar{t} = (0, 1, 2, 3, \dots)$  (top),  $\bar{m} = (0, 0, 1, 1, 2, 2, 3, \dots)$ ,  $\bar{n} = (0, 1, 1, 2, 2, 3, 3, \dots)$ .
- $\bar{p}$  and  $\bar{q}$  are **complementary** if  $\bar{p} + \bar{q} = \bar{t}$ .
- $\rightsquigarrow I^{\bar{p}}H_*(A) = I^{\bar{p}}H_*(A; \mathbb{R})$  : **intersection homology** with perversity  $\bar{p}$  (Goresky & MacPherson, 1980).
- $\beta_r^{\bar{p}} = \dim I^{\bar{p}}H_r(A)$ ,  $\chi^{\bar{p}} = \sum_{r=0}^n (-1)^r \beta_r^{\bar{p}}$ .
- $I^{\bar{p}}H_r(A) \cong I^{\bar{q}}H_{n-r}(A)$  if  $\bar{p}$  and  $\bar{q}$  are complementary and  $A$  is **oriented** ( $M$  is oriented).

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- The product of two stratified spaces has a **non-canonical** stratified structure. The product of two cones is a cone.
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Spectrum  $\Delta_{\min/\max}$ 

## Theorem (up to reform)

For any *good* general adapted metric on a stratum of a compact stratified space:

- (i)  $\Delta_{\min/\max}$  has a discrete spectrum:  $\lambda_{\min/\max,k}$ .
- (ii)  $\exists \theta > 0$  such that  $\liminf_k \frac{\lambda_{\min/\max,k}}{k^\theta} > 0$ .

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# Contents

- 1 Definitions and main theorems
  - Ideal boundary condition
  - Stratified spaces and general adapted metrics
  - Relatively Morse functions
- 2 Proofs
  - Witten's perturbation
  - Perturbations of the Dunkl harmonic oscillator
  - Analysis around the rel-critical points

# Relatively admissible functions

- $f \in C^\infty(M)$  is **rel-admissible** if  $|df|$  and  $|\text{Hess } f|$  are bounded.
- $f \rightsquigarrow$  has a continuous extension to the metric completion  $\widehat{M}$  of  $M$  (possibly **not to**  $\overline{M}$ ).
- $x \in \widehat{M}$  is a **rel-critical** point of  $f$  when  $\exists (y_k)$  in  $M$  such that  $y_k \rightarrow x$  in  $\widehat{M}$  and  $|df(y_k)| \rightarrow 0$ .
- $f \rightsquigarrow \text{Crit}_{\text{rel}}(f)$ .

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# Relatively Morse functions

**Rel-Morse function:** a rel-admissible Morse function  $f$  on  $M$  so that,  $\forall x \in \text{Crit}_{\text{rel}}(f)$ , if  $x \in X < M$ , then  $\exists$  a general chart of  $\widehat{M}$  centered at  $x$ ,

$$A \supset O \equiv O' \subset \mathbb{R}^m \times \prod_{i=1}^a c(L_i), \quad \text{with} \quad O \cap M \equiv O \cap M',$$

$$M' = \mathbb{R}^{m_+} \times \mathbb{R}^{m_-} \times \prod_{i \in I_+} (N_i \times \mathbb{R}^+) \times \prod_{i \in I_-} (N_i \times \mathbb{R}^+),$$

$$\text{such that} \quad f|_O \equiv f(x) + \frac{1}{2}(\rho_+^2 - \rho_-^2)|_{O'},$$

$$\text{where} \quad \begin{cases} m = m_+ + m_-, & \{1, \dots, a\} = I_+ \sqcup I_-, \\ N_i : \text{a stratum of } L_i, \\ \rho_{\pm} : \text{the radial function of } \mathbb{R}^{m_{\pm}} \times \prod_{j \in I_{\pm}} c(L_j), \end{cases}$$

# Relatively Morse functions

If  $x \in \text{Crit}_{\text{rel}}(f) \cap M = \text{Crit}(f)$ ,  $\rightsquigarrow$  the usual description of the Morse function  $f$  around  $x$ :

$$A \supset O \equiv O' \subset \mathbb{R}^m = \mathbb{R}^{m_+} \times \mathbb{R}^{m_-}, \quad x \equiv 0;$$
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where  $\rho_{\pm} = \| \cdot \|$  on  $\mathbb{R}^{m_{\pm}}$ .

