### Seas of squares with sizes from a $\Pi_1^0$ set

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Linda Brown Westrick Victoria UniversiSeas of squares with sizes from a  $\Pi^0_1$  set

### • Subshifts

- Self-similar Turing machine tilings (DRS 2012)
- Seas of squares

**Definitions.** Let A be a finite alphabet. Let d be a positive integer. In this talk, usually d = 2 and sometimes d = 1.

- A subshift is a subset  $X \subseteq A^{\mathbb{Z}^d}$  which is obtained by forbidding some set of local patterns.
- A local pattern is an element of  $A^D$  where D is any finite subset of  $\mathbb{Z}^d$
- If F is a set of local patterns,  $\{x \in A^{\mathbb{Z}^d} : \text{ for all } p \in F, p \text{ does not appear in } x\}$  is a subshift.
- A subshift is called a **shift of finite type** if it can be obtained by forbidding a finite set of local patterns.
- A subshift X on an alphabet A is called **sofic** if there is a shift of finite type Y on an alphabet B, and a map  $f: B \to A$ , such that X = f(Y) (abusing some notation here)
- A subshift is **effectively closed** if it can be obtained by forbidding a c.e. set of local patterns; or equivalently, a computable set.

- A a finite alphabet d=1,2
  - Subshift  $X \subseteq A^{\mathbb{Z}^d}$  all elements that omit forbidden patterns
  - **SFT** finitely many forbidden patterns
  - Sofic X = f(Y)SFT  $Y \subseteq B^{\mathbb{Z}^d}$  $f: B \to A.$
  - Effectively closed c.e. set of forbidden patterns.

• Forbid  $\square$  and  $\square$ , get the subshift of

configurations with constant columns.

• Given a fixed Turing machine with states  $q_i$ , forbid all  $2 \times 3$  patterns that could never appear in that machine's space-time diagram.

$$\begin{array}{|c|c|c|c|c|c|c|} \hline q_t & 1 & q_t & 0 & q_t & b \\ \hline q_t & 1 & q_s & b & q_s & b \\ \hline & \Delta & & & \\ \hline q_s & 1 & q_s & b & q_s & b \\ \hline \end{array}$$



Forbid this jumping head. Anchor symbol.

Result: any configuration that contains the anchor symbol contains the space-time diagram of the TM on empty input.

# Sofic Examples

- A a finite alphabet d=1,2
  - Subshift  $X \subseteq A^{\mathbb{Z}^d}$  all elements that omit forbidden patterns
  - **SFT** finitely many forbidden patterns
  - Sofic X = f(Y)SFT  $Y \subseteq B^{\mathbb{Z}^d}$  $f: B \to A.$
  - Effectively

**closed** c.e. set of forbidden patterns.

- Any SFT. (A = B, X = Y).
- Subshift of two-colorable configurations



Forbid due to 5-cycle

This subshift is not an SFT. Reason: large cycles.

However, the shift of *two-colored* configurations is an SFT. Letting f be the map that forgets the colors gives the two-colorable configurations.



Consistent pattern.

- A a finite alphabet d=1,2
  - Subshift  $X \subseteq A^{\mathbb{Z}^d}$  all elements that omit forbidden patterns
  - **SFT** finitely many forbidden patterns
  - Sofic X = f(Y)SFT  $Y \subseteq B^{\mathbb{Z}^d}$  $f: B \to A.$
  - Effectively closed c.e. set of forbidden patterns.

• The subshift whose elements consist of non-overlapping black squares on a white background.



Consistent with sea of squares, do not forbid. This subshift is *not* an SFT. Reason: large rectangles.

Why sofic? Expand the alphabet to provide witness of square-ness:



A a finite alphabet d = 1, 2

- Subshift  $X \subseteq A^{\mathbb{Z}^d}$  all elements that omit forbidden patterns
- **SFT** finitely many forbidden patterns
- Sofic X = f(Y)SFT  $Y \subseteq B^{\mathbb{Z}^d}$  $f: B \to A.$

• Effectively closed c.e. set of forbidden patterns. Every SFT is sofic. (A = B, X = Y).

Every sofic shift is effectively closed. Algorithm: given Y and f, and given a pattern p in alphabet A, forbid p if and only for all  $q \in f^{-1}(p)$ , q is forbidden in Y.

These implications are strict.

### Motivating question

What properties of a c.e. set of forbidden words can guarantee that the resulting effectively closed shift is sofic?

### Sofic shifts

- Various substitution-rule shifts
- Even connected components shift
- Odd connected components shift (Cassaigne, unpublished)
- Stacked 1D sofic shifts
- Any effectively closed shift whose configurations have constant columns (Durand-Romashchenko-Shen 2012, Aubrun-Sablik 2013)
- Effective S-adic systems (Aubrun-Sablik 2014)

#### Effectively closed, non-sofic shifts

- 2D Shift-complex shift (Rumyantsev-Ushakov 2006)
- Stacked 1D effectively closed shifts without a synchronizing word (Pavlov 2013)

### Results

### **Definitions:**

- For any set  $S \subseteq \mathbb{N}$ , let the **S-square shift** be the  $\mathbb{Z}^2$ -shift on the alphabet {black, white} whose configurations consist of seas of non-overlapping black squares on a white background, where the size of each square is in S.
- Let the **distinct-square shift** consist of the configurations in which no finite size of square is repeated.
- A  $\mathbb{Z}^2$ -shift is  $\alpha$ -sparse if there is a constant C such that the shift forbids every  $N \times N$  pattern with more than  $CN^{\alpha}$  black symbols.

### Theorem (W):

The following shifts are sofic:

- The S-square shift for any  $\Pi_1^0$  set S.
- Any effectively closed subshift of the distinct-square shift.
- Any effectively closed  $\alpha$ -sparse shift for  $\alpha < 1$ .

- Subshifts
- Self-similar Turing machine tilings (DRS 2012)
- Seas of squares

# Forcing Turing computations in SFT configurations

A tileset is a finite set of squares (tiles) with colored edges Two tiles can go next to eachother if they agree on the edge they share.

The tiling problem: given a tileset, can you tile the plane? Observe: the set of such infinite tilings is a subshift.

Recall our SFT example:

$q_t$	1	$q_{t}$	0	$q_t$	$\frac{\Delta}{b}$
10	$\Delta$	10		10	
$q_s$	1	$q_s$	b	$q_s$	b



Anchor symbol.

Wang (1962) produced an essentially similar tileset. There is a tiling with anchor tile iff the TM run forever. Problem: how to force the anchor tile to appear? Berger (1966) Finite computations of increasing size.

# Durand, Romashchenko & Shen (2012)

DRS define a tileset:

- Tiles organize themselves into  $N \times N$  regions.
- Each region has a space-time diagram on the inside, but viewed from the outside, the region is a tile, or "macrotile".
- The macrotiles behave just like the original small tiles, but with larger N.
- This behavior is enforced by the computation happening in the tile.
- Accept the "data" of what colors are being displayed at the edge of the region as input. Analyze the input to see if the edges make a good macrotile. Kill the computation if not.
- Also do whatever computation was originally interesting.

$$(i, j+1)$$

$$(i, j) \underbrace{[}_{(i, j)} (i+1, j)$$



Image source: DRS 2012

# Parent Tile, Child Tile

Consider a parent "macrotile" made from an  $N\times N$  array of child tiles. Child side colors contain:

- $2 \log N$  bits to communicate a location (i, j)
- Finite number of bits associated to a universal Turing Machine computation.
- Finite number of bits corresponding to a wire.

The child tile's computation verifies:

- Coordinates increment appropriately?
- If (i, j) is in the computation region, are TM bits coherent?
- If (i, j) is in a wire location, are wire bits coherent?
- If (i, j) is at the *n*th bit of the program tape for the universal TM, is the *n*th bit of *this program* written on the tape?