# The numbers $\nu_{\min/\max}^r$

- For  $x \in \text{Crit}_{\text{rel}}(f)$ ,  $x \in X < M$ , as above, and  $r = 0, \dots, n$ :  

$$\nu_{x, \max/\min}^r = \sum_{(r_1, \dots, r_a)} \prod_{i=1}^a \beta_{\max/\min}^{r_i}(N_i), \quad \text{where}$$

$$r = m_- + \sum_{i=1}^a r_i + |L_-|,$$

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# A version of Morse inequalities

## Theorem (up to reform )

For any rel-Morse function on a stratum of dim  $n$  of a compact stratified space, equipped with a **good** general adapted metric,

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- Suppose that  $A$  is a pseudomanifold.
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*A: a compact pseudomanifold of dim  $n$ ,  $\bar{p}$ : a perversity.  
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In the Morse inequalities of Goresky-MacPherson, the functions and numbers are different.

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- 2 Proofs
  - **Witten's perturbation**
  - Perturbations of the Dunkl harmonic oscillator
  - Analysis around the rel-critical points

# Witten's perturbation

- $M$ : a Riemannian manifold,  $f \in C^\infty(M)$ .
- Witten's perturbations on  $\Omega_0(M)$  ( $s > 0$ ):

$$d_s = e^{-sf} d e^{sf} = d + s df \wedge ,$$

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# Dunkl harmonic oscillator

- **Dunkl operator:**  $T_\sigma$  on  $C^\infty(\mathbb{R})$  defined by:

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- If  $\sigma > -1/2$ , then:
  - $J$ , with  $D(J) = \mathcal{S}$ , is essentially self-adjoint in  $L^2_\sigma$ .
  - Spectrum of  $\bar{J}$ :  $\begin{cases} \text{eigenvalues} & (2k + 1 + 2\sigma)s \\ \text{eigenfunctions} & \phi_k \end{cases}$
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# Perturbations of the Dunkl harmonic oscillator

## Theorem

Let  $0 < u < 1$ ,  $\xi > 0$ ,  $\sigma > u - 1/2$ .

Then  $\exists$  a positive self-adjoint operator  $\mathcal{U}$  in  $L^2_\sigma$  such that:

(i)  $\mathcal{S}$  is a core of  $\mathcal{U}^{1/2}$ , and,  $\forall \phi, \psi \in \mathcal{S}$ ,

$$\langle \mathcal{U}^{1/2}\phi, \mathcal{U}^{1/2}\psi \rangle_\sigma = \langle \mathbf{J}\phi, \psi \rangle_\sigma + \xi \langle |x|^{-u}\phi, |x|^{-u}\psi \rangle_\sigma.$$

(ii)  $\mathcal{U}$  has a discrete spectrum:  $\lambda_k$ .  $\exists D = D(\sigma, u) > 0$ , and,  $\forall \epsilon > 0$ ,  $\exists C = C(\epsilon, \sigma, u) > 0$  so that,  $\forall k$ ,

$$\begin{aligned} (2k + 1 + 2\sigma)s + \xi Ds^u(k + 1)^{-u} &\leq \lambda_k \\ &\leq (2k + 1 + 2\sigma)(s + \xi\epsilon s^u) + \xi Cs^u. \end{aligned}$$

# Perturbations of the Dunkl harmonic oscillator (contd.)

## Theorem (up to reform)

Let  $0 < u < 1$ ,  $\xi > 0$ ,  $\eta \in \mathbb{R}$ ,  
 $\sigma > u - 1/2$ ,  $\tau > u - 3/2$ ,  $\theta > -1/2$ .

A *list of conditions* is assumed depending on several cases.  
Then  $\exists$  a positive self-adjoint operator  $\mathcal{V}$  in  $L^2_{\sigma,\tau}$  such that:

(i)  $\mathcal{S}$  is a core of  $\mathcal{V}^{1/2}$ , and, for all  $\phi, \psi \in \mathcal{S}$ ,

$$\begin{aligned} \langle \mathcal{V}^{1/2}\phi, \mathcal{V}^{1/2}\psi \rangle_{\sigma,\tau} &= \langle \mathcal{J}_{\sigma,\tau}\phi, \psi \rangle_{\sigma,\tau} + \xi \langle |x|^{-u}\phi, |x|^{-u}\psi \rangle_{\sigma,\tau} \\ &\quad + \eta \left( \langle x^{-1}\phi_{\text{odd}}, \psi_{\text{ev}} \rangle_{\theta} + \langle \phi_{\text{ev}}, x^{-1}\psi_{\text{odd}} \rangle_{\theta} \right). \end{aligned}$$

(ii)  $\mathcal{U}$  has a discrete spectrum satisfying estimates similar to the above ones.

# Perturbations of the Dunkl harmonic oscillator (contd.)

- $\mathcal{U} = \overline{U}$  for  $U = J + \xi|x|^{-2u}$ ,  $D(U)$ ?
- $\mathcal{V} = \overline{V}$ , where

$$\begin{aligned} V &= \begin{pmatrix} U_{\sigma,\text{ev}} & \eta|x|^{2(\theta-\sigma)}x^{-1} \\ \eta|x|^{2(\theta-\tau)}x^{-1} & U_{\tau,\text{odd}} \end{pmatrix} \\ &= \begin{pmatrix} U_{\sigma,\text{ev}} & \eta|x|^{2(\theta'-\sigma)}x \\ \eta|x|^{2(\theta'-\tau)}x & U_{\tau,\text{odd}} \end{pmatrix}, \end{aligned}$$

$$\theta' = \theta - 1/2 > -3/2, \quad D(V)?$$

- Proof: perturbation theory of linear operators (Kato's book).

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# Induced operators on $\mathbb{R}^+$

Restriction to even/odd functions  
 restriction to  $\mathbb{R}^+$   
 conjugation by powers of  $x$  }

$\rightsquigarrow$  {
 

- induced operators on  $\mathbb{R}^+$
- selfadjoint extensions assuming **conditions**
- a core of (selfadjoint extension)<sup>1/2</sup>
- discrete spectrum
- eigenvalue estimates of the above type

Induced operators on  $\mathbb{R}^+$  (contd.)Induced operators on  $\mathbb{R}^+$ :

$$P_0 = H - 2c_1 x^{-1} \frac{d}{dx} + c_2 x^{-2},$$

$$Q_0 = H - 2c_1 \frac{d}{dx} x^{-1} + c_2 x^{-2} \quad (c_1, c_2 \in \mathbb{R}),$$

$$P = P_0 + \xi x^{-2u}, \quad Q = Q_0 + \xi x^{-2u} \quad (0 < u < 1),$$

$$\begin{aligned} W &= \begin{pmatrix} P & \eta x^{2(\theta-c_1)-a-b-1} \\ \eta x^{2(\theta-d_1)-a-b-1} & Q \end{pmatrix} \\ &= \begin{pmatrix} P & \eta x^{2(\theta'-c_1)-a-b+1} \\ \eta x^{2(\theta'-d_1)-a-b+1} & Q \end{pmatrix}. \end{aligned}$$

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# Contents

- 1 Definitions and main theorems
  - Ideal boundary condition
  - Stratified spaces and general adapted metrics
  - Relatively Morse functions
- 2 Proofs
  - Witten's perturbation
  - Perturbations of the Dunkl harmonic oscillator
  - Analysis around the rel-critical points