Assuming  $N_0 < N_1 < \ldots$  is the sequence of sizes of macrotiles at level *i*, Input size:  $O(\log N_i)$ .

Algorithm: Polynomial time, as written before application of the recursion theorem.

Universal TM simulation: polytime overhead

Recursion theorem: polytime overhead

Runtime of resulting program:  $poly(log N_i)$ .

Available time:  $N_{i-1}/2$ 

For appropriate choice of the sequence  $\langle N_j \rangle$ , we have poly $(\log N_i) \ll N_{i-1}/2$ , so no computation runs out of room.

## Effectively closed shifts with constant columns

Theorem (Durand-Romashchenko-Shen 2012): Any effectively closed shift whose elements have constant columns is sofic. (this result independently obtained by Aubrun-Sablik 2013)

Idea: Given an configuration with constant columns, superimpose TM tiles to

- "read" the common row
- make what has been read available at all levels
- $\bullet\,$  simultaneously, enumerate forbidden  $\mathbbm{Z}\text{-patterns}$
- kill the element if a pattern it contains is enumerated.

Issue: How can a higher-level macrotile learn about what is written on the pixel level, since it can't interact with that level directly?

Solution: pass info up from child to parent

- Children who are sitting on the parent tape "read" it
- Whisper to other siblings about what is there
- If the parent tape does not contain a thing which a child wants the parent to know, the child kills the tiling.

- Subshifts
- Self-similar Turing machine tilings (DRS 2012)
- Seas of squares

Plan: Given a sea of squares (unrestricted sizes), superimpose TM tiles to

- "read" and record the sizes of squares that appear inside them
- propagate this information to their parents
- simultaneously, enumerate forbidden sizes
- kill the element if one of the collected sizes is enumerated

Obstacles:

- A forbidden-size square can appear once and disqualify the whole sea, so each tile must record every single size inside itself.
- The parent's parameter tape becomes too large for children to copy it, yet each child must make sure the parent received its records.
- The input to each computation region is large relative to the region; the algorithm must run in less than quadratic time to fit inside.

## Recording all the sizes

A macrotile at level k has  $\sim N_{k-1}$  tape size and a pixel width of  $L_k = N_{k-1} \dots N_1 N_0$ .

Maximum number of distinct sizes of square that can fit in an  $L \times L$  region? Bound by  $x_1^2 + \cdots + x_m^2 < L^2$ . To maximise m, let  $x_i = i$ . Result: m is bounded by  $\sim L^{2/3}$ .

To record all sizes from a macrotile at level k,  $\sim L_k^{2/3}$  bits are needed. For that to fit on the tape, we need:  $(N_0N_1 \dots N_{k-1})^{2/3} << N_{k-1}$ . Triple exponential  $N_k = 2^{2^{2^k}}$  is fast enough. Double exponential is too slow.

Note: Unavoidably,  $N_{k-1}^{2/3} \ll L_k^{2/3}$ . Therefore, the algorithm that is run using this input must be polynomial with exponent strictly less than 3/2, or it will overrun the computation region.

Conclusion: asymptotics of holding and processing info are ok.

## Communicating with the parent

 $L_k = \prod_{i=k_0}^{k-1} N_i.$ 

In DRS, all bits of the parent's parameter tape are passed among all children. Impossible here:

Bits of parent data  $\approx L_{k+1}^{2/3} > N_k^{2/3} >> N_{k-1} \approx$  length of child tape.

Idea: Each child nondeterministically chooses what parental information to share with each of its neighbors, and hopes to receive parental reassurance about each of its own recorded sizes.





Left: sharing everything Right: selective sharing

Use a counter to certify the information is genuinely from the parent.