# Local model

- $f = \frac{1}{2}(\rho_+^2 - \rho_-^2)$  on  $\mathbb{R}^{m_+} \times \mathbb{R}^{m_-} \times \prod_{i \in I_+} (N_i \times \mathbb{R}^+) \times \prod_{i \in I_-} (N_i \times \mathbb{R}^+)$ .
- Künneth formula  $\rightsquigarrow f = \pm \frac{1}{2} \rho^2$  on  $M = N \times \mathbb{R}^+$  in  $c(L)$ .
- $\tilde{n} = \dim N$ ,  $n = \dim M = \tilde{n} + 1$ .
- $\tilde{g}$ : general adapted metric on  $N$ .
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# Induction hypothesis

- Assume the 1st main thm holds with smaller depth.
- $\rightsquigarrow$  spectral decomposition of the operator  $\tilde{\Delta}_{\min/\max}$  in  $L^2\Omega^r(N)$  given by forms:

$$\gamma \in \ker \tilde{\Delta}_{\min/\max} \cap \Omega^r(N),$$

$$\alpha \in \operatorname{im} \tilde{d}_{\min/\max} \cap \Omega^r(N), \quad \beta \in \operatorname{im} \tilde{\delta}_{\min/\max} \cap \Omega^{r-1}(N),$$
$$\tilde{d}\beta = \mu\alpha, \quad \tilde{\delta}\alpha = \mu\beta, \quad \mu > 0.$$

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Simple complexes defined by  $d_s^\pm$ 

$$0 \longrightarrow C_0^\infty(\mathbb{R}^+) \gamma \xrightarrow{d_{s,r}^\pm} C_0^\infty(\mathbb{R}^+) d\rho \wedge \gamma \longrightarrow 0,$$

$$\begin{aligned} 0 \longrightarrow C_0^\infty(\mathbb{R}^+) \beta &\xrightarrow{d_{s,r-1}^\pm} C_0^\infty(\mathbb{R}^+) \alpha + C_0^\infty(\mathbb{R}^+) d\rho \wedge \beta \\ &\xrightarrow{d_{s,r}^\pm} C_0^\infty(\mathbb{R}^+) d\rho \wedge \alpha \longrightarrow 0, \end{aligned}$$

Simple complexes defined by  $d_s^\pm$  (contd.)

They are isomorphic to the following simple elliptic complexes:

$$0 \rightarrow C_0^\infty(\mathbb{R}^+) \xrightarrow{d} C_0^\infty(\mathbb{R}^+) \rightarrow 0 ,$$

$$d = \frac{d}{d\rho} - \kappa\rho^{-1} \pm s\rho , \quad \kappa = (n - 2r - 1)u/2 ,$$

$$0 \rightarrow C_0^\infty(\mathbb{R}^+) \xrightarrow{d_0} C_0^\infty(\mathbb{R}^+) \oplus C_0^\infty(\mathbb{R}^+) \xrightarrow{d_1} C_0^\infty(\mathbb{R}^+) \rightarrow 0 ,$$

$$d_0 = \begin{pmatrix} d_{0,1} \\ d_{0,2} \end{pmatrix} , \quad d_1 = (d_{1,1} \quad d_{1,2}) ,$$

$$d_{0,1} = \mu\rho^{-u} , \quad d_{0,2} = \frac{d}{d\rho} - (\kappa + u)\rho^{-1} \pm s\rho ,$$

$$d_{1,1} = \frac{d}{d\rho} - \kappa\rho^{-1} \pm s\rho , \quad d_{1,2} = -\mu\rho^{-u} .$$

# Simple complex of length one

- Laplacian in  $L^2(\mathbb{R}^+)$ :

$$\Delta_0 = \delta d = H + \kappa(\kappa - 1)\rho^{-2} \mp s(1 + 2\kappa) ,$$

$$\Delta_1 = d\delta = H + \kappa(\kappa + 1)\rho^{-2} \pm s(1 - 2\kappa) .$$

- $\rightsquigarrow P_0 + \text{constant}$ .
- $\rightsquigarrow$  self-adjoint operators defined of  $\Delta_0$  and  $\Delta_1$  in  $L^2(\mathbb{R}^+)$ , and description of their spectra.

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## Simple complex of length one (contd.)

	$\sigma$	Condition	Smooth core
$\mathcal{A}_1$	$\kappa$	$\kappa > -\frac{1}{2}$	$\mathcal{S}_{\text{ev},+}$
$\mathcal{A}_2$	$1 - \kappa$	$\kappa < \frac{3}{2}$	$\rho^{-2\kappa} \mathcal{S}_{\text{odd},+}$

Table: Self-adjoint operators defined by  $\Delta_{s,0}$ 

	$\tau$	Condition	Smooth core
$\mathcal{B}_1$	$\kappa$	$\kappa > -\frac{3}{2}$	$\mathcal{S}_{\text{odd},+}$
$\mathcal{B}_2$	$-1 - \kappa$	$\kappa < \frac{1}{2}$	$\rho^{-2\kappa} \mathcal{S}_{\text{ev},+}$

Table: Self-adjoint operators defined by  $\Delta_{s,1}$

# Simple complex of length one (contd.)

$\mathcal{A}_1^+$	0 the 1st one +, $O(s)$ the other ones
$\mathcal{A}_1^-$	+, $O(s)$
$\mathcal{A}_2^+$	$\kappa > \frac{1}{2}$ – some of them
	$\kappa = \frac{1}{2}$ 0 the 1st one +, $O(s)$ the other ones
	$\kappa < \frac{1}{2}$ +, $O(s)$
$\mathcal{A}_2^-$	+, $O(s)$

Table: Eigenvalues of  $\mathcal{A}_i$

## Simple complex of length one (contd.)

	$\mathcal{B}_1^+$	$+, O(s)$
$\mathcal{B}_1^-$	$\kappa > -\frac{1}{2}$	$+, O(s)$
	$\kappa = -\frac{1}{2}$	0 the 1st one $+, O(s)$ the other ones
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Table: Eigenvalues of  $\mathcal{B}_i$

## Simple complex of length one (contd.)

	$\Delta_{S,\max,0}$	$\Delta_{S,\min,0}$	$\Delta_{S,\max,1}$	$\Delta_{S,\min,1}$
$\kappa \geq \frac{1}{2}$	$\mathcal{A}_1$		$\mathcal{B}_1$	
$ \kappa  < \frac{1}{2}$	$\mathcal{A}_1$	$\mathcal{A}_2$	$\mathcal{B}_1$	$\mathcal{B}_2$
$\kappa \leq \frac{1}{2}$	$\mathcal{A}_2$		$\mathcal{B}_2$	

Table: Description of  $\Delta_{S,\max/\min}$

# Simple complex of length two

Laplacians in  $L^2(\mathbb{R}^+)$  and  $L^2(\mathbb{R}^+; \mathbb{C}^2)$ :

$$\Delta_0 = H + (\kappa + u)(\kappa + u - 1)\rho^{-2} + \mu^2\rho^{-2u} \mp s(1 + 2(\kappa + u)) ,$$

$$\Delta_2 = H + \kappa(\kappa + 1)\rho^{-2} + \mu^2\rho^{-2u} \pm s(1 - 2\kappa) ,$$

$$\Delta_1 = \begin{pmatrix} \Delta_{1,1} & -2\mu u \rho^{-u-1} \\ -2\mu u \rho^{-u-1} & \Delta_{1,2} \end{pmatrix} ,$$

$$\Delta_{1,1} = H + \kappa(\kappa - 1)\rho^{-2} + \mu^2\rho^{-2u} \mp s(1 + 2\kappa) ,$$

$$\Delta_{1,2} = H + (\kappa + u)(\kappa + u + 1)\rho^{-2} + \mu^2\rho^{-2u} \pm s(1 - 2(\kappa + u)) .$$

# Simple complex of length two (contd.)

- Case  $u = 1$ :
  - $\Delta_{s,0} = P_0 + \text{const.}$ ,  $\Delta_{s,2} = Q_0 + \text{const.}$ ,  
and  $\Delta_{s,1}$  can be diagonalized obtaining terms of the same type in the diagonal.
  - $\rightsquigarrow$  self-adjoint extensions of these operators in  $L^2(\mathbb{R}^+)$  with discrete spectrum, and description of their eigenvalues.
- Case  $u < 1$ :
  - $\rightsquigarrow \Delta_{s,0} = P + \text{const.}$ ,  $\Delta_{s,2} = Q + \text{const.}$ ,  
and  $\Delta_{s,1} = W + \text{const. diag. matrix.}$
  - $\rightsquigarrow$  self-adjoint extensions of this operator in  $L^2(\mathbb{R}^+, \mathbb{C}^2)$  with discrete spectrum, and estimates of their eigenvalues.