So far our algorithm achieves:

- If the parent tape does not contain a record which some child needs, there will be no legal message chain to that child, so the tiling cannot be made.
- If the parent has all the needed records, and **IF** there is some way to simultaneously connect each record on the parent tape with the individual children who need it **without overloading any child by passing too many records through it**, the children will nondeterministically find this way.

So, is there always a way?

# A cooperative game of Ticket to Ride

There are  $\sim N_k^2$  vertices (cities, child tiles), arranged in a square grid. There are  $\sim L_{k+1}^{2/3}$  players (train companies, parental records).

Each vertical or horizontal edge (connector, child side color) has  $\sim L_k^{2/3}$  tracks. In any  $N \times N$  subgrid of vertices, at most  $\sim (NL_k)^{2/3}$  players have a city in that grid.

The players cooperatively win if there is a way to divvy up the tracks so that every player can connect all their cities together.

The S-square algorithm works if and only if the players can always win.



# Necessity of the $N \times N$ subgrid condition

There are  $\sim N_k^2$  vertices (cities, child tiles), arranged in a square grid. There are  $\sim L_{k+1}^{2/3}$  players (train companies, parental records). Each vertical or horizontal edge (connector, child side color) has  $\sim L_k^{2/3}$  tracks. At most  $\sim L_k^{2/3}$  players care about any given city.

### Counterexample:

- Consider a square subgrid of cities where each city has the full  $\sim L_k^{2/3}$  number of players, but each player has at most once city.
- Side length of this subgrid is  $N_k^{1/3}$
- Fill the whole board with  $N_k^{4/3}$  such subgrids.
- Each player must connect  $N_k^{4/3}$  cities, each at distance  $N_k^{1/3}$  from each other:  $N_k^{5/3}$  connections needed
- Multiplying by all players, total connections needed:  $L_{k+1}^{2/3} N_k^{5/3}$ .
- Total connections available:  $\sim L_k^{2/3} N_k^2$ .

The players can win the game with a multiscale plaid track pattern:

- All players take turns laying vertical tracks, top-to-bottom, as tightly as reasonable  $(L_k^{2/3}$  players per vertical track.)
- All players lay horizontal tracks in the same fashion. (1st layer of plaid).
- This makes natural square subregions, in which each player has a vertical and horizontal track.
- Within each  $N \times N$  subregion,  $N(L_k)^{2/3}$  players have tracks, but only  $(NL_k)^{2/3}$  players have cities there.
- Make another layer of tight plaid, within that subregion only, using only the players that have cities in that subregion.
- This tighter plaid makes smaller subregions, more players drop out.
- Recurse in all subregions until some fixed small size of subregion is reached, then let the small number of remaining players connect directly to their cities.

# Multiscale plaid analysis

All players make a single connected component that includes all their cities.







How many tracks per edge were used?

- At each level of recursion,  $L_k^{2/3}$  tracks per edge.
- Some fixed constant number of tracks per edge for the bottom step.
- Using  $N_k = 2^{2^{2^k}}$ , there are  $\sim 2^k$  levels of recursion.
- Relative to  $L_k^{2/3}$ , this  $2^k$  is an ignorable log factor.
- Total  $(2^k + C)L_k^{2/3} \sim L_k^{2/3}$  tracks per edge. Done.

We need to make sure this algorithm runs in polynomial exponent-3/2 time. Things to check:

- Familiar operations which are fast on modern architectures are slow on Turing machines. Turns out a multi-tape TM is necessary for our algorithm to be subquadratic. (On an MTM, it is linear.)
- Good news: MTM just as easy to implement in a tiling.
- A given MTM can be simulated, with only constant overhead, by a universal MTM.
- The constant-overhead recursion theorem works.

- What bound on the growth rate of the number of  $N \times N$  patterns could guarantee that a shift is sofic?
- What properties of a shift guarantee that every effectively closed subshift of it is sofic?
- (Jeandel) It is immediate that if X is a 1D sofic shift, then the 2D shift of configurations with rows belonging to X is sofic. Does the converse hold?

Thank you.