# Simple complex of length two (contd.)

- Case  $u = 1$ :
  - $\Delta_{s,0} = P_0 + \text{const.}$ ,  $\Delta_{s,2} = Q_0 + \text{const.}$ ,  
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# Simple complex of length two (contd.)

- Case  $u = 1$ :
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# Simple complex of length two (contd.)

- Case  $u = 1$ :
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## Simple complex of length two (contd.)

	$\sigma$	Condition	Core of $\mathcal{P}_i^{1/2}$
$\mathcal{P}_1$	$\kappa + u$	$\kappa > -\frac{1}{2}$	$\mathcal{S}_{\text{ev},+}$
$\mathcal{P}_2$	$1 - \kappa - u$	$\kappa < \frac{3}{2} - 2u$	$\rho^{-2\kappa-2u}\mathcal{S}_{\text{odd},+}$

Table: Self-adjoint operators defined by  $\Delta_{s,0}$ 

	$\tau$	Condition	Core of $\mathcal{Q}_j^{1/2}$
$\mathcal{Q}_1$	$\kappa$	$\kappa > u - \frac{3}{2}$	$\mathcal{S}_{\text{odd},+}$
$\mathcal{Q}_2$	$-1 - \kappa$	$\kappa < \frac{1}{2} - u$	$\rho^{-2\kappa}\mathcal{S}_{\text{ev},+}$

Table: Self-adjoint operators defined by  $\Delta_{s,2}$

# Simple complex of length two (contd.)

	$\sigma$	$\tau$	$\theta$	Condition
$\mathcal{W}_{1,1}$	$\kappa$	$\kappa + u$	$\kappa$	$\kappa > u - \frac{1}{2}$
$\mathcal{W}_{2,2}$	$1 - \kappa$	$-1 - \kappa - u$	$-\kappa - u$	$\kappa < \frac{1}{2} - 2u$
$\mathbb{A} \mathcal{W}_{1,2}$	$\kappa$	$-1 - \kappa - u$	$-\frac{1}{2} - u$	Impossible
$\mathcal{W}_{2,1}$	$1 - \kappa$	$\kappa + u$	$\frac{1}{2}$	$-\frac{1+u}{2} < \kappa < \frac{1-u}{2},$ $\kappa = -\frac{1}{2} - u, \frac{1}{2}$

Table: Self-adjoint operators defined by  $\Delta_{s,1}$

# Simple complex of length two (contd.)

	Core of $\mathcal{W}_{i,j}^{1/2}$
$\mathcal{W}_{1,1}$	$\mathcal{S}_{\text{ev},+} \oplus \mathcal{S}_{\text{odd},+}$
$\mathcal{W}_{2,2}$	$\rho^{-2\kappa} \mathcal{S}_{\text{odd},+} \oplus \rho^{-2\kappa-2u} \mathcal{S}_{\text{ev},+}$
$\mathcal{W}_{2,1}$	$\rho^{-2\kappa} \mathcal{S}_{\text{odd},+} \oplus \mathcal{S}_{\text{odd},+}$

**Table:** Self-adjoint operators defined by  $\Delta_1$  (contd.)

## Simple complex of length two (contd.)

	$\mathcal{P}_1$	$+, O(s)$
$\mathcal{P}_2^+$	$\kappa > \frac{1}{2} - u$	? some of them
	$\kappa \leq \frac{1}{2} - u$	$+, O(s)$
	$\mathcal{P}_2^-$	$+, O(s)$
	$\mathcal{Q}_1^+$	$+, O(s)$
$\mathcal{Q}_1^-$	$\kappa \geq -\frac{1}{2}$	$+, O(s)$
	$\kappa < -\frac{1}{2}$	? some of them
	$\mathcal{Q}_2$	$+, O(s)$
	$\mathcal{W}_{i,j}$	$+, O(s)$

Table: Eigenvalues of  $\mathcal{P}_i$ ,  $\mathcal{Q}_i$  and  $\mathcal{W}_{i,j}$

## Simple complex of length two (contd.)

	$\Delta_{S,\max,0}$		$\Delta_{S,\min,0}$
$\kappa > -\frac{1}{2}$	$\mathcal{P}_1$	$\kappa \geq \frac{1}{2} - u$	$\mathcal{P}_1$
$-\frac{1}{2} - u < \kappa \leq -\frac{1}{2}$	?	$\kappa < \frac{1}{2} - u$	$\mathcal{P}_2$
$\kappa \leq -\frac{1}{2} - u$	$\mathcal{P}_2$		

Table: Description of  $\Delta_{S,\max/\min,0}$

## Simple complex of length two (contd.)

	$\Delta_{S,\max,2}$
$\kappa > -\frac{1}{2}$	$Q_1$
$\kappa \leq -\frac{1}{2}$	$Q_2$

	$\Delta_{S,\min,2}$
$\kappa \geq \frac{1}{2}$	$Q_1$
$\frac{1}{2} - u \leq \kappa < \frac{1}{2}$	?
$\kappa < \frac{1}{2} - u$	$Q_2$

Table: Description of  $\Delta_{S,\max/\min,2}$

# Simple complex of length two (contd.)

	$\Delta_{S,\max,1}$		$\Delta_{S,\min,1}$
$\kappa > u - \frac{1}{2}$	$\mathcal{W}_{1,1}$	$\kappa \geq \frac{1}{2}$	$\mathcal{W}_{1,1}$
$-\frac{1}{2} < \kappa \leq u - \frac{1}{2}$	?	$\frac{1-u}{2} \leq \kappa < \frac{1}{2}$	?
$-\frac{1+u}{2} < \kappa \leq -\frac{1}{2}$	$\mathcal{W}_{2,1}$	$\frac{1}{2} - u \leq \kappa < \frac{1-u}{2}$	$\mathcal{W}_{2,1}$
$-\frac{1}{2} - u < \kappa \leq -\frac{1+u}{2}$	?	$\frac{1}{2} - 2u \leq \kappa < \frac{1}{2} - u$	?
$\kappa \leq -\frac{1}{2} - u$	$\mathcal{W}_{2,2}$	$\kappa < \frac{1}{2} - 2u$	$\mathcal{W}_{2,2}$

Table: Description of  $\Delta_{S,\max/\min,1}$

# Simple complex of length two (contd.)

- One more trick: in this simple complex of length two,

$$\ker(\Delta_{S,\max/\min,0} \oplus \Delta_{S,\max/\min,2}) = 0 \implies \ker \Delta_{S,\max/\min,1} = 0 .$$

- $\rightsquigarrow$  In this case,  $\Delta_{S,\max/\min,0} \oplus \Delta_{S,\max/\min,2}$  and  $\Delta_{\max/\min,1}$  have the same eigenvalues, with the same multiplicity.
- $\rightsquigarrow$  larger intervals of  $\kappa$  where the spectrum of  $\Delta_{S,\max/\min}$  is known.
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# Spectrum of $\Delta_{s,\min/\max}$ on our local model

- Assume the adapted metric is **good**.
- On our local model:

$d_{s,\min/\max} = \bigoplus$  min/max i.b.c. of the simple complexes ,

$\rightsquigarrow \left\{ \begin{array}{l} \Delta_{s,\min/\max} \text{ has a discrete spectrum,} \\ \text{description of } \ker \Delta_{s,\min/\max}, \\ \text{the positive eigenvalues are of order } O(s). \end{array} \right.$

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# Globalization and Witten's arguments

- $\rightsquigarrow$  1st main thm for  $\Delta_{\min/\max}$  after globalization because  $\Delta_{s,\min/\max} - \Delta_{\min/\max}$  is bounded.
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Thank you very much!